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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 11-01-2020, and ending 10-31-2021

Name of foundation
HORACE A & S ELLA KIMBALL FOUNDATION THOMAS

Number and street (or P.O. box number if mail is not delivered to street address)
23 BROAD STREET

City or town, state or province, country, and ZIP or foreign postal code
WESTERLY, RI 02891

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

\$ 12,241,563

J Accounting method:

☐ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number
05-6006130

B Telephone number (see instructions)
(401) 364-3565

C If exemption application is pending, check here

D 1. Foreign organizations, check here.....

2. Foreign organizations meeting the 85% test, check here and attach computation ...

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a)

Revenue and expenses per books

(b)

Net investment income

(c)

Adjusted net income

(d)

Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2020)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	28,819	291,699	291,699
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	4,586,362		
	c Investments—corporate bonds (attach schedule)	3,767,389		
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	10,334	8,336,560	11,949,864
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	8,392,904	8,628,259	12,241,563	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	8,392,904	8,628,259	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	8,392,904	8,628,259	
30 Total liabilities and net assets/fund balances (see instructions) .	8,392,904	8,628,259		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	8,392,904
2 Enter amount from Part I, line 27a	2	235,457
3 Other increases not included in line 2 (itemize) ▶ _____	3	1,034
4 Add lines 1, 2, and 3	4	8,629,395
5 Decreases not included in line 2 (itemize) ▶ _____	5	1,136
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	8,628,259

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;">If gain, also enter in Part I, line 7</div> <div style="display: inline-block; vertical-align: middle;">If (loss), enter -0- in Part I, line 7</div> </div> </div>	2	602,083
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved ,		8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	10,771
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	10,771
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	10,771
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	2,918
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	8,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	10,918
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	147
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 147 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► RI _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.HKIMBALLFOUNDATION.ORG</u>	13	Yes	
14	The books are in care of ► <u>The Washington Trust Company</u> Telephone no. ► <u>(401) 348-1234</u>			

Located at ► 23 BROAD STREET WESTERLY RIZIP+4 ► 02891

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b
c	Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>		
	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b
	<i>If "Yes" to 6b, file Form 8870.</i>		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Thomas F Black III 130 Woodville Road Hope Valley, RI 02832	President 1	40,930		
Norman D Baker Jr 7745 Indian Oaks Drive Apt H219 Vero Beach, FL 32966	Secretary/Treasurer 1	16,929		
Edward C Marth 1711 Allen Lane St Charles, IL 60174	Trustee 1	16,000		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	11,649,537
b	Average of monthly cash balances.	1b	236,842
c	Fair market value of all other assets (see instructions).	1c	96
d	Total (add lines 1a, b, and c).	1d	11,886,475
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	11,886,475
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	178,297
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,708,178
6	Minimum investment return. Enter 5% of line 5.	6	585,409

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	585,409
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	10,771
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	10,771
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	574,638
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	574,638
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	574,638

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	538,168
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	538,168
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	538,168

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				574,638
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	22,892			
f Total of lines 3a through e.	22,892			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 538,168				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				538,168
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	22,892			22,892
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				13,578
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	

b	85% of line 2a				
----------	--------------------------	--	--	--	--

c Qualifying distributions from Part XII, line 4 for each year listed					
--	--	--	--	--	--

d	Amounts included in line 2c not used directly for active conduct of exempt activities				
----------	---	--	--	--	--

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
--	--	--	--	--	--

3	Complete 3a, b, or c for the alternative test relied upon:					

[illegible]

(1) Value of all assets					
-----------------------------------	--	--	--	--	--

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
---	--	--	--	--	--

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
---	--	--	--	--	--

c "Support" alternative test—enter:					
-------------------------------------	--	--	--	--	--

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)						
---	--	--	--	--	--	--

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
---	--	--	--	--	--

(3) Largest amount of support from an exempt organization					
---	--	--	--	--	--

(4) Gross investment income					
-----------------------------	--	--	--	--	--

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a. The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c. Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				470,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	263,678	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	602,083	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PARTNERSHIP INCOME _____			1	1,834	
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				867,595	

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
--	-----	----

--	--	--

1a(1)		No
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1a(2)		No
--------------	--	-----------

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
--

**Sign
Here**

* * * * *

2022-05-26

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name BRADLEY N SPRONG	Preparer's Signature	Date 2022-05-26	Check if self-employed <input type="checkbox"/>	PTIN P00642561
Firm's name ▶ KPMG LLP				Firm's EIN ▶ 13-5565207
Firm's address ▶ ONE FINANCIAL PLZ STE 2300 PROVIDENCE, RI 029032485				Phone no. (866) 297-4127

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
655.48 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2020-11-20
7862.58 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2020-11-25
.54 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2020-11-25
145.08 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2020-11-25
.0986 VIATRIS INC		2019-05-23	2020-11-25
1624.96 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2020-11-25
100000. BANK OF AMERICA CORP 2.75% 26 NOV 2029		2019-12-16	2020-11-27
150000. US TREASURY N/B 1.625% 30 NOV 2020		2015-12-16	2020-11-30
.02 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2020-12-21
4016.35 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2020-12-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		553	-553
6,628		6,584	44
		1	-1
145		142	3
2		2	
1,625		1,499	126
100,000		99,650	350
150,000		149,438	562
2,146		3,363	-1,217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-553
			44
			-1
			3
			126
			350
			562
			-1,217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
587.27 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2020-12-28
1502.03 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2020-12-28
155. VIATRIS INC		2019-09-27	2021-01-19
338.26 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-01-20
4853.56 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-01-25
1504.5 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-01-25
107.42 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-01-25
1280.55 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-01-25
100000. ALLY BANK 2.5% 01 FEB 2021		2018-01-30	2021-02-01
7.25 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
587		589	-2
1,502		1,386	116
2,686		2,331	355
		285	-285
3,293		4,064	-771
803		1,509	-706
107		105	2
1,281		1,181	100
100,000		99,742	258
		6	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2
			116
			355
			-285
			-771
			-706
			2
			100
			258
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1701.24 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-02-25
2.05 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-02-25
167.67 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-02-25
138.28 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-02-25
2500. WASHINGTON TRUST BANCORP INC		1994-06-22	2021-03-11
303.58 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-03-22
4865.33 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-03-25
.88 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-03-25
172.03 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-03-25
1729.99 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-03-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,425	-1,425
		2	-2
168		164	4
138		128	10
134,499		15,876	118,623
		256	-256
2,411		4,074	-1,663
		1	-1
172		169	3
1,730		1,596	134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,425
			-2
			4
			10
			118,623
			-256
			-1,663
			-1
			3
			134

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
850. KAR AUCTION SERVICES INC		2019-12-19	2021-04-07
200000. NOV INC 2.6% 01/12/2022		2016-12-01	2021-04-09
116.48 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-04-20
1232.86 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-04-26
1191.15 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-04-26
1165.06 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-04-26
200.85 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-04-26
100000. JPMORGAN CHASE & CO 4.625% 10 MAY 2021		2018-04-13	2021-05-10
150000. RHODE ISLA 20 DEC 2017 2.529% 15 MAY 2021		2017-12-07	2021-05-17
6.31 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-05-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,807		16,257	-3,450
207,436		188,909	18,527
1		98	-97
		1,032	-1,032
731		1,193	-462
1,165		1,142	23
201		185	16
100,000		100,000	
150,000		150,000	
		5	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,450
			18,527
			-97
			-1,032
			-462
			23
			16
			-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1227.65 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-05-25
790.75 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-05-25
176.78 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-05-25
186.34 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-05-25
2500. WASHINGTON TRUST BANCORP INC		1994-06-22	2021-06-01
24.66 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-06-21
1258.38 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-06-25
215.2 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-06-25
1002.46 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-06-25
42.92 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,028	-1,028
791		792	-1
177		173	4
186		172	14
137,624		15,876	121,748
		21	-21
		1,054	-1,054
215		211	4
1,002		925	77
		36	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,028
			-1
			4
			14
			121,748
			-21
			-1,054
			4
			77
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1221.7 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-07-26
31109.26 RAST 2005-A13 1A6 5.5% 25 OCT 2035		1980-06-30	2021-07-26
1175.94 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-07-26
197.82 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-07-26
230. ALIBABA GROUP HOLDING-SP ADR		2018-09-06	2021-07-29
2500. WASHINGTON TRUST BANCORP INC		1994-06-22	2021-08-12
3.16 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-08-20
10641.55 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-08-25
178.22 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-08-25
186.01 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,023	-1,023
29,510		31,154	-1,644
1,176		1,152	24
198		182	16
45,227		42,746	2,481
130,624		15,876	114,748
		3	-3
7,847		8,911	-1,064
178		175	3
186		172	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,023
			-1,644
			24
			16
			2,481
			114,748
			-3
			-1,064
			3
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1751.88 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-09-20
1247.47 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-09-27
177.63 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-09-27
1736.24 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-09-27
13.48 SEMT 2003-3 B1 FLT 20 JUL 2033		2013-06-19	2021-10-20
1234.95 CWHL 2004-18 M 6% 25 OCT 2034		2013-12-04	2021-10-25
181.1 JPMMT 2005-A3 11A4 VAR 25 JUN 2035		2014-05-13	2021-10-25
1735.62 WAMU 2004-AR5 B1 VAR 25 JUN 2034		2013-02-21	2021-10-25
5000. WASHINGTON TRUST BANCORP INC		1994-06-22	2021-10-25
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
		1,478	-1,478
		1,045	-1,045
178		174	4
1,736		1,602	134
		11	-11
		1,034	-1,034
181		177	4
1,736		1,601	135
275,249		31,752	243,497
			8,501

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-1,478
			-1,045
			4
			134
			-11
			-1,034
			4
			135
			243,497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

[illegible][illegible][illegible]

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NAEIR ATTN DONALD L REYNOLDS 39 STATE STREET WESTERLY, RI 02891	NONE	PC	UNRESTRICTED GIFT	25,000
HOUSE OF HOPE CDC ATTN BILL STEIN3188 POST ROAD WARWICK, RI 02886	NONE	PC	UNRESTRICTED GIFT	6,500
DUTCH ISLAND LIGHTHOUSE SOCIETY ATTN WHIT HILLPO BOX 435 SAUNDERSTOWN, RI 02874	NONE	PC	UNRESTRICTED GIFT	5,000
Total ▶ 3a				470,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
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Name and address (home or business)				
a <i>Paid during the year</i>				
RI STATE POLICE MUSEUM FOUNDATION ATTN BARBARA LAIRD 72 EAST SHORE DRIVE EXETER, RI 02822	NONE	PC	UNRESTRICTED GIFT	25,000
BOYS & GIRLS CLUBS OF PROVIDENCE ATTN EMILY FULGINITI 550 WICKENDEN ST PROVIDENCE, RI 02903	NONE	PC	UNRESTRICTED GIFT	15,000
SOUTH COUNTY HEALTH ATTN LAUREN GOLDENBERG 100 KENYON AVE WAKEFIELD, RI 02879	NONE	PC	UNRESTRICTED GIFT	25,000
Total ▶ 3a				470,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RI MEALS ON WHEELS ATTN KAREN WEAVILL70 BATH ST PROVIDENCE, RI 02908	NONE	PC	UNRESTRICTED GIFT	5,000
Jonnycake Center of Westerly PO BOX 273 Westerly, RI 02891	NONE	PC	UNRESTRICTED GIFT	5,000
Sojourner House386 SMITH STREET Providence, RI 09208	NONE	PC	UNRESTRICTED GIFT	10,000
Total ▶ 3a				470,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Jonnycake Center of Peace Dale 1231 KINGSTOWN RD Peace Dale, RI 02879	NONE	PC	UNRESTRICTED GIFT	30,000
WOOD RIVER HEALTH SERVICES INC ATTN DAVID HENLEY823 MAIN STREET HOPE VALLEY, RI 02832	NONE	PC	UNRESTRICTED GIFT	25,000
WOOD RIVER PRESCHOOL 1059 MAIN STREET HOPE VALLEY, RI 02832	NONE	PC	UNRESTRICTED GIFT	30,000
Total ▶ 3a				470,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTHERN RHODE ISLAND VOLUNTEERS ATTN DEBRA TANNERPO BOX 1047 CHARLESTOWN, RI 02813	NONE	PC	UNRESTRICTED GIFT	15,000
OPERATION STAND DOWN-RHODE ISLAND ATTN SHERRY ELDERKIN 1010 HARTFORD AVENUE JOHNSTON, RI 02919	NONE	PC	UNRESTRICTED GIFT	30,000
KINGSTON CHAMBER MUSIC FESTIVAL ATTN ELIZABETH S MARCOTTE PO BOX 1733 KINGSTON, RI 02881	NONE	PC	UNRESTRICTED GIFT	5,000
Total ▶ 3a				470,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOPKINTON POLICE DEPARTMENT ATTN CAPTAIN MARK CARRIER 406 WOODVILLE RD HOPKINTON, RI 02833	NONE	PC	UNRESTRICTED GIFT	10,000
THE WESTERLY SENIOR CITIZENS CENTER ATTN DONALD L REYNOLDS 39 STATE STREET WESTERLY, RI 02891	NONE	PC	UNRESTRICTED GIFT	45,000
WESTERLY LAND TRUST ATTN JENNIFER FUSCOPO BOX 601 WESTERLY, RI 02891	NONE	PC	UNRESTRICTED GIFT	15,000
Total			▶ 3a	470,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WARM SHELTER INC56 SPRUCE STREET Westerly, RI 02891	NONE	PC	UNRESTRICTED GIFT	10,000
C Thomas Clagett Jr Memorial Clinic & Regatta Attn Judy Clagett McLennan 21 INDIAN AVENUE Portsmouth, RI 02871	NONE	PC	UNRESTRICTED GIFT	5,000
THE NATURE CONSERVANCY ATTN CATHERINE CAMPBELL 159 WATERMAN STREET PROVIDENCE, RI 02906	NONE	PC	UNRESTRICTED GIFT	15,000
Total ▶ 3a				470,000

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE COMPASS SCHOOL ATTN BRANDEE LAPISKY 537 OLD NORTH RD KINGSTON, RI 02881	NONE	PC	UNRESTRICTED GIFT	25,000
Pawtucket Slaterettes Attn Bethanie Rado 18 MEADOWCREST DRIVE Riverside, RI 02915	NONE	PC	UNRESTRICTED GIFT	15,000
RI CENTER ASSISTING THOSE IN NEED ATTN JESSICA DEMARTINOPO BOX 73 CAROLINA, RI 02813	NONE	PC	UNRESTRICTED GIFT	3,000
Total ▶ 3a				470,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LANGWORTHY PUBLIC LIBRARY ATTN NOMI HAGUE 24 SPRING STREET PO BOX 478 HOPE VALLEY, RI 02832	NONE	PC	UNRESTRICTED GIFT	10,500
HOPKINTON HISTORICAL ASSOCIATION ATTN LORI ARRUDA 2 TOWN HOUSE ROAD PO BOX 37 HOPKINTON, RI 02833	NONE	PC	UNRESTRICTED GIFT	15,000
JOY FELLOWSHIPBUILDING ON THE LANE ATTN MARK BENSON17 BOWLING LANE BRADFORD, RI 03908	NONE	PC	UNRESTRICTED GIFT	35,000
Total ▶ 3a				470,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ECORI NEWS ATTN JOANNA DETZ 10 DAVOL SQUARE STE 100 PROVIDENCE, RI 02903	NONE	PC	UNRESTRICTED GIFT	5,000
RHODE ISLAND WEAVING CENTER ATTN LYNNE Z HALE 344 MAIN STREET SUITE 200 WAKEFIELD, RI 02879	NONE	PC	UNRESTRICTED GIFT	5,000
Total ▶ 3a				470,000

TY 2020 Investments - Other Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT	AT COST	8,336,560	11,949,864

TY 2020 Legal Fees Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	7,764			7,764

TY 2020 Other Decreases Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130

Description	Amount
MUTUAL FUND TIMING DIFFERENCE	181
LIQUIDATION OF PARTNERSHIP - BOOK ENTRY	955

TY 2020 Other Expenses Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	50	0		50
OTHER NON-ALLOCABLE EXPENSE -	1,267	0		1,267

TY 2020 Other Income Schedule

Name: HORACE A & S ELLA KIMBALL FOUNDATION THOMAS

EIN: 05-6006130

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME	1,834	0	

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -	DLN: 93491194001212
TY 2020 Other Increases Schedule			
Name: HORACE A & S ELLA KIMBALL FOUNDATION THOMAS			
EIN: 05-6006130			
Other Increases Schedule			
Description			Amount
SALES TIMING DIFFERENCE			1,034

TY 2020 Other Professional Fees Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED	75,552	75,552		

TY 2020 Taxes Schedule**Name:** HORACE A & S ELLA KIMBALL FOUNDATION THOMAS**EIN:** 05-6006130**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	1,700	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,393	0		0
FOREIGN TAXES ON QUALIFIED FOR	317	317		0
FOREIGN TAXES ON NONQUALIFIED	236	236		0