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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 10-01-2020, and ending 09-30-2021

Name of foundation WARSH MOTT LEGACY		A Employer identification number 68-0049658	
Number and street (or P.O. box number if mail is not delivered to street address) 245 KENTUCKY STREET NO E		B Telephone number (see instructions) (707) 874-2942	
City or town, state or province, country, and ZIP or foreign postal code PETALUMA, CA 949522876		C If exemption application is pending, check here	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 44,934,750		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)			

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	1,487	1,487		
	4 Dividends and interest from securities	710,461	710,678		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	140,467			
	b Gross sales price for all assets on line 6a	3,074,725			
	7 Capital gain net income (from Part IV, line 2)		140,467		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	852,415	852,632		
	13 Compensation of officers, directors, trustees, etc.	102,762	3,390		93,794
	14 Other employee salaries and wages	148,896	0		139,394
	15 Pension plans, employee benefits	47,794	523		47,339
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	27,500	9,000		18,500
	c Other professional fees (attach schedule)	23,042	12,015		11,027
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	24,209	7,561		16,676
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	11,876	0		11,871
	21 Travel, conferences, and meetings	1,514	0		1,514
	22 Printing and publications	700	0		679
23 Other expenses (attach schedule)	101,259	52,652		46,611	
24 Total operating and administrative expenses. Add lines 13 through 23	489,552	85,141		387,405	
25 Contributions, gifts, grants paid	1,383,040			1,046,620	
26 Total expenses and disbursements. Add lines 24 and 25	1,872,592	85,141		1,434,025	
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,020,177			
	b Net investment income (if negative, enter -0-)		767,491		
	c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash—non-interest-bearing	27,323	26,725	26,725	
	2 Savings and temporary cash investments	1,070,644	648,248	648,248	
	3 Accounts receivable ▶ <u>11,866</u>				
	Less: allowance for doubtful accounts ▶ _____	12,601	11,866	11,866	
	4 Pledges receivable ▶ _____				
	Less: allowance for doubtful accounts ▶ _____				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ▶ _____				
	Less: allowance for doubtful accounts ▶ _____				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments—U.S. and state government obligations (attach schedule)				
	b Investments—corporate stock (attach schedule)	25,348,776	32,949,655	32,949,655	
	c Investments—corporate bonds (attach schedule)	3,700,650	3,747,452	3,747,452	
	Liabilities	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____					
12 Investments—mortgage loans					
13 Investments—other (attach schedule)		6,008,698	7,541,796	7,550,804	
14 Land, buildings, and equipment: basis ▶ _____					
Less: accumulated depreciation (attach schedule) ▶ _____					
15 Other assets (describe ▶ _____)					
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		36,168,692	44,925,742	44,934,750	
Net Assets or Fund Balances		17 Accounts payable and accrued expenses		4,000	
		18 Grants payable	190,000	526,420	
		19 Deferred revenue			
		20 Loans from officers, directors, trustees, and other disqualified persons			
		21 Mortgages and other notes payable (attach schedule)			
		22 Other liabilities (describe ▶ _____)	0	128,656	
		23 Total liabilities (add lines 17 through 22)	190,000	659,076	
		Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐		
and complete lines 24, 25, 29 and 30.					
24 Net assets without donor restrictions					
25 Net assets with donor restrictions					
Foundations that do not follow FASB ASC 958, check here ▶ ☒					
and complete lines 26 through 30.					
26 Capital stock, trust principal, or current funds	2,567,576		2,567,576		
27 Paid-in or capital surplus, or land, bldg., and equipment fund	0		0		
Net Assets or Fund Balances	28 Retained earnings, accumulated income, endowment, or other funds	33,411,116	41,699,090		
	29 Total net assets or fund balances (see instructions)	35,978,692	44,266,666		
	30 Total liabilities and net assets/fund balances (see instructions) .	36,168,692	44,925,742		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	35,978,692
2 Enter amount from Part I, line 27a	2	-1,020,177
3 Other increases not included in line 2 (itemize) ▶ _____	3	9,308,151
4 Add lines 1, 2, and 3	4	44,266,666
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	44,266,666

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$	2	140,467
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved	2		
3 Reserved.	3		
4 Reserved	4		
5 Reserved	5		
6 Reserved	6		
7 Reserved	7		
8 Reserved ,	8		

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	10,668
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	10,668
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	10,668
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	32,768
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	32,768
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	22,100
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 22,100 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1a		No
c	Did the foundation file Form 1120-POL for this year?	1b		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0	1c		No
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address WWW.CSFUND.ORG	13	Yes	
14	The books are in care of BAILEY MALONE Telephone no. (707) 874-2942			

Located at **245 KENTUCKY STREET SUITE E PETALUMA CA** ZIP+4 **949522876**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☒ Yes ☐ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	Yes
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☒ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	35,312,104
b	Average of monthly cash balances.	1b	1,341,888
c	Fair market value of all other assets (see instructions).	1c	6,045,866
d	Total (add lines 1a, b, and c).	1d	42,699,858
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	42,699,858
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	640,498
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	42,059,360
6	Minimum investment return. Enter 5% of line 5.	6	2,102,968

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	2,102,968
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	10,668
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	10,668
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	2,092,300
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	2,092,300
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	2,092,300

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,434,025
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,434,025
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,434,025

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				2,092,300
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017. 1,204,039				
d From 2018.				
e From 2019. 89,976				
f Total of lines 3a through e.	1,294,015			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 1,434,025				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				1,434,025
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020.	658,275			658,275
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	635,740			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	635,740			
10 Analysis of line 9:				
a Excess from 2016.				
b Excess from 2017. 545,764				
c Excess from 2018.				
d Excess from 2019. 89,976				
e Excess from 2020.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

Part XV

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

MARYANNE MOTT

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BAILEY MALONE
245 KENTUCKY STREET SUITE E
PETALUMA, CA 949522876
(707) 874-2942

b The form in which applications should be submitted and information and materials they should include:

SEE STATEMENT 15

c Any submission deadlines:

SEE STATEMENT 15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE STATEMENT 15

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				1,046,620
b <i>Approved for future payment</i> See Additional Data Table				
Total ▶ 3b				526,420

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions.)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	1,487	
4 Dividends and interest from securities.	211110	0	14	710,678	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	140,467	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		852,632	0
13 Total. Add line 12, columns (b), (d), and (e).			13		852,632

(See worksheet in line 13 instructions to verify calculations.)

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
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1a(1)	No
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1a(2)		No
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1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

2022-05-31

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's Signature

Date _____

Check if self-employed ► ☐

PTIN

P01331602

Firm's name ► MFO EFILING SERVICES CO

Firm's EIN ► 20-1597091

Firm's address ► 111 E COURT STREET SUITE 3D

Phone no. (810) 767-0136

FLINT, MI 485021649

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MFO D&D SMID CAP VALUE FUND (JOINT VENTURE)	P	2020-12-28	2020-12-31
MFO D&D SMID CAP VALUE FUND (JOINT VENTURE)	P	2019-12-30	2020-12-31
MFO TOWLE FUND (JOINT VENTURE)	P	2020-12-28	2020-12-31
MFO TOWLE FUND (JOINT VENTURE)	P	2019-12-30	2020-12-31
MFO WESTFIELD FUND A (JOINT VENTURE)	P	2020-12-28	2020-12-31
MFO WESTFIELD FUND A (JOINT VENTURE)	P	2019-12-30	2020-12-31
TGAP VENTURE CAPITAL FUND	P	2019-12-30	2020-12-31
18742.135 TEMPLETON GLBL BOND FD ADV CL TGBAX	P	2014-04-10	2020-10-21
13043.478 TEMPLETON GLBL BOND FD ADV CL TGBAX	P	2019-04-12	2020-10-21
151.802 TEMPLETON GLBL BOND FD ADV CL TGBAX	P	2014-04-15	2020-10-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
37,101		91,318	-54,217
150,214		147,452	2,762
250,979		341,302	-90,323
448,619		503,692	-55,073
64,196		94,988	-30,792
336,291		268,257	68,034
63			63
182,548		233,235	-50,687
127,043		144,947	-17,904
1,479		1,448	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-54,217
			2,762
			-90,323
			-55,073
			-30,792
			68,034
			63
			-50,687
			-17,904
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
560 ASBURY AUTOMOTIVE GROUP INC ABG	P	2020-05-21	2020-11-09
1 VIATRIS VTRS	P	2020-02-04	2020-11-20
1080 MONDELEZ INTERNATIONAL INC MDLZ	P	2013-09-24	2021-03-08
650 CARRIER GLOBAL CORP CARR	P	2008-10-17	2021-03-08
325 OTIS WORLDWIDE CORP OTIS	P	2008-10-17	2021-03-08
390 VIATRIS VTRS	P	2020-02-04	2021-03-08
685 SOUTHWEST AIRLS CO LUV	P	2020-05-21	2021-05-24
685 SOUTHWEST AIRLS CO LUV	P	2020-05-21	2021-05-25
1755 SIX FLAGS ENTERTAINMENT CORP SIX	P	2020-05-21	2021-09-08
1300 APPLE INC AAPL	P	2018-12-26	2021-09-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69,129		40,915	28,214
11		14	-3
59,932		34,155	25,777
24,165		6,360	17,805
21,269		8,896	12,373
5,401		6,217	-816
41,498		20,280	21,218
41,834		20,280	21,554
70,154		40,566	29,588
189,121		48,582	140,539

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			28,214
			-3
			25,777
			17,805
			12,373
			-816
			21,218
			21,554
			29,588
			140,539

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
950 TJX COS INC NEW TJX	P	2014-09-04	2021-09-27
16650.616 VANGUARD GLOBAL EX-US REAL ESTATE INDEX FD VGRLX	P	2018-01-25	2021-01-05
2687.955 VANGUARD GLOBAL EX-US REAL ESTATE INDEX FD VGRLX	P	2018-04-30	2021-01-05
2711.335 VANGUARD GLOBAL EX-US REAL ESTATE INDEX FD VGRLX	P	2018-05-18	2021-01-05
349999 TGAP VENTURE CAPITAL FUND L.P.	P	2011-12-31	2020-12-21
171 UNITY SOFTWARE INC	P	2018-06-13	2021-09-09
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
67,763		29,079	38,684
548,263		650,000	-101,737
88,508		100,000	-11,492
89,277		100,000	-10,723
666		209	457
23,105		2,066	21,039
136,096			136,096

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (l) over col. (j), if any	
			38,684
			-101,737
			-11,492
			-10,723
			457
			21,039
			136,096

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
ALLOCATION FROM RELATED EXEMPT	ENTITY, CS FUND 0.00	0	0	0
SEE FED STATEMENT 16 FOR EXPLANATION, CA 949522876				
MARYANNE MOTT	TRUSTEE 4.00	0	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
MICHAEL WARSH	TRUSTEE 2.00	1,743	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
MARISE MEYNET STEWART	PRESIDENT/TRUSTEE 3.00	5,063	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
BAILEY MALONE	EXECUTIVE DIRECTOR 20.00	87,407	2,185	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
CORINNE MEADOWS-EFRAM	VP/TRUSTEE 3.00	5,063	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
TERESA ROBINSON	SECRETARY/TRUSTEE 2.00	1,743	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				
KAU'I KELIPIO	TREASURER/TRUSTEE 2.00	1,743	0	0
245 KENTUCKY STREET SUITE E PETALUMA, CA 949522876				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CERES TRUST 150 SOUTH WACKER DR STE 2400 CHICAGO, IL 60606	NONE	PF	POLLINATOR PROTECTION NETWORK (PPN): STRATEGIC PLANNING PROCESS	20,000
CLIMATE JUSTICE ALLIANCE PO BOX 10202 BERKELEY, CA 94709	NONE	PC	BUILDING RESISTANCE TO GEOENGINEERING IN NORTH AMERICA/TURTLE ISLAND	35,000
FRIENDS OF ACTION GROUP ON EROSION TECHNOLOGY AND CONCENTRATION 441 AVON ST OAKLAND, CA 94618	NONE	PC	GENERAL SUPPORT	150,000
Total ▶ 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDS OF THE ACTION GROUP ON EROSION TECHNOLOGY AND CONCENTRATION 441 AVON ST OAKLAND, CA 94618	NONE	PC	COORDINATION FOR NORTH AMERICA DIGITAL AGRIFOOD WORKING GROUP (NA-DAWG)	10,200
FRIENDS OF THE ACTION GROUP ON EROSION TECHNOLOGY AND CONCENTRATION 441 AVON ST OAKLAND, CA 94618	NONE	PC	CENTRO DE ESTUDIOS PARA EL CAMBIO EN EL CAMPO MEXICANO CECCAM, IN DEFENSE OF PEASANT SEEDS AND TERRITORIES	30,600
FRIENDS OF THE ACTION GROUP ON EROSION TECHNOLOGY AND CONCENTRATION 441 AVON ST OAKLAND, CA 94618	NONE	PC	COLECTIVO POR LA AUTONOMIA COA , THE TERRITORIAL DEFENSE OF MAIZE	41,820
Total ▶ 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLOBAL JUSTICE ECOLOGY PROJECT 266 ELMWOOD AVE STE 307 BUFFALO, NY 14222	NONE	PC	BIOFUELWATCH US: GEOENGINEERING, BIOSEQUESTRATION, & SYN BIO CRITIQUES	40,000
GOVERNMENT ACCOUNTABILITY PROJECT 1612 K ST NW WASHINGTON, DC 20006	NONE	PC	MATCHING GRANT/GENERAL SUPPORT	75,000
INDIGENOUS ENVIRONMENTAL NETWORK PO BOX 485 BEMIDJI, NM 55619	NONE	PC	GEOENGINEERING OUTREACH COORDINATOR	60,000
Total ▶ 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INQUIRING SYSTEMS 101 BROOKWOOD AVE STE 204 SANTA ROSA, CA 95404	NONE	PC	SAFFON - GROWING THE AFROECOLOGY INITIATIVE	42,800
INSTITUTE FOR AGRICULTURE & TRADE POLICY 2105 FIRST AVE SOUTH MINNEAPOLIS, MN 554042505	NONE	PC	EMERGING TECHNOLOGIES IN FOOD AND AGRICULTURE	30,000
MARIN COMMUNITY FOUNDATION 5 HAMILTON LANDING STE 200 NOVATO, CA 94949	NONE	DONOR ADVISED FUND	GENERAL SUPPORT	100,000
Total ► 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL LAWYERS GUILD FOUNDATION INC PO BOX 1266 NEW YORK, NY 10009	NONE	PC	NATIONAL LAWYERS GUILD, MASS DEFENSE PROJECT	35,000
NATIONAL SECURITY ARCHIVE FUND INC 2130 H ST NW GELMAN LIBRARY SUITE 701 WASHINGTON, DC 20037	NONE	PC	OPEN GOVERNMENT AND ACCOUNTABILITY PROJECT AKA FREEDOM OF INFORMATION PROJECT	45,000
NATIVE AMERICAN FOOD SOVEREIGNTY ALLIANCE PO BOX 68 SCANDIA, MN 55073	NONE	PC	NATIVE AMERICAN FOOD SOVEREIGNTY ALLIANCE, BUILDING A REGIONAL SEED HUB	35,000
Total ▶ 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PESTICIDE ACTION NORTH AMERICA REGIONAL CENTER PANNA 2029 UNIVERSITY AVE STE 200 BERKELEY, CA 94709	NONE	PC	RESIST CORPORATE CAPTURE OF FOOD SYSTEMS IN THE UN	50,000
PROTEUS FUND INC 15 RESEARCH DR STE B AMHERST, MA 01002	NONE	PC	RISE TOGETHER FUND, GENERAL SUPPORT	30,000
PROTEUS FUND INC 15 RESEARCH DR STE B AMHERST, MA 01002	NONE	PC	PIPER FUND, RIGHT TO PROTEST FUND	45,000
Total ▶ 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SOUTH AFRICA DEVELOPMENT FUND PO BOX 300758 JAMAICA PLAIN, MA 02130	NONE	PC	SOUTHERN AFRICAN RURAL WOMEN'S ASSEMBLY RWA, WE ARE THE SEEDS	41,200
THE XERCES SOCIETY 628 NE BROADWAY STE 200 PORTLAND, OR 97232	NONE	PC	POLLINATOR CONSERVATION IN AGRICULTURE PROGRAM	50,000
WHYHUNGER INC 505 EIGHTH AVE SUITE 2100 NEW YORK, NY 10018	NONE	PC	ADVANCING FOOD SOVEREIGNTY AND AGROECOLOGY IN THE US	40,000
Total ► 3a				1,046,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WILLIAM J BRENNAN JR CENTER FOR JUSTICE INC 120 BROADWAY SUITE 1750 NEW YORK, NY 10271	NONE	PC	LIBERTY & NATIONAL SECURITY PROGRAM	40,000
Total ▶ 3a				1,046,620

TY 2020 Accounting Fees Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MFO MANAGEMENT FEES - ACCOUNTING	12,000	9,000		3,000
OUTSIDE AUDITOR	15,500	0		15,500

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Expenditure Responsibility Statement

Name: WARSH MOTT LEGACY

EIN: 68-0049658

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
CERES TRUST	150 SOUTH WACKER DR STE 2400 CHICAGO, IL 60606	2020-11-27	20,000	POLLINATOR PROTECTION NETWORK STRATEGIC PLANNING PROCESS	20,000	N/A	12/30/21		

TY 2020 General Explanation Attachment

Name: WARSH MOTT LEGACY
 EIN: 68-0049658

General Explanation Attachment

Identifier	Return Reference	Explanation	
1	GRANT APPLICATION SUBMISSION INFORMATION	PART XV, LINES 2A THROUGH 2D	NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED:----- -----BAILEY MALONE245 KENTUCKY STREET, SUITE EPETALUMA, CA 94952-2876 TELEPHONE NUMBER707-874-2942FORM AND CONTENT OF APPLICATIONS-----REQUESTS FOR SUPPORT SHOULD BE MADE BY LETTER OF INQUIRY (LOI). LOIS SHOULD BE ADDRESSED TO INQUIRIES@CSFUND.ORG OR 245 KENTUCKY STREET, SUITE E, PETALUMA, CA 94952-2876. THEY WILL BE ACKNOWLEDGED AS SOON AS POSSIBLE. LOIS SHOULD BE NO MORE THAN THREE PAGES IN LENGTH AND CONTAIN THE FOLLOWING INFORMATION:A CONTACT PERSON'S NAME, TITLE, MAILING ADDRESS, TELEPHONE, AND E-MAIL ADDRESSBASIC INFORMATION ABOUT THE ORGANIZATION, INCLUDING A BRIEF MISSION STATEMENT AND AN OVERVIEW OF CURRENT ACTIVITIESA DESCRIPTION OF THE ORGANIZATION'S APPROACH TO THE SPECIFIC PROBLEM BEING ADDRESSED AND PLANNED ACTIVITIES FOR THE FOLLOWING YEARA LINE ITEM BUDGET OF PROJECTED EXPENSES FOR THE ORGANIZATION AND PROJECT, IF APPLICABLEA LIST OF SECURED AND POTENTIAL FUNDING SOURCES (GRANTS RECEIVED, PROPOSALS PENDING, PLANNED SUBMISSIONS, EARNED INCOME, ETC.)PLEASE DO NOT INCLUDE BROCHURES, REPORTS, NEWS CLIPPINGS, CDS, DVDS, OR OTHER MATERIALS WITH LOIS. PLASTIC FOLDERS, BINDERS OR OTHER PRESENTATION MATERIALS ARE NOT NECESSARY. DUE TO THE HIGH NUMBER OF REQUESTS RECEIVED, WE ARE NOT ABLE TO TRANSLATE AND REVIEW LOIS SUBMITTED IN LANGUAGES OTHER THAN ENGLISH AND SPANISH. KINDLY REFRAIN FROM SENDING A FULL PROPOSAL UNLESS INVITED TO DO SO. IF YOUR ORGANIZATION OR PROJECT IS FOUND TO FALL WITHIN THE FOUNDATIONS' FUNDING PRIORITIES, A FULL PROPOSAL WILL BE INVITED. THE FOUNDATION PROVIDES GENERAL SUPPORT AND PROJECT SPECIFIC GRANTS. APPLICANT ORGANIZATIONS MUST BE CLASSIFIED AS A 501(C)(3) BY THE US INTERNAL REVENUE SERVICE. FOREIGN APPLICANTS SHOULD NOTE THAT THE FOUNDATION MAKES A VERY LIMITED NUMBER OF DIRECT GRANTS ABROAD (I.E., WITHOUT FISCAL SPONSORSHIP BY A US-BASED ORGANIZATION). THE FOUNDATION DOES NOT PROVIDE SUPPORT TO INDIVIDUALS, ENDOWMENTS, BOOKS, FILMS, OR DIRECT LOBBYING ACTIVITIES.ANY SUBMISSION DEADLINES-----THERE ARE NO DEADLINES FOR LETTERS OF INQUIRY - THEY ARE ACCEPTED THROUGHOUT THE YEAR. PROPOSALS MUST BE RECEIVED BY THE FIRST MONDAY IN JANUARY FOR CONSIDERATION DURING THE SPRING GRANTMAKING CYCLE OR THE FIRST MONDAY IN AUGUST FOR CONSIDERATION DURING THE FALL GRANTMAKING CYCLE. FUNDING DECISIONS ARE MADE DURING BOARD MEETINGS GENERALLY HELD IN APRIL AND DECEMBER, RESPECTIVELY. RESTRICTIONS AND LIMITATIONS ON AWARDS-- -----WARSH MOTT LEGACY IS CURRENTLY GRANTING IN THREE CATEGORIES, EACH ONE WITH A SPECIFIC EMPHASIS:FOOD SOVEREIGNTY RIGHTS AND GOVERNANCEEMERGING TECHNOLOGIESBOARD INITIATED GRANTS: OCCASIONALLY THE FOUNDATION MAY INITIA TE SUPPORT FOR PROJECTS THAT FALL OUTSIDE OF THE ESTABLISHED GUIDELINES.THE FOUNDATION IS CURRENTLY FUNDING IN THE FOLLOWING CATEGORIES:FOOD SOVEREIGNTY -----GRANTMAKING IN THIS AREA IS FOCUSED ON PRESERVING NATIVE AND HEIRLOOM SEEDS, BUILDING HEALTHY AND FERTILE SOILS, AND PROTECTING AND RESTORING THE POPULATIONS AND DIVERSITY OF NATIVE POLLINATORS. THIS PROGRAM MAKES MOST OF ITS GRANTS TO ORGANIZATIONS IN THE GLOBAL SOUTH PROMOTING TRADITIONAL AGRICULTURAL KNOWLEDGE AND AGROECOLOGICAL PRACTICES.RIGHTS AND GOVERNANCE-----GRANTMAKING IN THIS AREA IS FOCUSED ON PROTECTING THE RIGHT TO DISSENT; MAKING THE FEDERAL GOVERNMENT MORE OPEN, EFFECTIVE, AND ACCOUNTABLE; ENSURING THAT US NATIONAL SECURITY POLICIES RESPECT THE RULE OF LAW; BUILDING A PROGRESSIVE MOVEMENT TO COUNTER CONSERVATIVE AND CORPORATE INFLUENCE IN THE COURTS; AND MAKING THE RULES OF INTERNATIONAL TRADE MORE DEMOCRATIC, JUST, AND SUSTAINABLE EMERGING TECHNOLOGIES-----GRANTMAKING IN THIS AREA IS FOCUSED ON PROMOTING PRECAUTIONARY ASSESSMENT, REGULATION AND OVERSIGHT OF NANOTECHNOLOGY, SYNTHETIC BIOLOGY, AND GEOENGINEERING.

General Explanation Attachment

Identifier	Return Reference	Explanation	
2	STATEMENT REGARDING REIMBURSEMENT OF OFFICER/TRUSTEE COMPENSATION	FORM 990PF, PAGE 1, PART I, LINE 13, COLUMN A	THIS FOUNDATION SHARES FACILITIES AND PERSONNEL WITH A NOTHER PRIVATE FOUNDATION TO WHICH THIS FOUNDATION MAKES REIMBURSEMENT FOR ITS SHARE OF EXPENSES INCLUDING COMPENSATION. ALL COMPENSATION OF PERSONNEL IS REPORTED UNDER THE NAME AND FEDERAL IDENTIFICATION NUMBER OF THE OTHER FOUNDATION (FE#95-3607882). ACCORDINGLY , OFFICER/TRUSTEE COMPENSATION HAS BEEN REPORTED IN FULL ON PART VIII OF THAT FOUNDATION'S FORM 990PF. IN ADDITION, THE REIMBURSEMENT IS ALSO BEING REPORTED ON THIS FORM 990PF, PART VIII:TOTAL REIMBURSEMENT REPORTED ON PART VIII: 102,762 SIMILARLY , COMPENSATION OF ALL OTHER PERSONNEL IS REPORTED ON PART I TO THE EXTENT OF THE REIMBURSEMENT AS FOLLOWS:TOTAL REIMBURSEMENT REPORTED ON PART I, LINE 14, COLUMN A: 148,896

TY 2020 Investments Corporate Bonds Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
27850.00 SHS ISHARES ESG 1-5 YR USD CORP BD	1,120,777	1,120,777
97642.144 SHS VANGUARD SHORT-TERM INVESTMENT	1,067,229	1,067,229
92618.311 SHS VANGUARD ADMIRAL HIGH YIELD CORPORATE FD	553,857	553,857
84079.364 SHS BLACKROCK TOTAL RETURN FUND	1,005,589	1,005,589

TY 2020 Investments Corporate Stock Schedule

Name: WARSH MOTT LEGACY
EIN: 68-0049658

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1000.00 SHS PAYPAL HLDGS INC	260,210	260,210
500.00 SHS CME GROUP INC	96,690	96,690
271490.330 SHS VANGUARD DEV MKT INDEX	4,400,858	4,400,858
2692.00 SHS APPLE INC	380,918	380,918
3150.00 SHS PFIZER INC	135,482	135,482
1425.00 SHS NIKE INC	206,953	206,953
1900.00 SHS COCA-COLA COMPANY	99,693	99,693
228074.9129343 SHS MFO - TOWLE FUND	2,291,640	2,291,640
61733.078 SHS VANGUARD EMERGING MKTS STOCK INDEX	2,755,931	2,755,931
20715.00 NEXTERA ENERGY PARTNERS LP	1,561,082	1,561,082
1113486.8753887 SHS MFO - D&D SMID CAP VALUE FUND	2,213,795	2,213,795
650.00 SHS MICROSOFT CORPORATION	183,248	183,248
888 SHS LOWE'S COMPANIES INC	180,140	180,140
1300.00 SHS VERIZON COMMUNICATIONS INC	70,213	70,213
950.00 SHS ABBVIE INC	102,477	102,477
800.00 SHS CROWN CASTLE REIT INC	138,656	138,656
17205.423 SHS VANGUARD REIT INDEX	2,482,915	2,482,915
750.00 SHS JP MORGAN CHASE & CO	122,768	122,768
700.00 SHS VISA INC	155,925	155,925
700.00 SHS CVS HEALTH CORPORATION	59,402	59,402
1250.00 SHS DISNEY (WALT) THE	211,463	211,463
505.00 SHS EXPEDIA INC	82,770	82,770
650 SHS META PLATFORMS INC	220,604	220,604
65.00 SHS ALPHABET INC	173,245	173,245
1900.00 SHS FIRST AMERICAN FINANCIAL CORPORATION	127,395	127,395
700.00 SHS PEPSICO, INC	105,287	105,287
950.00 SHS TJX COS INC	62,681	62,681
525.00 SHS AIR PRODUCTS&CHEM	134,458	134,458
IMPACTIVE CAPITAL FUND LTD	784,915	784,915
7500.00 SHS AT&T INC	202,575	202,575

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
3650.00 SHS KKR & CO INC	222,212	222,212
700.00 SHS STRYKER CORP	184,604	184,604
2000.00 SHS CISCO SYSTEMS INC	108,860	108,860
1950.00 SHS QUALCOMM INCORPORATED	251,511	251,511
650.00 SHS NORFOLK SOUTHERN CORP	155,513	155,513
4864.00 SHS BRISTOL-MYERS SQUIBB CO	287,803	287,803
25139.113 SHS. VANGUARD SMALL CAP INDEX	2,635,585	2,635,585
850.00 SHS ABBOTT LABORATORIES	100,411	100,411
12425.513 SHS MFO - WESTFIELD FUND A	2,339,932	2,339,932
124093.331 SHS VANGUARD FTSE SOCIAL INDEX FUND	5,216,884	5,216,884
527.00 SHS BLACKROCK INC	441,974	441,974
1700.00 SHS BLACKSTONE GROUP INC	197,778	197,778
850.00 SHS JOHNSON & JOHNSON	137,275	137,275
1350.00 SHS CBOE GLOBAL MARKETS INC	167,211	167,211
900.00 SHS STARBUCKS CORP	99,279	99,279
1400.00 SHS SPDR S&P BIOTECH	175,994	175,994
850.00 SHS WYNDHAM HOTELS AND RESORTS	65,612	65,612
1050.00 SHS WASTE MANAGEMENT INC	156,828	156,828

TY 2020 Investments - Other Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
26400.00 SHS PERSHING SQUARE HOLDINGS LTD	FMV	953,040	953,040
105049.228 SHS OTTER CREEK LONG/SHORT OPPORTUNITY FUND	FMV	1,490,649	1,490,649
ARBORETUM VENTURES III	FMV	68,281	68,281
CROSSLINK VENTURES V LP	FMV	161,384	161,384
DFJ GROWTH IV LP	FMV	96,114	96,114
DFJ GROWTH III LP	FMV	256,656	256,656
IMPACT VENTURES IV LP	FMV	118,085	118,085
DRAPER FISHER JURVETSON GROWTH FUND LP	FMV	613,063	613,063
86210.045 INVENOMIC FUND INST CLASS	FMV	1,520,745	1,520,745
TGAP VENTURE CAPITAL FUND II LP	FMV	115,564	115,564
47327.288 SHS ARCHIPELAGO HLDGS LTD-OFFSHORE	FMV	1,902,316	1,902,316
170.00 SHS UNITY SOFTWARE INC	FMV	21,463	21,463
CROSSLINK VI VENTURE FUND	FMV	61,161	70,169
TRP CAPITAL PARTNERS, LP	FMV	163,275	163,275

TY 2020 Other Expenses Schedule

Name: WARSH MOTT LEGACY

EIN: 68-0049658

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - ARBORETUM VENTURES III, L.P.	1,913	1,913		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - CROSSLINK VENTURES V, L.P.	1,315	1,315		0
INVESTMENT INTEREST FROM SCH. K-1 - CROSSLINK VENTURES VI, L.P.	2	2		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - CROSSLINK VENTURES VI, L.P.	281	281		0
INVESTMENT INTEREST FROM SCH. K-1 - DFJ GROWTH III, L.P.	86	86		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - DFJ GROWTH III, L.P.	3,590	3,590		0
INVESTMENT INTEREST FROM SCH. K-1 - DFJ GROWTH IV, L.P.	104	104		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - DFJ GROWTH IV, L.P.	3,487	3,487		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - DRAPER FISHER JURVET	720	720		0
PORTFOLIO DEDUCTIONS FROM JOINT VENTURE - MFO D&D SMID CAP VALUE FUND	8,790	8,790		0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PORTFOLIO DEDUCTIONS FROM JOINT VENTURE - MFO TOWLE FUND	13,353	13,353		0
PORTFOLIO DEDUCTIONS FROM JOINT VENTURE - MFO WESTFIELD FUND A	10,510	10,510		0
INVESTMENT INTEREST FROM SCH. K-1 - IMPACT VENTURES IV LP	196	196		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - IMPACT VENTURES IV LP	2,610	2,610		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - TGAP VENTURE CAPITAL FUND	118	118		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - TGAP VENTURE CAPITAL FUND II	4,054	4,054		0
PORTFOLIO DEDUCTIONS FROM SCH. K-1 - TRP CAPITAL PARTNERS, LP	1,523	1,523		0
BANK FEES	226	0		226
CONTRACT GRANTS ANALYSTS	27,323	0		27,323
OFFICE SUPPLIES	4,384	0		3,196

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POSTAGE	190	0		168
STATE FILING FEE	180	0		180
TELEPHONE & INTERNET	4,070	0		3,980
DUES & MEMBERSHIPS	10,558	0		9,862
INSURANCE	502	0		502
TRANSLATION SERVICES	1,174	0		1,174

TY 2020 Other Increases Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658**Other Increases Schedule**

Description	Amount
INCREASE (DECREASE) IN UNREALIZED GAIN (LOSS) ON INVESTMENT ASSETS	9,308,151

TY 2020 Other Liabilities Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658

Description	Beginning of Year - Book Value	End of Year - Book Value
BROKER PAYABLE	0	128,656

TY 2020 Other Professional Fees Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MFO MANAGEMENT FEES - INVESTMENT	12,000	12,000		0
PAYROLL SERVICES	349	4		345
PENSION ADMINISTRATION	1,002	11		991
IT SERVICES	9,691	0		9,691

TY 2020 Taxes Schedule**Name:** WARSH MOTT LEGACY**EIN:** 68-0049658**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	6,813	6,813		0
FOREIGN TAXES - MFO TOWLE FUND	336	336		0
FOREIGN TAXES - MFO WESTFIELD FUND A	258	258		0
PAYROLL TAXES	16,802	154		16,676