

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052
2020
Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 10-01-2020, and ending 09-30-2021

Name of foundation THE CAROL AND JAMES COLLINS FOUNDATION		A Employer identification number 30-0100019	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 11911 SAN VICENTE BLVD		B Telephone number (see instructions) (310) 645-0113	
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90049		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 30,511,433		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	13,858,000			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	11	11		
	4 Dividends and interest from securities	552,293	552,293		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	15,198,219			
	b Gross sales price for all assets on line 6a	28,858,382			
	7 Capital gain net income (from Part IV, line 2)		15,198,219		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)	0				
11 Other income (attach schedule)	-28,416	-28,416			
12 Total. Add lines 1 through 11	29,580,107	15,722,107			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	60,008	6,001		54,007
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	0			
	b Accounting fees (attach schedule)	4,400	1,100		3,300
	c Other professional fees (attach schedule)	83,341	65,341		18,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,671	667		6,004
	19 Depreciation (attach schedule) and depletion	0			
	20 Occupancy	2,527	1,263		1,264
	21 Travel, conferences, and meetings	8,239			8,239
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,899	1,125		1,774
	24 Total operating and administrative expenses. Add lines 13 through 23	168,085	75,497		92,588
	25 Contributions, gifts, grants paid	1,195,580			1,165,580
26 Total expenses and disbursements. Add lines 24 and 25	1,363,665	75,497		1,258,168	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	28,216,442				
b Net investment income (if negative, enter -0-)		15,646,610			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	108,678	726,493	726,493
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	7,775,077 	18,397,026	18,397,026
	c Investments—corporate bonds (attach schedule)	4,980,228 	11,368,039	11,368,039
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	110,149 	0	0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
15 Other assets (describe ▶ _____)	 5,200 	 19,875 	 19,875	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	12,979,332	30,511,433	30,511,433	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable		30,000	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons		0	
	21 Mortgages and other notes payable (attach schedule)		0	
	22 Other liabilities (describe ▶ _____)	0	0	
	23 Total liabilities (add lines 17 through 22)		30,000	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	12,979,332	30,481,433	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
28 Retained earnings, accumulated income, endowment, or other funds				
29 Total net assets or fund balances (see instructions)	12,979,332	30,481,433		
30 Total liabilities and net assets/fund balances (see instructions) .	12,979,332	30,511,433		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	12,979,332
2 Enter amount from Part I, line 27a	2	28,216,442
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	41,195,774
5 Decreases not included in line 2 (itemize) ▶ _____ 	5	10,714,341
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	30,481,433

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	15,198,219
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 12,497.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of CAROL JAMES COLLINS FOUNDATION Telephone no. (310) 645-0113

Located at 11911 SAN VICENTE BLVD 320 LOS ANGELES CA ZIP+4 90049

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year 15

16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	28,506,253
b	Average of monthly cash balances.	1b	1,096,519
c	Fair market value of all other assets (see instructions).	1c	18,358
d	Total (add lines 1a, b, and c).	1d	29,621,130
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	29,621,130
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	444,317
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	29,176,813
6	Minimum investment return. Enter 5% of line 5.	6	1,458,841

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,458,841
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	217,488
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	217,488
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,241,353
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,241,353
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,241,353

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,258,168
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,258,168
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,258,168

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				1,241,353
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	257,098			
b From 2016.	232,062			
c From 2017.	253,618			
d From 2018.	179,727			
e From 2019.	235,774			
f Total of lines 3a through e.	1,158,279			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 1,258,168				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				1,241,353
e Remaining amount distributed out of corpus	16,815			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,175,094			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	257,098			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	917,996			
10 Analysis of line 9:				
a Excess from 2016.	232,062			
b Excess from 2017.	253,618			
c Excess from 2018.	179,727			
d Excess from 2019.	235,774			
e Excess from 2020.	16,815			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
 JAMES AND CAROL COLLINS

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
 NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				1,165,580
b <i>Approved for future payment</i> California Restaurant Association Educational Foundation 621 Capitol Mall Suite 2000 Sacramento, CA 95814		PUBLIC	To support Enhanced Pro-Start Programs in So Cal	30,000
Total ▶ 3b				30,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50,000 shares Pepsico (Schwab Account)	D	1960-11-11	2020-10-14
SCHEDULE ATTACHED - Schwab 2020 Gains	P	2018-11-19	2020-10-19
SCHEDULE ATTACHED - Schwab 2021 Gains	P	2020-04-02	2021-03-01
GAIN ON DISTRIBUTION IN EXCESS OF BASIS - C.B. MINERALS	P	2013-01-01	2021-01-20
50,000 shares Pepsico (JP Morgan Account)	D	1930-11-11	2020-10-20
1402 SHS ISHARES MSCI USA (A/C 44002)	P	2020-01-01	2020-12-15
SCHEDULE ATTACHED - JPMORGAN A/C 51007 2020 Gains	P	2020-11-05	2020-11-10
33629.244 SHS LORD ABBETT (A/C 45009)	P	2020-01-01	2020-12-08
264.384 SHS FIDELITY 500 INDEX (A/C 44002)	P	2021-01-28	2021-04-30
2130 SHS FINANCIAL SELECT SECTOR (A/C 44002)	P	2020-12-15	2021-02-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,148,630	0	834,500	6,314,130
4,825,464	0	3,771,674	1,053,790
7,652,658	0	6,214,736	1,437,922
184,987	0	0	184,987
7,020,283	0	834,500	6,185,783
160,279	0	149,144	11,135
14,190	0	13,660	530
141,579	0	140,570	1,009
38,378	0	34,732	3,646
75,714	0	59,034	16,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
0	0	0	6,314,130
0	0	0	1,053,790
0	0	0	1,437,922
0	0	0	184,987
0	0	0	6,185,783
0	0	0	11,135
0	0	0	530
0	0	0	1,009
0	0	0	3,646
0	0	0	16,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
478 SHS INDUSTRIAL SELECT (A/C 44002)	P	2021-01-01	2021-04-30
83 SHS ISHARES CORE S7P 500 (A/C 44002)	P	2021-01-28	2021-02-26
CAPITAL GAIN DISTRIBUTIONS (A/C 45009) 2020	P	2020-12-31	2020-12-31
SCHEDULE ATTACHED - JP MORGAN A/C 45009 2021 Gains	P	2021-02-10	2021-02-11
CAPITAL GAIN DISTRIBUTIONS (A/C 45009) 2021	P	2021-09-30	2021-09-30
SCHEDULE ATTACHED - JP MORGAN A/C 48003 2021 Gains	P	2021-03-08	2021-03-10
SCHEDULE ATTACHED - JP MORGAN A/C 51007 2021 Gains	P	2021-01-07	2021-01-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,716	0	41,663	7,053
31,686	0	31,696	-10
6,504	0	0	6,504
1,221,563	0	1,226,429	-4,866
307	0	0	307
37,097	0	36,953	144
250,347	0	270,872	-20,525

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
0	0	0	7,053
0	0	0	-10
0	0	0	6,504
0	0	0	-4,866
0	0	0	307
0	0	0	144
0	0	0	-20,525

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
CATHLEEN C HESSION	PRES, SEC, TREAS. 40.00	60,008	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
JAMES COLLINS	CHARIMAN 2.00	0	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
CAROL L COLLINS	DIRECTOR 1.00	0	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
KELLY L COLLINS	DIRECTOR 1.00	1,000	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
MELISSA COLLINS GUDIM	DIRECTOR 1.00	1,500	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
MELANIE BUSWELL	DIRECTOR 1.00	1,000	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
ALEXANDER GUDIM	DIRECTOR 1.00	1,500	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
TREVOR GUDIM	DIRECTOR 1.00	1,500	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				
NATALIE HESSION	DIRECTOR 1.00	1,000	0	0
11911 SAN VICENTE BLVD 320 LOS ANGELES, CA 90049				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
826LA1714 W Sunset Blvd Los Angeles, CA 90026		PUBLIC	General operating support	15,000
A Place Called Home 2830 South Central Avenue Los Angeles, CA 90011		PUBLIC	General operating support	30,000
Alliance College-Ready Public Schools Foundation PO Box 86609 Los Angeles, CA 90086		PUBLIC	General operating support	25,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Balboa Island Museum Newport Beach 210 B Marine Avenue Balboa Island, CA 92662		PUBLIC	General operating support	2,500
Black Cooperative Investment Fund 6080 Center Drive 6th Floor Los Angeles, CA 90045		PUBLIC	General operating support	5,500
Boys & Girls Club of San Dieguito Foundation PO Box 871 Solana Beach, CA 92075		PUBLIC	General operating support	2,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Boys & Girls Club of West San Gabriel Valley 328 South Ramona Avenue Monterey Park, CA 91754		PUBLIC	General operating support	30,000
Boys & Girls Clubs of Metro Los Angeles 800 S Figueroa Suite 950 Los Angeles, CA 90017		PUBLIC	General operating support	25,000
Boys & Girls Clubs of the Los Angeles Harbor 1200 S Cabrillo Ave San Pedro, CA 90731		PUBLIC	General operating support	30,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Boys & Girls Clubs of Venice 2232 Lincoln Blvd Venice, CA 90291		PUBLIC	General operating support	5,000
Boys To Men Mentoring Network Inc 9587 Tropico Drive La Mesa, CA 91941		PUBLIC	To support 100 Wave Challenge	500
Bright Prospect 1460 East Holt Avenue Suite 74 Pomona, CA 91767		PUBLIC	General operating support	30,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
California Community Foundation 221 S Figueroa Street Suite 400 Los Angeles, CA 90012		PUBLIC	LA Partnership for Early Childhood Investment	10,000
California Restaurant Association Educational Foundation 621 Capitol Mall Suite 2000 Sacramento, CA 95814		PUBLIC	To support distance learning servicesfor LA County ProStart students	15,000
California YMCA Youth & Government 2000 Alameda Suite 128 San Mateo, CA 94403		PUBLIC	General operating support	1,000
Total				1,165,580

▶ **3a**

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Catchafire Foundation 1885 Mission Street D3 San Francisco, CA 94103		PUBLIC	Southern California Capacity Building Collaborative	27,000
Charles R Drew University of Medicine and Science 1731 East 120th Street Los Angeles, CA 90059		PUBLIC	Saturday Science Academy II	20,000
Children's Defense Fund 811 Wilshire Blvd Suite 1000 Los Angeles, CA 90017		PUBLIC	Child Watch 2021	1,500
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
City Year606 Olive Street 2nd Floor Los Angeles, CA 90014		PUBLIC	General operating support	30,000
Coaching Corps 66 Franklin Street Suite 300 Oakland, CA 94607		PUBLIC	General operating support	30,000
College Track112 Linden Street Oakland, CA 94607		PUBLIC	College Track Los Angeles	30,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Collins & Katz Family YMCA 1466 South Westgate Avenue Los Angeles, CA 90025		PUBLIC	General operating support	8,500
Communities in Schools of Los Angeles 7381 La Tijera Boulevard Suite 4515 Los Angeles, CA 90045		PUBLIC	General operating support	30,000
Coro of Southern California 1000 N Alameda 240 Los Angeles, CA 90012		PUBLIC	General operating support	800
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Decorative Arts Society 300 Newport Center Drive Newport Beach, CA 92660		PUBLIC	To support work with Women & Girls	5,000
Exponent Philanthropy 1720 N Street NW Washington, DC 20036		PUBLIC	General operating support	780
FB Alliance dba Fringe Benefits 2669 1/4 Waverly Drive Los Angeles, CA 90039		PUBLIC	General operating support	10,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Fellowship of Christian Athletes 37491 Mersey Street Indio, CA 92203		PUBLIC	General operating support	5,000
Get Lit - Words Ignite Inc 672 South La Fayette Park Place 10 Los Angeles, CA 90057		PUBLIC	General operating support	20,000
Heart of Los Angeles Youth Inc 2701 Wilshire Boulevard Suite 100 Los Angeles, CA 90057		PUBLIC	General operating support	30,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JPL Bible Church 39001 Monterey Avenue Rancho Mirage, CA 92270		PUBLIC	General operating support	2,000
LA County Department of Arts and Culture 1055 Wilshire Blvd Suite 800 Los Angeles, CA 90017		PUBLIC	LA County Arts Ed Collective	25,000
LA Promise Fund 1933 S Broadway Street Suite 1108 Los Angeles, CA 90007		PUBLIC	Culinary Art Programs General operating support	22,500
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Los Angeles Education Partnership 1541 Wilshire Boulevard Suite 200 Los Angeles, CA 90017		PUBLIC	General operating support	30,000
Loyola Marymount University 1 LMU Drive Los Angeles, CA 90045		PUBLIC	RJ Practices in Pasadena Unified School District	20,000
Mar Vista Family Center 5075 South Slauson Avenue Culver City, CA 90230		PUBLIC	General operating support	20,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mount St Mary's University 10 Chester Place Los Angeles, CA 90007		PUBLIC	Student Ambassador Program	15,000
National Center for Family Philanthropy 1101 Connecticut Ave NW Suite 220 Washington, DC 20036		PUBLIC	To support Friends of the Family	1,500
New Earth Organization 6001 Bristol Parkway Suite 200 Culver City, CA 90230		PUBLIC	General operating support	30,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
New Village Girls Academy 147 N Occidental Blvd Los Angeles, CA 90026		PUBLIC	General operating support	25,000
One Voice1228 15th Street Suite C Santa Monica, CA 90404		PUBLIC	College Scholars Program	25,000
Our Community LAPO Box 676 Pacific Palisades, CA 90272		PUBLIC	General operating support	10,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PF Bresee Foundation 184 S Bimini Place Los Angeles, CA 90004		PUBLIC	General operating support	30,000
Para Los Ninos 5000 Hollywood Boulevard Los Angeles, CA 90027		PUBLIC	General operating support	35,000
Peer Health Exchange PO Box 18232 Long Beach, CA 90807		PUBLIC	General operating support	25,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Providers Association for Home Health & Hospices Agencies 2695 Villa Creek Drive Suite 147 Dallas, TX 75234		PUBLIC	General operating support	3,000
Proyecto Pastoral 135 N Mission Road Los Angeles, CA 90033		PUBLIC	General operating support	30,000
San Jose State University One Washington Square San Jose, CA 95191		PUBLIC	Scholarships	5,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Shakespeare Center of Los Angeles 1238 West 1st Street Los Angeles, CA 90026		PUBLIC	General operating support	15,000
Southern California Grantmakers 1000 North Alameda Street Suite 230 Los Angeles, CA 90012		PUBLIC	General operating support	3,000
Spirit Series Inc 605 San Vicente Blvd Suite 310 Santa Monica, CA 90402		PUBLIC	To support Spirit Series programin Los Angeles schools	25,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Students Run LA5252 Crebs Avenue Tarzana, CA 91356		PUBLIC	General operating support	30,000
The Center on Philanthropy and Public Policy USC Lewis Hall Room 210 Los Angeles, CA 90089		PUBLIC	To support Philanthropic Partners	25,000
The Goldman Sachs Philanthropy Fund 2121 Avenue of the Star Suite 2700 Los Angeles, CA 90067		PUBLIC	The Richard Atlas Early Childhood Fund	1,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The HeArt Project (dba artworxLA) 1930 Wilshire Boulevard Suite 800 Los Angeles, CA 90057		PUBLIC	General operating support	25,000
The Hidden Genius Project 2934 Telegraph Avenue Oakland, CA 94609		PUBLIC	To support Los Angeles programs	30,000
The LA Trust for Children's Health 333 S Beaudry Avenue 29th Floor Los Angeles, CA 90017		PUBLIC	General operating support	25,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
The M3ND Project 350 Forest Avenue 488 Laguna Beach, CA 92651		PUBLIC	To support trainings in Southern California	30,000
The SEED School of Los Angeles County 1990 South Bundy Drive Suite 340 Los Angeles, CA 90025		PUBLIC	To support the construction of the new SEED School of LA	50,000
The Unusual Suspects Theatre Company 3719 Verdugo Road Los Angeles, CA 90065		PUBLIC	General operating support	20,000
Total ▶ 3a				1,165,580

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UC Regents 102 Aldrich Hall Irvine, CA 92697		PUBLIC	To support scholarships	2,500
United Friends of the Children 1055 Wilshire Blvd Suite 1955 Los Angeles, CA 90017		PUBLIC	General operating support	30,000
UNITE-LA 1055 Wilshire Blvd Suite 1750 Los Angeles, CA 90017		PUBLIC	General operating support	25,000
Total ▶ 3a				1,165,580

TY 2020 Accounting Fees Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EUGENE LEWIS & ASSOCIATES TAX PREPARATION	4,400	1,100		3,300

TY 2020 Investments Corporate Bonds Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Payden Strategic Income Fund	1,284,829	1,284,829
Payden High Income Fund	1,135,302	1,135,302
Payden Core Bond Fund	6,209,994	6,209,994
JP Morgan Fixed Income	2,737,914	2,737,914

TY 2020 Investments Corporate Stock Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Payden Value Leaders Fund	3,591,887	3,591,887
Schwab S&P 500 Index Fund	6,341,619	6,341,619
IShares S&P 500 Growth	1,839,259	1,839,259
Schwab US Mid Cap	1,632,168	1,632,168
JP Morgan Equities	4,992,093	4,992,093

TY 2020 Investments - Other Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
INVESTMENT IN CB MINERALS		0	0

TY 2020 Other Assets Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID EXCISE TAXES	5,200	19,875	19,875

TY 2020 Other Decreases Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019

Description	Amount
EXCISE TAX PAYMENTS	23,807
PENALTIES	57
UNREALIZED GAINS	10,684,361
ULD	6,116

TY 2020 Other Expenses Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	649			649
INSURANCE	2,250	1,125		1,125

TY 2020 Other Income Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PARTNERSHIP INCOME	-28,416	-28,416	

TY 2020 Other Professional Fees Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PHILANTHROPIC ADMINISTRATION INC ADMINISTRATIVE SERVICES	36,000	18,000		18,000
PAYDEN & RYGEL INVESTMENT MANAGEMENT SERVICES	19,252	19,252		
J.P. MORGAN INVESTMENT MANAGEMENT SERVICES	28,089	28,089		

TY 2020 Taxes Schedule**Name:** THE CAROL AND JAMES COLLINS FOUNDATION**EIN:** 30-0100019**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	4,745	474		4,270
PAYROLL PROCESSING	1,926	193		1,734

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2020

Name of the organization
THE CAROL AND JAMES COLLINS FOUNDATION

Employer identification number
30-0100019

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE CAROL AND JAMES COLLINS FOUNDATION

Employer identification number
30-0100019

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JAMES A COLLINS 955 NORTH BUNDY DRIVE LOS ANGELES, CA 90049	\$ 13,858,000	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE CAROL AND JAMES COLLINS FOUNDATION	Employer identification number 30-0100019
--	--

Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	100,000 SHARES PEPSICO INC	\$ 13,858,000	2020-10-09
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____
-	_____ _____ _____	\$ _____	_____

Name of organization
THE CAROL AND JAMES COLLINS FOUNDATION

Employer identification number
30-0100019

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

PART X: Fair market value of assets not used directly in carrying out charitable purposes:

	SECURITIES				CASH				OTHER ASSETS			
	US & State Government Obligations	Corporate Obligations	Corporate Stock	Other Investments	Total Securities	Bank of America MM Checking	UBS Financial MMA	Charles Schwab MMF	JP Morgan Cash	Total Cash	Mineral Rights	Total Other Assets
October		9,103,476	12,930,894		22,034,370	-5,000	21,412	22,179	4,769,884	4,808,475	110,149	110,149
November		9,968,800	15,695,557		25,664,357	-5,000	21,412	16,141	2,327,502	2,360,055	110,149	110,149
December		9,945,069	17,069,602		27,014,671	-5,000	0	57,917	1,697,434	1,750,351	0	0
January		9,896,960	18,112,287		28,009,247	-5,000	0	57,917	581,599	634,476	0	0
February		10,033,657	18,464,613		28,498,270	-5,000	0	57,726	589,631	642,357	0	0
March		9,888,589	19,171,154		29,059,743	-5,000	0	75,503	300,751	371,254	0	0
April		9,952,327	19,967,148		29,919,475	-5,000	0	207,337	293,866	496,203	0	0
May		11,278,257	18,944,303		30,222,560	-5,000	0	101,526	287,256	383,782	0	0
June		11,359,150	19,016,222		30,375,372	-5,000	0	26,526	139,104	160,630	0	0
July		11,437,884	19,313,322		30,751,206	-5,000	0	21,827	138,941	155,768	0	0
August		11,452,556	19,308,144		30,760,700	0	0	518,058	150,331	668,389	0	0
September		11,368,040	18,397,026		29,765,066	0	0	540,669	185,823	726,492	0	0
AVERAGE					<u>28,506,253</u>					<u>1,096,519</u>		<u>18,358</u>