

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491306015101			
Form 990-PF		Return of Private Foundation or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter social security numbers on this form as it may be made public. ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.			OMB No. 1545-0052		
Department of the Treasury Internal Revenue Service					2020		
					Open to Public Inspection		
For calendar year 2020, or tax year beginning 08-01-2020, and ending 07-31-2021							
Name of foundation ROBERT HOAG RAWLINGS FOUNDATION				A Employer identification number 84-1090907			
Number and street (or P.O. box number if mail is not delivered to street address) 301 NORTH MAIN STREET			Room/suite	B Telephone number (see instructions) (719) 544-2566			
City or town, state or province, country, and ZIP or foreign postal code PUEBLO, CO 81003				C If exemption application is pending, check here ▶ ☐			
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change				D 1. Foreign organizations, check here..... ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐			
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation				E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐			
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 35,623,920		J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐			
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)				(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	947,201				
	2	Check ☐ if the foundation is not required to attach Sch. B					
	3	Interest on savings and temporary cash investments	74,521	74,521			
	4	Dividends and interest from securities	571,338	571,338			
	5a	Gross rents	1,200	1,200			
	b	Net rental income or (loss) _____ -978					
	6a	Net gain or (loss) from sale of assets not on line 10 	1,426,991				
	b	Gross sales price for all assets on line 6a _____ 6,573,116					
	7	Capital gain net income (from Part IV, line 2)		1,426,991			
	8	Net short-term capital gain			213,989		
	9	Income modifications					
	10a	Gross sales less returns and allowances _____					
b	Less: Cost of goods sold						
c	Gross profit or (loss) (attach schedule)	0					
11	Other income (attach schedule) 	157,992	157,992				
12	Total. Add lines 1 through 11	3,179,243	2,232,042	213,989			
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	75,095	15,019		60,076	
	14	Other employee salaries and wages					
	15	Pension plans, employee benefits	10,000	2,000		8,000	
	16a	Legal fees (attach schedule) 	1,361	272		1,089	
	b	Accounting fees (attach schedule) 	6,840	1,368		5,472	
	c	Other professional fees (attach schedule) 	146,798	146,798		0	
	17	Interest					
	18	Taxes (attach schedule) (see instructions) 	8,152	3,562		4,590	
	19	Depreciation (attach schedule) and depletion	0				
	20	Occupancy	12,226	1,223		11,003	
	21	Travel, conferences, and meetings	420			420	
	22	Printing and publications					
	23	Other expenses (attach schedule) 	11,452	1,224		10,228	
	24	Total operating and administrative expenses. Add lines 13 through 23	272,344	171,466		100,878	
	25	Contributions, gifts, grants paid	1,294,245			1,294,245	
	26	Total expenses and disbursements. Add lines 24 and 25	1,566,589	171,466		1,395,123	
	27	Subtract line 26 from line 12:					
	a	Excess of revenue over expenses and disbursements	1,612,654				
	b	Net investment income (if negative, enter -0-)		2,060,576			
	c	Adjusted net income (if negative, enter -0-)			213,989		
For Paperwork Reduction Act Notice, see instructions.			Cat. No. 11289X		Form 990-PF (2020)		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	2,160,347	850,677	850,677
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	949,652	845,595	859,318
	b Investments—corporate stock (attach schedule)	14,203,739	11,284,888	18,870,252
	c Investments—corporate bonds (attach schedule)	1,924,593	2,081,731	2,225,413
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	5,786,756	11,551,072	12,818,260
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
15 Other assets (describe ▶ _____)	0	0	0	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	25,025,087	26,613,963	35,623,920	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons		0	
	21 Mortgages and other notes payable (attach schedule)		0	
	22 Other liabilities (describe ▶ _____)	3,369	10,447	
	23 Total liabilities (add lines 17 through 22)	3,369	10,447	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	25,021,718	26,603,516	
29 Total net assets or fund balances (see instructions)	25,021,718	26,603,516		
30 Total liabilities and net assets/fund balances (see instructions) .	25,025,087	26,613,963		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	25,021,718
2 Enter amount from Part I, line 27a	2	1,612,654
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	26,634,372
5 Decreases not included in line 2 (itemize) ▶ _____	5	30,856
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	26,603,516

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,426,991
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	213,989

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved	2		
3 Reserved.	3		
4 Reserved	4		
5 Reserved	5		
6 Reserved	6		
7 Reserved	7		
8 Reserved ,	8		

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	28,642
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	28,642
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	28,642
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	20,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	20,000
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	8,642
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 0 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► CO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address RAWLINGSFOUNDATION.COM	13	Yes	
14	The books are in care of HEWITT HEERSCHAP & COUCH PC Telephone no. (719) 542-1287			

Located at **511 W 10TH STREET SUITE B PUEBLO CO** ZIP+4 **81003**

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ☐ and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐ Organizations relying on a current notice regarding disaster assistance check here. ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here. 	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. 				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	31,109,072
b	Average of monthly cash balances.	1b	1,622,094
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	32,731,166
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	32,731,166
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	490,967
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,240,199
6	Minimum investment return. Enter 5% of line 5.	6	1,612,010

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,612,010
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	28,642
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	28,642
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,583,368
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,583,368
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,583,368

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,395,123
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,395,123
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,395,123

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				1,583,368
2 Undistributed income, if any, as of the end of the 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	138,764			
d From 2018.	7,177			
e From 2019.	0			
f Total of lines 3a through e.	145,941			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 1,395,123				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).	0	0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				1,395,123
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	145,941			145,941
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				42,304
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ROBERT HOAG RAWLINGS FOUNDATION
301 NORTH MAIN STREET SUITE 204
PUEBLO, CO 81003
(719) 544-2566

b The form in which applications should be submitted and information and materials they should include:

GRANT APPLICATION AVAILABLE ON THE FOUNDATION WEBSITE

c Any submission deadlines:

VARY, BUT GENERALLY SPRING AND FALL OF EACH YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SOUTHERN COLORADO AREA

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				1,294,245
b <i>Approved for future payment</i>				
Total ▶ 3b				

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
Enter gross amounts unless otherwise indicated.				
1 Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
g Fees and contracts from government agencies				
2 Membership dues and assessments. . . .				
3 Interest on savings and temporary cash investments				
		14	74,521	
4 Dividends and interest from securities. . . .				
		14	571,338	
5 Net rental income or (loss) from real estate:				
a Debt-financed property.				
b Not debt-financed property.				
		16	1,200	
6 Net rental income or (loss) from personal property				
7 Other investment income.				
		14	141,718	
8 Gain or (loss) from sales of assets other than inventory				
		18	1,426,991	
9 Net income or (loss) from special events:				
10 Gross profit or (loss) from sales of inventory				
11 Other revenue:				
a <u>WELLS FARGO SETTLEMENT</u>		14	91	
b <u>INTEREST ON WEST PROPERTY SALE</u>		14	16,184	
c _____				
d _____				
e _____				
12 Subtotal. Add columns (b), (d), and (e). .				
			2,232,043	
13 Total. Add line 12, columns (b), (d), and (e).				
			2,232,043	2,232,043

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-09-20	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	Karen E Heerschap CPA		2021-11-02		P00636439
	Firm's name ▶ HEWITT HEERSCHAP & COUCH PC				Firm's EIN ▶
	Firm's address ▶ 511 W 10TH ST STE B PUEBLO, CO 81003				Phone no. (719) 542-1287

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SECURITY SALES - MORGAN STANLEY 4034	P	2020-01-01	2021-07-31
SECURITY SALES - MORGAN STANLEY 4034	P	2020-08-01	2021-07-31
SECURITY SALES - MORGAN STALEY 3702	P	2020-01-01	2021-07-31
SECURITY SALES - MORGAN STALEY 3702	P	2020-08-01	2021-07-31
SECURITY SALES - RBC 3033	P	2020-01-01	2021-07-31
SECURITY SALES - RBC 6186	P	2020-01-01	2021-07-31
SECURITY SALES - RBC 9923	P	2020-01-01	2021-07-31
SECURITY SALES - SCHWAB 8100	P	2020-01-01	2021-07-31
SECURITY SALES - SCHWAB 8100	P	2020-08-01	2021-07-31
CAPITAL GAIN DISTRIBUTIONS - SCHWAB	P	2020-08-01	2021-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,607,850	0	2,599,182	1,008,668
614,349	0	580,585	33,764
586,780	0	564,295	22,485
649,858	0	655,872	-6,014
149,933	0	95,396	54,537
89,548	0	56,363	33,185
296,575	0	296,754	-179
263,866	0	169,560	94,306
210	0	174	36
112,277	0	0	112,277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
0	0	0	1,008,668
0	0	0	33,764
0	0	0	22,485
0	0	0	-6,014
0	0	0	54,537
0	0	0	33,185
0	0	0	-179
0	0	0	94,306
0	0	0	36
0	0	0	112,277

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DISTRIBUTIONS - MORGAN STANLEY	P	2020-08-01	2021-07-31
WEST STREET LOT	D	2020-08-01	2020-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
1,870	0	0	1,870
200,000	0	127,944	72,056

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
0	0	0	1,870
0	0	0	72,056

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JANE RAWLINGS	PRESIDENT 20.00	0	0	0
624 DITTMER AVENUE PUEBLO, CO 81005				
ROBERT H RAWLINGS JR	VICE PRESIDENT 1.00	0	0	0
455 LIBERTY ASHLAND, OR 97520				
CAROLYN TEMPLE	SECRETARY 1.00	0	0	0
2721 MESA AVENUE DURANGO, CO 813014758				
ANDREW TRAINOR	TREASURER 1.00	0	0	0
301 N MAIN STREET SUITE 204 PUEBLO, CO 81003				
DAVID CARDINAL	DIRECTOR 1.00	0	0	0
3022 THUNDER LAKE CIRCLE LAFAYETTE, CO 80026				
PAULETTE STUART	DIRECTOR 1.00	0	0	0
1430 CARTERET AVENUE PUEBLO, CO 81004				
RICK KLEIN	DIRECTOR 1.00	0	0	0
601 COLORADO AVENUE LA JUNTA, CO 81050				
JAMES HADLEY	DIRECTOR 1.00	0	0	0
511 WEST 29TH STREET SUITE A PUEBLO, CO 81008				
ANDREA ARAGON	EXEC. DIRECTOR 40.00	75,095	10,000	0
4802 INDIGO COURT PUEBLO, CO 81001				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SANGRE DE CRISTO ARTS & CONFERENCE CENTER 210 NORTH SANTA FE AVENUE PUEBLO, CO 81003		PUBLIC	CAPITAL CAMPAIGNEXHIBIT SPONSORSHIP	310,000
COLORADO STATE UNIV-PUEBLO FDN 2200 BONFORTE BLVD PUEBLO, CO 81001		PUBLIC	SCHOLARSHIPSBASEBALL FIELD	226,000
ADAMS STATE UNIVERSITY FOUNDATION 208 EDMONT BLVD ALAMOSA, CO 81101		PUBLIC	SCHOLARSHIPS	4,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OTERO JUNIOR COLLEGE FOUNDATION 1802 COLORADO AVENUE LA JUNTA, CO 81050		PUBLIC	SCHOLARSHIPS	4,000
LAMAR COMMUNITY COLLEGE FOUNDTAION 2401 SOUTH MAIN STREET LAMAR, CO 81052		PUBLIC	SCHOLARSHIPS	4,000
TRINIDAD STATE JUNIOR COLLEGE FDN 600 PROSPECT STREET TRINIDAD, CO 81082		PUBLIC	SCHOLARSHIPS	4,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUEBLO COMMUNITY COLLEGE FDN 900 WEST ORMAN AVENUE PUEBLO, CO 81004		PUBLIC	SCHOLARSHIPS	8,000
BOYS & GIRLS CLUB - SAN LUIS VALLEY PO BOX 1032 ALAMOSA, CO 81101		PUBLIC	YOUTH ENRICHMENTPROGRAMS	6,500
ALAMOSA LIVE MUSIC ASSOC PO BOX 1836 ALAMOSA, CO 81101		PUBLIC	MUSIC PERFORMANCES	3,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BENT COUNTY HISTORICAL SOCIETY 560 BENT AVENUE LAS ANIMAS, CO 81054		PUBLIC	DIGITIZE FILMSFROM HISTORICALEVENTS	3,000
CENTER FOR AMERICAN VALUES 101 S MAIN STREET 100 PUEBLO, CO 81003		PUBLIC	FOOD DISTRIBUTIONFOR VETERANS	5,000
POSADA827 EAST 4TH STREET PUEBLO, CO 81001		PUBLIC	STOVE REPLACEMENT@ MONTEREY HOUSING	2,500
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUEBLO CITYCOUNTY LIBRARY 100 EAST ABRIENDO AVENUE PUEBLO, CO 81004		PUBLIC	INFOZONE EXHIBITCAPITAL CAMPAIGN	220,000
ARKANSAS VALLEY PREGNANCY CENTER 118 WEST 4TH STREET LA JUNTA, CO 81050		PUBLIC	COMPUTERS & TABLETSFOR CLIENT USE	4,500
MT BLANCA FINE ARTS ASSOCIATION PO BOX 175 FORT GARLAND, CO 81133		PUBLIC	MUSIC FUNDAMENTALSFORUM FOR KIDS	5,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ELEVATEHERPO BOX 5118 BUENA VISTA, CO 81211		PUBLIC	LIFE SKILLS & OUTDOOR ADVENTURES	6,500
BOYS & GIRLS CLUB - CHAFFEE COUNTY PO BOX 1430 SALIDA, CO 81201		PUBLIC	YOUTH DEVELOPMENTPROGRAMMING	7,500
CATHOLIC CHARITIES 429 WEST 10TH STREET PUEBLO, CO 81003		PUBLIC	PROGRAMMING	10,000
Total ► 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FRIENDLY HARBOR COMMUNITY CENTER 2713 N GRAND AVENUE PUEBLO, CO 81003		PUBLIC	COVID-19 EXPENSES	5,000
METRO VOLUNTEERS SPARK THE CHANGE CO ATTN BETTY NUFER PUEBLO, CO 81004		PUBLIC	MENTAL HEALTH COUNSELINGFOR PEOPLE W/BARRIERS	5,000
MT CARMEL CENTER FOR WELLNESS 911 ROBINSON TRINIDAD, CO 81082		PUBLIC	FOOD BASKETS FORRURAL, SENIOR& LOW-INCOME FAMILIES	5,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YWCA OF PUEBLO 801 N SANTA FE AVENUE PUEBLO, CO 81003		PUBLIC	DOMESTIC VIOLENCE SHELTER	10,000
YOUTH CLUB OF TRINIDAD 204 E KANSAS TRINIDAD, CO 81082		PUBLIC	FUNDS TO COVER ADDITIONAL HOURS FOR REMOTE LEARNING	7,500
BESSEMER HISTORICAL SOCIETY 215 CANAL STREET PUEBLO, CO 81004		PUBLIC	SECURE H2O TODAY'S SMITHSONIAN EXHIBIT	7,500
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KOSHARE INDIAN MUSEUM 115 WEST 18TH STREET LA JUNTA, CO 81050		PUBLIC	OPERATING EXPENSES	7,500
PUEBLO CRISIS PREGNANCY CENTER 500 COLORADO AVENUE PUEBLO, CO 81004		PUBLIC	BUILDING UPDATESAND EQUIPMENT	7,500
SAN LUIS VALLEY GREAT OUTDOORS 610 STATE AVENUE ALAMOSA, CO 81101		PUBLIC	HEALTH & DEVELOPMENTFOR YOUTH IN OUTDOORS	10,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VETERANS COALITION OF THE SAN LUIS VALLEY 223 6TH STREET ALAMOSA, CO 81101		PUBLIC	PEER-TO-PEER SUPPORT	7,500
COLORADO HUMANITIES 7935 E PRENTICE AVENUE SUITE 4 GREENWOOD VILLAGE, CO 80111		PUBLIC	PRE-PRODUCTION SUPPORTFOR DOCUMENTARY	5,000
PUEBLO PERFORMING ARTS GUILD 107 S GRANT AVENUE PUEBLO, CO 81003		PUBLIC	CAPITAL CAMPAIGN	10,000
Total ► 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST JOHN NEUMANN CATHOLIC SCHOOL 2415 E ORMAN AVENUE PUEBLO, CO 81004		PUBLIC	SUPPORT FOR REVENUELOST DUE TO COVID	20,000
TRINIDAD HISTORY MUSEUM 312 E MAIN STREET TRINIDAD, CO 81082		PUBLIC	BORDERLANDSSANTA FE TRAIL EXHIBIT	12,000
CARE & SHARE FOOD BANK 2605 PREAMBLE POINT COLORADO SPRINGS, CO 80915		PUBLIC	SUNNY SIDE MARKETFOR PUEBLO'S EAST SIDE	25,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPANISH PEAKS COMMUNITY FOUNDATION 715 MAIN STREET WALSENBURG, CO 81089		PUBLIC	FOX THEATERREVITALIZATION PROJECT	10,000
BOYS & GIRLS CLUB OF PUEBLO COUNTY 635 WEST CORONA AVENUE SUITE 201 PUEBLO, CO 81004		PUBLIC	HEROES & HELPERS FUND	1,500
CANON LITERACY CENTERPO BOX 1793 CANON CITY, CO 81215		PUBLIC	TUTORING PROGRAM	3,000
Total ► 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUEBLO CHORALE SOCIETY 310 WEST 11TH STREET PUEBLO, CO 81003		PUBLIC	OPERATING EXPENSES	5,000
ROCKY MTN COUNCIL BOY SCOUTS 411 S PUEBLO BLVD PUEBLO, CO 81005		PUBLIC	YOUTH PROGRAMMING	5,000
YMCA OF PUEBLO 3200 E SPAULDING AVENUE PUEBLO, CO 81008		PUBLIC	LIFEGUARD PROGRAMS	7,500
Total ► 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CANYONS & PLAINS1413 TOPEKA LA JUNTA, CO 81050		PUBLIC	TOURISM PROGRAMS	10,000
SOUTHWEST CONSERVATION CORPS 701 E HIGHWAY 50 SALIDA, CO 81201		PUBLIC	YOUTH ENGAGEMENT & ENRICHMENT PROGRAMS	15,000
UVIATION WATER DBA RIVER SCIENCE 425 MAIN STREET SUITE 10 CANON CITY, CO 81212		PUBLIC	MOVE WATER CURRICULUMONLINE	10,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CASA OF PUEBLO 130 W ABRIENDO AVENUE PUEBLO, CO 81004		PUBLIC	FOSTERING FUTURES PROGRAM	12,000
BENT COUNTY DEVELOPMENT FOUNDATION 332 AMBADOR THOMPSON ROAD LAS ANIMAS, CO 81054		PUBLIC	EXPAND THE NATIVEAMERICAN PROGRAM	15,000
SAN LUIS VALLEY LOCAL FOODS COALITION 412 STATE AVENUE ALAMOSA, CO 81101		PUBLIC	REGENERATIVE AG INITIATIVE	15,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LA VETA VILLAGE109 E FRANCISCO LA VETA, CO 81055		PUBLIC	ASSISTED LIVINGKITCHEN	20,000
PUEBLO COOPERATIVE CARE CENTER 326 W 8TH STREET PUEBLO, CO 81003		PUBLIC	EMERGENCY FOODINFRASTRUCTURE	20,000
ROCKY MOUNTAIN PUBLIC MEDIA 330 E HARVARD AVENUE DENVER, CO 80210		PUBLIC	GREAT PUEBLOFLOOD EVENT	20,000
Total ▶ 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONEJOS CLEAN WATER 304 RIVER STREET ANTONITO, CO 81120		PUBLIC	OUTDOOR/ENVIRONMENTALYOUTH PROGRAMS	20,000
IMPOSSIBLE PLAYERS 5 OAKBRIDGE DRIVE PUEBLO, CO 81001		PUBLIC	HVAC SYSTEM	22,245
SANTA FE TRAIL BOCES 302 COLORADO AVENUE LA JUNTA, CO 81050		PUBLIC	WORK CONNECTEDLEARNING PROJECTS	25,000
Total ► 3a				1,294,245

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PUEBLO CENTENNIAL HIGH SCHOOL 2525 MOUNTVIEW DRIVE PUEBLO, CO 81008		GOV	SCORE BOARD	25,000
PUEBLO COMMUNITY HEALTH CENTER INC 110 E ROUTT AVENUE PUEBLO, CO 81004		PUBLIC	LAB TESTING EQUIPMENT	25,000
SANGRE DE CRISTO COMMUNITY CARE 1920 VALLEY DRIVE PUEBLO, CO 81008		PUBLIC	HOSPICE HOUSEIMPROVEMENTS	30,000
Total ▶ 3a				1,294,245

TY 2020 Accounting Fees Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
HEWITT, HEERSCHAP & COUCH ACCOUNTING	6,840	1,368		5,472

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Gain/Loss from Sale of Other Assets Schedule

Name: ROBERT HOAG RAWLINGS FOUNDATION

EIN: 84-1090907

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
WEST STREET PROPERTY	2019-08	Donated	2020-11	PUEBLO COUNTY GOVERNMENT	200,000	115,061		12,883	72,056	
CAPITAL GAIN DISTRIBUTIONS - CHARLES SCHWAB			2021-07		112,277				112,277	
CAPITAL GAIN DISTRIBUTIONS - MORGAN STANLEY			2021-07		1,870				1,870	
SECURITY SALES - MORGAN STANLEY 4034		Purchased	2021-07		3,607,850	2,599,182			1,008,668	
SECURITY SALES - MORGAN STANLEY 4034	2020-08	Purchased	2021-07		614,349	580,585			33,764	
SECURITY SALES - MORGAN STANLEY 3702		Purchased	2021-07		586,780	564,295			22,485	
SECURITY SALES - MORGAN STANLEY 3702	2020-08	Purchased	2021-07		649,858	655,872			-6,014	
SECURITY SALES - RBC 3033		Purchased	2021-07		149,933	95,396			54,537	
SECURITY SALES - RBC 6186		Purchased	2021-07		89,548	56,363			33,185	
SECURITY SALES - RBC 9923		Purchased	2021-07		296,575	296,754			-179	
SECURITY SALES - SCHWAB 8100		Purchased	2021-07		263,866	169,560			94,306	
SECURITY SALES - SCHWAB 8100	2020-08	Purchased	2021-07		210	174			36	

TY 2020 Investments Corporate Bonds Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS - MS 3702	421,466	446,019
CORPORATE BONDS - RBC 9923	1,660,265	1,779,394

TY 2020 Investments Corporate Stock Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CORPORATE STOCKS - RBC 6186	352,789	466,756
CORPORATE STOCKS - RBC 3033	553,488	798,176
CORPORATE STOCKS - MS 4034	2,597,592	3,790,240
CORPORATE STOCKS - SCHWAB 8100	7,781,019	13,815,080

TY 2020 Investments Government Obligations Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**US Government Securities - End
of Year Book Value:**

845,595

**US Government Securities - End
of Year Fair Market Value:**

859,318

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2020 Investments - Other Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SPDR S&P 500 ETF - SCHWAB 9347		348,525	657,765
ELLINGTON INVESTMENT FUND		1,649,579	1,649,579
REITS - RBC 6186		21,629	41,368
REITS - SCHWAB 8100		165,548	228,633
MUTUAL FUNDS - SCHWAB 9347		8,171,539	9,017,758
MUTUAL FUNDS - MS 3702		1,194,252	1,223,157

TY 2020 Legal Fees Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUSEMIHL, MCDERMOTT & DOWNIE LEGAL	1,361	272		1,089

TY 2020 Other Decreases Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907

Description	Amount
PENALITES	100
50% MEALS	88
FEDERAL TAXES	28,642
UNREALIZED GAIN/LOSS ADJUSTMENT	2,026

TY 2020 Other Expenses Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	157	157		0
COMPUTER & INTERNET	4,131	413		3,718
DUES & SUBSCRIPTIONS	1,705	170		1,535
INSURANCE	1,902	190		1,712
OFFICE EXPENSES	990	99		891
TELEPHONE	1,773	177		1,596
MEALS @ 50%	88	0		88
POSTAGE & SHIPPING	179	18		161
BOARD GIFTS	527	0		527

TY 2020 Other Income Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ELLINGTON FUND INT/DIV/GAINS	141,718	141,718	
WEST STREET SALE INTEREST	16,184	16,184	
WELLS FARGO SETTLEMENT	90	90	

TY 2020 Other Liabilities Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL TAXES PAYABLE	1,813	1,805
FEDERAL TAXES PAYABLE	1,556	8,642

TY 2020 Other Professional Fees Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ELLWOOD INVESTMENT	30,000	30,000		0
MORGAN STANLEY INVESTMENT	67,184	67,184		0
RBC INVESTMENT	10,846	10,846		0
CHARLES SCHWAB INVESTMENT	28,471	28,471		0
ELLINGTON FUND INVESTMENT	10,297	10,297		0

TY 2020 Taxes Schedule**Name:** ROBERT HOAG RAWLINGS FOUNDATION**EIN:** 84-1090907**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES WITHHELD	236	236		0
PROPERTY	2,178	2,178		0
PAYROLL	5,738	1,148		4,590

efile GRAPHIC print - DO NOT PROCESS		As Filed Data -		DLN: 93491306015101	
Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service		Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to www.irs.gov/Form990 for the latest information.			OMB No. 1545-0047
					2020
Name of the organization ROBERT HOAG RAWLINGS FOUNDATION				Employer identification number 84-1090907	

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
ROBERT HOAG RAWLINGS FOUNDATION

Employer identification number
84-1090907

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT H RAWLINGS ESTATE 624 DITTMER AVENUE PUEBLO, CO 81005	\$ 115,061	☐ Person ☐ Payroll ☒ Noncash (Complete Part II for noncash contributions.)
2	STAR-JOURNAL PUBLISHING CORPORATION 624 DITTMER AVENUE PUEBLO, CO 81005	\$ 760,920	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
3	ROBERT H RAWLINGS ESTATE 624 DITTMER AVENUE PUEBLO, CO 81005	\$ 71,219	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
.		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
.		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
.		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization ROBERT HOAG RAWLINGS FOUNDATION	Employer identification number 84-1090907
---	--

Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given (see instructions). Use duplicate copies of Part II if additional space is needed.	(c) FMV (or estimate) (See instructions)	(d) Date received
1	VACANT LOT - WEST STREET, PUEBLO, CO 81003	\$ 115,061	2020-08-15
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization ROBERT HOAG RAWLINGS FOUNDATION	Employer identification number 84-1090907
---	--

Part III

Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4	Relationship of transferor to transferee	