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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.
Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 08-01-2020

, and ending 07-31-2021

Name of foundation
FRANCES & EDWIN CUMMINGS MEM FD TR UW

Number and street (or P.O. box number if mail is not delivered to street address)
PO BOX 185

City or town, state or province, country, and ZIP or foreign postal code
PITTSBURGH, PA 152300185

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 51,498,080

J Accounting method:

☐ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

A Employer identification number
13-6814491

B Telephone number (see instructions)
(212) 922-8143

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here..... ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a)

Revenue and expenses per books

(b)

Net investment income

(c)

Adjusted net income

(d)

Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ▶ ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2020)

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | |
|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | 15,315 | 1,529 | 1,529 |
| | 2 Savings and temporary cash investments | 650,886 | 418,012 | 418,012 |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | 0 | 0 |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0 | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | 26,216,202 | 27,124,474 | 51,078,539 |
| | 14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 26,882,403 | 27,544,015 | 51,498,080 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 26,882,403 | 27,544,015 | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 29 Total net assets or fund balances (see instructions) | 26,882,403 | 27,544,015 | |
| 30 Total liabilities and net assets/fund balances (see instructions) . | 26,882,403 | 27,544,015 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 26,882,403 |
| 2 Enter amount from Part I, line 27a | 2 | 663,559 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 1 |
| 4 Add lines 1, 2, and 3 | 4 | 27,545,963 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 1,948 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 27,544,015 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|-------------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 1a See Additional Data Table | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------------------|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|------------------------------------------------|-----------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|----------|-----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 | 1,941,823 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 } | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

| 1 Reserved | | | |
|-----------------------------|------------------------|------------------------|------------------------|
| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| 2 Reserved | | 2 | |
| 3 Reserved. | | 3 | |
| 4 Reserved | | 4 | |
| 5 Reserved | | 5 | |
| 6 Reserved | | 6 | |
| 7 Reserved | | 7 | |
| 8 Reserved , | | 8 | |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

| | | | |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions) | | |
| b | Reserved. | 1 | 36,362 |
| c | All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2. | 3 | 36,362 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | 4 | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-. | 5 | 36,362 |
| 6 | Credits/Payments: | | |
| a | 2020 estimated tax payments and 2019 overpayment credited to 2020 | 6a | 50,599 |
| b | Exempt foreign organizations—tax withheld at source | 6b | 0 |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | 0 |
| d | Backup withholding erroneously withheld | 6d | |
| 7 | Total credits and payments. Add lines 6a through 6d. | 7 | 50,599 |
| 8 | Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached. | 8 | 0 |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . | 9 | |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . | 10 | 14,237 |
| 11 | Enter the amount of line 10 to be: Credited to 2021 estimated tax 14,237 Refunded | 11 | 0 |

Part VII-A Statements Regarding Activities

| | | | |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | Yes | No |
| 1a | | | No |
| b | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | No |
| 1b | | | No |
| c | Did the foundation file Form 1120-POL for this year? | 1c | No |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____ | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____ | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | 2 | No |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | 3 | No |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | No |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | 5 | No |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | Yes |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> | 7 | Yes |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY _____ | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> | 8b | Yes |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i> | 9 | No |
| 10 | Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> | 10 | No |

Part VII-A Statements Regarding Activities (continued)

| | | | | |
|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|------------|-----------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. | 11 | | No |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | 12 | | No |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.cummingsfund.org</u> | 13 | Yes | |
| 14 | The books are in care of ► <u>BNY MELLON NA</u> Telephone no. ► <u>(212) 922-8143</u> | | | |

Located at ► PO BOX 185 PITTSBURGH PA ZIP+4 ► 152300185

| | | | | |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------|------------|-----------|
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here | <input type="checkbox"/> | | |
| | and enter the amount of tax-exempt interest received or accrued during the year | ► 15 | | |
| 16 | At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► | | | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | | Yes | No |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|-----------|
| 1a | During the year did the foundation (either directly or indirectly): | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions | 1b | No |
| | Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/> | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? | 1c | No |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a | At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____ | | |
| b | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) | 2b | |
| c | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____ | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b | If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) | 3b | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | No |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | 4b | No |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|-----------|
| 5a | During the year did the foundation pay or incur any amount to: | | |
| (1) | Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (2) | Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (3) | Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (4) | Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| (5) | Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | | 5b |
| | Organizations relying on a current notice regarding disaster assistance check here. | <input type="checkbox"/> | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| | If "Yes," attach the statement required by Regulations section 53.4945–5(d). | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b |
| | If "Yes" to 6b, file Form 8870. | | No |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| b | If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b |
| 8 | Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

| 1 List all officers, directors, trustees, foundation managers and their compensation. See instructions | | | | |
|-------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| BNY MELLON N A PO BOX 185 PITTSBURGH, PA 152300185 | CO-TRUSTEE 0 | 147,912 | | |
| J ANDREW LARK ESQ 501 Fifth Ave Suite 708 New York, NY 10017 | CO-TRUSTEE 35 | 47,966 | | |
| 2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE." | | | | |
| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
| ELIZABETH HARRISON COSTAS 501 FIFTH AVE SUITE 708 NEW YORK, NY 10017 | EXECUTIVE DIRECTOR 35 | 144,457 | | |
| DOROTHY A RILEY-CHEW 501 FIFTH AVE SUITE 708 NEW YORK, NY 10017 | SECRETARY 35 | 50,301 | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total number of other employees paid over \$50,000. | | | | 0 |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------------------------------|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ► | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|----------|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1 | |
| 2 | |
| All other program-related investments. See instructions. | |
| 3 | |
| Total. Add lines 1 through 3 ► | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 46,690,207 |
| b | Average of monthly cash balances. | 1b | 570,590 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 47,260,797 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 47,260,797 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 708,912 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 46,551,885 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 2,327,594 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

| | | | |
|-----------|--------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 2,327,594 |
| 2a | Tax on investment income for 2020 from Part VI, line 5. | 2a | 36,362 |
| b | Income tax for 2020. (This does not include the tax from Part VI.). | 2b | |
| c | Add lines 2a and 2b. | 2c | 36,362 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 2,291,232 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 2,291,232 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 2,291,232 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 1,906,812 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,906,812 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 1,906,812 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 2,291,232 |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only. | | | 0 | |
| b Total for prior years: 20____, 20____, 20____ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015. | 175,716 | | | |
| b From 2016. | 107,885 | | | |
| c From 2017. | 0 | | | |
| d From 2018. | 0 | | | |
| e From 2019. | 0 | | | |
| f Total of lines 3a through e. | 283,601 | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>1,906,812</u> | | | | |
| a Applied to 2019, but not more than line 2a | | | 0 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2020 distributable amount. | | | | 1,906,812 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).) | 283,601 | | | 283,601 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b. | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions. | | 0 | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. | | | 0 | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. | | | | 100,819 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a. | 0 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016. | 0 | | | |
| b Excess from 2017. | 0 | | | |
| c Excess from 2018. | 0 | | | |
| d Excess from 2019. | 0 | | | |
| e Excess from 2020. | 0 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| | (a) 2020 | (b) 2019 | (c) 2018 | (d) 2017 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
Elizabeth H Costas Exec Dir Fra
501 Fifth Ave Ste 708
NEW YORK, NY 10017
(212) 286-1778

b The form in which applications should be submitted and information and materials they should include:
Please refer to www.cummingsfund.org for the annual report

c Any submission deadlines:
April 1st and October 1st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
No support for cultural art

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| <div>Recipient</div> <div>Name and address (home or business)</div> | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------------------|
| a <i>Paid during the year</i> SEE ATTACHED C/O BNY MELLONPO BOX 185 PITTSBURGH, PA 15219 | NONE | PC | GENERAL OPERATING PURPOSES | 1,470,000 |
| | | | | |
| Total | | | | 3a 1,470,000 |
| b <i>Approved for future payment</i> | | | | |
| | | | | |
| Total | | | | 3b |

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|-------------------------------------------------------------------------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| f _____ | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments. . . . | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities. . . . | | | 14 | 809,883 | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property. | | | | | |
| b Not debt-financed property. | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income. | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 1,941,823 | |
| 9 Net income or (loss) from special events: | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: a _____ | | | | | |
| b _____ | | | | | |
| c _____ | | | | | |
| d _____ | | | | | |
| e _____ | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e). . . | | | | 2,751,706 | |
| 13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.) | | | 13 | | 2,751,706 |

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | | |
|---|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--|----|
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | 1c | | No |
| d | <p>If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |
| | | |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| Sign Here <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> | <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> | <div style="border-bottom: 1px solid black; height: 20px; margin-bottom: 5px;"></div> <div style="border-bottom: 1px solid black; height: 20px;"></div> |
| Signature of officer or trustee | Date | Title |

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

| | | | | | |
|---------------------------------------|-----------------------------------------------------------|----------------------|------------|-------------------------------------------------------------------|--------------------------|
| Paid Preparer Use Only | Print/Type preparer's name | Preparer's Signature | Date | Check if self-employed <input checked="checked" type="checkbox"/> | PTIN |
| | SHAWN P HANLON | | 2021-12-13 | | P00965923 |
| | Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | | | | Firm's EIN ▶ 13-4008324 |
| | Firm's address ▶ 301 GRANT STREET PITTSBURGH, PA 15219 | | | | Phone no. (412) 355-6000 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 50.6 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2020-08-15 |
| 200. APPLE COMPUTER INC COM | | 2006-05-25 | 2020-09-08 |
| 27012.427 BNY MELLON MID CAP STK FD CL M | | | 2020-09-08 |
| 18963.337 BNYM GLOBAL STOCK-Y | | 2019-03-22 | 2020-09-08 |
| 3000. CISCO SYS INC | | 2015-01-20 | 2020-09-08 |
| 250. J P MORGAN CHASE & CO | | 2008-03-24 | 2020-09-08 |
| 350. JOHNSON & JOHNSON COM | | 2008-10-10 | 2020-09-08 |
| 3806.92 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2020-09-15 |
| 29.76 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2020-10-15 |
| 16598.541 BNYM GLOBAL STOCK-Y | | 2019-03-22 | 2020-10-30 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 51 | | 51 | |
| 23,406 | | 457 | 22,949 |
| 500,000 | | 309,867 | 190,133 |
| 450,000 | | 399,937 | 50,063 |
| 120,992 | | 84,360 | 36,632 |
| 25,130 | | 11,916 | 13,214 |
| 52,149 | | 19,327 | 32,822 |
| 3,807 | | 3,857 | -50 |
| 30 | | 30 | |
| 386,746 | | 350,063 | 36,683 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | 22,949 |
| | | | 190,133 |
| | | | 50,063 |
| | | | 36,632 |
| | | | 13,214 |
| | | | 32,822 |
| | | | -50 |
| | | | |
| | | | 36,683 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1545.598 BNYM GLOBAL STOCK-Y | | 2019-12-31 | 2020-10-30 |
| 2000. CISCO SYS INC | | 2015-01-20 | 2020-10-30 |
| 24582.105 DFA EMERG MKTS CORE EQUITY | | | 2020-10-30 |
| 2000. INTEL CORPORATION | | | 2020-10-30 |
| 29.97 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2020-11-15 |
| .5781 VIATRIS INC | | 2010-06-15 | 2020-11-25 |
| 100. APPLE COMPUTER INC COM | | 2006-05-25 | 2020-12-15 |
| 11301.99 BNY MELLON MID CAP STK FD CL M | | | 2020-12-15 |
| 1515.152 BNYM GLBL RL RTRN -Y | | 2014-03-27 | 2020-12-15 |
| 500. CISCO SYS INC | | 2016-01-07 | 2020-12-15 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 36,012 | | 35,580 | 432 |
| 71,121 | | 56,240 | 14,881 |
| 500,000 | | 470,198 | 29,802 |
| 87,841 | | 63,810 | 24,031 |
| 30 | | 30 | |
| 10 | | 4 | 6 |
| 12,613 | | 229 | 12,384 |
| 250,000 | | 146,630 | 103,370 |
| 25,000 | | 22,455 | 2,545 |
| 22,302 | | 12,865 | 9,437 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 432 |
| | | | 14,881 |
| | | | 29,802 |
| | | | 24,031 |
| | | | |
| | | | 6 |
| | | | 12,384 |
| | | | 103,370 |
| | | | 2,545 |
| | | | 9,437 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 30.15 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2020-12-15 |
| 1000. INTERCONTINENTALEXCHANGE GRO | | 2013-11-19 | 2020-12-15 |
| 1538. VIATRIS INC | | | 2020-12-15 |
| 30.33 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-01-15 |
| 30.51 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-02-15 |
| 25. ALPHABET INC/CA | | 2013-08-14 | 2021-02-18 |
| 200. APPLE COMPUTER INC COM | | 2006-05-25 | 2021-02-18 |
| 11185.683 BNY MELLON MID CAP STK FD CL M | | | 2021-02-18 |
| 1488.095 BNYM GLBL RL RTRN -Y | | 2014-03-27 | 2021-02-18 |
| 100. J P MORGAN CHASE & CO | | 2008-03-24 | 2021-02-18 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 30 | | 31 | -1 |
| 108,352 | | 40,998 | 67,354 |
| 26,541 | | 10,185 | 16,356 |
| 30 | | 31 | -1 |
| 31 | | 31 | |
| 52,463 | | 10,837 | 41,626 |
| 25,817 | | 457 | 25,360 |
| 250,000 | | 129,206 | 120,794 |
| 25,000 | | 22,054 | 2,946 |
| 14,466 | | 4,767 | 9,699 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -1 |
| | | | 67,354 |
| | | | 16,356 |
| | | | -1 |
| | | | |
| | | | 41,626 |
| | | | 25,360 |
| | | | 120,794 |
| | | | 2,946 |
| | | | 9,699 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 200. JOHNSON & JOHNSON COM | | 2008-10-10 | 2021-02-18 |
| 500. MERCK & CO INC | | 2010-06-15 | 2021-02-18 |
| 100. MICROSOFT CORP COM | | 1996-06-27 | 2021-02-18 |
| 500. MONDELEZ INTERNATIONAL INC | | 2008-10-10 | 2021-02-18 |
| 1400. PFIZER INC COM | | 2010-06-15 | 2021-02-18 |
| 500. PHILIP MORRIS INTERNATIONAL | | 2011-06-02 | 2021-02-18 |
| 30.72 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-03-15 |
| 8710.801 BNY MELLON MID CAP STK FD CL M | | | 2021-04-06 |
| 2500. BOSTON SCIENTIFIC CORP COM | | 2019-10-29 | 2021-04-06 |
| 2500. SCHWAB CHARLES CORP NEW | | 2016-11-11 | 2021-04-06 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 32,908 | | 11,044 | 21,864 |
| 37,551 | | 17,604 | 19,947 |
| 24,173 | | 753 | 23,420 |
| 27,535 | | 8,394 | 19,141 |
| 48,523 | | 20,534 | 27,989 |
| 43,325 | | 35,020 | 8,305 |
| 31 | | 31 | |
| 200,000 | | 96,099 | 103,901 |
| 97,900 | | 104,846 | -6,946 |
| 169,599 | | 88,325 | 81,274 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 21,864 |
| | | | 19,947 |
| | | | 23,420 |
| | | | 19,141 |
| | | | 27,989 |
| | | | 8,305 |
| | | | |
| | | | 103,901 |
| | | | -6,946 |
| | | | 81,274 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 30.9 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-04-15 |
| 31.11 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-05-15 |
| 31.29 GOV'T NAT'L MTGE ASSN POOL 564651 | | 2001-06-08 | 2021-06-15 |
| 400. ABBOTT LABORATORIES | | 2014-04-25 | 2021-06-17 |
| 100. AMGEN INC | | 2013-10-29 | 2021-06-17 |
| 700. APPLE COMPUTER INC COM | | 2006-05-25 | 2021-06-17 |
| 1060.221 BNY MELLON MID CAP STK FD CL M | | | 2021-06-17 |
| 1598.465 BNY MELLON INTL FD CL M SHSS | | 2014-01-29 | 2021-06-17 |
| 830.014 BNYM SEL MGR S/C VAL-Y | | 2010-06-15 | 2021-06-17 |
| 1951.6 BNY MELLON INTL EQTY-Y | | 2012-06-14 | 2021-06-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 31 | | 31 | |
| 31 | | 32 | -1 |
| 31 | | 32 | -1 |
| 44,741 | | 15,272 | 29,469 |
| 24,092 | | 11,802 | 12,290 |
| 92,097 | | 1,601 | 90,496 |
| 25,000 | | 12,219 | 12,781 |
| 25,000 | | 19,469 | 5,531 |
| 25,000 | | 14,918 | 10,082 |
| 50,000 | | 29,358 | 20,642 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | |
| | | | -1 |
| | | | -1 |
| | | | 29,469 |
| | | | 12,290 |
| | | | 90,496 |
| | | | 12,781 |
| | | | 5,531 |
| | | | 10,082 |
| | | | 20,642 |

| Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d | | | |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
| 929.369 DFA EMERG MKTS CORE EQUITY | | 2015-10-07 | 2021-06-17 |
| 100. FACEBOOK INC | | 2014-04-25 | 2021-06-17 |
| 100. HOME DEPOT INC USD 0.05 | | 2010-06-15 | 2021-06-17 |
| 500. INTERCONTINENTALEXCHANGE GRO | | 2013-11-19 | 2021-06-17 |
| 400. J P MORGAN CHASE & CO | | 2008-03-24 | 2021-06-17 |
| 100. JOHNSON & JOHNSON COM | | 2008-10-10 | 2021-06-17 |
| 300. MICROSOFT CORP COM | | 1996-06-27 | 2021-06-17 |
| 650. ORGANON & CO | | | 2021-06-17 |
| 150. PEPSICO INC | | 2004-12-14 | 2021-06-17 |
| 500. PHILIP MORRIS INTERNATIONAL | | 2011-06-02 | 2021-06-17 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 25,000 | | 15,706 | 9,294 |
| 33,613 | | 5,814 | 27,799 |
| 30,379 | | 3,195 | 27,184 |
| 57,012 | | 20,499 | 36,513 |
| 60,824 | | 19,066 | 41,758 |
| 16,470 | | 5,522 | 10,948 |
| 78,136 | | 2,259 | 75,877 |
| 20,413 | | 11,410 | 9,003 |
| 22,214 | | 7,816 | 14,398 |
| 50,021 | | 35,020 | 15,001 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 9,294 |
| | | | 27,799 |
| | | | 27,184 |
| | | | 36,513 |
| | | | 41,758 |
| | | | 10,948 |
| | | | 75,877 |
| | | | 9,003 |
| | | | 14,398 |
| | | | 15,001 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

[illegible][illegible][illegible]

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |

[illegible][illegible]

[illegible][illegible][illegible]

[illegible][illegible][illegible]

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |

[illegible][illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |
| CAPITAL GAIN DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | Depreciation allowed (f) (or allowable) | Cost or other basis (g) plus expense of sale | Gain or (loss) (h) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| | | | 229,554 |
| | | | 229,554 |
| | | | 229,554 |
| | | | 229,554 |
| | | | 229,554 |
| | | | 229,554 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | Adjusted basis (j) as of 12/31/69 | Excess of col. (i) (k) over col. (j), if any | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

TY 2020 Investments - Other Schedule

Name: FRANCES & EDWIN CUMMINGS MEM FD TR UW
EIN: 13-6814491

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| G29183103 EATON CORP PLC | AT COST | 73,417 | 316,100 |
| 00724F101 ADOBE SYS INC COM | AT COST | 235,389 | 777,038 |
| 02079K305 ALPHABET INC/CA | AT COST | 263,147 | 1,212,539 |
| 05587K840 BNYM GLOBAL STOCK-Y | | | |
| 126650100 CVS CORP | AT COST | 162,425 | 205,900 |
| 17275R102 CISCO SYS INC | AT COST | 134,656 | 249,165 |
| 30161N101 EXELON CORP | AT COST | 118,668 | 187,200 |
| 458140100 INTEL CORPORATION | AT COST | 90,370 | 161,160 |
| 46432F842 ISHARES CORE MSCI EA | AT COST | 1,517,001 | 1,888,750 |
| 718172109 PHILIP MORRIS INTERN | AT COST | 159,219 | 200,180 |
| 882508104 TEXAS INSTRUMENTS IN | AT COST | 216,772 | 381,240 |
| G5960L103 MEDTRONIC PLC | AT COST | 144,644 | 196,965 |
| 254687106 DISNEY (WALT) COMPAN | AT COST | 26,730 | 528,060 |
| G1151C101 ACCENTURE PLC IRELAN | AT COST | 138,938 | 476,520 |
| 002824100 ABBOTT LABORATORIES | AT COST | 133,352 | 423,430 |
| 031162100 AMGEN INC | AT COST | 143,140 | 289,848 |
| 05587N794 BNYM GLBL RL RTRN -Y | AT COST | 778,182 | 913,515 |
| 260557103 DOW INC. | | | |
| 30303M102 FACEBOOK INC | AT COST | 159,711 | 570,080 |
| 580135101 MC DONALDS CORPORATI | AT COST | 154,019 | 303,388 |
| 609207105 MONDELEZ INTERNATION | AT COST | 98,206 | 284,670 |
| 654106103 NIKE INC CL B | AT COST | 118,992 | 376,898 |
| 70450Y103 PAYPAL HOLDINGS INC | AT COST | 220,761 | 551,060 |
| 713448108 PEPSICO INC | AT COST | 104,219 | 313,900 |
| 852234103 SQUARE INC - A | | | |
| 92826C839 VISA INC | AT COST | 164,800 | 591,336 |
| 258620301 DOUBLELINE CORE FIXE | AT COST | 600,934 | 622,910 |
| 233203421 DFA EMERG MKTS CORE | AT COST | 914,095 | 1,284,587 |
| 26614N102 DUPONT DE NEMOURS IN | | | |
| 30231G102 EXXON MOBIL CORP | AT COST | 67,677 | 259,065 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 452308109 ILLINOIS TOOL WORKS | AT COST | 110,019 | 283,338 |
| 79466L302 SALESFORCE COM INC | AT COST | 238,614 | 362,895 |
| 874039100 TAIWAN SEMICONDUCTOR | | | |
| 913017109 UNITED TECHNOLOGIES | | | |
| 05569M509 BNY MELLON MID CAP S | AT COST | 1,498,558 | 2,404,409 |
| 060505104 BANK AMER CORP | AT COST | 170,536 | 421,960 |
| 110122108 BRISTOL MYERS SQUIBB | AT COST | 191,971 | 271,480 |
| 150870103 CELANESE CORP DEL | AT COST | 73,407 | 194,713 |
| 166764100 CHEVRONTEXACO CORP | AT COST | 185,659 | 178,168 |
| 20825C104 CONOCOPHILLIPS | | | |
| 36213UH45 GOV'T NAT'L MTGE ASS | AT COST | 5,512 | 5,727 |
| 46625H100 J P MORGAN CHASE & C | AT COST | 285,514 | 910,680 |
| 742718109 PROCTER & GAMBLE CO | AT COST | 130,373 | 355,575 |
| 91913Y100 VALERO ENERGY CORP N | AT COST | 134,716 | 167,425 |
| 931142103 WAL MART STORES INC | AT COST | 147,140 | 285,100 |
| 58933Y105 MERCK & CO INC | AT COST | 231,010 | 499,655 |
| 594918104 MICROSOFT CORP COM | AT COST | 75,313 | 2,849,100 |
| 808513105 SCHWAB CHARLES CORP | AT COST | 88,325 | 169,875 |
| 857477103 STATE ST CORP COM | | | |
| G47791101 INGERSOLL-RAND PLC | | | |
| 025816109 AMERICAN EXPRESS COM | AT COST | 44,532 | 306,954 |
| 037833100 APPLE COMPUTER INC C | AT COST | 52,599 | 3,354,780 |
| 05569M814 BNY MELLON INTERMEDI | AT COST | 3,925,000 | 4,161,106 |
| 05588D753 BNY MELLON INTL EQTY | AT COST | 681,845 | 984,525 |
| 45866F104 INTERCONTINENTALEXCH | AT COST | 61,498 | 179,745 |
| 464287804 ISHARES TR S & P SMA | AT COST | 914,973 | 1,598,770 |
| 780259206 ROYAL DUTCH SHELL PL | | | |
| 92343V104 VERIZON COMMUNICATIO | AT COST | 95,034 | 223,120 |
| 05587N851 BNYM GLBL DYN BND IN | AT COST | 600,000 | 628,077 |
| 05587K824 BNYM SEL MGR S/C VAL | AT COST | 959,697 | 1,380,838 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|-----------------------|------------|-------------------------------|
| 05588F709 BNYM GLBL RL EST SEC | AT COST | 400,080 | 520,851 |
| 05589K400 BNYM FLTNG RT INC-Y | AT COST | 525,000 | 545,273 |
| 26441C204 DUKE ENERGY CORP NEW | AT COST | 118,605 | 210,220 |
| 437076102 HOME DEPOT INC USD 0 | AT COST | 81,939 | 590,742 |
| 438516106 HONEYWELL INTL INC | AT COST | 88,822 | 374,064 |
| 459200101 INTERNATIONAL BUSINE | | | |
| 693475105 PNC FINANCIAL SERVIC | | | |
| 717081103 PFIZER INC COM | AT COST | 164,215 | 470,910 |
| 988498101 YUM BRANDS INC | | | |
| 05569M871 BNY MELLON INTL FD C | AT COST | 785,899 | 977,111 |
| 05587N505 BNYM DYN TTL RTRN-Y | | | |
| 05588E850 BNY MELLON HIGH YIEL | AT COST | 500,000 | 528,850 |
| 097023105 BOEING COMPANY | | | |
| 14040H105 CAPITAL ONE FINL COR | | | |
| 478160104 JOHNSON & JOHNSON CO | AT COST | 176,704 | 551,040 |
| 91324P102 UNITED HEALTH GROUP | AT COST | 110,243 | 309,165 |
| 20030N101 COMCAST CORP NEW CL | AT COST | 118,130 | 294,150 |
| 00206R102 AT&T INC | AT COST | 215,026 | 196,350 |
| 023135106 AMAZON COM INC | AT COST | 87,240 | 1,497,416 |
| 375558103 GILEAD SCIENCES INC | AT COST | 14,999 | 153,653 |
| 464287507 ISHARES TR S&P MIDCA | AT COST | 1,084,221 | 1,618,260 |
| 46434G103 ISHARES CORE MSCI EM | AT COST | 603,845 | 663,705 |
| 87234N765 TCW EMERGING MARKETS | AT COST | 500,000 | 510,015 |
| 883556102 THERMO ELECTRON CORP | AT COST | 104,829 | 189,004 |
| 22160K105 COSTCO WHSL CORP NEW | AT COST | 150,230 | 214,860 |
| 67066G104 NVIDIA CORP | AT COST | 147,057 | 389,980 |
| G8994E103 TRANE TECHNOLOGIES P | AT COST | 71,869 | 346,137 |
| 65339F101 NEXTERA ENERGY INC | AT COST | 112,330 | 155,800 |
| 89832Q109 TRUIST FINL CORP | AT COST | 73,927 | 136,075 |
| 026874784 AMERICAN INTERNATION | AT COST | 104,995 | 142,050 |

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------------|-------------------|--------------------------------------|
| 09260D107 BLACKSTONE GROUP INC | AT COST | 95,490 | 230,540 |
| 00287Y109 ABBVIE INC | AT COST | 125,170 | 174,450 |
| 75513E101 RAYTHEON TECHNOLOGIE | AT COST | 50,679 | 130,425 |
| 05569M368 BNY MELLON CORP BOND | AT COST | 250,000 | 287,998 |
| 09260B382 BLACKROCK STRAT INC | AT COST | 601,680 | 638,115 |
| 101137107 BOSTON SCIENTIFIC CO | | | |
| 00771X419 G Q G PART EMERG MKT | AT COST | 500,000 | 552,681 |
| 22788C105 CROWDSTRIKE HOLDINGS | AT COST | 123,049 | 253,610 |
| 007903107 ADVANCED MICRO DEVIC | AT COST | 74,717 | 106,190 |
| 532457108 ELI LILLY & CO COM | AT COST | 111,295 | 194,800 |
| 64110L106 NETFLIX COM INC | AT COST | 163,018 | 155,271 |
| 464288273 ISHARES MSCI EAFE SM | AT COST | 203,236 | 264,040 |
| 235851102 DANAHER CORP COMMON | AT COST | 118,601 | 148,745 |
| 78409V104 S & P GLOBAL INC | AT COST | 97,856 | 128,616 |
| 83088M102 SKYWORKS SOLUTIONS I | AT COST | 67,150 | 92,255 |
| 74253Q416 PRINCIPAL PREFERRED | AT COST | 225,000 | 231,383 |
| 828806109 SIMON PPTY GROUP INC | AT COST | 57,380 | 63,260 |
| 126408103 CSX CORP COM | AT COST | 78,639 | 96,960 |

TY 2020 Other Decreases Schedule

Name: FRANCES & EDWIN CUMMINGS MEM FD TR UW

EIN: 13-6814491

| Description | Amount |
|---------------------------------|--------|
| PY RETURN OF CAPITAL ADJUSTMENT | 1,948 |

TY 2020 Other Expenses Schedule**Name:** FRANCES & EDWIN CUMMINGS MEM FD TR UW**EIN:** 13-6814491**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| INVESTMENT INTEREST EXPENSE | 22 | 22 | | 0 |
| STATE AG FEES | 750 | 0 | | 750 |
| INSURANCE PREMIUM | 920 | 0 | | 920 |
| ANNUAL DUES AND LICENSES | 15,760 | 0 | | 15,760 |
| HEALTH INSURANCE & BENEFITS | 24,950 | 0 | | 24,950 |
| OFFICE SUPPLIES AND EXPENSES | 7,309 | 0 | | 7,309 |

| | | | |
|---------------------------------------------|--|-----------------|---------------------|
| efile GRAPHIC print - DO NOT PROCESS | | As Filed Data - | DLN: 93491347011571 |
| TY 2020 Other Increases Schedule | | | |
| Name: FRANCES & EDWIN CUMMINGS MEM FD TR UW | | | |
| EIN: 13-6814491 | | | |
| Other Increases Schedule | | | |
| Description | | | Amount |
| ROUNDING | | | 1 |

TY 2020 Taxes Schedule**Name:** FRANCES & EDWIN CUMMINGS MEM FD TR UW**EIN:** 13-6814491**Taxes Schedule**

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------------|--------|--------------------------|------------------------|---------------------------------------------|
| FOREIGN TAXES | 7,544 | 7,544 | | 0 |
| FEDERAL ESTIMATES - INCOME | 20,240 | 0 | | 0 |
| FEDERAL ESTIMATES - PRINCIPAL | 27,090 | 0 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 1,116 | 1,116 | | 0 |

| Organization Name | Address | Organization City | Organization State | Organization Postal Code | Project Name | Amount Awarded | Relationship | Status |
|----------------------------------------------------|----------------------------------------|-------------------|--------------------|--------------------------|------------------------------------------------------------------------------|----------------|--------------|--------|
| All Star Code, Inc. | 276 5th Avenue, Suite 704 # 734 | New York | NY | 10001 | For continued support of the Curriculum Director | \$60,000.00 | None | PC |
| Blue Engine, Inc. | 142 West 57th Street, 11th Floor | New York | NY | 10019 | For continued support of the Vice President of NYC Program Implementation | \$60,000.00 | None | PC |
| Blue Line, Inc. | 44 Court Street, Suite 300 | Brooklyn | NY | 11201 | For continued support of the Director of Career Connections | \$50,000.00 | None | PC |
| Bowery Residents' Committee, Inc. | 131 West 25th Street, 12th Floor | New York | NY | 10001 | For the expansion of the Horizons Workforce Development Program | \$60,000.00 | None | PC |
| Boys & Girls Club of Paterson, Inc. | 284 21st Avenue | Paterson | NJ | 07601 | For continued support of the Government and Foundation Relations Manager | \$50,000.00 | None | PC |
| Boys & Girls Clubs of Lower Bergen County, Inc. | 50 Brookside Avenue, 1st Floor | Loth | NJ | 07644 | To support a new Social Worker/Intervention Specialist position | \$35,000.00 | None | PC |
| Boys and Girls Club of Union County, Inc. | 1050 Jeanette Avenue | Union | NJ | 07083 | To support support of the Quality Improvement & Impact Manager | \$40,000.00 | None | PC |
| Convey Island Preparatory Public Charter School | 315 Avenue U | Brooklyn | NY | 11223 | For continued support of the Admin Success Director | \$50,000.00 | None | PC |
| East River Hospital, Inc. | 2035 Street | New York | NY | 10029 | To support the new Outreach Coordinator | \$50,000.00 | None | PC |
| East River Hospital, Inc. | 21-01 41st Street | Long Island City | NY | 11101 | To support two dedicated patient navigators | \$50,000.00 | None | PC |
| Forestdale, Inc. | 67-35 12th Street | Forest Hills | NY | 11375 | To support clinicians for the expansion of the Strong Mothers programs | \$30,000.00 | None | PC |
| Good Shepherd Services | 305 7th Avenue, 9th Floor | New York | NY | 10001 | For continued support of the Salesforce System Administrator | \$35,000.00 | None | PC |
| Graham Windham | One Pleasant Plaza, Suite 901 | Brooklyn | NY | 11201 | For general support for the expansion of the Family Success Initiative | \$45,000.00 | None | PC |
| Grand Street Settlement, Inc. | 80 Pitt Street | Brooklyn | NY | 11201 | To support Youth Services programming for young people in Grades K-12 | \$30,000.00 | None | PC |
| HOPE Program, Inc. | One Smith Street, 4th Floor | Brooklyn | NY | 11201 | For a new Case Manager to expand their service capacity | \$40,000.00 | None | PC |
| Hudson Guild | 441 West 26th Street | New York | NY | 10001 | For an Education Specialist to develop academic programming for grades K-8 | \$60,000.00 | None | PC |
| KIPP New Jersey | 60 Park Place, Suite 802 | Newark | NJ | 07102 | To support the new Associate Director of New Teacher Development | \$60,000.00 | None | PC |
| KIPP New York | 1501 Broadway, Suite 1000 | New York | NY | 10036 | For continued support of the Managing Director of Academics K-8 | \$60,000.00 | None | PC |
| Laymens Alliance for New York | 171 Madison Avenue, 9th Floor | New York | NY | 10016 | To help nonprofits navigate the challenges of the pandemic | \$60,000.00 | None | PC |
| Madison Square Boys & Girls Club, Inc. | 250 Bradhurst Avenue | New York | NY | 10039 | For their Teen Campus programs which focus on academic success | \$60,000.00 | None | PC |
| New Visions for Public Schools, Inc. | 205 East 42nd Street, 4th Floor | New York | NY | 10017 | To iterate and refine their postsecondary advising model | \$60,000.00 | None | PC |
| Northside Center for Child Development, Inc. | 1475 Park Avenue | New York | NY | 10029 | To support the provision of their behavioral health services | \$35,000.00 | None | PC |
| Opportunities for a Better Tomorrow, Inc. | 59 Mill Street | Paterson | NJ | 07601 | For additional staffing for the After-School Academy | \$30,000.00 | None | PC |
| Opportunities for a Better Tomorrow, Inc. | 182 3rd Avenue, Suite 10-10NE, Unit 18 | Brooklyn | NY | 11232 | To support a new Special Projects Manager position | \$30,000.00 | None | PC |
| Opportunity for a Better Tomorrow, Inc. | 215 Broadway, 1st Floor | New York | NY | 10003 | To support the new Research and Data Manager | \$30,000.00 | None | PC |
| Opportunity for a Better Tomorrow, Inc. | 66 B'way Street, 11th Floor | New York | NY | 10004 | To support the new Manager for the Teacher Ed Program in NYC | \$60,000.00 | None | PC |
| Sponsored by Alpha Coda Nation | 55 Exchange Place | New York | NY | 10005 | For the Manager of Data and Evaluation for SSO High School Scholars | \$60,000.00 | None | PC |
| Teach for America, Inc. - New Jersey | 50 Park Place, 2nd Floor | Newark | NJ | 07102 | To support the new Director of Program Continuum | \$60,000.00 | None | PC |
| Teach for America, Inc. - New York | 25 Broadway, 12th Floor | New York | NY | 10004 | For the development of Jumpstart: Foundations to Early Reading Success | \$60,000.00 | None | PC |
| Teaching Matters, Inc. | 475 Riverside Drive, Suite 600 | New York | NY | 10115 | For continued support of the Director of Student and Family Services | \$30,000.00 | None | PC |
| The GO Project, Inc. | 50 Cooper Square, 3rd Floor | New York | NY | 10003 | For continued support of the Individualized Placement and Support Initiative | \$30,000.00 | None | PC |
| Under 21 / Covenant House New York | 560 Tenth Avenue | New York | NY | 10018 | Board designated gift for general support | \$35,000.00 | None | PC |
| Boys & Girls Club of Paterson, Inc. | 284 21st Avenue | Paterson | NJ | 07601 | Board designated gift for general support | \$4,000.00 | None | PC |
| Chautauque Foundation | P. O. Box 28 | Chautauque | NY | 14722 | Board designated gift for the lecture program | \$2,000.00 | None | PC |
| Convey Island Preparatory Public Charter School | 315 Avenue U | Brooklyn | NY | 11223 | Board designated gift for general support | \$1,000.00 | None | PC |
| Hamilton College Trustees | 196 College Hill Road | Clinton | NY | 13323 | Board designated gift for general support | \$2,000.00 | None | PC |
| Horizons National Student Enrichment Program, Inc. | 120 Post Road West, Suite 202 | Westport | CT | 06880 | Board designated gift for general support | \$2,000.00 | None | PC |
| Howard University | 2225 Georgia Avenue, NW, Suite 630 | Washington | DC | 20059 | Board designated gift for general support | \$3,000.00 | None | PC |
| Miss Porter's School | 60 Main Street | Farmington | CT | 06032 | Board designated gift for general support | \$4,000.00 | None | PC |
| National Center on Philanthropy and the Law, Inc. | 138 MacDougal Street, Room 111 | New York | NY | 10012 | Board designated gift for general support | \$2,000.00 | None | PC |
| TOTAL GRANTS APPROVED | | | | | | \$1,470,000.00 | | |