

For calendar year 2020, or tax year beginning 08-01-2020, and ending 07-31-2021

Name of foundation Fan Fox & Leslie R. Samuels Foundation Inc		A Employer identification number 13-3124818	
Number and street (or P.O. box number if mail is not delivered to street address) 275 Madison Avenue		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code New York, NY 10016		B Telephone number (see instructions) (212) 239-3030	
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 210,142,573		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	7,500			
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	15,874	15,874		
	4 Dividends and interest from securities	384,462	384,462		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	9,840,635			
	b Gross sales price for all assets on line 6a _____ 13,995,696				
	7 Capital gain net income (from Part IV, line 2)		14,068,673		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule) 	4,001,515	-822,851		
	12 Total. Add lines 1 through 11	14,249,986	13,646,158		
	13 Compensation of officers, directors, trustees, etc.	991,980	285,149		706,831
	14 Other employee salaries and wages	335,713	64,523		271,190
	15 Pension plans, employee benefits	328,910	66,864		262,046
	16a Legal fees (attach schedule) 	1,455	146		1,309
	b Accounting fees (attach schedule) 	60,103	6,010		54,093
	c Other professional fees (attach schedule) 	650,167	650,167		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	159,609			
	19 Depreciation (attach schedule) and depletion	0			
	20 Occupancy	283,223	28,322		254,901
	21 Travel, conferences, and meetings	1,249	125		1,124
	22 Printing and publications				
	23 Other expenses (attach schedule) 	59,813	5,980		53,833
	24 Total operating and administrative expenses. Add lines 13 through 23	2,872,222	1,107,286		1,605,327
	25 Contributions, gifts, grants paid	7,325,100			7,325,100
	26 Total expenses and disbursements. Add lines 24 and 25	10,197,322	1,107,286		8,930,427
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	4,052,664			
	b Net investment income (if negative, enter -0-)		12,538,872		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	24,934	34,426	34,426
	2 Savings and temporary cash investments	11,530,853	4,020,115	4,020,115
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)		0	
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	14,530,048	23,959,152	28,035,904
	c Investments—corporate bonds (attach schedule)	320,207	320,207	288,013
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	71,236,290	69,910,059	105,865,813
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____		0	
15 Other assets (describe ▶ _____)	42,666,066	45,611,785	71,898,302	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	140,308,398	143,855,744	210,142,573	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons		0	
	21 Mortgages and other notes payable (attach schedule)		0	
	22 Other liabilities (describe ▶ _____)	0	0	
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	140,308,398	143,855,744	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	140,308,398	143,855,744	
30 Total liabilities and net assets/fund balances (see instructions) .	140,308,398	143,855,744		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	140,308,398
2 Enter amount from Part I, line 27a	2	4,052,664
3 Other increases not included in line 2 (itemize) ▶ _____	3	2
4 Add lines 1, 2, and 3	4	144,361,064
5 Decreases not included in line 2 (itemize) ▶ _____	5	505,320
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	143,855,744

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Securities - See schedule attached		P	2020-01-01	2020-12-31
b Capital gains distributions		P	2020-01-01	2020-12-31
c Class Action Lawsuit payments		P	2020-01-01	2020-12-31
d Share of Partnerships		P	2020-01-01	2020-12-31
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 13,995,696	0	4,184,363	9,811,333	
b 26,217	0	0	26,217	
c 3,085	0	0	3,085	
d 4,228,038	0	0	4,228,038	
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a 0	0	0	9,811,333	
b 0	0	0	26,217	
c 0	0	0	3,085	
d 0	0	0	4,228,038	
e				

2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	14,068,673
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		{ }		3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved					
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved		
2 Reserved				2	
3 Reserved.				3	
4 Reserved				4	
5 Reserved				5	
6 Reserved				6	
7 Reserved				7	
8 Reserved ,				8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	174,290
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	174,290
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	174,290
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	245,764
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	245,764
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	71,474
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 71,474 Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.samuels.org</u>	13	Yes	
14	The books are in care of ► <u>Alexandra Francis</u> Telephone no. ► <u>(212) 239-3030</u>			

Located at ► 275 Madison Avenue New York NYZIP+4 ► 10016

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	No
	Organizations relying on a current notice regarding disaster assistance check here. ► ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b	
Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes ☐ No		
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes ☒ No		
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No				
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?		☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Lauren Weisenfeld 169 E 69th Street New York, NY 10021	Deputy Director, Hea 35.00	164,880	32,986	0
Alexandra Francis 733 Bryant St Rahway, NJ 07065	Controller 35.00	118,600	48,587	0
Colette Lui 101 Clark Street 12E Brooklyn, NY 11201	Program Assistant 35.00	52,233	28,844	0
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Landseer Advisors LLC 100 Overlook Center 2nd Floor Princeton, NJ 08540	Investment Consulting	640,000

Total number of others receiving over \$50,000 for professional services. **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	183,640,321
b	Average of monthly cash balances.	1b	10,870,337
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	194,510,658
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	194,510,658
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	2,917,660
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	191,592,998
6	Minimum investment return. Enter 5% of line 5.	6	9,579,650

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	9,579,650
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	174,290
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	174,290
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	9,405,360
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	9,405,360
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	9,405,360

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	8,930,427
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,930,427
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	8,930,427

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				9,405,360
2 Undistributed income, if any, as of the end of the 2020:				
a Enter amount for 2019 only.			7,269,505	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015. 0				
b From 2016. 0				
c From 2017. 0				
d From 2018. 0				
e From 2019. 0				
f Total of lines 3a through e. 0	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 8,930,427				
a Applied to 2019, but not more than line 2a			7,269,505	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				1,660,922
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	0			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				7,744,438
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2016. 0				
b Excess from 2017. 0				
c Excess from 2018. 0				
d Excess from 2019. 0				
e Excess from 2020. 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
Joseph C Mitchell
275 Madison Avenue 31st Floor
New York, NY 10016
(212) 239-3030

b The form in which applications should be submitted and information and materials they should include:
No prescribed form

c Any submission deadlines:
The Performing Arts Program has quarterly deadlines the first business day of March, June, September

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
Restricted to NYC performing arts and social service and healthcare programs for the elderly of NYC

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Schedule Attached addresses on schedule Various, NY 10016		AllPublic	Various	7,325,100
Total ▶ 3a				7,325,100
b <i>Approved for future payment</i> See Schedule Attached Addresses on schedule Various, NY 10016		AllPublic	Various	4,022,292
Total ▶ 3b				4,022,292

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments.						
3 Interest on savings and temporary cash investments				14	15,874	
4 Dividends and interest from securities.				14	384,462	
5 Net rental income or (loss) from real estate:						
a Debt-financed property.						
b Not debt-financed property.						
6 Net rental income or (loss) from personal property						
7 Other investment income.						
8 Gain or (loss) from sales of assets other than inventory				18	9,840,635	
9 Net income or (loss) from special events:						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a Partnership Income _____		523900	802,277	14	3,405,187	
b Income Adjustment by Partnership _____				14	-205,949	
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e).			802,277		13,440,209	
13 Total. Add line 12, columns (b), (d), and (e).				13		14,242,486

[illegible]

Part XVII

- | | Yes | No |
|-------|-----|----|
| 1a(1) | | No |
| 1a(2) | | No |
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |
| 1c | | No |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
--	--

**Sign
Here**

2022-06-13

May the IRS discuss this return with the preparer shown below

(see instr.) ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ► ☐	PTIN
	Firm's name ►				Firm's EIN ►
	Firm's address ►				Phone no.

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Joseph C Mitchell	Chairman, Managing Director 48.00	416,878	44,710	0
72 Whiteoak Drive South Orange, NJ 07079				
Robert Marx	President, Director 48.00	303,103	31,997	0
35 West 92nd Street New York, NY 10025				
Julio Urbina	Vice President 40.00	192,000	75,642	0
19 Hillcrest Road Bronxville, NY 10808				
Michael L Ziegler Esq	Director, Secretary 1.50	16,000	0	0
825 W 187 Street Apt 5B New York, NY 10033				
Jacqueline M Taylor	Director 1.00	8,000	0	0
13415 Shaker Blvd 12-K3 Cleveland, OH 44120				
Joseph W Polisi	Director 1.00	16,000	0	0
42 Fairway Avenue Rye, NY 10580				
Mikki Shepard	Director 1.30	16,000	0	0
698 West End Avenue New York, NY 10025				
Martin Kelly	Director 1.00	24,000	0	0
16 Halifax Street North Andover, MA 01845				

TY 2020 Accounting Fees Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Withum Smith + Brown Tax Return Preparation and Review	39,103	3,910		35,193
PKF O'Connor Davies Annual Audit	21,000	2,100		18,900

TY 2020 Legal Fees Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Ellenoff, Grossman & Schole LLP Legal services	1,455	146		1,309

TY 2020 Other Decreases Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818

Description	Amount
Rounding	0
Partnership adjustment for GAAP to Tax Basis K-1 change	499,077
Partnership adjustment on sale	6,243

TY 2020 Other Expenses Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	14,580	1,458		13,122
Computers, Incl. Maint.	21,112	2,111		19,001
Telephone	8,471	847		7,624
Dues, Subscriptions & Books	5,094	509		4,585
Postage	1,974	197		1,777
Miscellaneous	8,582	858		7,724

TY 2020 Other Income Schedule

Name: Fan Fox & Leslie R Samuels Foundation Inc

EIN: 13-3124818

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Share of Partnership Inc-See Sched	4,001,515	-822,851	

TY 2020 Other Increases Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818**Other Increases Schedule**

Description	Amount
rounding	2
Partnership adjustment on sale	0
Partnership adjustment on reorg	0

TY 2020 Other Professional Fees Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Deutsche Bank Custody Fees	9,587	9,587		
Landseer Advisors LLC Investment Consulting	640,000	640,000		
Cow Corner I LP Investment Entry Fee	580	580		

TY 2020 Taxes Schedule**Name:** Fan Fox & Leslie R Samuels Foundation Inc**EIN:** 13-3124818**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Federal Excise Taxes	155,000			
State Taxes	3,884			
MCTMT Taxes	725			

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.	OMB No. 1545-0047
		2020
Name of the organization Fan Fox & Leslie R Samuels Foundation Inc		Employer identification number 13-3124818

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
Fan Fox & Leslie R Samuels Foundation Inc

Employer identification number
13-3124818

Part I**Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Landseer Advisors LLC 100 Overlook Center 2nd Floor Princeton, NJ 08540	\$ 7,500	☒ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
		\$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization Fan Fox & Leslie R Samuels Foundation Inc	Employer identification number 13-3124818
---	--

Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given (see instructions). Use duplicate copies of Part II if additional space is needed.	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		\$	

Name of organization Fan Fox & Leslie R Samuels Foundation Inc	Employer identification number 13-3124818
---	--

Part III	Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____ Use duplicate copies of Part III if additional space is needed.
-----------------	---

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
-			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
-			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
-			
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
-			

Part IV Line 1a - Capital Gains Y/E 7/31/21

The Fan Fox and Leslie R. Samuels Foundation, Inc.

ID# 13-3124818

Sell Date	Symbol	Portfolio File	Description	Buy Date	Sell Quantity	Sell Cost	Sell Amount	Realized Gains
08/12/20	ROUND	INV.PF	Roundshield Fund	12/23/16	1	1.00	191,066.46	191,065.46
08/14/20	MEG	INV.PF	Modern Energy Gr	04/30/19	1	1.00	240,000.00	239,999.00
08/14/20	WYK	INV.PF	Wykeham Capital	03/28/16	2,343.523	340,741.44	247,453.88	-93,287.56
08/27/20	DKLTDO	INV.PF	Davidson Kempne	07/01/13	1	1.00	32,871.97	32,870.97
09/10/20	WYK	INV.PF	Wykeham Capital	03/28/16	2,257.275	328,201.23	247,453.94	-80,747.29
10/12/20	WYK	INV.PF	Wykeham Capital	03/28/16	468.775	68,158.52	49,453.89	-18,704.63
10/26/20	MEG	INV.PF	Modern Energy Gr	04/30/19	1	1.00	93,412.00	93,411.00
12/28/20	DKLTDO	INV.PF	Davidson Kempne	07/01/13	1	1.00	652,792.22	652,791.22
12/30/20	MEG	INV.PF	Modern Energy Gr	04/30/19	1	1.00	170,957.00	170,956.00
01/12/21	ABOF	INV.PF	Abrams Bison Offs	08/31/10	2,017.6711	3,074,080.26	9,293,051.62	6,218,971.36
03/26/21	EGER	INV.PF	Egerton Capital Eu	08/02/12	1,780.26	373,173.50	1,651,757.94	1,278,584.44
05/14/21	ROUND	INV.PF	Roundshield Fund	12/23/16	1	1.00	152,056.83	152,055.83
06/16/21	MEG	INV.PF	Modern Energy Gr	04/30/19	1	1.00	973,368.00	973,367.00
Gr. Total						4,184,362.96	13,995,695.75	9,811,332.79

Samuels Foundation, Inc

Form 990PF

Y/E 7/31/21

ID# 13-3124818

Other Investments (Part II, line 13):	Beg. of year Book Value	End of year Book Value	F.M.V.
Limited Partnership Interests:			
Whitney VI, LP	1,291,130	582,518	4,074,707
Whitney VII, LP	1,017,551	871,975	2,064,817
American Securities Partners V, LP	-293,236	130,267	10,566
ASP V Alternative Investment, LP	167,498	-52,445	181
American Securities Partners VI, LP	1,091,655	943,684	4,001,024
Sterling American Property V-C, LP	2,604,377	2,279,625	719,871
Davidson Kempner Institutional Partners LP	425,502	0	0
Davidson Kempner LTDO I AIV	1,699,926	2,001,656	1,868,101
Les Bordes, LP - Roundshield 1	1,254,859	1,335,278	1,424,367
Roundshield 1 Co-Invest 1, LP	1,737,815	1,622,932	1,574,970
Roundshield Caridon Co-Invest, LP	707,584	-27,505	61,911
RS Fund II, LP	2,897,189	3,252,708	3,731,008
Lapis Municipal Opportunities Fund IV, LP	0	265,673	259,331
Level Equity Growth Partners I, LP	3,475,066	388,287	4,929,805
LEGP I AIV (B) LP	-102,563	36,167	Added above
Merit Energy Partners H, LP	3,163,001	3,175,441	2,560,086
Stockbridge Fund, LP	3,496,710	2,761,545	7,941,858
Highbrook Income Property Fund, LP	651,889	643,578	630,824
Highbrook Income Property Fund II, LP	431,903	318,179	313,304
Highbrook Income Property Fund III, LP	1,639,736	2,112,704	2,696,693
Allegiance Partners B, LP	666,780	1,699,058	2,367,566
Cow Corner 1 LP	0	2,258,644	2,802,292
Hain Capital Investors, LLC	4,478,522	4,456,019	5,284,396
Legalist Fund II, LP	722,424	1,571,428	1,595,949
Holt Distressed Property Fund, 2010, LP	194,884	-105,818	1,020,892
Holt Distressed Property Fund (Parallel 1) 2010, LP	2,082,726	1,910,423	Added above
Holt Opportunity Fund, 2013, LP	749,063	235,671	232,757
Merced Partners III, LP	49,483	298,717	128,873
Kuemper Holdings, LLC - Merced	379,605	99,423	Added above
Snow Lake China Fund, Ltd.	5,670,342	5,442,540	4,694,627
Fir Tree International Value Fund (USTE), L.P.	-1,122,954	0	0
Navitas Fund, L.P.	528,131	153,633	26,798
SDOF AIV, LP	26,035	0	0
Rosemawr Capital III LP	2,493,436	1,839,451	2,078,833
Rosemawr Capital IV LP	3,160,092	3,301,359	3,615,260
Rosemawr Capital V LP	0	1,000,000	1,021,567
Rosemawr Municipal Partners LP	50,776	0	0
RM Charter Partners Enhanced Fund LP	1,240,261	1,469,755	1,773,490
Faro Brazil Solar 1 LLC	0	207,900	204,397
Faro Brazil Solar 2 LLC	0	207,900	204,397
Faro Brazil Solar 3 LLC	0	178,200	175,172
Congruent Credit Opportunities Fund II, LP	127,814	0	0
Creador III, LP	3,175,053	503,967	9,343,812
Creador IV, LP	1,273,786	2,106,166	2,608,751
CrossHarbor Institutional Partners II, LP	36,749	78,645	92,252
Maverick Lien Fund IV LP	3,245,751	2,658,309	2,963,854
Maverick Lien Fund V LP	1,534,966	1,353,665	1,576,151
Maverick Lien Fund VI LP	0	217,924	235,532
Novum Capital Special Opportunities Fund II	23,339	23,877	-595
Perry Creek Capital Fund I LP	304,357	384,510	200,983
Perry Creek Capital Fund II LP	3,549,620	3,867,684	6,299,904
Poplar Point Capital Partners LP	5,813,203	3,808,546	6,047,777
Sunridge Agribusiness Opportunities I LP	1,366,636	1,887,786	3,598,934
Sunridge Agribusiness Opportunities II LP	0	415,911	371,921
United Composites Holdings, LLC	1,061,983	1,067,130	1,172,405
Valinor Private Capital Partners, LP	185,307	2,217,957	3,684,743
Vortus Investments, LP	876,541	471,886	1,578,321
Vortus - NPR Co-Investment, LP	-66,011	-20,273	379
	71,236,290	69,910,259	105,865,813

Samuels Foundation, Inc

Form 990PF

Y/E 7/31/21

ID# 13-3124818

Other Income (Part I, line 11):

	(A)	(B)	(C)	(D)	(E)	(F)	(G)	(H)	(J)
	Total	Ordinary	Business	Capital	Book-Tax	Non Taxable	Foreign	Foreign	Non Taxable
ID#	Income	Income	Income	Gain	Differences	Income	Tax Paid	Exchange	Adjustments
			Subject to UBIT	Income				Difference	
Share of Partnership income -									
J. H. Whitney VI, LP	20-2485972	71,243	71,243						
J. H. Whitney VII, LP	27-1720042	311,782	-1,915	313,697					
American Securities Partners V, LP	26-2113348	4,751	-806	5,557					
ASP V Alternative Investment, LP	26-4823099	16	16						
American Securities Partners VI, LP	27-3798431	60,090	61,695	-530	-1,075				
Sterling American Property V-C, LP	20-4526947	-281,956	8,225	-290,181					
Allegiance Partners B, LP	83-3921760	-28,085	-28,085						
Congruent Credit Opportunities Fund II, LP	45-4094937	14,656	-4,000	-1,089	19,751	-6			
Creador III, LP	98-1254654	4,086,551	-63,296		-20,552	-110	4,172,529	-2,020	
Creador IV	98-1445844	249,292	-89,008	13,592	29,268		295,662	-222	
CrossHarbor Institutional Partners II, LP	27-4335021	60,607	639	-297	60,265				
Davidson Kempner Institutional Partners	13-3597020	-37,173	84,689	-313	-121,685	365		-229	
Davidson Kempner LTDO I IV AIV	82-4078199	96,554	96,699		-145				
Fir Tree International Value Fund (USTE), L.P.	98-1074179	-152,661	-512		-152,149				
Hain Capital Investors, LLC	65-1232947	-22,503	-41,273		18,770				
Highbrook Income Property Fund, LP	45-3180625	-8,311	-8,311						
Highbrook Income Property Fund II, LP	46-4676647	21,009	-17,411	38,420					
Highbrook Income Property Fund III, LP	82-2573963	-3,348	-3,348						
Holt Distressed Property Fund, 2010, LP	27-3301572	105,239	-14,227	119,466					
Holt Distressed Property Fund (Parallel 1) 2010, LP	27-3301621	-14,456	-1	-8,486	-5,969				
Holt Opportunity Fund, 2013, LP	37-1739728	-1,341	-1,341						
Lapis Municipal Opportunities Fund IV, LP	85-1215190	-4,327	-374		-3,953				
Legalist Fund II, LP	83-4226109	-118,602	-117,025	-1,577					
Level Equity Growth Partners I LP (c)	45-1030152	-48,138	-40,304	2,389	-989				
LEGP I AIV (B) LP	80-0877348	-3,489	-3,489						
Maverick Lien Fund IV	81-4300017	684,087	416,567	267,520					
Maverick Lien Fund V	83-1657740	279,535	72,734	206,801					
Merced Partners III, LP	27-2122861	-38,008	3,828	-28,241	161	-13,756			
Kuemper Holdings, LLC" - Merced	30-0788816	-16,300	-16,101		-199				
Merit Energy Partners H, LP	45-0826721	-546,047	-374,499	-4	-171,544				
Navitas Fund, LP	20-2267080	-312,096	-16,241	-11,601	-284,254				
Novum Capital Special Opportunities Fund II	98-1523048	-28,967	-28,967						
Perry Creek	47-1233748	15,856	8,510	-23,159	30,947	-48		-394	
Perry Creek II	82-5072819	285,261	-61,418		347,373			-694	
Poplar Point	46-0773601	500,311	-87,721		589,115	928		-2,011	
RM Charter Partners Enhanced Fund LP	84-3023216	277,456	97,114	174,548	5,794				
Rosemawr III	81-3662187	135,907	15,794	29,313	45,413	45,387			
Rosemawr IV	83-3358164	854,346	90,676	274,083	459,742	29,845			
Rosemawr Municipal Partners	61-1592771	125,267	-16,229	8,885	119,857	12,754			
Les Bordes, LP - Roundshield 1	98-1495642	140,280	21,781					118,499	
Roundshield Co-Invest	98-1185395	136,540	-6,412					142,952	
Roundshield Caridon Co-Invest	98-1314404	16,743	-7,304					24,047	
Roundshield II	98-1281948	299,513	195,995	6,482	1,912		-690	95,814	
SDOF AIV, LP	35-2617241	313	130	183					
Snow Lake China Fund	27-1489483	-436,292	-731,844		295,797		-245		
Stockbridge Fund, LP	20-8051601	564,989	-48,685	244,105	370,228	-4	-655		
Sunridge Agribusiness Opportunities I LP	98-1485684	-110,245	-110,245						
United Composites	82-4166998	-4,280	-4,280						
Valinor Private Capital Partners, LP	47-3252241	1,658,636	-102,564	1,764,864	-3,664				
Vortus Investments, LP	46-1194063	-112,855	-30,288	24,500	-22,671	-84,396			
Vortus - NPR Co-Investment, LP	47-5544293	123,668	-603	125,637	9,224	-10,590			
	0								
	0								
	0								
Partnership increase per K-1's	8,851,018								
Reverse temporary items:									
Unrealized Income (F)	-4,468,191								
Foreign Exchange Differences (H)	-381,312								
(A) Net Partnership Income (Loss) - to Part 1, line 11a	<u>4,001,515</u>								
(B) Total Ordinary Income		-815,691							
(C) Total UBIT Income (Loss) - to Form 990-T			802,277						
(D) Total Capital Gain Income (Loss) - to Part IV, line 1d				4,228,038					
(E) Reversal of amounts included in Ordinary Income (Col. B) but not on books					-205,949				
(F) Total Non-Taxable Unrealized Income (Mark-to-Market)						4,468,191			
(G) Total Foreign Tax Paid - Deduct from Ordinary Income		-7,160					-7,160		
(H) Foreign Exchange Differences								381,312	
(J) Non-taxable adjustments									0
(B) Total Other Income subject to excise tax - to Part 1, line 11b		<u>-822,851</u>							

Name	Address	Project Name	Amount
Abraham In Motion Inc.	P.O. Box 986 New York, NY 10113	Supplement for the 2020-2021 season	\$ 10,000
Abraham In Motion Inc.	P.O. Box 986 New York, NY 10113	General operating support for the 2020-2021 season	\$ 15,000
ACLU Foundation of Arizona	P.O. Box 17148 Phoenix, AZ 85011	General operating support	\$ 100
ACLU Foundation of Georgia, Inc.	1100 Spring Street, NW Suite 640 Atlanta, GA 30309	General operating support	\$ 100
Actors Fund of America	729 Seventh Avenue, 10th Floor New York, NY 10019	Support for financial relief for NYC-based artists during the COVID-19 pandemic	\$ 15,000
Afro Latin Jazz Alliance of New York Inc.	Minisink Townhouse 646 Malcom X Boulevard New York, NY 10037	Supplement for the 2020-2021 season	\$ 10,000
Afro Latin Jazz Alliance of New York Inc.	Minisink Townhouse 646 Malcom X Boulevard New York, NY 10037	General operating support for the 2020-2021 season	\$ 20,000
Albert Einstein College of Medicine	111 East 210th Street Bronx, NY 10467	Depression Treatment for the Cognitively Impaired via Telehealth	\$ 61,000
Alliance of Resident Theatres/New York, Inc.	520 Eighth Avenue, Suite 319 New York, NY 10018	General operating support for the 2020-2021 season	\$ 30,000
Alvin Ailey Dance Foundation Inc	The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402	General operating support for the 2020-2021 season	\$ 45,000
Alvin Ailey Dance Foundation Inc	The Joan Weill Center for Dance 405 West 55th Street New York, NY 10019-4402	Supplement for the 2020-2021 season	\$ 10,000
American Academy of Arts and Sciences	136 Irving Street Cambridge, MA 02138-1996	General funding	\$ 1,000
American Civil Liberties Union Foundation Inc.	125 Broad Street, 18th Floor New York, NY 10004-2400	general funding	\$ 100
American Civil Liberties Union of Minnesota Foundation	P.O. Box 14720 Minneapolis, Mn 55414	General funding	\$ 100
American Composers Orchestra Inc.	494 Eighth Avenue, Suite 503 New York, NY 10001	General operating support for the 2020-2021 season	\$ 10,000
American Composers Orchestra Inc.	494 Eighth Avenue, Suite 503 New York, NY 10001	General operating support for the 2020-2021 season	\$ 10,000
Apollo Theater Foundation, Inc.	253 West 125th Street New York, NY 10027	General operating support for the 2020-2021 season	\$ 40,000
Apollo Theater Foundation, Inc.	253 West 125th Street New York, NY 10027	Supplement for 2020-2021 season	\$ 10,000

Apollos Fire The Cleveland Baroque Orchestra	3091 Mayfield Road, Suite 217 Cleveland Heights, OH 44118	General funding	\$ 2,500
Arc XVI Fort Washington Inc.	4111 Broadway New York, NY 10033	COVID-19 General Operating Support	\$ 10,000
Arc XVI Fort Washington Inc.	4111 Broadway New York, NY 10033	Lifespan Wellness Alliance: a new approach to providing health information	\$ 40,000
Armitage Foundation Ltd.	159-34 Riverside Drive West #1C-90 New York, NY 10032	General operating support for the 2020-2021 season	\$ 10,000
Artists Striving to End Poverty Inc.	165 W.46th Street, Suite 1303 New York, NY 10036	General funding	\$ 1,000
Arts in the Armed Forces Inc.	220 36th Street, B520, Unit 21 Brooklyn, NY 11232	General funding	\$ 2,000
Arts Resources in Collaboration, Inc.	70 East 10th Street, Suite 19D New York, NY 10003	General operating support	\$ 7,500
Association of Performing Arts Professionals	919 18th Street NW, Suite 650 Washington, DC 20006	General operating support	\$ 2,500
Atlantic Theater Company	76 Ninth Avenue, Suite 537 New York, NY 10011	General operating support for the 2020-2021 season	\$ 25,000
Ballet Hispanico of New York	167 West 89th Street New York, NY 10024	Supplement for 2020-2021 season	\$ 10,000
Ballet Hispanico of New York	167 West 89th Street New York, NY 10024	General operating support for the 2020-2021 season	\$ 15,000
Ballet Theatre Foundation Inc.	The Peter T. Joseph Studios at the Lawrence A. Wien Center for Dance & Theatre 890 Broadway New York, NY 10003-1278	General operating support for the 2020-2021 season	\$ 70,000
Bang on a Can, Inc	80 Hanson Place, Suite 701 Brooklyn, NY 11217	General operating support for the 2020-2021 season	\$ 20,000
Bang on a Can, Inc	80 Hanson Place, Suite 701 Brooklyn, NY 11217	Supplement for the 2020-2021 season	\$ 10,000
Bargemusic, Ltd	P.O. Box 2280 322 West 52nd Street New York, NY 10019-9998	General operating support for the 2020-2021 season	\$ 20,000
Baryshnikov Arts Center Inc.	450 West 37th Street, Suite 501 New York, NY 10018	General operating support for the 2020-2021 season	\$ 15,000
Benefits Data Trust	Centre Square West 1500 Market Street, Suite 2800 Philadelphia, PA 19102	Healthy Aging: Data-Driven Medicaid Enrollment for Older Adults	\$ 67,000
Beth Morrison Projects	666 Ocean Avenue, Suite D1 Brooklyn, NY 11226	Supplement for the 2020-2021 season	\$ 5,000
Beth Morrison Projects	666 Ocean Avenue, Suite D1 Brooklyn, NY 11226	General operating support for the 2020-2021 season	\$ 15,000

Billie Holiday Theatre	1368 Fulton Street Brooklyn, NY 11216	General operating support for the 2020-2021 season	\$ 10,000
Billie Holiday Theatre	1368 Fulton Street Brooklyn, NY 11216	Supplement for the 2020-2021 season	\$ 5,000
Bishop O'Connell High School	6600 Little Falls Road Arlington, VA 22213	Support for the Andriko Bilaniuk Scholarship Fund	\$ 100
BloomAgainBklyn Inc.	380 Clinton Street Brooklyn, New York 11231	Addressing Increased Isolation and Loneliness Through Flowers in a Restricted COVID Environment	\$ 4,000
Brandeis University	415 South Street Waltham, MA 02453	Support for the Heller School	\$ 250
Breaking Ground Housing Development Fund Corporation	505 Eighth Avenue, 5th Floor New York, NY 10018	Expanding Aging Services	\$ 92,000
Bric Arts Media Bklyn Inc.	647 Fulton Street Brooklyn, NY 11217	General operating support of the Celebrate Brooklyn! Festival and Creative Future Relief Fund	\$ 10,000
Bridgehampton Chamber Music Associates, Inc.	850 Seventh Avenue, Suite 700 New York, NY 10019	General funding	\$ 2,500
Bronx Opera Company, Inc.	5 Minerva Place, 2J Bronx, NY 10468	Support of the 2019-2020 season	\$ 10,000
Bronx Opera Company, Inc.	5 Minerva Place, 2J Bronx, NY 10468	General operating support for the 2020-2021 season	\$ 10,000
Brooklyn Academy of Music Inc.	30 Lafayette Avenue Brooklyn, NY 11217	Support of the 2020-2021 season	\$ 200,000
Brooklyn Academy of Music Inc.	30 Lafayette Avenue Brooklyn, NY 11217	General operating support for the 2020-2021 season	\$ 200,000
Calpulli Mexican Dance Company, Inc.	2512 77th Street East Elmhurst, NY 11370	General funding	\$ 500
Carnegie Hall Corporation	881 Seventh Avenue New York, NY 10019	General operating support for the 2020-2021 season	\$ 200,000
Carter Burden Center for the Aging	415 East 73rd Street New York, New York 10021	COVID-19 General Operating Support	\$ 10,000
Carter Burden Center for the Aging	415 East 73rd Street New York, New York 10021	Senior Bridge	\$ 50,000
Casita Maria, Inc.	928 Simpson Street Bronx, NY 10459	General operating support	\$ 3,000
Center for Traditional Music and Dance Inc.	32 Broadway, Suite 1314 New York, NY 10004	General operating support for the 2021 New York City season	\$ 15,000
Center for Traditional Music and Dance Inc.	32 Broadway, Suite 1314 New York, NY 10004	Supplement for the 2021 New York City season	\$ 5,000
Center for Urban Community Services	198 East 121st Street New York, NY 10035	Geriatric and Palliative Care Program for Homeless and Formerly Homeless New Yorkers	\$ 68,000

Chamber Music Society of Lincoln Center, Inc.	70 Lincoln Center Plaza New York, NY 10023-6582	General operating support for the 2020-2021 season	\$ 125,000
Chamber Music Society of Lincoln Center, Inc.	70 Lincoln Center Plaza New York, NY 10023-6582	Supplement for the 2020-2021 season	\$ 20,000
Childrens Museum of the East End	P.O. Box 316 Bridgehampton, NY 11932	General funding	\$ 200
Citymeals-on-Wheels	355 Lexington Avenue New York, NY 10017-6603	COVID-19 General Operating Support	\$ 15,000
Classical Theatre of Harlem, Inc.	c/o WeWork 8 West 126th Street New York, NY 10027	Supplement for the 2020-2021 season	\$ 5,000
Classical Theatre of Harlem, Inc.	c/o WeWork 8 West 126th Street New York, NY 10027	General operating support for the 2020-2021 season	\$ 10,000
Concert Artists Guild Inc.	850 Seventh Avenue, PH-A New York, NY 10019	General operating support for the 2020-2021 season	\$ 12,500
Concerts in Motion, Inc.	P.O. Box 231097 New York, NY, 10023	Second Scheduling Coordinator	\$ 50,000
Congregation Beit Simchat Torah	130 West 30th Street New York, NY 10001	Aging Together	\$ 70,000
Cornell University	525 East 68th Street New York, NY 10065	Psychosocial Intervention as a Critical Component of Multidisciplinary Care for Older Adults with Neurodegenerative Disorders	\$ 67,500
Council of Senior Centers and Services of NYC, Inc.	49 West 45th Street, 7th Floor New York, NY 10036	Mobilizing Public Relations/Communications Resources for More Investment and Use of Senior Services	\$ 30,000
Council of Senior Centers and Services of NYC, Inc.	49 West 45th Street, 7th Floor New York, NY 10036	Mobilizing Public Relations/Communications Resources for More Investment and Use of Senior Services	\$ 10,000
Council of Senior Centers and Services of NYC, Inc.	49 West 45th Street, 7th Floor New York, NY 10036	Reframing Aging in New York City	\$ 90,000
Council of Senior Centers and Services of NYC, Inc.	49 West 45th Street, 7th Floor New York, NY 10036	Affordable Senior Housing Initiative	\$ 15,000
Council on Foundations, Inc.	1255 23rd Street, NW, Suite 200 Washington, DC 20037	General funding	\$ 5,000
CSC Repertory Ltd	136 East 13th Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 12,500
Dance Service New York City Inc.	218 East 18th Street, 4th Floor New York, NY 10003	General operating support for the 2020 season	\$ 10,000
Dance Service New York City Inc.	218 East 18th Street, 4th Floor New York, NY 10003	General operating support for the 2021 season	\$ 10,000
Dances For A Variable Population	560 Riverside Drive Suite 9K New York, NY 10027	General Operating Support	\$ 5,000

Danspace Project, Inc.	131 East 10th Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 20,000
Danspace Project, Inc.	131 East 10th Street New York, NY 10003	General funding	\$ 5,000
Discalced, Inc.	3 Lafayette Avenue Brooklyn, NY 11217	Supplement for the 2020-2021 season	\$ 10,000
Discalced, Inc.	3 Lafayette Avenue Brooklyn, NY 11217	General operating support for the 2020-2021 season	\$ 50,000
DOROT, Inc.	171 West 85th Street New York, NY 10024	Tech Coaching	\$ 48,000
Dova Inc	260 West Broadway, Suite 4 New York, NY 10013	General operating support for the 2020-2021 season	\$ 10,000
Early Music Foundation, Inc.	10 West 68th Street New York, NY 10023	General operating support for the 2020-2021 season	\$ 10,000
Elevator Repair Service Theater Inc.	47 Great Jones Street, 3rd Floor New York, NY 10012	General operating support for the 2020-2021 season	\$ 10,000
Encore Community Services	St. Malachi's Church 239 West 49th Street New York, NY 10019-7493	Restructure and Expansion of Encore's Social Services Department	\$ 79,000
Ensemble Studio Theatre Inc.	549 West 52nd Street New York, NY 10019	General operating support for the 2020-2021 season	\$ 5,000
Episcopal Health Services Inc.	327 Beach 19th Street Far Rockaway, NY 11691	The SJEH Behavioral Health Connection Program	\$ 81,000
Equal Justice Initiative	122 Commerce Street Montgomery, AL 36104	General funding	\$ 250
Ethel's Foundation for the Arts	132 East 43rd Street, #213 New York, NY 10017	General operating support for the 2020-2021 season	\$ 10,000
Familial Dysautonomia Now Foundation	1170 Green Knolls Drive Buffalo Grove, IL 60089	In honor of Danny Goldstein and his family	\$ 250
Fiji Theater Company Inc.	47 Great Jones Street New York, NY 10012	Supplement for the 2020-2021 season	\$ 5,000
Fiji Theater Company Inc.	47 Great Jones Street New York, NY 10012	General operating support for the 2020-2021 season	\$ 10,000
Find AID for the Aged, Inc.	160 West 71st Street New York, New York 10023-3901	HIR Plus (HIR+)	\$ 66,000
Flushing Council on Culture and the Arts Inc.	137-35 Northern Boulevard Flushing, NY 11354	General operating support for the 2020-2021 season	\$ 10,000
Flushing Council on Culture and the Arts Inc.	137-35 Northern Boulevard Flushing, NY 11354	Supplement for the 2020-2021 season	\$ 5,000
Foundation Center	32 Old Slip, 24th Floor New York, NY 10005	General support for 2020	\$ 4,500

Fund for Public Health in New York, Inc.	22 Cortlandt Street, 8th Floor, Suite #802 New York, NY 10007	Support for the Epidemics Fund and vaccine equity work	\$ 150
Gina Gibney Dance Inc.	890 Broadway, Fifth Floor New York, NY 10003	General operating support for the 2020- 2021 season	\$ 10,000
Gina Gibney Dance Inc.	890 Broadway, Fifth Floor New York, NY 10003	Supplement for the 2020-2021 season	\$ 10,000
Gina Gibney Dance Inc.	890 Broadway, Fifth Floor New York, NY 10003	General operating support for the 2020- 2021 season	\$ 10,000
Goddard-Riverside Community Center	593 Columbus Avenue New York, NY 10024	COVID-19 General Operating Support	\$ 10,000
Gramatan Village	85 Pondfield Road #2 Bronxville, NY 10708	General operating support	\$ 100
Grand Street Settlement, Inc.	80 Pitt St. New York, NY 10002	Senior Service Innovations: Building Toward a Sustainable Vision	\$ 53,000
Grand Street Settlement, Inc.	80 Pitt St. New York, NY 10002	COVID-19 General Operating Support	\$ 10,000
Grantmakers in Aging, Inc.	c/o: CliftonLarsonAllen LLP 901 North Glebe Road, Suite 200 Arlington, VA 22203	Annual Membership Dues	\$ 5,500
Grantmakers in Aging, Inc.	c/o: CliftonLarsonAllen LLP 901 North Glebe Road, Suite 200 Arlington, VA 22203	Support to Serious Illness & End-of-Life Fundors Collaborative	\$ 2,500
Grantmakers In Health	1100 Connecticut Avenue NW, Suite 1100 Washington, District of Columbia 20036	Support for Palliative Care Programming, 2021-2023	\$ 2,500
Grantmakers in the Arts	4055 21st Avenue West, Suite 100 Seattle, WA 98199-1247	2020 Funding Partner Support	\$ 2,500
Haleakala, Inc.	512 W 19th Street New York, NY 10011	General operating support for the 2020- 2021 season	\$ 15,000
Harlem Stage Inc	Harlem Stage Gatehouse 150 Convent Avenue New York, NY 10031	General operating support for the 2020- 2021 season	\$ 20,000
Harlem Stage Inc	Harlem Stage Gatehouse 150 Convent Avenue New York, NY 10031	Supplement for the 2020-2021 season	\$ 10,000
Healthcare Educational & Research Fund, Inc.	One Empire Drive Rensselaer, NY 12144	Age-Friendly Health Systems	\$ 83,000
Henry Street Settlement	265 Henry Street New York, NY 10002	Health in Action: An Integrated and Collaborative Approach to Health Care	\$ 49,000
Henry Street Settlement	265 Henry Street New York, NY 10002	COVID-19 General Operating Support	\$ 15,000
Hidden Valley Music Seminars	P.O. Box 116 Carmel Valley, CA 93924	Support for the Wind Seminar	\$ 2,000

Home for Contemporary Theatre and Art Ltd	145 Sixth Avenue New York, NY 10013	General operating support for the 2020-2021 season	\$ 20,000
Home for Contemporary Theatre and Art Ltd	145 Sixth Avenue New York, NY 10013	General operating support for the 2021-2022 season	\$ 20,000
Home for Contemporary Theatre and Art Ltd	145 Sixth Avenue New York, NY 10013	Supplement for the 2020-2021 season	\$ 5,000
House Foundation for the Arts, Inc.	260 West Broadway, Suite #2 New York, NY 10013	Supplement for the 2020-2021 season	\$ 5,000
House Foundation for the Arts, Inc.	260 West Broadway, Suite #2 New York, NY 10013	General operating support for the 2020-2021 season	\$ 10,000
Housing Conservation Coordinators, Inc.	777 10th Avenue New York, New York 10019	HCC's Older Adult Services Program	\$ 55,000
Icahn School of Medicine at Mount Sinai	1468 Madison Avenue New York, NY 10029	Palliative Care at Home for Patients with Dementia	\$ 78,000
Icahn School of Medicine at Mount Sinai	1468 Madison Avenue New York, NY 10029	Expansion of Mount Sinai Visiting Doctors (MSVD) Program Capacity through the Use of Community Health Workers	\$ 67,500
India Home, Inc.	178-36 Wexford Terrace #2C Jamaica, NY 11432	COVID-19 General Operating Support	\$ 10,000
India Home, Inc.	178-36 Wexford Terrace #2C Jamaica, NY 11432	Case Management	\$ 40,000
International Contemporary Ensemble Foundation, Inc.	4306 Third Avenue, 4th Floor Brooklyn, NY 11232	General operating support for the 2020-2021 season	\$ 20,000
International Contemporary Ensemble Foundation, Inc.	4306 Third Avenue, 4th Floor Brooklyn, NY 11232	Supplement for the 2020-2021 season	\$ 5,000
Irish Repertory Theatre Company, Inc.	132 West 22nd Street New York, NY 10011	General operating support for the 2020-2021 season	\$ 10,000
Irish Repertory Theatre Company, Inc.	132 West 22nd Street New York, NY 10011	Supplement for the 2020-2021 season	\$ 5,000
Jack Music Inc.	350 Fort Washington Ave, Apt 3C New York, NY 10033	Supplement for the 2020-2021 season	\$ 10,000
Jack Music Inc.	350 Fort Washington Ave, Apt 3C New York, NY 10033	General operating support for the 2020-2021 season	\$ 10,000
Japan Society Inc.	333 East 47th Street New York, NY 10017	Support of the 2020-2021 Performing Arts season	\$ 10,000
Jazz at Lincoln Center, Inc.	3 Columbus Circle, 12th Floor New York, NY 10019	Supplement for the 2020-2021 season	\$ 20,000
Jazz at Lincoln Center, Inc.	3 Columbus Circle, 12th Floor New York, NY 10019	General operating support for the 2020-2021 season	\$ 115,000

Jewish Association for Services for the Aged	247 West 37th Street, 9th Floor New York, NY 10018	Evaluating the Potential of Sustainable Third-Party Payor Reimbursement for JASA's Care Transitions Program	\$ 20,000
Jewish Association for Services for the Aged	247 West 37th Street, 9th Floor New York, NY 10018	COVID-19 General Operating Support	\$ 10,000
Jewish Community Center of Staten Island, Inc.	1466 Manor Road Staten Island, NY 10314	Alzheimer's and Dementia Services	\$ 34,000
Jewish Community Center on the Palisades	411 East Clinton Avenue Tenafly, NJ 07670	General funding for the Thurnauer School of Music	\$ 1,000
Jonah Bokaer Arts Foundation, Inc.	304 Boerum Street, #23 Brooklyn, NY 11206	General operating support for the 2020-2021 season	\$ 10,000
Jose Limon Dance Foundation	Everett Center for the Performing Arts 466 West 152nd Street, 2nd Floor New York, NY 10031	General operating support for the 2020-2021 season	\$ 10,000
Joyce Theater Foundation, Inc.	175 8th Avenue New York, NY 10011	General operating support for the 2020-2021 season	\$ 100,000
Juilliard School	60 Lincoln Center Plaza New York, NY 10023	General operating support for the 2020-2021 academic year	\$ 80,000
Korean Community Services of Metropolitan New York, Inc.	203-05 32nd Avenue Bayside, NY 11361	COVID-19 General Operating Support	\$ 10,000
La Mama Experimental Theatre Club Inc	74A East 4th Street New York, NY 10003	Supplement for the 2020-2021 season	\$ 5,000
La Mama Experimental Theatre Club Inc	74A East 4th Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 20,000
Lake Placid Association for Music, Drama & Art, Inc.	17 Algonquin Drive Lake Placid, NY 12946	Support for the Minty Fresh project	\$ 5,000
Lawyers Alliance for New York	171 Madison Avenue, 6th Floor New York, NY 10016	General funding	\$ 2,500
Lifeforce in Later Years Inc.	P.O. Box 250402 New York, NY 10025	Covid-19 General Operating Support	\$ 5,000
Local Initiatives Support Corporation	28 Liberty Street, 34th Floor New York, NY 10005	New York Land Opportunity Program	\$ 10,000
Ma-Yi Filipino Theatre Ensemble Inc.	520 Eighth Avenue, Suite 309 New York, NY 10018	General operating support for the 2020-2021 season	\$ 10,000
Maimonides Medical Center	4802 Tenth Avenue Brooklyn, NY 11219	General funding	\$ 20,000
Manhattan Class Company, Inc.	The Robert W. Wilson MCC Theater Space 511 West 52nd Street New York, NY 10019	General operating support for the 2020-2021 season	\$ 10,000
Manhattan School of Music	120 Claremont Avenue New York, NY 10027-4698	General operating support for the 2020-2021 season	\$ 25,000

Manhattan Theatre Club, Inc.	311 West 43rd Street, 8th Floor New York, NY 10036	Supplement for the 2020-2021 season	\$ 5,000
Manhattan Theatre Club, Inc.	311 West 43rd Street, 8th Floor New York, NY 10036	General operating support for the 2020-2021 season	\$ 40,000
Martha Graham Center of Contemporary Dance, Inc.	55 Bethune Street New York, NY 10014	General operating support for the 2020-2021 season	\$ 15,000
Medicare Rights Center, Inc.	266 West 37th Street 3rd Floor New York, NY 10018	Education and Advocacy to Increase Mental Health Care Access for New Yorkers Dually Eligible for Medicare and Medicaid	\$ 16,000
Medicare Rights Center, Inc.	266 West 37th Street 3rd Floor New York, NY 10018	Capacity-Building to Serve Low-Income New Yorkers with Medicare	\$ 40,000
Metropolitan Museum of Art	1000 Fifth Avenue New York, NY 10028-0198	General funding	\$ 4,000
Mint Theater Company, Inc.	330 West 42nd Street, Suite 1210 New York, NY 10036	General operating support for the 2021 season	\$ 10,000
Mobilization for Justice, Inc.	100 William Street, 6th Floor New York, New York 10038-5039	Nursing Home Discharge Defense Project (NHDDP)	\$ 17,000
Montefiore Medical Center	3347 Steuben Avenue, 2nd Floor Bronx, NY 10467	Implementing Serious Illness Conversations in Community-Based Primary Care Centers	\$ 68,000
Montefiore Medical Center	3347 Steuben Avenue, 2nd Floor Bronx, NY 10467	Palliative Care Social Work Fellowship	\$ 45,000
Montefiore Medical Center	3347 Steuben Avenue, 2nd Floor Bronx, NY 10467	Mental Health Care for Holocaust Survivors	\$ 17,000
Morrays Camp Inc.	Project Morry 1 Gateway Plaza Suite 1D Port Chester, NY 10573	In honor of Elizabeth Brandman's bat mitzvah	\$ 250
Museum of Modern Art	11 West 53rd Street New York, NY 10019	General funding	\$ 5,000
Music at the Anthology, Inc.	260 West Broadway, Suite 5 New York, NY 10013	General operating support for the 2020-2021 season	\$ 10,000
Music at the Anthology, Inc.	260 West Broadway, Suite 5 New York, NY 10013	General operating support for the 2020-2021 season	\$ 10,000
Music at the Anthology, Inc.	260 West Broadway, Suite 5 New York, NY 10013	Supplement for the 2020-2021 season	\$ 5,000
Music Before 1800 Inc.	529 West 121st Street New York, NY 10027	General operating support for the 2020-2021 season	\$ 10,000
Music Forward	1275 Prospect Avenue Brooklyn, NY 11218	General operating support for the 2020-2021 season	\$ 10,000
Musical Arts Association	11001 Euclid Avenue Cleveland, OH 44106	Support for the Preservation Fund	\$ 1,500

NAACP Legal Defense and Educational Fund, Inc.	c/o LDF Development 40 Rector Street, 5th Floor New York, NY 10006	General funding	\$ 150
National Academy of Sciences	500 Fifth Street, NW Washington, DC 20001	The Quality of Care in Nursing Homes	\$ 20,000
National Association on Drug Abuse Problems New York State, Inc.	355 Lexington Avenue 2nd Floor New York, NY 10017	Health Home Care Coordination at NYCHA's Saint Nicholas Houses	\$ 47,000
National Association on Drug Abuse Problems New York State, Inc.	355 Lexington Avenue 2nd Floor New York, NY 10017	Telehealth Smart Technology Pilot	\$ 27,000
National Black Theatre Workshop Incorporated	2031-33 National Black Theatre Way New York, NY 10035	General funding	\$ 5,000
National Black Theatre Workshop Incorporated	2031-33 National Black Theatre Way New York, NY 10035	General funding	\$ 5,000
New 42nd Street Inc.	229 W 42nd Street New York, NY 10036	General operating support for the 2020-2021 season	\$ 35,000
New 42nd Street Inc.	229 W 42nd Street New York, NY 10036	Supplement for the 2020-2021 season	\$ 10,000
New Dramatists, Inc.	424 West 44th Street New York, NY 10036	General operating support for the 2020-2021 season	\$ 10,000
New Federal Theatre, Inc.	543 West 42nd Street New York, NY 10036	General support	\$ 1,000
New Jersey City University Foundation Inc.	New Jersey City University MDT-Rossey 234 2039 John F. Kennedy Blvd Jersey City, NJ 07305	General operating support	\$ 2,000
New School	55 West 13th Street New York, NY 10011	Support for the New York String Orchestra Seminar at the Mannes School	\$ 5,000
New York City Ballet, Inc.	David H. Koch Theater 20 Lincoln Center New York, NY 10023	General operating support for the 2020-2021 season	\$ 130,000
New York City Ballet, Inc.	David H. Koch Theater 20 Lincoln Center New York, NY 10023	Supplement for the 2020-2021 season	\$ 20,000
New York City Center, Inc.	130 W 56th Street New York, NY 10019	General operating support for the 2020-2021 season	\$ 50,000
New York City Center, Inc.	130 W 56th Street New York, NY 10019	Supplement for the 2020-2021 season	\$ 10,000
New York City Health and Hospitals Corporation	199 Water Street New York, NY 10038	Home - Based Primary Care at NYC Health + Hospitals	\$ 100,000
New York Common Pantry	8 East 109th Street New York, NY 10029	General funding	\$ 100
New York Festival of Song Inc.	209 West 29th Street, #6108 New York, NY 10001	General operating support for the 2020-2021 season	\$ 15,000

New York Legal Assistance Group, Inc.	7 Hanover Square Floor 18 New York, NY 10004	MLTC Fair Hearing Access and Education Project	\$ 90,000
New York Live Arts Inc.	219 West 19th Street New York, NY 10011	General operating support for the 2020-2021 season	\$ 15,000
New York Public Library Astor Lenox and Tilden Foundations	40 Lincoln Center Plaza New York, NY 10023-7498	Support for the Theatre on Film and Tape Archive (TOFT) in fiscal year 2020	\$ 50,000
New York Public Radio	WNYC Radio 160 Varick Street New York, NY 10013	General funding	\$ 100
New York Public Radio	WNYC Radio 160 Varick Street New York, NY 10013	General operating support	\$ 100
New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	Support of the 2019-2020 season	\$ 75,000
New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 75,000
New York Shakespeare Festival	425 Lafayette Street New York, NY 10003	Supplement for the 2020-2021 season	\$ 5,000
New York Theatre Workshop, Inc.	79 East Fourth Street New York, NY 10003	Supplement for the 2020-2021 season	\$ 5,000
New York Theatre Workshop, Inc.	79 East Fourth Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 40,000
New York University	1 Washington Place New York, NY 10003	Support for the Raiken Scholarship at NYU Gallatin School of Individualized Study	\$ 5,000
New York University	550 First Avenue New York, NY 10016	Nurse-led Telephonic Palliative Care Expansion to Spanish-Speaking Patients	\$ 67,000
New York Youth Symphony Inc.	110 West 40th Street, Suite 1503 New York, NY 10018	General operating support for the 2020-2021 season	\$ 10,000
New York Youth Symphony Inc.	110 West 40th Street, Suite 1503 New York, NY 10018	Supplement for the 2020-2021 season	\$ 5,000
Noche Flamenca, Inc.	168 W 86th Street, #9A New York, NY 10024	Supplement for the 2020-2021 season	\$ 10,000
Noche Flamenca, Inc.	168 W 86th Street, #9A New York, NY 10024	General operating support for the 2020-2021 season	\$ 15,000
Nonprofit New York Inc.	1350 Broadway, Suite 1801 New York, NY 10018-7802	General funding	\$ 4,000
Northwell Health Foundation	2000 Marcus Avenue New Hyde Park, NY 11042	"Enhancing Multidisciplinary Palliative Medicine at Forest Hills Hospital"	\$ 67,000
Northwell Health Foundation		Accelerating Hospital and Skilled Nursing Facility Telehealth Communications Due to COVID-19	\$ (40,000)

OLA of Eastern Long Island	PO Box 278 Sagaponack, NY 11962	Support for COVID-19 relief funds	\$ 250
One Spirit Learning Alliance Ltd.	2218 Broadway, Suite 247 New York, NY 10024	General funding	\$ 1,000
Open Channels NY Inc.	161A Chrystie Street New York, NY 10002	General operating support for the 2020-2021 season	\$ 10,000
Orpheus Chamber Orchestra, Inc.	490 Riverside Drive, 11th Floor New York, NY 10027-5788	Supplement for the 2020-2021 season	\$ 5,000
Orpheus Chamber Orchestra, Inc.	490 Riverside Drive, 11th Floor New York, NY 10027-5788	General operating support for the 2020-2021 season	\$ 30,000
Pan Asian Repertory Theatre, Inc.	520 8th Avenue, Suite 314 New York, NY 10018	General operating support for the 2020-2021 season	\$ 10,000
Pan Asian Repertory Theatre, Inc.	520 8th Avenue, Suite 314 New York, NY 10018	General operating support for the 2020-2021 season	\$ 10,000
Pan Asian Repertory Theatre, Inc.	520 8th Avenue, Suite 314 New York, NY 10018	Supplement for the 2020-2021 season	\$ 5,000
Parson's Dance Foundation, Inc.	229 West 42nd Street, 8th Floor New York, NY 10036	General operating support for the 2020-2021 season	\$ 10,000
Paul Taylor Dance Foundation, Inc.	551 Grand Street New York, NY 10002	General operating support for the 2020-2021 season	\$ 50,000
Paul Taylor Dance Foundation, Inc.	551 Grand Street New York, NY 10002	Supplement for the 2020-2021 season	\$ 5,000
Performance Zone Inc	75 Maiden Lane, Suite 906 New York, NY 10038	Support for The Bessies	\$ 3,000
Pets Are Wonderful Support Inc.	134 W. 29th Street, Suite 802 New York, NY 10001	Restricted General Operating Support	\$ 2,500
Philanthropy New York, Inc.	320 East 43rd Street New York, NY 10017	General funding	\$ 15,100
Philharmonic-Symphony Society of New York Inc.	David Geffen Hall 10 Lincoln Center Plaza New York, NY 10023	General operating support for the 2020-2021 season	\$ 200,000
Philharmonic-Symphony Society of New York Inc.	David Geffen Hall 10 Lincoln Center Plaza New York, NY 10023	General operating support for the 2021-2022 season	\$ 200,000
Pick Up Performance Company, Inc.	440 West 34th Street, #5B New York, NY 10001-2327	Support of the 2019-2020 New York City season	\$ 10,000
Pierrepont School, Inc.	One Sylvan Road, North Westport, CT 06880	General funding	\$ 3,000
Planned Parenthood of the St. Louis Region and Southwest Missouri	4251 Forest Park Avenue St. Louis, MO 63108	General funding	\$ 150
Playwrights Horizons Inc.	416 West 42nd Street New York, NY 10036-6809	General operating support for the 2020-2021 season	\$ 35,000

Playwrights Horizons Inc.	416 West 42nd Street New York, NY 10036-6809	Supplement for the 2020-2021 season	\$ 10,000
Portland Chamber Music Festival	P.O. Box 15385 Portland, ME 04112	General funding	\$ 5,000
Pregones Puerto Rican Traveling Theater Inc.	571-575 Walton Avenue The Bronx, NY 10451	Supplement for the 2020-2021 season	\$ 5,000
Pregones Puerto Rican Traveling Theater Inc.	571-575 Walton Avenue The Bronx, NY 10451	General operating support for the 2020-2021 season	\$ 20,000
Project Angel Heart	4950 Washington Street Denver, CO 80216	Support for The Brandon Foster Culinary Excellence Fellowship	\$ 2,500
Project Renewal Inc.	200 Varick Street, 9th Floor New York, NY 10014	Helping Older People Engage (HOPE), Phase II	\$ 66,000
Queens Community House, Inc.	108-25 62nd Drive Forest Hills, NY 11375	COVID-19 General Operating Support	\$ 10,000
Rattlestick Productions Inc.	224 Waverly Place New York, NY 10014	General operating support for the 2020-2021 season	\$ 10,000
Rattlestick Productions Inc.	224 Waverly Place New York, NY 10014	Supplement for the 2020-2021 season	\$ 5,000
Research Foundation of the City University of New York	230 West 41st Street New York, NY 10036-7207	Support for John Jay College	\$ 100
Riverside Symphony, Inc.	225 West 99th Street New York, NY 10025	General operating support for the 2020-2021 season	\$ 15,000
Riverstone Senior Life Services Inc.	99 Fort Washington Avenue New York, NY 10032	Riverstone's Memory Center Caregiver Program Gap Funding	\$ 40,000
Riverstone Senior Life Services Inc.	99 Fort Washington Avenue New York, NY 10032	COVID-19 General Operating Support	\$ 10,000
Roulette Intermedium, Inc.	30 Third Avenue, #208 Brooklyn, NY 11217	General operating support for the 2020-2021 season	\$ 20,000
Roulette Intermedium, Inc.	30 Third Avenue, #208 Brooklyn, NY 11217	Supplement for the 2020-2021 season	\$ 5,000
Roundabout Theatre Company Inc.	231 West 39th Street, Suite 1200 New York, NY 10018	General operating support for the 2020-2021 season	\$ 15,000
School of American Ballet Inc.	70 Lincoln Center Plaza New York, NY 10023	Supplement for the 2020-2021 season	\$ 5,000
School of American Ballet Inc.	70 Lincoln Center Plaza New York, NY 10023	General operating support for the 2020-2021 season	\$ 30,000
Search and Care, Inc	1844 Second Avenue New York, NY 10128	Group Programming to Foster Client Receptivity and Acceptance of Comprehensive Care Management	\$ 38,000
Search and Care, Inc	1844 Second Avenue New York, NY 10128	COVID-19 General Operating Support	\$ 10,000

Second Stage Theatre, Inc.	1501 Broadway, Suite 518 New York, NY 10036	General operating support for the 2020-2021 season	\$ 25,000
Seventh Regiment Armory Conservancy Inc.	643 Park Avenue New York, NY 10065	Support of the 2020-2021 season	\$ 50,000
Seventh Regiment Armory Conservancy Inc.	643 Park Avenue New York, NY 10065	Supplement for the 2020-2021 season	\$ 10,000
Seventh Regiment Armory Conservancy Inc.	643 Park Avenue New York, NY 10065	General operating support for the 2021 season	\$ 50,000
Signature Theatre Company, Inc.	The Pershing Square Signature Center 480 West 42nd Street New York, NY 10036	General operating support for the 2020-2021 season	\$ 35,000
Signature Theatre Company, Inc.	The Pershing Square Signature Center 480 West 42nd Street New York, NY 10036	General operating support	\$ 5,000
Sing for Hope Inc.	99 Wall Street, #1812 New York, NY 10005	Cultural Connections	\$ 20,000
Sing for Hope Inc.	99 Wall Street, #1812 New York, NY 10005	General funding	\$ 1,500
So Percussion Inc.	c/o Eric Cha-Beach 20 Grand Avenue, Unit 205 Brooklyn, NY 11205	Supplement for the 2020-2021 season	\$ 10,000
So Percussion Inc.	c/o Eric Cha-Beach 20 Grand Avenue, Unit 205 Brooklyn, NY 11205	General operating support for the 2020-2021 season	\$ 10,000
Soho Repertory Theatre, Inc.	401 Broadway, Suite 300 New York, NY 10013	General operating support for the 2020-2021 season	\$ 25,000
Soho Repertory Theatre, Inc.	401 Broadway, Suite 300 New York, NY 10013	Supplement for the 2020-2021 season	\$ 10,000
Soho Think Tank	154 Christopher Street, Suite #1E New York, NY 10014	General operating support for the 2020-2021 season	\$ 10,000
Spanish Theatre Repertory Co., Ltd	138 East 27th Street New York, NY 10016	General operating support for the 2020-2021 season	\$ 60,000
Spanish Theatre Repertory Co., Ltd	138 East 27th Street New York, NY 10016	Supplement for the 2020-2021 season	\$ 10,000
St. Ann's Warehouse Inc.	45 Water Street Brooklyn, NY 11201	General operating support for the 2020-2021 season	\$ 45,000
St. Ann's Warehouse Inc.	45 Water Street Brooklyn, NY 11201	Supplement for the 2020-2021 season	\$ 10,000
St. Luke's Chamber Ensemble, Inc.	450 West 37th Street, Suite 502 New York, NY 10018	General operating support for the 2020-2021 season	\$ 40,000
St. Luke's Chamber Ensemble, Inc.	450 West 37th Street, Suite 502 New York, NY 10018	Supplement for the 2020-2021 season	\$ 10,000

Stanley Isaacs Neighborhood Center	415 E. 93rd St. New York, NY 10128	Case Management Services to Senior Residents of Public Housing	\$ 67,000
Streb, Inc.	51 North 1st Street Brooklyn, NY 11249	General operating support for the 2020-2021 season	\$ 10,000
Suffield Academy	185 North Main Street Suffield, CT 06078	General funding	\$ 1,000
Sunnyside Community Services, Inc.	43-31 39th Street Sunnyside, NY 11104	Care Connections	\$ 78,000
Sunset Park Health Council	6025 6th Avenue Brooklyn, NY 11220	Seniors In Touch	\$ 90,000
Target Margin Theater, Inc.	232 52nd Street Brooklyn, NY 11220	Supplement for the 2020-2021 season	\$ 5,000
Target Margin Theater, Inc.	232 52nd Street Brooklyn, NY 11220	General operating support for the 2020-2021 season	\$ 10,000
Temple Adas Israel of Sag Harbor NY	PO Box 1378 Sag Harbor, NY 11963	Support for COVID-19 relief programs	\$ 180
Tenet NYC Inc.	262 West 107th Street, 3B New York, NY 10025	General operating support for the 2020-2021 season	\$ 15,000
Tenet NYC Inc.	262 West 107th Street, 3B New York, NY 10025	Supplement for the 2020-2021 season	\$ 5,000
The Bridge, Inc.	290 Lenox Avenue New York, NY 10027	LPN and OT Program Enhancement - Mobile Treatment and Nursing Team	\$ 75,000
The Bridge, Inc.	290 Lenox Avenue New York, NY 10027	LPN and OT Program Enhancement - Mobile Treatment and Nursing Team	\$ 16,000
The Hudson Guild	441 West 26th Street New York, NY 10001	COVID-19 General Operating Support	\$ 10,000
Theatre for a New Audience, Inc.	154 Christopher Street, Suite 3D New York, NY 10014	General operating support for the 2020-2021 season	\$ 30,000
Trisha Brown Company	315 West 39th Street, Studio 908 New York, NY 10018	General operating support for the 2020-2021 season	\$ 15,000
Trustees of Columbia University in the City of New York	630 West 168th Street, Mail Code 6 New York, NY 10032	COVID-19 and Older New Yorkers	\$ 20,000
Trustees of Tufts College	The Fletcher School, Tufts University 160 Packard Avenue Medford, MA 02155-7082	General funding for the Fletcher School at Tufts University	\$ 2,500
United Neighborhood Houses of New York, Inc.	45 Broadway, Suite 2210 New York, NY 10006	COVID-19 General Operating Support	\$ 30,000
United Social Services of the Society for Ethical Culture Inc.	2 W 64th Street New York, NY 10023	Support for the women's shelter	\$ 200

University Settlement Society of New York	184 Eldridge Street New York, NY 10002	Support Services for Homebound Seniors	\$ 13,000
University Settlement Society of New York	184 Eldridge Street New York, NY 10002	COVID-19 General Operating Support	\$ 10,000
Vineyard Theatre and Workshop Center Inc.	108 East 15th Street New York, NY 10003	General operating support for the 2020-2021 season	\$ 12,500
Visiting Neighbors, Inc.	3 Washington Square Village #1F New York, NY 10012	Restricted General Operating Grant - Healthy Aging	\$ 5,000
Visiting Neighbors, Inc.	3 Washington Square Village #1F New York, NY 10012	COVID-19 General Operating Support	\$ 5,000
Vita Story Club	1251 Avenue of the Americas New York, NY 10020	Community Program: NYCHA Life Story Club	\$ 10,000
Vivian Beaumont Theater, Inc.	150 West 65th Street New York, NY 10023-6975	General operating support for the 2020-2021 season	\$ 75,000
Volunteers of America Inc.	340 West 85th Street New York, NY 10024	Community Inclusion Officer/Wellness Coordinator (CIO/WC) for Formerly Homeless and Low-Income Seniors in Supportive Housing	\$ 81,000
Volunteers of Legal Service, Inc.	40 Worth Street, Suite 820 New York, NY 10013-2904	VOLS Extensión Comunitaria para Adultos Mayores	\$ 40,000
Wildlife Conservation Society	2300 Southern Boulevard Bronx, NY 10460	general funding	\$ 220
Wooster Group, Inc.	P.O. Box 654 Canal Street Station New York, NY 10013	Supplement for the 2020-2021 season	\$ 5,000
Wooster Group, Inc.	P.O. Box 654 Canal Street Station New York, NY 10013	General operating support for the 2020-2021 season	\$ 20,000
World Music Institute, Inc.	68 Jay Street, Suite 201 Brooklyn, NY 11201	General operating support for the 2020-2021 season	\$ 10,000
World Music Institute, Inc.	68 Jay Street, Suite 201 Brooklyn, NY 11201	Supplement for the 2020-2021 season	\$ 5,000
Yale University	PO Box 208246 New Haven, CT 06520-8246	General funding for Yale School of Music	\$ 7,500
Yeshiva University	500 West 185th Street New York, NY 10033	Gerontology and Palliative Care Fellows Program	\$ 64,000
Young Concert Artists, Inc.	1776 Broadway, Suite 1500 New York, NY 10019	General operating support for the 2020-2021 season	\$ 12,500
Young Men's & Young Women's Hebrew Association	1395 Lexington Avenue New York, NY 10128	92Y Himan Brown Senior Program Health Promotion and Wellness	\$ 42,000

Total payments FYE 07/31/2021

\$ 7,325,100

Payments due F/Y/E 2022

Organization	Purpose of Grant	Due Date	Payment Amount
Bronxcare Health Integrated Services System Inc. Grantmakers in the Arts Grantmakers in the Arts	Healthy Mind and Body Initiative for Seniors in the Bronx General funding General funding	08/01/2021 08/01/2021 08/01/2021	\$108,000.00 \$500.00 \$2,000.00
National Council of Jewish Women, New York Section	Council Lifetime Learning (CLL) Volunteer Coordination Initiative	08/01/2021	\$7,000.00
New York Legal Assistance Group, Inc.	Guardianship and Guardianship Preventive Legal Services Program	08/01/2021	\$76,500.00
New York City Health and Hospitals Corporation	Bellevue Palliative Care Expansion: Bellevue Kidney CARES (Comprehensive Advanced Renal disease and End Stage Renal Disease Support) Program Expanding Palliative Care for Older Adults in the Post-Acute Safety Net Setting	08/01/2021	\$90,000.00
Service Program for Older People, Inc.	SNAP's Open Door Social Adult Day Program	08/01/2021	\$79,000.00
Services Now for Adult Persons, Inc.	Care Connections	08/01/2021	\$47,000.00
Sunnyside Community Services, Inc.	Creation of a Dedicated Benefits Coordinator Position	08/01/2021	\$81,000.00
Vera Institute of Justice, Inc.	Telemedicine-facilitated transitional care of older adults with multiple comorbidities	08/01/2021	\$14,000.00
Eger Health Care and Rehabilitation Center	Telemedicine-facilitated transitional care of older adults with multiple co-morbidities	08/01/2021	\$45,000.00
Northwell Health Foundation	Annual Membership	08/15/2021	\$2,292.00
Grantmakers in Aging, Inc.	Volunteer Expansion	08/15/2021	\$40,000.00
Pets Are Wonderful Support Inc.	eMOLST Adoption in the Montefiore Health System Skilled Nursing Facility Collaborative	09/01/2021	\$40,000.00
Albert Einstein College of Medicine	Comunilife Supportive Housing Geriatric Stabilization Project	09/01/2021	\$68,000.00
Comunilife, Inc.	Aging in Place Services for Formerly Homeless Adults	09/01/2021	\$45,000.00
Goddard-Riverside Community Center	Evaluating the Potential of Sustainable Third-Party Payor Reimbursement for JASA's Care Transitions Program	09/01/2021	\$11,000.00
Jewish Association for Services for the Aged	Palliative Care Social Work Fellowship	09/01/2021	\$13,000.00
Montefiore Medical Center	Palliative Social Work Fellowship	09/01/2021	\$40,000.00
Montefiore Medical Center	Wellness Together: Support Services for Homebound Seniors	09/01/2021	\$65,000.00
University Settlement Society of New York	Seniors In Touch	09/14/2021	\$52,000.00
Sunset Park Health Council	Health Home Care Coordination at NYCHA's Saint Nicholas Houses	09/15/2021	\$18,000.00
National Association on Drug Abuse Problems New York State, Inc.	Healthy Mind and Body Initiative for Seniors in the Bronx	09/30/2021	\$72,000.00
Bronxcare Health Integrated Services System Inc.	Caring Initiatives at the Wechsler Center for Modern Aging	10/01/2021	\$69,000.00
Marlene Meyerson JCC Manhattan	Implementing Serious Illness Conversations in Community-Based Primary Care Centers	10/01/2021	\$67,000.00
Montefiore Medical Center	Beyond the Hospital Doors – Aging Wisely	10/01/2021	\$90,000.00
New York University	NYU Silver's DSW Program: Utilizing Adaptive Leadership to Address Challenges in Palliative Care	10/01/2021	\$45,000.00
New York University	Pomonok Older Adult Outreach and Engagement Initiative	10/01/2021	\$68,000.00
Queens Community House, Inc.			

Organization	Purpose of Grant	Due Date	Payment Amount
Institute for Family Health	Enhancing FQHC Services for Older Adults	10/15/2021	\$67,000.00
Northwell Health Foundation	"Enhancing Multidisciplinary Palliative Medicine at Forest Hills Hospital"	10/15/2021	\$15,000.00
Albert Einstein College of Medicine	Advanced Care Planning and Problem Adaptation Therapy for Older Adults: Focusing on Frail patients with Depression /Cognitive Impairment	11/01/2021	\$18,000.00
Center for Urban Community Services	Geriatric and Palliative Care Program for Homeless and Formerly Homeless New Yorkers	11/01/2021	\$67,000.00
Friends of Harlem Hospital Center	Health in Action: An Integrated and Collaborative Approach to Health Care	11/01/2021	\$85,000.00
Henry Street Settlement	HCC's Older Adult Services Program	11/01/2021	\$16,000.00
Housing Conservation Coordinators, Inc.	Palliative Care at Home for Patients with Dementia	11/01/2021	\$30,000.00
Icahn School of Medicine at Mount Sinai	MLTC Fair Hearing Access and Education Project	11/01/2021	\$18,000.00
New York Legal Assistance Group, Inc.	Case Management Services to Senior Residents of Public Housing	11/01/2021	\$90,000.00
Stanley Isaacs Neighborhood Center	Care Connections	11/01/2021	\$15,000.00
Sunnyside Community Services, Inc.	Age-Friendly Health Systems	11/01/2021	\$19,000.00
Healthcare Educational & Research Fund, Inc.	Helping Older People Engage (HOPE), Phase II	11/15/2021	\$9,000.00
Project Renewal Inc.	Expanding Aging Services	11/15/2021	\$16,000.00
Breaking Ground Housing Development Fund Corporation	Palliative Care Fellowship Phase II	12/01/2021	\$21,000.00
Fordham University	Senior Service Innovations: Building Toward a Sustainable Vision	12/01/2021	\$14,000.00
Grand Street Settlement, Inc.	Expanding Palliative Care for Older Adults in the Post-Acute Safety Net Setting	12/01/2021	\$42,000.00
New York City Health and Hospitals Corporation	HIR Plus (HIR+)	12/01/2021	\$70,000.00
Find AID for the Aged, Inc.	Group Programming to Foster Client Receptivity and Acceptance of Comprehensive Care Management	12/15/2021	\$17,000.00
Search and Care, Inc	Healthy Aging: Data-Driven Medicaid Enrollment for Older Adults	12/31/2021	\$8,000.00
Benefits Data Trust	Safe Haven Cluster Care Planning Grant	01/01/2022	\$15,000.00
Breaking Ground Housing Development Fund Corporation	Nurse-led Telephonic Palliative Care Expansion to Spanish-Speaking Patients	01/01/2022	\$40,000.00
New York University	Home - Based Primary Care at NYC Health + Hospitals	01/01/2022	\$15,000.00
New York City Health and Hospitals Corporation	Expansion of Mount Sinai Visiting Doctors (MSVD) Program Capacity through the Use of Community Health Workers	01/15/2022	\$20,000.00
Icahn School of Medicine at Mount Sinai	Restructure and Expansion of Encore's Social Services Department	01/30/2022	\$67,500.00
Encore Community Services	Alzheimer's and Dementia Services	02/01/2022	\$17,000.00
Jewish Community Center of Staten Island, Inc.	Gerontology and Palliative Care Fellows Program	02/01/2022	\$8,000.00
Yeshiva University	Aging Together	02/01/2022	\$54,000.00
Congregation Beit Simchat Torah	Enhancing FQHC Services for Older Adults	03/01/2022	\$65,000.00
Institute for Family Health	Gerontology and Palliative Care Fellows Program	03/01/2022	\$15,000.00
Yeshiva University		03/01/2022	\$13,000.00

Organization	Purpose of Grant	Due Date	Payment Amount
Young Men's & Young Women's Hebrew Association	92Y Himan Brown Senior Program Health Promotion and Wellness	03/01/2022	\$9,000.00
Goddard-Riverside Community Center	Aging in Place Services for Formerly Homeless Adults	03/15/2022	\$14,000.00
Volunteers of America Inc.	Community Inclusion Officer/Wellness Coordinator (CIO/WC) for Formerly Homeless and Low-Income Seniors in Supportive Housing	03/15/2022	\$81,000.00
Montefiore Medical Center	Implementing Serious Illness Conversations in Community-Based Primary Care Centers	04/01/2022	\$15,000.00
Services Now for Adult Persons, Inc.	SNAP's Open Door Social Adult Day Program	04/01/2022	\$40,500.00
Cornell University	Psychosocial Intervention as a Critical Component of Multidisciplinary Care for Older Adults with Neurodegenerative Disorders	05/01/2022	\$67,500.00
DOROT, Inc.	Tech Coaching	05/01/2022	\$33,000.00
Grantmakers in Aging, Inc.	Support to Serious Illness & End-of-Life Funders Collaborative	05/01/2022	\$2,500.00
New York Legal Assistance Group, Inc.	Guardianship and Guardianship Preventive Legal Services Program	05/01/2022	\$17,000.00
Episcopal Health Services Inc.	The SJEH Behavioral Health Connection Program	05/31/2022	\$53,000.00
Carter Burden Center for the Aging	Senior Bridge	06/01/2022	\$10,000.00
Center for Urban Community Services	Geriatric and Palliative Care Program for Homeless and Formerly Homeless New Yorkers	06/01/2022	\$15,000.00
Concerts in Motion, Inc.	Second Scheduling Coordinator	06/01/2022	\$10,000.00
Council of Senior Centers and Services of NYC, Inc.	Reframing Aging in New York City	06/01/2022	\$90,000.00
Service Program for Older People, Inc.	Addressing Behavioral Health Care Disparities Among Marginalized Older Adults	06/01/2022	\$9,000.00
New York City Health and Hospitals Corporation	Bellevue Palliative Care Expansion: Bellevue Kidney CARES (Comprehensive Advanced Renal disease and End Stage Renal Disease Support) Program	07/01/2022	\$90,000.00
Sunnyside Community Services, Inc.	Care Connections	07/01/2022	\$9,000.00

Total commitments F/Y/E 07/31/2022**\$2,932,292.00**

Organization	Project Name	Due Date	Payment Amount
Grantmakers In Health	Support for Palliative Care Programming, 2021-2023	08/01/2022	\$2,500.00
Eger Health Care and Rehabilitation Center	Telemedicine-facilitated transitional care of older adults with multiple comorbidities	08/30/2022	\$45,000.00
Northwell Health Foundation	Telemedicine-facilitated transitional care of older adults with multiple co-morbidities	08/30/2022	\$45,000.00
Volunteers of America Inc.	Fall Prevention Program for Formerly Homeless Seniors in Supportive Housing	09/01/2022	\$10,000.00
Bronxcare Health Integrated Services System Inc.	Healthy Mind and Body Initiative for Seniors in the Bronx	09/30/2022	\$20,000.00

Organization	Purpose of Grant	Due Date	Payment Amount
Comunilife, Inc.	Comunilife Supportive Housing Geriatric Stabilization Project	09/30/2022	\$67,000.00
New York University	NYU Silver's DSW Program: Utilizing Adaptive Leadership to Address Challenges In Palliative Care	10/01/2022	\$45,000.00
Sunset Park Health Council	Seniors In Touch	10/01/2022	\$16,000.00
University Settlement Society of New York	Wellness Together: Support Services for Homebound Seniors	10/01/2022	\$43,000.00
New York University	Beyond the Hospital Doors -- Aging Wisely	10/31/2022	\$90,000.00
Queens Community House, Inc.	Pomonok Older Adult Outreach and Engagement Initiative	10/31/2022	\$67,000.00
Friends of Harlem Hospital Center		11/30/2022	\$83,500.00
Marlene Meyerson JCC Manhattan	Caring Initiatives at the Wechsler Center for Modern Aging	11/01/2022	\$68,000.00
New York Legal Assistance Group, Inc.	MLTC Fair Hearing Access and Education Project	11/01/2022	\$20,000.00
Episcopal Health Services Inc.	The SJEH Behavioral Health Connection Program	11/15/2022	\$15,000.00
Housing Conservation Coordinators, Inc.	HCC's Older Adult Services Program	11/15/2022	\$17,000.00
Grand Street Settlement, Inc.	Senior Service Innovations: Building Toward a Sustainable Vision	12/01/2022	\$38,000.00
New York City Health and Hospitals Corporation	Expanding Palliative Care for Older Adults in the Post-Acute Safety Net Setting	12/01/2022	\$65,000.00
Icahn School of Medicine at Mount Sinai	Expansion of Mount Sinai Visiting Doctors (MSVD) Program Capacity through the Use of Community Health Workers	02/15/2023	\$15,000.00
Volunteers of America Inc.	Community Inclusion Officer/Wellness Coordinator (CIO/WC) for Formerly Homeless and Low-Income Seniors in Supportive Housing	03/15/2023	\$20,000.00
Congregation Beit Simchat Torah	Aging Together	04/01/2023	\$15,000.00
Cornell University	Psychosocial Intervention as a Critical Component of Multidisciplinary Care for Older Adults with Neurodegenerative Disorders	04/01/2023	\$15,000.00
DOROT, Inc.	Tech Coaching	04/01/2023	\$9,000.00
Services Now for Adult Persons, Inc.	SNAP's Open Door Social Adult Day Program	04/01/2023	\$10,000.00
New York University	Beyond the Hospital Doors -- Aging Wisely	04/30/2023	\$20,000.00
Council of Senior Centers and Services of NYC, Inc.	Reframing Aging in New York City	06/01/2023	\$20,000.00
New York City Health and Hospitals Corporation	Bellevue Palliative Care Expansion: Bellevue Kidney CARES (Comprehensive Advanced Renal disease and End Stage Renal Disease Support) Program	07/01/2023	\$20,000.00

Total commitments F/Y/E 07/31/2023

\$901,000.00

Payments due F/Y/E 07/31/2024 and beyond
Organization

Organization	Project Name	Due Date	Payment Amount
Northwell Health Foundation	Telemedicine-facilitated transitional care of older adults with multiple co-morbidities	09/01/2023	\$10,000.00
Eger Health Care and Rehabilitation Center	Telemedicine-facilitated transitional care of older adults with multiple co-morbidities	09/15/2023	\$10,000.00
Comunilife, Inc.	Comunilife Supportive Housing Geriatric Stabilization Project	10/01/2023	\$15,000.00

Organization	Purpose of Grant	Due Date	Payment Amount
New York University	NYU Silver's DSW Program: Utilizing Adaptive Leadership to Address Challenges In Palliative Care	10/01/2023	\$45,000.00
University Settlement Society of New York	Wellness Together: Support Services for Homebound Seniors	10/15/2023	\$12,000.00
Queens Community House, Inc.	Pomonok Older Adult Outreach and Engagement Initiative	10/31/2023	\$15,000.00
Friends of Harlem Hospital Center	Caring Initiatives at the Wechsler Center for Modern Aging	11/30/2023	\$19,000.00
Marlene Meyerson JCC Manhattan	Expanding Palliative Care for Older Adults in the Post-Acute Safety Net Setting	11/01/2023	\$16,000.00
New York City Health and Hospitals Corporation	Senior Service Innovations: Building Toward a Sustainable Vision	12/01/2023	\$17,000.00
Grand Street Settlement, Inc.	NYU Silver's DSW Program: Utilizing Adaptive Leadership to Address Challenges In Palliative Care	01/15/2024	\$15,000.00
New York University		11/01/2024	\$15,000.00

Total commitments F/Y/E 07/31/2023 and beyond

\$189,000.00

Commitment Recap

Amount due F/Y/E 07/31/2021	\$2,932,292.00
Amount due F/Y/E 07/31/2022	\$901,000.00
Amount due F/Y/E 07/31/2023 and later	\$189,000.00

Total Grant Commitments

\$4,022,292.00

THE FAN FOX AND LESLIE R. SAMUELS FOUNDATION, INC.
990-PF Part II
HOLDINGS AT 7/31/2021

F/Y/E 07/31/2021

ID# 13-3124818

Part II Line 10c - Corporate Bonds

				<u>Cost</u>		<u>Market Value</u>	<u>Unrealized G/L</u>
CB	SAP.IV	SAP IV Real Estate Funding	320,207.00	320,207.00	89.95	288,013.00	-32,194.00
Total Corporate Bonds				320,207.00		288,013.00	(32,194.00)

Part II Line 10b - Corporate Stocks

				<u>Cost</u>		<u>Market Value</u>	<u>Unrealized G/L</u>
CS	VWO	Vanguard Emerging Market ETF	190,995.00	7,862,120.14	51.11	9,761,754.45	1,899,634.31
MF	VBIRX	Short-Term Bond Index Adm Fund 5132	383,145.95	4,015,521.11	10.80	4,137,976.29	122,455.18
MF	VITSX	Total Stock Market Index	90,029.83	9,279,511.28	110.36	9,935,692.37	656,181.09
MF	VPADX	Pacific Stock Index Adm Fund 0572	41,799.99	2,801,999.55	100.49	4,200,480.61	1,398,481.06
Total Corporate Stocks				23,959,152.08		28,035,903.72	4,076,751.64

Part II Line 15 - Other Assets - Private Investment Funds

				<u>Cost</u>		<u>Market Value</u>	<u>Unrealized G/L</u>
PS	325	325 Capital Cayman Fund LP	5,000,000.00	5,000,000.00	0.99	4,931,004.16	-68,995.84
PS	59N	59 North Offshore Partners Ltd.	3,080.22	4,000,000.00	1,733.36	5,339,118.24	1,339,118.24
PS	AKO-16	AKO European Long-Only Fund Limited 20	26,625.57	3,449,402.88	343.56	9,147,480.83	5,698,077.95
PS	DKLTDOI	Davidson Kempner Long Term Distressed I	27.00	27.00	3,607.78	97,410.00	97,383.00
PS	DKLTDOI IV	Davidson Kempner Long-Term Distressed	2,831,715.83	2,831,715.83	1.29	3,642,561.00	810,845.17
PS	EGER	Egerton Capital European Fund plc	8,179.80	1,714,628.54	1,010.49	8,265,593.52	6,550,964.98
PS	HARFUN2	Harbour Fund II, LP	2,027,545.42	2,027,545.42	1.54	3,131,376.87	1,103,831.45
PS	HARFUN3	Harbour Fund III	3,522,238.88	3,522,238.88	1.45	5,102,514.15	1,580,275.27
PS	HLT.CG	Holt Opportunity - Crown Global	3,466,767.00	3,466,767.00	1.33	4,625,686.74	1,158,919.74
PS	HLT.TEB	Holt TEB Opportunity Fund, LP	5,703,347.00	5,703,347.00	1.52	8,681,961.00	2,978,614.00
PS	MEG	Modern Energy Group Fund III LP	45.00	45.00		0.00	-45.00
PS	OXY II	Oxy Capital II, FCR	1,518,651.41	1,518,651.41	1.93	2,930,832.46	1,412,181.05
PS	ROUND	Roundshield Fund 1, LP	48.00	48.00	5,197.57	249,483.24	249,435.24
PS	RS3	RS Feeder III, LP	3,649,895.03	3,649,895.03	1.27	4,641,871.23	991,976.20
PS	RS4	RS Feeder IV, LP	415,623.77	415,623.77	0.99	410,944.49	-4,679.28
PS	SIA	SIA Debt Opportunites Fund (Cayman), L.F	1,585,860.98	1,585,860.98	1.83	2,903,791.00	1,317,930.02
PS	TOL	Tolis Investment Strategies Ltd.	3,187.26	3,792,857.24	1,720.58	5,483,934.03	1,691,076.79
PS	WYK	Wykeham Capital Asia Value Fund Initial	19,973.61	2,931,713.32	115.74	2,311,765.48	-619,947.84
PS	WYK4	Wykeham Capital Asia Value Fund Class U	14.18	1,418.03	68.64	973.37	-444.66
Total Other Private Investment Funds				45,611,785.33		71,898,301.81	26,286,516.48
Grand Total Captool Holdings				69,891,144.41		100,222,218.53	30,331,074.12