

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020 , and ending 06-30-2021

Name of foundation RUTH WILCOX FUND 4		A Employer identification number 61-6075789	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 116 ALLEGHENY CENTER MALL P8YB3502L		B Telephone number (see instructions) (412) 494-3011	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15212		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>2,054,235</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	34,824	34,811		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	70,168			
	b Gross sales price for all assets on line 6a <u>512,769</u>				
	7 Capital gain net income (from Part IV, line 2)		70,168		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	985				
12 Total. Add lines 1 through 11	105,977	104,979			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	13,974	13,275		699
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	630	630		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	14,604	13,905	0	699
	25 Contributions, gifts, grants paid	86,218			86,218
26 Total expenses and disbursements. Add lines 24 and 25	100,822	13,905	0	86,917	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	5,155				
b Net investment income (if negative, enter -0-)		91,074			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	48,394	48,618	48,618
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	1,638,190	1,641,326	2,005,617
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,686,584	1,689,944	2,054,235	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	1,686,255	1,689,944	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	329		
29 Total net assets or fund balances (see instructions)	1,686,584	1,689,944		
30 Total liabilities and net assets/fund balances (see instructions) .	1,686,584	1,689,944		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,686,584
2 Enter amount from Part I, line 27a	2	5,155
3 Other increases not included in line 2 (itemize) ▶ _____	3	466
4 Add lines 1, 2, and 3	4	1,692,205
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,261
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	1,689,944

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	70,168
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 942.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political campaigns, political expenditures, and asset requirements. Columns for Yes/No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 covering questions about controlled entities, distributions, public inspection requirements, books in care, and nonexempt charitable trusts.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b covering questions about disqualifying acts, taxes on failure to distribute income, and business enterprise interest.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	1,900,415
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,900,415
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,900,415
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	28,506
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,871,909
6	Minimum investment return. Enter 5% of line 5.	6	93,595

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	93,595
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	1,266
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	1,266
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	92,329
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	92,329
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	92,329

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	86,917
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	86,917
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	86,917

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				92,329
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			86,218	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>86,917</u>				
a Applied to 2019, but not more than line 2a			86,218	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				699
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				91,630
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> KOSAIR CHARITIES COMMITTEE INC 982 EASTERN PARKWAY LOUISVILLE, KY 402171566	NONE	PC	HEALTH CARE FOR CHILD W/	86,218
Total ▶ 3a				86,218
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
90.747 BLACKROCK TOTAL RETURN-I		2018-05-02	2020-07-01
433. FIDELITY REAL ESTATE ETF ETF		2020-04-24	2020-07-01
199.235 WCM FOCUSED INTL GROWTH-INS		2018-10-24	2020-07-01
5. ISHARES TR S&P 500 INDEX FD		2018-05-02	2020-07-01
12. ISHARES CORE MSCI EAFE ETF ETF		2020-05-28	2020-07-01
145.439 MFS EMERGING MKTS DEBT FD-R6		2018-05-02	2020-07-01
72.291 METROPOLITAN WEST UNCONSTRAINED BOND FUND CLASS I		2018-05-02	2020-07-01
75.623 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND		2019-06-19	2020-07-01
132.828 TOUCHSTONE SANDS EM GR-INST FUND 565		2018-05-02	2020-07-01
2990.684 TOUCHSTONE SM CAP VAL-INST			2020-07-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,125		1,026	99
10,274		9,326	948
3,975		2,925	1,050
1,558		1,335	223
686		677	9
2,097		2,086	11
849		852	-3
703		745	-42
2,061		1,785	276
59,724		75,887	-16,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			99
			948
			1,050
			223
			9
			11
			-3
			-42
			276
			-16,163

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
196.083 TOUCHSTONE SM CAP VAL-INST		2020-05-28	2020-07-01
190.955 TRANSAMERICA SM CAP GROW-I2			2020-07-01
127.972 WASATCH INTL OPPORTUNIT-INST		2018-05-02	2020-07-01
2318.288 WELLS FARGO SPECIAL US MID CAP VALUE I			2020-07-01
74.839 WESTERN ASSET CORE PLUS BOND FD CLASS I FD 287		2018-05-02	2020-07-01
53.212 AB CONCENTRATED GROWTH-ADV		2018-05-02	2021-01-13
46.677 DELAWARE VALUE FUND-R6		2020-07-01	2021-01-13
345.176 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS			2021-01-13
37.005 OAKMARK INTL SM CAP-INST 2888		2018-11-15	2021-01-13
435.996 OAKMARK INTL SM CAP-INST 2888			2021-01-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,916		3,969	-53
1,285		1,239	46
520		452	68
79,239		70,995	8,244
922		853	69
2,734		1,866	868
1,044		871	173
5,309		4,250	1,059
634		548	86
7,473		5,474	1,999

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-53
			46
			68
			8,244
			69
			868
			173
			1,059
			86
			1,999

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
28. INVESCO QQQ TRUST ETF		2020-07-01	2021-01-13
340.751 WCM FOCUSED INTL GROWTH-INS		2018-10-24	2021-01-13
6. ISHARES TR S&P 500 INDEX FD		2018-05-02	2021-01-13
38. ISHARES CORE MSCI EAFE ETF ETF		2019-10-24	2021-01-13
2. ISHARES CORE MSCI EAFE ETF ETF		2020-05-28	2021-01-13
147.477 MADISON MID CAP FUND-R6		2018-05-02	2021-01-13
109.205 PRINCIPAL MIDCAP FUND-R6		2018-05-02	2021-01-13
407.661 TOUCHSTONE SANDS EM GR-INST FUND 565		2018-05-02	2021-01-13
297.485 TRANSAMERICA SM CAP GROW-I2		2019-10-24	2021-01-13
46.405 TRANSAMERICA SM CAP GROW-I2		2020-05-28	2021-01-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,809		6,983	1,826
8,614		5,002	3,612
2,282		1,602	680
2,698		2,387	311
142		113	29
1,870		1,473	397
3,939		2,919	1,020
9,189		5,479	3,710
2,466		2,002	464
385		305	80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,826
			3,612
			680
			311
			29
			397
			1,020
			3,710
			464
			80

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
283.163 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705			2021-01-13
144.412 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705		2018-12-27	2021-01-13
828.117 WASATCH INTL OPPORTUNIT-INST		2018-05-02	2021-01-13
8. WISDOMTREE U.S. QUALITY DIVI ETF		2020-07-01	2021-01-13
2396.216 CAMBIAR INTL EQUITY FUND-INS			2021-03-24
143.68 CAMBIAR INTL EQUITY FUND-INS			2021-03-24
39.04 AB CONCENTRATED GROWTH-ADV		2018-05-02	2021-03-24
256.903 DELAWARE VALUE FUND-R6			2021-03-24
1989.354 DELAWARE VALUE FUND-R6			2021-03-24
289. FIDELITY REAL ESTATE ETF ETF		2020-04-24	2021-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,934		6,365	2,569
4,556		3,472	1,084
4,397		2,923	1,474
436		365	71
65,225		67,190	-1,965
3,911		3,267	644
2,069		1,369	700
5,958		4,848	1,110
46,133		42,053	4,080
7,837		6,199	1,638

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,569
			1,084
			1,474
			71
			-1,965
			644
			700
			1,110
			4,080
			1,638

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
450.532 OAKMARK INTL SM CAP-INST 2888			2021-03-24
790.43 WCM FOCUSED INTL GROWTH-INS		2018-10-24	2021-03-24
4. ISHARES TR S&P 500 INDEX FD		2018-05-02	2021-03-24
204. ISHARES CORE MSCI EAFE ETF ETF		2019-10-24	2021-03-24
105.58 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO			2021-03-24
12.293 MADISON MID CAP FUND-R6		2018-05-02	2021-03-24
7.367 PRINCIPAL MIDCAP FUND-R6		2018-05-02	2021-03-24
81.311 TRANSAMERICA SM CAP GROW-I2		2019-10-24	2021-03-24
53.554 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705			2021-03-24
613.211 WASATCH INTL OPPORTUNIT-INST		2018-05-02	2021-03-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,303		7,127	1,176
19,041		11,604	7,437
1,577		1,068	509
14,609		12,817	1,792
1,581		1,490	91
160		123	37
270		197	73
681		547	134
1,766		1,406	360
3,127		2,165	962

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,176
			7,437
			509
			1,792
			91
			37
			73
			134
			360
			962

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. WISDOMTREE U.S. QUALITY DIVI ETF		2020-07-01	2021-03-24
75.889 CAMBIAR INTL EQUITY FUND-INS		2018-05-02	2021-06-17
96.195 AB CONCENTRATED GROWTH-ADV		2018-05-02	2021-06-17
100.797 DELAWARE VALUE FUND-R6		2018-05-02	2021-06-17
306. FIDELITY REAL ESTATE ETF ETF		2020-04-24	2021-06-17
180.681 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS		2018-05-02	2021-06-17
186.345 OAKMARK INTL SM CAP-INST 2888		2018-05-02	2021-06-17
17. INVESCO QQQ TRUST ETF		2020-07-01	2021-06-17
250.132 WCM FOCUSED INTL GROWTH-INS		2018-10-24	2021-06-17
6. ISHARES TR S&P 500 INDEX FD		2018-05-02	2021-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,126		914	212
2,230		2,181	49
5,571		3,373	2,198
2,450		2,160	290
9,416		6,564	2,852
2,878		2,363	515
3,788		3,231	557
5,844		4,239	1,605
6,814		3,672	3,142
2,534		1,602	932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			212
			49
			2,198
			290
			2,852
			515
			557
			1,605
			3,142
			932

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14. ISHARES CORE MSCI EAFE ETF ETF		2019-10-24	2021-06-17
332.027 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO		2021-01-13	2021-06-17
84.014 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO		2020-05-28	2021-06-17
167.408 MADISON MID CAP FUND-R6		2018-05-02	2021-06-17
110.444 PRINCIPAL MIDCAP FUND-R6		2018-05-02	2021-06-17
78.091 TOUCHSTONE SANDS EM GR-INST FUND 565		2018-05-02	2021-06-17
117.773 TRANSAMERICA SM CAP GROW-I2		2019-10-24	2021-06-17
102.728 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705		2018-05-02	2021-06-17
157.77 LYRICAL US VALUE EQUITY-INST		2021-03-24	2021-06-17
18. WISDOMTREE U.S. QUALITY DIVI ETF		2020-07-01	2021-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,063		880	183
5,399		4,848	551
1,366		1,207	159
2,302		1,672	630
4,435		2,952	1,483
1,770		1,050	720
1,068		793	275
3,669		2,935	734
3,373		3,122	251
1,060		822	238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			183
			551
			159
			630
			1,483
			720
			275
			734
			251
			238

TY 2020 Explanation of Non-Filing with Attorney General Statement

Name: RUTH WILCOX FUND 4

EIN: 61-6075789

Statement:

THE RUTH WILCOX FUND 4 TRUST DOES NOT FILE A COPY OF FORM 990-PF WITH THE OFFICE OF THE ATTORNEY GENERAL IN KENTUCKY BECAUSE THE TRUST DOES NOT SOLICIT FUNDS IN THE STATE OF KENTUCKY AND THEREFORE THE ATTORNEY GENERAL DOES NOT WISH TO RECEIVE A COPY OF THE TAX RETURN.

TY 2020 Investments - Other Schedule**Name:** RUTH WILCOX FUND 4**EIN:** 61-6075789**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS - FIXED			
MUTUAL FUNDS - EQUITY			
316092857 FIDELITY REAL ESTATE	AT COST	66,220	90,560
317609295 SEAFARER OVERSEAS GR	AT COST	71,814	80,115
413838657 OAKMARK INTL SM CAP-	AT COST	42,970	50,205
461418444 WCM FOCUSED INTL GRO	AT COST	46,826	87,335
464287200 ISHARES CORE S&P 500	AT COST	45,126	72,656
543069405 LONGLEAF PARTNERS FD	AT COST	49,561	50,225
552746364 MFS EMERGING MKTS DE	AT COST	118,052	122,234
557492485 MADISON MID CAP FUND	AT COST	59,217	83,547
592905749 METROPOLITAN WEST UN	AT COST	40,213	40,832
936793686 WASATCH INTL OPPORTU	AT COST	27,196	41,186
957663503 WESTERN ASSET CORE P	AT COST	48,790	50,918
46090E103 INVESCO QQQ TRUST ET	AT COST	96,578	137,164
00769G543 CAMBIAR INTL EQUITY	AT COST	49,483	49,776
01878T855 ALLIANCE BERNSTEIN C	AT COST	68,401	115,357
09252M883 BLACKROCK TOTAL RETU	AT COST	49,115	51,250
24610C840 DELAWARE VALUE FUND-	AT COST	70,909	80,306
46432F842 ISHARES CORE MSCI EA	AT COST	37,948	45,215
52106N459 LAZARD GLOBAL LISTED	AT COST	118,784	119,239
74256W584 PRINCIPAL MIDCAP FUN	AT COST	61,361	93,677
74440Y884 PGIM HIGH YIELD FUND	AT COST	108,887	112,200
77958B105 T ROWE PRICE INSTITU	AT COST	114,294	112,085
89154Q562 TOUCHSTONE SANDS EM	AT COST	53,931	73,785
89355J656 TRANSAMERICA SM CAP	AT COST	45,712	62,760
89609H704 TRIBUTARY SMALL COMP	AT COST	56,396	70,766
90386H404 LYRICAL US VALUE EQU	AT COST	46,170	50,346
97717X669 WISDOMTREE U.S. QUAL	AT COST	47,372	61,878

TY 2020 Other Decreases Schedule**Name:** RUTH WILCOX FUND 4**EIN:** 61-6075789

Description	Amount
2020 TRANSACTION POSTED IN 2021	1,505
RETURN OF CAPITAL ADJUSTMENT	756

TY 2020 Other Income Schedule**Name:** RUTH WILCOX FUND 4**EIN:** 61-6075789**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	985	0	

TY 2020 Other Increases Schedule**Name:** RUTH WILCOX FUND 4**EIN:** 61-6075789**Other Increases Schedule**

Description	Amount
2019 TRANSACTION POSTED IN 2020	464
ROUNDING	2

TY 2020 Taxes Schedule**Name:** RUTH WILCOX FUND 4**EIN:** 61-6075789**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON QUALIFIED FOR	387	387		0
FOREIGN TAXES ON NONQUALIFIED	243	243		0