

For calendar year 2020, or tax year beginning 07-01-2020, and ending 06-30-2021

Name of foundation RDK FOUNDATION		A Employer identification number 39-1524311	
Number and street (or P.O. box number if mail is not delivered to street address) C/O US BANK NA P O BOX 7900		Room/suite	B Telephone number (see instructions) (414) 765-5870
City or town, state or province, country, and ZIP or foreign postal code MADISON, WI 537077900		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 32,294,256	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	438,332	436,251		
	5a Gross rents . . . . .				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	3,138,822			
	b Gross sales price for all assets on line 6a 14,016,953				
	7 Capital gain net income (from Part IV, line 2) . . .		3,138,822		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	60,511	60,511		
	12 Total. Add lines 1 through 11 . . . . .	3,637,665	3,635,584		
	13 Compensation of officers, directors, trustees, etc.	170,308	153,278		17,031
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	1,500	0	0	1,500
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions) . . .	5,176	4,640		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .	7,232			7,232
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	184,216	157,918	0	25,763
	25 Contributions, gifts, grants paid . . . . .	1,457,200			1,457,200
	26 Total expenses and disbursements. Add lines 24 and 25	1,641,416	157,918	0	1,482,963
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	1,996,249			
	b Net investment income (if negative, enter -0-)		3,477,666		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	1,125,937	1,608,953	1,608,953
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	289,821	289,821	540,280
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	3,767,667	2,177,583	2,195,060
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	18,244,893	21,341,567	27,949,963
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	23,428,318	25,417,924	32,294,256	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	23,428,318	25,417,924	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	23,428,318	25,417,924	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	23,428,318	25,417,924		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	23,428,318
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	1,996,249
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	2
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	25,424,569
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	6,645
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	25,417,924

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a See Additional Data Table				
b				
c				
d				
e				

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	3,138,822
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{	<b>3</b>	

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved			
<b>(a)</b> Reserved	<b>(b)</b> Reserved	<b>(c)</b> Reserved	<b>(d)</b> Reserved
<b>2</b> Reserved . . . . .		<b>2</b>	
<b>3</b> Reserved. . . . .		<b>3</b>	
<b>4</b> Reserved . . . . .		<b>4</b>	
<b>5</b> Reserved . . . . .		<b>5</b>	
<b>6</b> Reserved . . . . .		<b>6</b>	
<b>7</b> Reserved . . . . .		<b>7</b>	
<b>8</b> Reserved , . . . .		<b>8</b>	

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Reserved.	<b>1</b>	48,340
<b>c</b>	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2.	<b>3</b>	48,340
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	<b>5</b>	48,340
<b>6</b>	Credits/Payments:		
<b>a</b>	2020 estimated tax payments and 2019 overpayment credited to 2020	<b>6a</b>	4,906
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d.	<b>7</b>	4,906
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .	<b>9</b>	43,434
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> 0 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<b>Yes</b>	<b>No</b>
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		<b>No</b>
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?		<b>No</b>
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	<b>No</b>
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<b>3</b>	<b>No</b>
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>	<b>No</b>
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>	
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	<b>No</b>
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	<b>Yes</b>
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) ► WI _____		
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<b>9</b>	<b>No</b>
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>	<b>No</b>

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>US BANK NA</u> Telephone no. ► <u>(414) 765-5672</u>			

Located at ► 777 E WISCONSIN AVE MILWAUKEE WI ZIP+4 ► 53201

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <b>15</b>		
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b> <b>No</b>
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>	<b>1b</b>	<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870.		<b>6b</b> No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
U S BANK NA PO BOX 2043 MILWAUKEE, WI 53201	CO-TRUSTEE 2	170,308		
PAUL J TILLEMAN 5133 N PALISADES RD WHITEFISH BAY, WI 53217	CO-TRUSTEE 2	0		
SUSAN L FLADER 917 EDGEWOOD AVE COLUMBIA, MT 65203	CO-TRUSTEE 2	0		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b> . . . . .				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	29,563,970
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,044,286
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	30,608,256
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	30,608,256
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	459,124
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	30,149,132
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	1,507,457

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	1,507,457
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	48,340
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	48,340
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	1,459,117
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	1,459,117
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	1,459,117

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	1,482,963
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	1,482,963
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	1,482,963

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				1,459,117
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			1,273,213	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	0			
<b>b</b> From 2016. . . . .	0			
<b>c</b> From 2017. . . . .	0			
<b>d</b> From 2018. . . . .	0			
<b>e</b> From 2019. . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>1,482,963</u>				
<b>a</b> Applied to 2019, but not more than line 2a			1,273,213	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				209,750
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020.	0			0
(If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				1,249,367
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	0			
<b>b</b> Excess from 2017. . . . .	0			
<b>c</b> Excess from 2018. . . . .	0			
<b>d</b> Excess from 2019. . . . .	0			
<b>e</b> Excess from 2020. . . . .	0			

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				1,457,200
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>Enter gross amounts unless otherwise indicated.</b>				
<b>1</b> Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
<b>g</b> Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments. . . .				
<b>3</b> Interest on savings and temporary cash investments . . . . .				
<b>4</b> Dividends and interest from securities. . . .				
<b>5</b> Net rental income or (loss) from real estate:				
a Debt-financed property. . . . .				
b Not debt-financed property. . . . .				
<b>6</b> Net rental income or (loss) from personal property				
<b>7</b> Other investment income. . . . .				
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .				
<b>9</b> Net income or (loss) from special events:				
<b>10</b> Gross profit or (loss) from sales of inventory				
<b>11</b> Other revenue:				
a <u>OTHER INCOME</u> _____		1	60,511	
b _____				
c _____				
d _____				
e _____				
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . <b>13</b>				
			3,637,665	3,637,665

[illegible]

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  |              |            |           |
|---|--|--------------|------------|-----------|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |  |              | <b>Yes</b> | <b>No</b> |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:   |  |              |            |           |
| <b>(1)</b> Cash. . . . .  |  | <b>1a(1)</b> |            | <b>No</b> |
| <b>(2)</b> Other assets. . . . .  |  | <b>1a(2)</b> |            | <b>No</b> |
| <b>b</b> Other transactions:  |  |              |            |           |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .  |  | <b>1b(1)</b> |            | <b>No</b> |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .  |  | <b>1b(2)</b> |            | <b>No</b> |
| <b>(3)</b> Rental of facilities, equipment, or other assets. . . . .  |  | <b>1b(3)</b> |            | <b>No</b> |
| <b>(4)</b> Reimbursement arrangements. . . . .  |  | <b>1b(4)</b> |            | <b>No</b> |
| <b>(5)</b> Loans or loan guarantees. . . . .  |  | <b>1b(5)</b> |            | <b>No</b> |
| <b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .  |  | <b>1b(6)</b> |            | <b>No</b> |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .  |  | <b>1c</b>    |            | <b>No</b> |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received. |  |              |            |           |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-11-10	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below  
 (see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
	ALLISON J VALENTY		2021-11-10		P00963824
	Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶ 13-4008324
	Firm's address ▶ 301 GRANT STREET 45TH FLOOR PITTSBURGH, PA 15219				Phone no. (412) 355-6000

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
66218.138 AMERICAN CENTURY SMALL CAP VALUE FD		2008-12-15	2020-07-27
15000. ISHARES INTERNATIONAL SELECT DIV ETF		2016-05-18	2020-07-27
250000. JPM 18M SPX M STRUCTURE MTN 7/31/20		2019-01-28	2020-07-31
3000. ISHARES DOW JONES SELECT DIV ETF		2019-01-25	2020-08-07
500. INVESCO QQQ TRUST ETF		2018-07-26	2020-09-16
1000. ISHARES RUSSELL 1000 GROWTH ETF		2017-08-22	2020-09-16
200000. JPM 12M GOLD SPOT COLLAR 10/08/20		2019-10-03	2020-10-08
150000. COVIDIEN INTL FI 3.200% 6/15/22		2017-04-06	2020-10-29
8000. VANGUARD REIT ETF		2014-08-25	2020-10-29
250000. RBC 24M EFA 1.5X LBR MTN 10/30/20		2018-10-26	2020-10-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
423,134		509,438	-86,304
388,866		450,699	-61,833
252,500		252,500	
251,640		281,130	-29,490
138,588		90,179	48,409
216,668		121,211	95,457
252,244		252,244	
156,815		154,496	2,319
615,900		607,486	8,414
261,476		250,000	11,476

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-86,304
			-61,833
			-29,490
			48,409
			95,457
			2,319
			8,414
			11,476

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
150000. WELLS FARGO MTN 2.550% 12/07/20		2017-10-19	2020-12-07
500. INVESCO QQQ TRUST ETF		2018-10-15	2020-12-15
8049.369 FIDELITY INVT TR AD INTL DISCI		2016-05-19	2021-01-15
1500. ISHARES 20 YEAR TREASURY BOND ETF		2020-03-09	2021-01-15
5000. ISHARES MSCI EAFE VALUE INDEX ETF		2015-09-15	2021-01-15
1000. ISHARES MSCI USA QUALITY FACTOR ETF		2020-05-14	2021-01-15
4000. ISHARES MSCI USA QUALITY FACTOR ETF		2019-01-25	2021-01-15
17476.938 VANGUARD STRATEGIC EQUITY FUND 114		2016-05-19	2021-01-19
350000. BMO 24M EEM TW MTN 1/29/21		2019-01-28	2021-01-29
62940.508 AMERICAN CENT EQUITY INCOME CL INST		2007-05-14	2021-02-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
150,000		151,688	-1,688
153,324		86,518	66,806
434,263		300,000	134,263
228,011		257,743	-29,732
244,153		223,638	20,515
115,418		89,430	25,988
461,670		335,390	126,280
673,736		500,000	173,736
468,008		350,000	118,008
562,688		500,000	62,688

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,688
			66,806
			134,263
			-29,732
			20,515
			25,988
			126,280
			173,736
			118,008
			62,688

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3000. ISHARES BARCLAYS 7 10 YEAR ETF		2020-03-09	2021-02-01
4446.421 MFS MID CAP VALUE I		2020-10-29	2021-02-01
13220.518 MFS MID CAP VALUE I		2016-03-04	2021-02-01
2859.921 T ROWE PRICE BLUE CHIP GROWTH FUND INC		2019-01-25	2021-02-19
100000. RBC 24M EFA 1.5X LBR 2/26/21		2019-02-25	2021-02-26
200000. BMO 12M ENERGY BASKET MTN 3/12/21		2020-02-28	2021-03-12
14031.805 COLUMBIA INCOME FD CL Z		2020-07-27	2021-04-01
6644.518 PGIM TOTAL RETURN BOND CL R6		2020-12-18	2021-04-14
271.111 PGIM TOTAL RETURN BOND CL R6		2019-04-24	2021-04-14
100000. JPM 24M SPX TW 201904 MTN 4/30/21		2019-04-25	2021-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
355,702		364,865	-9,163
117,652		100,000	17,652
349,815		250,000	99,815
496,797		301,577	195,220
120,000		100,000	20,000
229,988		200,000	29,988
150,842		161,787	-10,945
96,013		100,000	-3,987
3,918		3,885	33
143,078		100,000	43,078

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-9,163
			17,652
			99,815
			195,220
			20,000
			29,988
			-10,945
			-3,987
			33
			43,078



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3000. INVESCO QQQ TRUST ETF		2017-08-22	2021-05-11
2000. ISHARES RUSSELL 1000 GROWTH ETF		2008-03-10	2021-05-11
2000. SCHWAB U.S. TIPS ETF		2020-04-14	2021-05-13
300000. U S TREASURY NT 2.625% 5/15/21		2018-05-09	2021-05-15
3500. STANDARD & POORS DEPOSITARY RECEIPTS		2016-03-04	2021-06-03
6720.949 VANGUARD SMALL CAP GROWTH INDEX FUND		2016-03-04	2021-06-04
75181.478 FEDERATED INST HI YLD BD FD		2020-12-18	2021-06-09
250000. JPM 24M RTY 1.5X LBR MTN 6/30/21		2019-06-25	2021-06-30
250000. WFF 24M VXF 1.5X LBR MTN 6/30/21		2019-06-28	2021-06-30
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
970,454		524,230	446,224
499,500		108,554	390,946
124,269		118,919	5,350
300,000		300,680	-680
1,459,435		856,301	603,134
652,604		273,543	379,061
752,567		750,000	2,567
293,750		250,000	43,750
293,750		250,000	43,750
			157,717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			446,224
			390,946
			5,350
			-680
			603,134
			379,061
			2,567
			43,750
			43,750

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PENLAND SCHOOL OF CRAFTS 67 DORAS TRAIL PENLAND, NC 28765	NONE	PC	GENERAL OPERATING	10,000
NATIONAL COUNCIL ON EDUCATION FOR THE 4845 PEARL EAST CIRCLE STE 101 BOULDER, CO 80301	NONE	PC	GENERAL OPERATING	5,000
SMITH COLLEGE33 ELM ST NORTHHAMPTON, ME 01063	NONE	PC	GENERAL OPERATING	20,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JOHN MICHAEL KOHLER ARTS CENTER 608 NEW YORK AVE SHEBOYGAN, WI 53081	NONE	PC	GENERAL OPERATING	160,000
FRIENDS ON FRED SMITH WISCONSIN CONCRETE PARK PO BOX 73 PHILLIPS, WI 54555	NONE	PUBLIC	GENERAL SUPPORT	50,000
INTUIT THE CENTER FOR INTUITIVE AND OUTS 756 N MILWAUKEE AVE CHICAGO, IL 60642	NONE	PC	GENERAL OPERATING	3,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
PLYMOUTH ARTS FOUNDATION PO BOX 253 Plymouth, WI 53073	NONE	PC	GENERAL OPERATING	10,000
AMERICAN CRAFT COUNCIL 1224 MARSHALL STREET NE SUITE 200 Minneapolis, MN 55413	NONE	PC	GENERAL OPERATING	7,000
PECATONICA FOUNDATION 121 N PARKER DRIVE JANESVILLE, WI 53545	NONE	PC	GENERAL OPERATING	55,000
<b>Total . . . . . ▶ 3a</b>				1,457,200



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHERN CLAY CENTER 2424 E FRANKLIN AVENUE MINNEAPOLIS, MN 55406	NONE	PC	GENERAL OPERATING	3,000
LAKESHORE CHORALEPO BOX 36 SHEBOYGAN FALLS, WI 53085	NONE	PC	GENERAL OPERATING	3,500
MILWAUKEE INSTITUTE OF ART AND DESIGN 273 E ERIE STREET MILWAUKEE, WI 53202	NONE	PC	GENERAL OPERATING	25,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ARTS LARGE1100 S 5TH ST MILWAUKEE, WI 53204	NONE	PC	GENERAL OPERATING	15,000
MILWAUKEE BALLET 128 N JACKSON STREET MILWAUKEE, WI 53202	NONE	PC	GENERAL OPERATING	2,000
MILWAUKEE REPERTORY THEATRE 108 EAST WELLS STREET MILWAUKEE, WI 53202	NONE	PC	GENERAL OPERATING	10,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NICHOLLS STATE UNIVERSITY 906 EAST FIRST STREET THIBODAUX, LA 70301	NONE	PC	GENERAL OPERATING	10,000
TEN CHIMNEYS FOUNDATION POST OFFICE BOX 225 GENESEE, WI 53127	NONE	PC	GENERAL OPERATING	5,000
CREATION & PRESERVATION PARTNERS 608 NEW YORK AVE SHEBOYGAN, WI 53081	NONE	PC	GENERAL OPERATING	600,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MONROE COUNTY HISTORICAL SOCIETY 22 W 2ND ST Monroe, WI 48161	NONE	PC	GENERAL OPERATING	40,000
EDGEWOOD COLLEGE 1000 EDGEWOOD COLLEGE DR MADISON, WI 53711	NONE	PC	GENERAL OPERATING	50,000
AMERICAN PLAYERS THEATRE 5950 GOLF COURSE ROAD SPRING GREEN, WI 53588	NONE	PC	GENERAL OPERATING	25,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THEATER FOR YOUNG AUDIENCES 340 E 46TH STREET NEW YORK, NY 10017	NONE	PC	GENERAL OPERATING	4,000
FRIENDS OF SP DINSMOOR'S GARDEN OF EDE 305 EAST SECOND STREET LUCAS, KS 67648	NONE	PC	GENERAL OPERATING	10,000
GEORGES RIVER LAND TRUST 8 NORTH MAIN STREET SUITE 200 ROCKLAND, ME 04841	NONE	PC	GENERAL OPERATING	45,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RENAISSANCE THEATERWORKS 255 S WATER STREET MILWAUKEE, WI 53204	NONE	PC	GENERAL OPERATING	1,500
UPAF301 W WISCONSIN AVE STE 600 MILWAUKEE, WI 53203	NONE	PC	GENERAL OPERATING	5,000
CALIFORNIA STATE UNIVERSITY 5151 STATE UNIVERSITY DRIVE Los Angeles, CA 90032	NONE	PC	GENERAL OPERATING	10,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ALDO LEOPOLD FOUNDATION E13701 LEVEE ROAD Baraboo, WI 53913	NONE	PC	GENERAL OPERATING	25,000
COLUMBUS STATE UNIVERSITY 4225 UNIVERSITY AVENUE COLUMBUS, GA 31907	NONE	PC	GENERAL OPERATING	15,000
WISCONSIN ACADEMY OF SCIENCES ARTS A 1922 UNIVERSITY AVENUE Madison, WI 53726	NONE	PC	GENERAL OPERATING	10,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SHEBOYGAN SYMPHONY ORCHESTRA 830 NORTH EIGHTH STREET SHEBOYGAN, WI 53081	NONE	PC	GENERAL OPERATING	10,000
SHEBOYGAN THEATRE COMPANY 2820 UNION AVENUE SHEBOYGAN, WI 53081	NONE	PC	GENERAL OPERATING	3,000
ARTISTS WORKING IN EDUCATION 4315 W VLIET STREET MILWAUKEE, WI 53208	NONE	PC	GENERAL OPERATING	10,000
<b>Total . . . . . ▶ 3a</b>				1,457,200



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
ABOVE AND BEYOND CHILDREN'S MUSEUM 902 NORTH 8TH ST Sheboygan, WI 53081	NONE	PC	GENERAL OPERATING	2,700
ARTS WISCONSIN451 N FEW ST MADISON, WI 53703	NONE	PC	GENERAL OPERATING	1,000
WALKER ART CENTER 1750 HENNEPIN AVENUE MINNEAPOLIS, MN 55403	NONE	PC	GENERAL OPERATING	2,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
RACINE ART MUSEUM441 MAIN ST RACINE, WI 53404	NONE	PC	GENERAL OPERATING	7,500
MECIKALSKI STOVEWOOD FOUNDATION 725 X WOODLAKE ROAD Kohler, WI 53044	NONE	PC	GENERAL OPERATING	5,000
FRIENDS OF PRAIRIE MOON S2921 COUNTY RD G FOUNTAIN CITY, WI 54629	NONE	PC	GENERAL OPERATING	40,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WISCONSIN HISTORICAL FOUNDATION 816 STATE STREET MADISON, WI 53706	NONE	PC	GENERAL OPERATING	60,000
WOODLAND PATTERN720 E LOCUST ST MILWAUKEE, WI 53212	NONE	PC	GENERAL OPERATING	15,000
LAWRENCE UNIVERSITY711 E JOHN ST Appleton, WI 54911	NONE	PC	GENERAL OPERATING	30,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MUSEUM OF WISCONSIN ART 205 VETERANS AVE West Bend, WI 53095	NONE	PC	GENERAL OPERATING	15,000
VOLLIS SIMPSON WHIRLIGIG PARK AND MUS 301 GOLDSBORO ST S Wilson, NC 27893	NONE	PC	GENERAL OPERATING	10,000
SPRINGFIELD FOUNDATION 333 N LIMESTONE STREET SPRINGFIELD, OH 45503	NONE	PC	GENERAL OPERATING	8,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
STEPHANIE H WEILL CENTER FOR THE PERF 826 N 8TH STREET SHEBOYGAN, WI 53081	NONE	PC	GENERAL OPERATING	4,000
<b>Total . . . . . ▶ 3a</b>				1,457,200

**TY 2020 Accounting Fees Schedule****Name:** RDK FOUNDATION**EIN:** 39-1524311

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE (NON-ALLOC	1,500			1,500

## TY 2020 Investments Corporate Bonds Schedule

**Name:** RDK FOUNDATION

**EIN:** 39-1524311

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
46625HJX9 JPMORGAN CHASE CO	104,189	108,425
912828Y20 U S TREASURY NT	199,203	200,200
78013XP28 RBC 24M EFA 1.5X LBR		
9128285K2 U S TREASURY NT	503,746	529,690
48132CCG6 JPM 24M SPX TW 20190		
9128284P2 U S TREASURY NT		
22303QAN0 COVIDIEN INTL FI		
48130WST9 JPM 18M SPX M STRUCT		
06367WGX5 BMO 24M EEM TW MTN		
48132CWL3 JPM 24M RTY 1.5X LBR		
78013XZK7 RBC 24M EFA 1.5X LBR		
94974BGR5 WELLS FARGO MTN		
25468PCN4 WALT DISNEY CO MTN	153,665	150,468
95001HA76 WFF 24M SPX M 202107	250,000	253,725
06367WWS8 BMO 12M ENERGY BASKE		
95001H6B2 WFF 24M VXF 1.5X LBR		
48130USF3 JPM 12M GOLD SPOT CO		
06051GGA1 BANK OF AMERICA MTN	111,510	108,474
17329FAA2 CITI 5Y MXEA MTN	100,000	101,810
68389XBM6 ORACLE CORP	109,790	105,519

**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
89236TGX7 TOYOTA MOTOR MTN	110,420	107,373
48132R5P1 JPM 24M MXEA 2X LBR	100,000	101,820
20030NBY6 COMCAST CORP	224,858	219,786
48132R5N6 JPM 24M MXEF 2X LBR	100,000	100,300
24422EUM9 JOHN DEERE MTN	110,202	107,470



**TY 2020 Investments Corporate Stock Schedule****Name:** RDK FOUNDATION**EIN:** 39-1524311**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
03027X100 AMERICAN TOWER CORP	289,821	540,280

**TY 2020 Investments - Other Schedule**

**Name:** RDK FOUNDATION  
**EIN:** 39-1524311

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
741479109 PRICE T ROWE GROWTH	AT COST	386,762	749,240
78462F103 SPDR S P 500 ETF			
025076845 AMERICAN CENT SMALL	AT COST	250,000	280,273
922038104 VANGUARD STRATEGIC E			
412295107 HARDING LOEVNER INTL	AT COST	350,000	518,740
670700400 NUVEEN PREFERRED SEC	AT COST	150,000	152,293
77954Q106 T ROWE PRICE BLUE CH	AT COST	559,246	1,840,302
921937728 VANGUARD MC GROW IND	AT COST	500,000	1,161,073
464287598 ISHARES RUSSELL 1000	AT COST	711,459	951,720
92647K309 VICTORY TRIVALENT IN	AT COST	250,000	275,773
46090E103 INVESCO QQQ TRUST ET			
921921300 VANGUARD EQUITY INCO	AT COST	880,000	1,227,438
46429B697 ISHARES MSCI USA MIN	AT COST	329,345	515,270
19765N245 COLUMBIA FDS SER DIV	AT COST	1,400,000	1,997,886
464287614 ISHARES RUSSELL 1000			
00170K372 AMG TRILOGY EMERGING	AT COST	600,000	748,865
46434G103 ISHARES CORE MSCI EM	AT COST	953,613	1,138,830
74440B884 PGIM TOTAL RETURN BO	AT COST	500,945	523,615
72369L107 PIONEER ILS INTERVAL	AT COST	700,000	638,584
464288448 ISHARES INTERNATIONALA	AT COST	215,950	226,800
46432F842 ISHARES CORE MSCI EA	AT COST	815,152	1,048,040
464287473 ISHARES RUSSELL MIDC	AT COST	289,098	459,920
74316J458 CONGRESS MID CAP GRO	AT COST	530,417	1,201,610
315910620 FIDELITY INVT TR AD			
025076209 AMERICAN CENT EQUITY			
464287168 ISHARES DOW JONES SE	AT COST	183,853	233,240
46432F339 ISHARES MSCI USA QUA			
922908553 VANGUARD REIT ETF	AT COST	46,199	50,895
464288877 ISHARES MSCI EAFE VA			
921937710 VANGUARD SMALL CAP G			

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
55272P596 MFS MID CAP VALUE I			
89155H793 TOUCHSTONE MID CAP Y	AT COST	1,100,000	1,338,076
92837F292 VIRTUS ZEVENBERGEN I	AT COST	555,000	695,177
115233702 BROWN ADV GROWTH EQT	AT COST	450,000	607,646
19765N518 COLUMBIA INCOME FD C	AT COST	588,213	587,818
464287432 ISHARES 20 YEAR TREA			
808524870 SCHWAB U.S. TIPS ETF	AT COST	579,011	625,100
63873P817 LOOMIS SAYLES STRATE	AT COST	600,000	652,789
464287440 ISHARES BARCLAYS 7 1			
19765P596 COLUMBIA SMALL CAP G	AT COST	295,000	523,823
46434VBA7 ISHARES IBONDS DEC 2	AT COST	102,866	101,600
464287200 ISHARES S P 500 E T	AT COST	1,464,656	1,504,720
464287655 ISHARES RUSSELL 2000	AT COST	680,806	688,110
46434G863 ISHARES MSCI EM ESG	AT COST	303,973	293,475
46434VAX8 ISHARES IBONDS DEC 2	AT COST	131,471	130,375
36381Y108 MONDRIAN INTERNATIONAL	AT COST	700,000	838,988
46429B655 ISHARES FLOATING RAT	AT COST	50,825	50,820
46434VBG4 ISHARES IBONDS DEC 2	AT COST	132,599	131,850
00888Y508 INV BALANCE RISK COM	AT COST	140,000	147,944
024932154 AMERICAN CENTURY HIG	AT COST	750,000	753,819
101156602 BOSTON TRUST SMALL C	AT COST	250,000	354,498
500767736 QUADRATIC INTRST RT	AT COST	229,239	220,960
921910501 VANGUARD INTL GRWTH	AT COST	700,000	702,410
091936450 BLACKROCK ADVANTAGE	AT COST	200,000	235,178
949915482 WFA SPECIAL MID CAP	AT COST	550,000	635,790
922908652 VANGUARD EXTENDED MA	AT COST	185,869	188,590

**TY 2020 Other Decreases Schedule**

**Name:** RDK FOUNDATION

**EIN:** 39-1524311

Description	Amount
VANGUARD REIT ADJ	6,645

**TY 2020 Other Expenses Schedule****Name:** RDK FOUNDATION**EIN:** 39-1524311**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	3,095	0		3,095
OTHER MISC EXPENSES	1,567	0		1,567
OTHER MISC EXPENSES	2,570	0		2,570

## TY 2020 Other Income Schedule

**Name:** RDK FOUNDATION

**EIN:** 39-1524311

### Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	60,511	60,511	

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TY 2020 Other Increases Schedule			
Name: RDK FOUNDATION			
EIN: 39-1524311			
Other Increases Schedule			
Description			Amount
ROUNDING			2

**TY 2020 Taxes Schedule****Name:** RDK FOUNDATION**EIN:** 39-1524311**Taxes Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	4,085	4,085		0
FEDERAL ESTIMATES - PRINCIPAL	536	0		0
FOREIGN TAXES ON NONQUALIFIED	555	555		0