

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020, and ending 06-30-2021

Name of foundation CASE JAMES W - UW		A Employer identification number 25-6031784	
Number and street (or P.O. box number if mail is not delivered to street address) BNY MELLON NA - PO BOX 185	Room/suite	B Telephone number (see instructions) (412) 234-5891	
City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 152300185		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>5,718,934</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	87,925	89,219		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	211,347			
	b Gross sales price for all assets on line 6a	1,683,802			
	7 Capital gain net income (from Part IV, line 2)		211,347		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	299,272	300,566			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	52,803	31,682		21,121
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	4,413	2,725		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings			0	0
	22 Printing and publications			0	0
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	57,216	34,407	0	21,121
	25 Contributions, gifts, grants paid	204,207			204,207
26 Total expenses and disbursements. Add lines 24 and 25	261,423	34,407	0	225,328	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	37,849				
b Net investment income (if negative, enter -0-)		266,159			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	-26,904		
	2 Savings and temporary cash investments	72	24,589	24,589
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	3,985,889	3,972,373	5,694,345
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,959,057	3,996,962	5,718,934	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	3,959,057	3,996,962	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	3,959,057	3,996,962		
30 Total liabilities and net assets/fund balances (see instructions) .	3,959,057	3,996,962		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	3,959,057
2 Enter amount from Part I, line 27a	2	37,849
3 Other increases not included in line 2 (itemize) ▶ _____	3	56
4 Add lines 1, 2, and 3	4	3,996,962
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	3,996,962

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		2	211,347
	{		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 2,061.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A
14 The books are in care of BNY MELLON NA Telephone no. (412) 234-5891
Located at PO BOX 185 PITTSBURGH PA ZIP+4 152300185
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	5,157,649
b	Average of monthly cash balances.	1b	58,109
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,215,758
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,215,758
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	78,236
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,137,522
6	Minimum investment return. Enter 5% of line 5.	6	256,876

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	256,876
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	3,700
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	3,700
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	253,176
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	253,176
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	253,176

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	225,328
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	225,328
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	225,328

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				253,176
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	26,039			
b From 2016.	30,812			
c From 2017.	0			
d From 2018.	0			
e From 2019.	53,536			
f Total of lines 3a through e.	110,387			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>225,328</u>				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				225,328
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	27,848			27,848
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	82,539			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	82,539			
10 Analysis of line 9:				
a Excess from 2016.	29,003			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	53,536			
e Excess from 2020.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				204,207
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
70. AT&T INC		2019-08-27	2020-07-06
2272.727 BNY MELLON INTL EQUITY INCOME FUND		2019-01-08	2020-07-06
1121.705 BNY MELLON MID CAP STK FD CL M		2019-08-01	2020-07-06
3686.635 BNY MELLON EMERGING MKTS FD CL M SHS		2019-08-01	2020-07-06
2050.861 BNY MELLON INTL FD CL M SHSS		1998-07-16	2020-07-06
1.957 BNYM DIVERSIFIED INTL-Y		2019-12-31	2020-07-06
1954.225 BNYM DIVERSIFIED INTL-Y		2013-07-01	2020-07-06
21. SQUARE INC - A		2019-04-10	2020-07-13
6. CROWDSTRIKE HOLDINGS INC - A		2020-05-19	2020-07-15
18. PAYPAL HOLDINGS INC		2019-07-29	2020-07-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,123		2,435	-312
25,000		27,886	-2,886
20,000		20,163	-163
40,000		38,488	1,512
25,000		22,594	2,406
25		26	-1
24,975		21,638	3,337
2,632		1,579	1,053
607		473	134
3,063		2,015	1,048

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-312
			-2,886
			-163
			1,512
			2,406
			-1
			3,337
			1,053
			134
			1,048

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. TRADE DESK INC/THE		2020-03-09	2020-07-15
2. ADOBE SYS INC COM		2020-02-28	2020-07-16
4. ADOBE SYS INC COM		2019-01-10	2020-07-16
14. SALESFORCE COM INC		2019-01-10	2020-07-16
5. UNITED HEALTH GROUP INC		2019-11-18	2020-07-17
3. VERTEX PHARMACEUTICALS INC		2019-06-05	2020-07-17
20. PROCTER & GAMBLE CO COM		2020-03-19	2020-07-27
40. ADVANCED MICRO DEVICES INC		2019-02-19	2020-08-03
40. EXELON CORP		2019-01-10	2020-08-03
6. NEXTERA ENERGY INC		2019-01-10	2020-08-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
427		222	205
844		674	170
1,688		935	753
2,566		2,016	550
1,539		1,371	168
879		521	358
2,529		2,305	224
3,120		974	2,146
1,522		1,807	-285
1,667		1,040	627

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			205
			170
			753
			550
			168
			358
			224
			2,146
			-285
			627

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. PAYPAL HOLDINGS INC		2019-10-28	2020-08-03
22. PAYPAL HOLDINGS INC		2019-05-09	2020-08-03
8. S & P GLOBAL INC		2019-06-19	2020-08-07
4937.163 BNY MELLON INTL EQUITY INCOME FUND		2019-01-08	2020-08-11
635.929 BNY MELLON MID CAP STK FD CL M		1996-03-01	2020-08-11
4192.073 BNY MELLON INTERMEDIATE BOND FD CL M		1999-07-31	2020-08-11
1398.323 BNYM DIV EMG MKTS-Y		2019-01-08	2020-08-11
32861.67 BNYM ALT DIV STRAT-Y		2014-04-02	2020-08-11
28515.743 BNYM YLD ENHAN STRAT-Y		2014-03-25	2020-08-11
38. BIOMARIN PHARMACEUTICAL INC		2020-06-25	2020-08-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,770		972	798
4,328		2,409	1,919
2,756		1,834	922
55,000		60,579	-5,579
12,000		10,904	1,096
55,000		52,405	2,595
35,000		29,875	5,125
406,499		415,617	-9,118
347,892		345,000	2,892
2,778		4,697	-1,919

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			798
			1,919
			922
			-5,579
			1,096
			2,595
			5,125
			-9,118
			2,892
			-1,919

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. ADVANCED MICRO DEVICES INC		2019-02-19	2020-09-01
3. KLA TENCOR CORP		2019-10-28	2020-09-01
3. PAYPAL HOLDINGS INC		2019-10-28	2020-09-01
4. SQUARE INC - A		2019-04-10	2020-09-01
25. CONSTELLATION BRANDS INC		2019-01-10	2020-09-02
7. NVIDIA CORP		2020-01-23	2020-09-04
1. ALPHABET INC/CA		2019-11-25	2020-09-09
1. ALPHABET INC/CA		2019-03-08	2020-09-09
4. CROWDSTRIKE HOLDINGS INC - A		2020-05-19	2020-09-09
4. COSTCO WHSL CORP NEW		2019-01-10	2020-09-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
909		244	665
618		523	95
623		324	299
670		299	371
4,685		3,913	772
3,417		1,762	1,655
1,542		1,305	237
1,542		1,144	398
509		316	193
1,351		833	518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			665
			95
			299
			371
			772
			1,655
			237
			398
			193
			518

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14. NIKE INC CL B		2019-01-10	2020-09-17
7. YUM BRANDS INC		2019-01-10	2020-09-29
3. YUM BRANDS INC		2020-02-10	2020-09-29
20. YUM BRANDS INC		2020-06-03	2020-09-29
100. EXXON MOBIL CORP		2019-05-09	2020-10-07
20. ELI LILLY & CO COM		2020-06-23	2020-10-28
18. VERTEX PHARMACEUTICALS INC		2019-06-05	2020-10-30
21. DISNEY (WALT) COMPANY HOLDING CO.		2019-01-10	2020-11-02
7. AMGEN INC		2020-06-25	2020-11-11
19. AMGEN INC		2019-08-16	2020-11-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,631		1,059	572
636		636	
272		307	-35
1,817		1,902	-85
3,337		7,646	-4,309
2,649		3,211	-562
3,741		3,111	630
2,534		2,351	183
1,687		1,637	50
4,579		3,879	700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			572
			-35
			-85
			-4,309
			-562
			630
			183
			50
			700

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. COSTCO WHSL CORP NEW		2019-01-10	2020-11-11
14. DOLLAR GENERAL CORP		2020-03-30	2020-11-11
9.9263 VIATRIS INC		2020-05-04	2020-11-20
15.1843 VIATRIS INC		2020-06-03	2020-11-20
14.8894 VIATRIS INC		2019-01-10	2020-11-20
.946 VIATRIS INC		2020-06-03	2020-11-25
20. J P MORGAN CHASE & CO		2019-07-18	2021-01-07
30. TRUIST FINL CORP		2019-11-06	2021-01-07
21. DISNEY (WALT) COMPANY HOLDING CO.		2019-01-10	2021-01-15
3. NVIDIA CORP		2020-01-23	2021-01-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,352		1,874	1,478
3,000		2,069	931
171		158	13
262		237	25
257		271	-14
16		14	2
2,730		2,297	433
1,546		1,648	-102
3,589		2,351	1,238
1,630		755	875

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,478
			931
			13
			25
			-14
			2
			433
			-102
			1,238
			875

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. SQUARE INC - A		2019-04-10	2021-01-21
20. BRISTOL MYERS SQUIBB CO COM		2020-05-04	2021-01-27
30. BRISTOL MYERS SQUIBB CO COM		2019-01-10	2021-01-27
70. COMCAST CORP NEW CL A		2019-01-10	2021-01-27
40. COCA-COLA CO USD		2019-09-11	2021-02-03
60. PFIZER INC COM		2020-08-24	2021-02-03
120. PFIZER INC COM		2019-01-10	2021-02-03
11. PROCTER & GAMBLE CO COM		2020-04-03	2021-02-03
20. APPLE COMPUTER INC COM		2020-02-19	2021-02-04
24. CELANESE CORP DEL		2020-09-01	2021-02-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,095		374	721
1,245		1,199	46
1,867		1,451	416
3,417		2,515	902
1,953		2,190	-237
2,090		2,205	-115
4,180		4,913	-733
1,413		1,262	151
2,706		1,620	1,086
3,021		2,487	534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			721
			46
			416
			902
			-237
			-115
			-733
			151
			1,086
			534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7. MICROSOFT CORP COM		2019-06-24	2021-02-04
8. SQUARE INC - A		2019-04-10	2021-02-04
15. DEERE & COMPANY		2019-01-10	2021-02-05
30. TRANE TECHNOLOGIES PLC		2019-01-10	2021-02-05
9. MICROSOFT CORP COM		2019-06-24	2021-02-08
8. SQUARE INC - A		2019-04-10	2021-02-08
170. PFIZER INC COM		2020-05-04	2021-02-09
25. APPLE COMPUTER INC COM		2019-10-28	2021-02-11
160. SCHWAB CHARLES CORP NEW		2019-01-10	2021-02-19
29. APPLE COMPUTER INC COM		2019-10-28	2021-02-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,685		1,001	684
1,881		598	1,283
4,642		2,305	2,337
4,488		2,183	2,305
2,174		1,243	931
2,066		598	1,468
5,916		5,945	-29
3,354		1,553	1,801
9,877		6,956	2,921
3,586		1,802	1,784

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			684
			1,283
			2,337
			2,305
			931
			1,468
			-29
			1,801
			2,921
			1,784

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. APPLE COMPUTER INC COM		2019-10-28	2021-02-25
11. PAYPAL HOLDINGS INC		2019-04-10	2021-02-25
27. DOLLAR GENERAL CORP		2020-03-20	2021-03-01
6. NVIDIA CORP		2020-01-15	2021-03-01
5. CHARTER COMMUNICATIONS INC-A		2020-02-03	2021-03-04
4. CHARTER COMMUNICATIONS INC-A		2020-02-03	2021-03-05
10. COSTCO WHSL CORP NEW		2019-01-10	2021-03-05
12. NVIDIA CORP		2020-01-09	2021-03-05
7. O'REILLY AUTOMOTIVE INC		2020-11-11	2021-03-05
50. APPLE COMPUTER INC COM		2019-10-10	2021-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,329		1,180	1,149
2,807		1,185	1,622
5,159		3,814	1,345
3,280		1,478	1,802
3,070		2,678	392
2,466		2,142	324
3,085		2,082	1,003
5,722		2,934	2,788
3,175		3,254	-79
5,880		2,945	2,935

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,149
			1,622
			1,345
			1,802
			392
			324
			1,003
			2,788
			-79
			2,935

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6. PROCTER & GAMBLE CO COM		2020-04-03	2021-03-11
18. PROCTER & GAMBLE CO COM		2019-01-17	2021-03-11
8. AMERICAN TOWER CORP-CL A		2020-04-03	2021-03-15
5. AMERICAN TOWER CORP-CL A		2019-01-10	2021-03-15
8. EQUINIX INC		2019-01-10	2021-03-15
70. NEXTERA ENERGY INC		2019-01-10	2021-03-16
8. O'REILLY AUTOMOTIVE INC		2020-04-29	2021-03-16
28. OTIS WORLDWIDE CORP		2019-10-21	2021-03-25
22. OTIS WORLDWIDE CORP		2020-04-08	2021-03-25
90. BANK AMER CORP		2019-01-10	2021-04-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
763		688	75
2,290		1,805	485
1,728		1,741	-13
1,080		797	283
5,363		3,650	1,713
5,288		3,033	2,255
3,862		3,135	727
1,882		1,991	-109
1,479		952	527
3,570		2,302	1,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			75
			485
			-13
			283
			1,713
			2,255
			727
			-109
			527
			1,268

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. CHARTER COMMUNICATIONS INC-A		2020-02-03	2021-04-16
20. MONDELEZ INTERNATIONAL INC		2020-11-11	2021-04-16
120. MONDELEZ INTERNATIONAL INC		2019-01-10	2021-04-16
9. PROCTER & GAMBLE CO COM		2019-01-17	2021-04-16
58. OTIS WORLDWIDE CORP		2020-04-08	2021-04-20
29. YUM BRANDS INC		2019-01-10	2021-04-26
30. AMERICAN INTERNATIONAL GROUP		2019-09-17	2021-04-29
60. SYNCHRONY FINANCIAL		2019-01-10	2021-04-29
23. PROCTER & GAMBLE CO COM		2019-01-10	2021-05-04
27. NIKE INC CL B		2019-01-10	2021-05-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,206		2,678	528
1,175		1,158	17
7,050		5,034	2,016
1,235		821	414
4,103		2,509	1,594
3,361		2,636	725
1,463		1,705	-242
2,642		1,554	1,088
3,107		2,092	1,015
3,718		2,043	1,675

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			528
			17
			2,016
			414
			1,594
			725
			-242
			1,088
			1,015
			1,675

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. THERMO ELECTRON CORP		2019-02-28	2021-05-14
68. APPLE COMPUTER INC COM		2019-08-01	2021-05-26
10. DEERE & COMPANY		2019-01-10	2021-05-27
10. O'REILLY AUTOMOTIVE INC		2020-04-24	2021-05-27
45. ABBOTT LABORATORIES		2019-01-10	2021-06-01
51. APPLE COMPUTER INC COM		2019-08-01	2021-06-14
18. ELI LILLY & CO COM		2020-06-23	2021-06-14
2. TEXAS INSTRUMENTS INC		2020-09-09	2021-06-14
16. TEXAS INSTRUMENTS INC		2021-03-05	2021-06-14
48. YUM BRANDS INC		2019-01-10	2021-06-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,137		2,382	1,755
8,636		3,798	4,838
3,598		1,537	2,061
5,308		3,875	1,433
4,804		3,566	1,238
6,597		2,769	3,828
4,050		2,890	1,160
377		279	98
3,018		2,603	415
5,651		4,363	1,288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,755
			4,838
			2,061
			1,433
			1,238
			3,828
			1,160
			98
			415
			1,288

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
70. LAS VEGAS SANDS CORP		2020-09-02	2021-06-15
2448.581 BNY MELLON INCOME STK FD CL M		2016-12-02	2021-06-16
1516.214 BNY MELLON MID CAP STK FD CL M		2020-12-16	2021-06-16
806.421 BNY MELLON MID CAP STK FD CL M		1996-03-01	2021-06-16
1377.072 BNYM US EQUITY FUND-Y		2017-04-27	2021-06-16
2311.604 BNYM US EQUITY FUND-Y		2020-08-11	2021-06-16
2285.192 BNYM RESEARCH GROWTH-Y		2020-08-11	2021-06-16
1199.041 BNYM INTL SMALL CAP-Y		2020-08-11	2021-06-16
1018.676 VAN ECK CM COMMODITY INDX-I		2020-08-11	2021-06-16
120. LAS VEGAS SANDS CORP		2020-09-02	2021-06-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,769		3,545	224
25,000		22,489	2,511
35,904		31,098	4,806
19,096		9,491	9,605
37,332		25,641	11,691
62,668		50,000	12,668
50,000		42,093	7,907
20,000		15,120	4,880
6,000		4,238	1,762
6,383		5,919	464

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			224
			2,511
			4,806
			9,605
			11,691
			12,668
			7,907
			4,880
			1,762
			464

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. LUMENTUM HOLDINGS INC		2020-07-06	2021-06-24
8. TEXAS INSTRUMENTS INC		2020-09-09	2021-06-24
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,635		1,698	-63
1,503		1,115	388
			71,811
			71,811
			71,811
			71,811
			71,811
			71,811
			71,811
			71,811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-63
			388

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			71,811
			71,811
			71,811
			71,811

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MASONIC VLGS OF GRAND LODGE OF PA ONE MASONIC DRIVE ELIZABETHTOWN, PA 170222199	NONE	PC	GENERAL OPERATING PURPOSES	61,262
B P O ELKS CHARITY FUND OF FKLN LODGE 11 1309 BUFFALO STREET FRANKLIN, PA 16323	NONE	PC	GENERAL OPERATING PURPOSES	20,421
ODD FELLOWS HOME OF WESTERN PENNSYLVANIA 400 NORTH MAIN STREET MEADVILLE, PA 16335	NONE	PC	GENERAL OPERATING PURPOSES	20,421
Total ▶ 3a				204,207

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHRINERS HOSPITALS FOR CHILDREN PO BOX 863770 ORLANDO, FL 328863770	NONE	PC	GENERAL OPERATING PURPOSES	102,103
Total ▶ 3a				204,207

TY 2020 Investments - Other Schedule**Name:** CASE JAMES W - UW**EIN:** 25-6031784**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
723787107 PIONEER NAT RES CO	AT COST	14,236	16,089
023135106 AMAZON COM INC	AT COST	26,265	55,043
05588Q507 BNYM RESEARCH GROWTH	AT COST	168,996	246,173
12572Q105 CME GROUP INC	AT COST	12,850	15,100
126408103 CSX CORP COM	AT COST	11,489	17,323
244199105 DEERE & COMPANY	AT COST	7,069	16,225
438516106 HONEYWELL INTL INC	AT COST	11,908	19,303
G29183103 EATON CORP PLC	AT COST	9,456	18,819
G5960L103 MEDTRONIC PLC	AT COST	18,124	22,468
05588L888 BNYM YLD ENHAN STRAT			
060505104 BANK AMER CORP	AT COST	8,697	14,018
21036P108 CONSTELLATION BRANDS			
30303M102 FACEBOOK INC	AT COST	18,173	44,159
437076102 HOME DEPOT INC USD 0	AT COST	15,678	23,598
64110L106 NETFLIX COM INC	AT COST	14,661	16,903
808513105 SCHWAB CHARLES CORP			
03027X100 AMERICAN TOWER CORP-	AT COST	5,294	7,834
037833100 APPLE COMPUTER INC C	AT COST	15,679	50,675
05587K832 BNYM US EQUITY FUND-	AT COST	105,912	157,503
05588L839 BNYM ALT DIV STRAT-Y			
05569M301 BNY MELLON INCOME ST	AT COST	274,698	415,638
05569M509 BNY MELLON MID CAP S	AT COST	187,358	467,228
852234103 SQUARE INC - A	AT COST	3,756	13,165
882508104 TEXAS INSTRUMENTS IN	AT COST	12,358	20,576
91324P102 UNITED HEALTH GROUP	AT COST	21,793	30,033
929089100 VOYA FINANCIAL INC	AT COST	8,621	12,915
988498101 YUM BRANDS INC			
007903107 ADVANCED MICRO DEVIC	AT COST	3,054	14,090
717081103 PFIZER INC COM			
718172109 PHILIP MORRIS INTERN	AT COST	10,920	15,858

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
79466L302 SALESFORCE COM INC	AT COST	3,744	6,351
872590104 T -MOBILE US INC	AT COST	3,373	7,242
92826C839 VISA INC	AT COST	20,902	33,202
G06242104 ATLISSIAN CORP PLC-C	AT COST	9,334	14,641
101137107 BOSTON SCIENTIFIC CO	AT COST	15,197	17,532
254687106 DISNEY (WALT) COMPAN	AT COST	5,039	7,910
742718109 PROCTER & GAMBLE CO	AT COST	4,635	6,881
78409V104 S & P GLOBAL INC	AT COST	11,187	18,881
594918104 MICROSOFT CORP COM	AT COST	31,245	77,477
65339F101 NEXTERA ENERGY INC	AT COST	3,380	5,716
654106103 NIKE INC CL B	AT COST	7,490	15,295
70450Y103 PAYPAL HOLDINGS INC	AT COST	11,831	27,399
92532F100 VERTEX PHARMACEUTICA			
05569M780 BNY MELLON S/T US GV	AT COST	46,316	45,144
05569M806 BNY MELLON SMALL CAP	AT COST	271,160	473,853
05569M830 BNY MELLON BOND FD C	AT COST	411,145	436,679
150870103 CELANESE CORP DEL	AT COST	9,336	15,160
166764100 CHEVRONTEXACO CORP	AT COST	17,271	16,130
883556102 THERMO ELECTRON CORP	AT COST	4,675	9,080
91913Y100 VALERO ENERGY CORP N	AT COST	11,738	12,493
02079K305 ALPHABET INC/CA	AT COST	21,576	48,836
05569M384 BNY MELLON INTL EQUI	AT COST	66,535	74,235
05587N703 BNY MELLON DYNAMIC V	AT COST	185,607	265,487
05588F600 BNYM DIVERSIFIED INT	AT COST	111,531	170,896
00724F101 ADOBE SYS INC COM	AT COST	8,634	17,569
05569M814 BNY MELLON INTERMEDI	AT COST	166,164	176,261
05588D811 BNYM DIV EMG MKTS-Y	AT COST	12,125	18,940
09661F701 BNYM INTL SMALL CAP-	AT COST	124,880	167,241
126650100 CVS CORP	AT COST	8,037	10,013
452308109 ILLINOIS TOOL WORKS	AT COST	9,985	17,438

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
46625H100 J P MORGAN CHASE & C	AT COST	16,846	26,131
87165B103 SYNCHRONY FINANCIAL	AT COST	9,956	19,893
110122108 BRISTOL MYERS SQUIBB	AT COST	6,035	8,687
20030N101 COMCAST CORP NEW CL	AT COST	13,090	15,966
22160K105 COSTCO WHSL CORP NEW	AT COST	8,985	11,474
29444U700 EQUINIX INC	AT COST	2,851	6,421
595112103 MICRON TECHNOLOGY	AT COST	13,543	28,043
609207105 MONDELEZ INTERNATION	AT COST	6,545	8,117
002824100 ABBOTT LABORATORIES	AT COST	4,477	7,535
026874784 AMERICAN INTERNATION	AT COST	15,275	18,564
05569M855 BNY MELLON EMERGING	AT COST	225,390	369,351
05569M871 BNY MELLON INTL FD C	AT COST	193,820	255,009
05587N851 BNYM GLBL DYN BND IN	AT COST	43,000	44,795
30161N101 EXELON CORP	AT COST	8,931	8,862
30231G102 EXXON MOBIL CORP			
191216100 COCA-COLA CO USD	AT COST	14,356	14,610
67103H107 O'REILLY AUTOMOTIVE			
16119P108 CHARTER COMMUNICATIO	AT COST	3,565	5,050
68902V107 OTIS WORLDWIDE CORP			
816851109 SEMPRA ENERGY	AT COST	10,076	8,744
22788C105 CROWDSTRIKE HOLDINGS	AT COST	5,557	12,817
532457108 ELI LILLY & CO COM	AT COST	5,966	8,722
00206R102 AT&T INC			
031162100 AMGEN INC			
15135B101 CENTENE CORP DEL	AT COST	10,911	12,398
75513E101 RAYTHEON TECHNOLOGIE	AT COST	14,456	15,953
G8994E103 TRANE TECHNOLOGIES P	AT COST	7,640	19,335
00287Y109 ABBVIE INC	AT COST	10,603	14,643
55024U109 LUMENTUM HOLDINGS IN	AT COST	5,430	5,742
88339J105 TRADE DESK INC/THE	AT COST	7,934	16,246

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
009158106 AIR PRODUCTS AND CHE	AT COST	13,329	16,398
461202103 INTUIT INC COM	AT COST	5,440	9,313
482480100 KLA TENCOR CORP	AT COST	11,631	16,859
89832Q109 TRUIST FINL CORP	AT COST	10,795	14,097
09061G101 BIOMARIN PHARMACEUTI			
256677105 DOLLAR GENERAL CORP			
67066G104 NVIDIA CORP	AT COST	6,796	22,403
05588F709 BNYM GLBL RL EST SEC	AT COST	45,000	57,947
538034109 LIVE NATION INC	AT COST	5,556	5,255
921075297 VAN ECK CM COMMODITY	AT COST	40,762	58,106
235851102 DANAHER CORP COMMON	AT COST	14,827	16,638
05589K400 BNYM FLTNG RT INC-Y	AT COST	165,000	171,719
87234N765 TCW EMERGING MARKETS	AT COST	145,000	144,121
05588E850 BNY MELLON HIGH YIEL	AT COST	165,000	170,216
03076C106 AMERIPRISE FINL INC	AT COST	9,606	10,702
83088M102 SKYWORKS SOLUTIONS I	AT COST	5,955	8,629
37045V100 GENERAL MOTORS CO	AT COST	13,475	14,201
828806109 SIMON PPTY GROUP INC	AT COST	12,435	13,178
302491303 F M C CORP COM NEW	AT COST	4,287	4,328
518439104 ESTEE LAUDER COMPANI	AT COST	12,650	13,041
G46188101 HORIZON THERAPEUTICS	AT COST	6,753	8,428
09857L108 BOOKING HOLDINGS INC	AT COST	11,721	10,940

TY 2020 Other Increases Schedule**Name:** CASE JAMES W - UW**EIN:** 25-6031784**Other Increases Schedule**

Description	Amount
PY PENDING SALES ADJ	8
ROC ADJ OF CY SALES	42
ROUNDING	6

TY 2020 Taxes Schedule**Name:** CASE JAMES W - UW**EIN:** 25-6031784**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,634	2,634		0
FEDERAL TAX PAYMENT - PRIOR YE	869	0		0
FEDERAL ESTIMATES - INCOME	819	0		0
FOREIGN TAXES ON NONQUALIFIED	91	91		0