

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020, and ending 06-30-2021

Name of foundation STELLA B GROSS CHARITABLE TRUST		A Employer identification number 23-7142181	
Number and street (or P.O. box number if mail is not delivered to street address) 12000 NORTH WASHINGTON STREET SUIT	Room/suite	B Telephone number (see instructions) (408) 490-2133	
City or town, state or province, country, and ZIP or foreign postal code THORNTON, CO 80241		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>7,832,742</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>			

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	111,207	108,492		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	370,995			
	b Gross sales price for all assets on line 6a	1,535,285			
	7 Capital gain net income (from Part IV, line 2)		370,995		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	482,202	479,487			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	67,359	50,519		16,840
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	0
	16a Legal fees (attach schedule)	70,354	17,588	0	52,765
	b Accounting fees (attach schedule)	3,084	1,520	0	1,564
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	3,712	1,840		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings			0	0
	22 Printing and publications			0	0
	23 Other expenses (attach schedule)	7	7		
	24 Total operating and administrative expenses. Add lines 13 through 23	144,516	71,474	0	71,169
	25 Contributions, gifts, grants paid	330,000			330,000
26 Total expenses and disbursements. Add lines 24 and 25	474,516	71,474	0	401,169	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	7,686				
b Net investment income (if negative, enter -0-)		408,013			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	53,170	54,610	54,610
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,042,527	2,809,363	5,514,276
	c Investments—corporate bonds (attach schedule)	1,584,264	1,823,347	1,868,996
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	353,086	353,086	394,860
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,033,047	5,040,406	7,832,742	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	5,033,047	5,040,406	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	5,033,047	5,040,406		
30 Total liabilities and net assets/fund balances (see instructions) .	5,033,047	5,040,406		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,033,047
2 Enter amount from Part I, line 27a	2	7,686
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	5,040,733
5 Decreases not included in line 2 (itemize) ▶ _____	5	327
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	5,040,406

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		2	370,995
	{		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 3,767.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address
14 The books are in care of BANK OF THE WEST Telephone no. (408) 490-2133
Located at 50 W SAN FERNANDO ST STE 425 SAN JOSE CA ZIP+4 95113
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	6,899,028
b	Average of monthly cash balances.	1b	98,090
c	Fair market value of all other assets (see instructions).	1c	381,208
d	Total (add lines 1a, b, and c).	1d	7,378,326
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,378,326
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	110,675
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,267,651
6	Minimum investment return. Enter 5% of line 5.	6	363,383

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	363,383
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	5,671
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	5,671
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	357,712
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	357,712
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	357,712

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	401,169
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	401,169
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	401,169

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				357,712
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	234,992			
b From 2016.	51,992			
c From 2017.	0			
d From 2018.	167,972			
e From 2019.	7,852			
f Total of lines 3a through e.	462,808			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 401,169				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				357,712
e Remaining amount distributed out of corpus	43,457			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	506,265			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	234,992			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	271,273			
10 Analysis of line 9:				
a Excess from 2016.	51,992			
b Excess from 2017.	0			
c Excess from 2018.	167,972			
d Excess from 2019.	7,852			
e Excess from 2020.	43,457			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

<p>1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶</p>					
<p>b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)</p>					
<p>2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed</p>	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
<p>b 85% of line 2a</p>					
<p>c Qualifying distributions from Part XII, line 4 for each year listed</p>					
<p>d Amounts included in line 2c not used directly for active conduct of exempt activities</p>					
<p>e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c</p>					
<p>3 Complete 3a, b, or c for the alternative test relied upon:</p>					
<p>a "Assets" alternative test—enter:</p>					
<p>(1) Value of all assets</p>					
<p>(2) Value of assets qualifying under section 4942(j)(3)(B)(i)</p>					
<p>b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.</p>					
<p>c "Support" alternative test—enter:</p>					
<p>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)</p>					
<p>(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).</p>					
<p>(3) Largest amount of support from an exempt organization</p>					
<p>(4) Gross investment income</p>					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

BANK OF THE WEST
 50 W FERNANDO ST STE 425
 SAN JOSE, CA 95113
 (408) 790-2133

b The form in which applications should be submitted and information and materials they should include:

NO SET FORMAT

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

ORGANIZATION MUST BE EXEMPT FROM TAX UNDER IRC SEC. 501 (C)3 ORGANIZATION MUST BE LOCATED IN SANTA CLARA COUNTY, CALIFORNI

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				330,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
669.94 VANGUARD SHORT-TERM CORPORATE BOND INDEX FUND - #1645		2020-04-16	2020-07-29
346. MARATHON PETE CORP COM		2018-01-24	2020-08-12
870.84 SMALL BUSINESS ADMIN GTD LN POOL CTFS 5.54% DTD 09/13/2006 DUE		2015-02-23	2020-09-01
1381.597 JPMORGAN EMERGING MARKETS EQUITY FUND CL L #1389		2017-10-27	2020-09-09
1561.665 JPMORGAN US EQUITY FUND CL L		2017-10-27	2020-09-09
300. VALERO ENERGY CORP NEW COM		2019-04-15	2020-09-09
539. CORTEVA INC COM		2019-06-24	2020-09-14
2182.18 SMALL BUSINESS ADMIN GTD LN POOL CTFS 5.37% DTD 04/16/2008 DUE		2009-02-24	2020-10-01
6842.939 JPMORGAN MORTGAGE-BACKED SECURITIES FUND CLASS I #1273		2016-04-20	2020-11-06
1008.596 VANGUARD INFLATION-PROTECTED SECURITIES FUND ADMIRAL CL #5119		2020-09-09	2020-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,510		17,961	549
12,992		24,810	-11,818
871		923	-52
50,000		39,334	10,666
28,251		26,439	1,812
14,449		26,155	-11,706
15,633		18,253	-2,620
2,182		2,299	-117
79,515		77,804	1,711
28,241		28,483	-242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			549
			-11,818
			-52
			10,666
			1,812
			-11,706
			-2,620
			-117
			1,711
			-242

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
260. BERKSHIRE HATHAWAY INC DEL CL B NEW		2016-03-28	2020-12-07
61. ISHARES CORE S&P 500 ETF		2020-11-06	2020-12-07
65. NRG ENERGY INC COM NEW		2020-02-05	2020-12-07
236. NEXTERA ENERGY INC COM		2011-03-14	2020-12-07
238. PROCTER & GAMBLE CO COM		2012-06-29	2020-12-07
9458.298 JPMORGAN MORTGAGE-BACKED SECURITIES FUND CLASS I #1273		2019-03-28	2020-12-08
472.293 MFS CORPORATE BOND FUND CL I #811		2020-06-10	2020-12-16
.257 VIATRIS INC COM		2017-04-10	2020-12-23
184. VIATRIS INC COM		2017-04-10	2021-01-13
20. ANTHEM INC COM		2019-04-01	2021-01-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
59,591		36,640	22,951
22,583		21,458	1,125
2,198		2,496	-298
17,300		3,138	14,162
32,695		12,733	19,962
110,000		106,747	3,253
7,406		7,236	170
5		4	1
3,405		2,592	813
6,046		5,753	293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			22,951
			1,125
			-298
			14,162
			19,962
			3,253
			170
			1
			813
			293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
751. CITIGROUP INC COM NEW		2016-04-25	2021-01-28
365. COMCAST CORP CL A		2010-02-26	2021-01-28
68. MICROCHIP TECHNOLOGY INC COM		2018-06-25	2021-01-28
199. MONDELEZ INTL INC CL A		2019-03-28	2021-01-28
450. TYSON FOODS INC CL A		2016-07-19	2021-01-28
71. UNITEDHEALTH GROUP INC COM		2017-04-10	2021-01-28
195. GILEAD SCIENCES INC COM		2007-12-19	2021-02-03
150. LABORATORY CORP AMER HLDGS COM NEW		2016-03-28	2021-02-03
72. ABBOTT LABORATORIES COM		2018-01-24	2021-02-17
28. APPLE INC COM		2009-02-20	2021-02-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
45,579		34,999	10,580
18,937		3,000	15,937
9,489		6,706	2,783
11,381		9,874	1,507
29,201		26,908	2,293
24,292		11,802	12,490
12,641		4,461	8,180
34,081		16,989	17,092
9,167		4,446	4,721
3,683		90	3,593

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			10,580
			15,937
			2,783
			1,507
			2,293
			12,490
			8,180
			17,092
			4,721
			3,593

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
57. EQUINIX INC COM PAR		2018-01-24	2021-02-17
150. GENERAL DYNAMICS CORP COM		2018-01-24	2021-02-17
3005.41 JPMORGAN EMERGING MARKETS EQUITY FUND CL L #1389		2020-02-14	2021-02-17
15. MICROSOFT CORP COM		2018-06-25	2021-02-17
30. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP RCPT		2009-02-20	2021-02-17
1299.29 SMALL BUSINESS ADMIN GTD LN POOL CTF5 5.54% DTD 09/13/2006 DUE		2015-02-23	2021-03-01
1665.556 MFS CORPORATE BOND FUND CL I #811		2020-06-10	2021-03-05
1067.993 VANGUARD LONG TERM INVMT GRADE ADMR #568		2020-07-29	2021-03-05
10101.01 FEDERATED HERMES INSTITUTIONAL HIGH YIELD BOND FUND		2016-09-01	2021-03-08
2177.7 JPMORGAN MORTGAGE-BACKED SECURITIES FUND CLASS I #1273		2019-03-28	2021-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,063		25,092	13,971
24,367		32,827	-8,460
150,000		87,371	62,629
3,627		1,472	2,155
13,770		2,539	11,231
1,299		1,377	-78
25,000		25,073	-73
11,406		13,318	-1,912
100,000		97,673	2,327
25,000		24,303	697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			13,971
			-8,460
			62,629
			2,155
			11,231
			-78
			-73
			-1,912
			2,327
			697

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2235.237 MFS CORPORATE BOND FUND CL I #811		2020-04-16	2021-03-08
2678.39 SMALL BUSINESS ADMIN GTD LN POOL CTF5 5.37% DTD 04/16/2008 DUE		2009-02-24	2021-04-01
5069.238 VANGUARD LONG-TERM TAX-EXEMPT FUND - ADM #543		2020-03-25	2021-04-05
47. ANTHEM INC COM		2019-04-01	2021-04-07
3484.321 JPMORGAN MORTGAGE-BACKED SECURITIES FUND CLASS I #1273		2019-03-28	2021-04-07
3630.421 VANGUARD LONG-TERM TAX-EXEMPT FUND - ADM #543		2020-07-29	2021-04-07
800.412 VANGUARD LONG-TERM TAX-EXEMPT FUND - ADM #543		2020-03-25	2021-04-07
200. SPDR S&P MIDCAP 400 ETF TR UNIT SER 1 STANDARD & POORS DEP RCPT		2009-02-20	2021-04-22
10. ALPHABET INC CAP STK CL A		2016-07-19	2021-05-03
7. AMAZON.COM INC COM		2019-03-28	2021-05-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
33,394		33,216	178
2,678		2,822	-144
61,439		57,992	3,447
16,505		13,518	2,987
40,000		38,885	1,115
44,073		42,924	1,149
9,717		9,157	560
98,765		16,929	81,836
23,595		7,534	16,061
24,224		12,334	11,890

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			178
			-144
			3,447
			2,987
			1,115
			1,149
			560
			81,836
			16,061
			11,890

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
200. APPLE INC COM		2020-03-25	2021-05-03
80. JPMORGAN CHASE & CO COM		2020-03-25	2021-05-03
464.396 MFS INSTITUTIONAL INTERNATIONAL EQUITY FUND #403		2020-02-14	2021-05-03
25. UNITEDHEALTH GROUP INC COM		2017-04-10	2021-05-03
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,497		12,724	13,773
12,354		7,490	4,864
15,000		13,031	1,969
10,056		4,156	5,900
			13,132
			13,132
			13,132
			13,132
			13,132
			13,132

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			13,773
			4,864
			1,969
			5,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NOTRE DAME HIGH SCHOOL 596 S 2ND STREET SAN JOSE, CA 95112	NONE	EXEMPT	GENERAL SUPPORT	17,500
SANTA CLARA UNIVERSITY 500 EL CAMINO REAL SANTA CLARA, CA 95053	NONE	EXEMPT	GENERAL SUPPORT	57,500
PRESENTATION HIGH SCHOOL 2821 PLUMMER AVENUE SAN JOSE, CA 95125	NONE	EXEMPT	GENERAL SUPPORT	5,000
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DELTA UPSILON EDUCATIONAL FOUNDATION 170 SOUTH MARKET ST SAN JOSE, CA 95113	NONE	EXEMPT	GENERAL SUPPORT	20,000
BOY SCOUTS OF AMERICA 970 W JULIAN ST SAN JOSE, CA 95126	NONE	EXEMPT	GENERAL SUPPORT	40,000
LOAVES & FISHES FAMILY KITCHEN 508 VALLEY WAY MILPITAS, CA 95035	NONE	EXEMPT	GENERAL SUPPORT	5,000
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST FRANCIS HIGH SCHOOL 1855 MIRAMONTE AVE MOUNTAIN VIEW, CA 94040	NONE	EXEMPT	GENERAL SUPPORT	5,000
VIA REHAB SERVICES 2851 PARK AVENUE SANTA CLARA, CA 95050	NONE	EXEMPT	GENERAL SUPPORT	15,000
CATHOLIC CHARITIES 2625 ZANKER ROAD 200 SAN JOSE, CA 95134	NONE	EXEMPT	GENERAL SUPPORT	40,000
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BELLARMINE COLLEGE PREP 960 W HEDDING STREET SAN JOSE, CA 95126	NONE	EXEMPT	GENERAL SUPPORT	17,500
HUMANE SOCIETY 5500 GAINES STREET SAN DIEGO, CA 92110	NONE	EXEMPT	GENERAL SUPPORT	10,000
SAN JOSE AUXILIARY TO LUCILE PACKARD 400 HAMILTON AVE STE 340 PALO ALTO, CA 94301	NONE	EXEMPT	GENERAL SUPPORT	10,000
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SALVATION ARMY 359 NORTH 4TH STREET SAN JOSE, CA 95112	NONE	EXEMPT	GENERAL SUPPORT	15,000
UNITED VETERANS COUNCIL OF SANTA CLARA 12 S FIRST STREET 219 SAN JOSE, CA 95113	NONE	EXEMPT	GENERAL SUPPORT	10,000
HABITAT FOR HUMANITY 513 VALLEY WAY MILPITAS, CA 95035	NONE	EXEMPT	GENERAL SUPPORT	7,500
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILD ADVOCATES 2401 PORTSMOUTH ST HOUSTON, TX 77098	NONE	EXEMPT	GENERAL SUPPORT	10,000
CRISTO REY SAN JOSE JESUIT HIGH SCHOOL 1389 E SANTA CLARA ST SAN JOSE, CA 95116	NONE	EXEMPT	GENERAL SUPPORT	20,000
OKIZU FOUNDATION 16 DIGITAL DRIVE 130 NOVATO, CA 94949	NONE	EXEMPT	SUPPORT	5,000
Total ▶ 3a				330,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LADIES OF CHARITY 1345 ECHO VALLEY DRIVE SAN JOSE, CA 95120	NONE	NON EXEMPT	CHARITABLE	5,000
OUR CITY FOREST 1000 SPRING ST SAN JOSE, CA 95110	NONE	EXEMPT	SUPPORT	5,000
JW HOUSE 3850 E HOMESTEAD RD SANTA CLARA, CA 95051	NONE	EXEMPT	SUPPORT	10,000
Total ▶ 3a				330,000

TY 2020 Accounting Fees Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AUDIT & ACCOUNTING FEES (ALLOC	1,520	1,520		
TAX PREPARATION FEE (NON-ALLOC	1,564			1,564

TY 2020 Investments Corporate Bonds Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
TOTAL BOND VALUE	1,823,347	1,868,996

TY 2020 Investments Corporate Stock Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TOTAL STOCK VALUE	2,809,363	5,514,276

TY 2020 Investments - Other Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
TOTAL ALT VALUE	AT COST	353,086	394,860

TY 2020 Legal Fees Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES - PRINCIPAL (ALLOCA	50,482	12,620		37,861
LEGAL FEES - INCOME (ALLOCABLE	19,872	4,968		14,904

TY 2020 Other Decreases Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181

Description	Amount
INCOME TIMING DIFFERENCE	327

TY 2020 Other Expenses Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER EXPENSE (NON-DEDUCTIBLE)	7	7		0

TY 2020 Section 4942(a)(2) Explanation Statement

Name: STELLA B GROSS CHARITABLE TRUST

EIN: 23-7142181

Explanation: This question does not apply. The foundation does not have any undistributed income from last year.

TY 2020 Taxes Schedule**Name:** STELLA B GROSS CHARITABLE TRUST**EIN:** 23-7142181**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL ESTIMATES - PRINCIPAL	1,872	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,840	1,840		0