Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020 , and ending 06-30-2021

Name of foundation
WALLERSTEIN FOUNDATION FOR
GERIATRIC LIFE IMPROVEMENT

A Employer identification number
22-3052726

Number and street (or P.O. box number if mail is not delivered to street address) | Room/suite
11 HANCOCK DRIVE

B Telephone number (see instructions)
(973) 731-2500

City or town, state or province, country, and ZIP or foreign postal code
FLORHAM PARK, NJ 079322306

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 12,266,718

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	201,242	201,242		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	476,225			
	b	Gross sales price for all assets on line 6a 4,510,185				
	7	Capital gain net income (from Part IV, line 2)		476,225		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	677,467	677,467		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	83,333	83,333		
	14	Other employee salaries and wages	13,562	13,562		
	15	Pension plans, employee benefits	8,908	8,908		
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	11,954	11,954		
	c	Other professional fees (attach schedule)	60,115	60,115		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	6,847			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	6,973	6,973		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	191,692	184,845		0
	25	Contributions, gifts, grants paid	469,468			469,468
	26	**Total expenses and disbursements.** Add lines 24 and 25	661,160	184,845		469,468
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	16,307			
	b	**Net investment income** (if negative, enter -0-)		492,622		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	549	1,486	1,486
2	Savings and temporary cash investments	225,176	324,132	324,132
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)			
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	9,745,852	9,669,991	11,941,100
14	Land, buildings, and equipment: basis ▶ 6,989 Less: accumulated depreciation (attach schedule) ▶ 6,989			
15	Other assets (describe ▶)	3,915		
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,975,492	9,995,609	12,266,718
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	1,897	5,707	
23	**Total liabilities**(add lines 17 through 22)	1,897	5,707	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds	9,973,595	9,989,902	
29	**Total net assets or fund balances** (see instructions)	9,973,595	9,989,902	
30	**Total liabilities and net assets/fund balances** (see instructions)	9,975,492	9,995,609	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,973,595
2	Enter amount from Part I, line 27a	2	16,307
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,989,902
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	9,989,902

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SCHEDULE ATTACHED	P		
b	CAPITAL GAIN DISTRIBUTIONS	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,434,705		4,033,960	400,745
b	75,480			75,480
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				400,745
b				75,480
c				
d				
e				

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	476,225
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved.	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved , ...	8	

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _________ **(attach copy of letter if necessary–see instructions)**				
b	Reserved.			1	6,847
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2.			3	6,847
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	6,847
6	Credits/Payments:				
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	3,915		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d.			7	3,915
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	2,932
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ____________ **(2)** On foundation managers. ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NJ			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation .*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶MITCHEL B WALLERSTEIN Telephone no. ▶(973) 731-2500

Located at ▶807 DAVIS STREET UNIT 601 EVANSTON IL ZIP+4 ▶60201

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?.	1c		

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	11,088,939
b	Average of monthly cash balances.	1b	388,692
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	11,477,631
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	11,477,631
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	172,164
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,305,467
6	**Minimum investment return.** Enter 5% of line 5.	6	565,273

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	565,273
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	6,847		
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b			
c	Add lines 2a and 2b.			2c	6,847
3	Distributable amount before adjustments. Subtract line 2c from line 1.			3	558,426
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	558,426
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.			7	558,426

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	469,468
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	469,468
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	469,468

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7					558,426
2 Undistributed income, if any, as of the end of 2020:					
a Enter amount for 2019 only.				202,668	
b Total for prior years: 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2020:					
a From 2015.					
b From 2016.					
c From 2017.					
d From 2018.					
e From 2019.					
f **Total** of lines 3a through e.					
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 469,468					
a Applied to 2019, but not more than line 2a				202,668	
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2020 distributable amount.					266,800
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5					
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b. Taxable amount —see instructions					
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions					
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					291,626
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a					
10 Analysis of line 9:					
a Excess from 2016. . . .					
b Excess from 2017. . . .					
c Excess from 2018. . . .					
d Excess from 2019. . . .					
e Excess from 2020. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

DR SUSAN PERLIK
807 DAVIS STREET UNIT 601
EVANSTON, IL 60201
(973) 731-2500
WFGLI@WALLERSTEINFOUNDATION.ORG

b The form in which applications should be submitted and information and materials they should include:

INSTRUCTIONS ARE PROVIDED ON THE FOLLOWING WEB PORTAL REGARDING THE FORMAT AND SPECIFIC INFORMATION THAT MUST BE INCLUDED IN THE APPLICATION. HTTPS://WEBPORTALAPP.COM/APPFORM/LOGIN/WALLERSTEINFOUNDATI ON_GRANT.

c Any submission deadlines:

THERE ARE NO SUBMISSION DEADLINES.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE FOUNDATION GENERALLY MAKES GRANTS TO ORGANIZATIONS IN THE NEW YORK METROPOLITAN AREA, ALTHOUGH GEOGRAPHIC EXCEPTIONS ARE MADE ON OCCASION. THE FOUNDATION'S PHILANTHROPIC FOCUS IS ON GERIATRIC LIFE IMPROVEMENT, AND VIRTUALLY ALL SUCCESSFUL APPLICATIONS WILL CONCERN AGING PROGRAMS OR RESEARCH ON AGING. THE FOUNDATION WILL ONLY MAKE GRANTS TO ORGANIZATIONS THAT CAN PROVIDE THEIR IRS 501(C)(3) LETTER OF APPROVAL AND A COPY OF THEIR MOST RECENT IRS TAX RETURN FILING.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
(SEE SCHEDULE ATTACHED) VARIOUS VARIOUS, NJ 07932	NONE	CHARITY	(SEE SCHEDULE ATTACHED)	469,468
Total . . . . ▶ **3a**				469,468
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14		
4 Dividends and interest from securities. . . .			14	201,242	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14		
8 Gain or (loss) from sales of assets other than inventory					476,225
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				201,242	476,225

13 Total. Add line 12, columns (b), (d), and (e). 13 677,467
(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-08-26	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
ANDREW WOLCOTT CPA		2021-11-05		P01254420

Firm's name ▶ ANDREW WOLCOTT CPA — Firm's EIN ▶22-2942879

Firm's address ▶ 11 HANCOCK DR
FLORHAM PARK, NJ 079322306 — Phone no. (973) 593-0033

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LESLEY W BUNN 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	DIRECTOR 000.00	0	0	0
DEBRA W WILLIAMS 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	DIRECTOR 000.00	0	0	0
MITCHEL B WALLERSTEIN 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	PRESIDENT 10.00	0	0	0
MATTHEW P WALLERSTEIN 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	VICE-PRESIDE 000.00	0	0	0
LEAH P WALLERSTEIN 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	TREASURER 000.00	0	0	0
SUSAN E PERLIK 11 HANCOCK DRIVE FLORHAM PARK, NJ 07932	SECRETARY 30.00	83,333	0	0

TY 2020 Accounting Fees Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANDREW WOLCOTT, CPA	6,954	6,954		
THOMAS M. EMMANUELLE, CPA	5,000	5,000		

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491309007801

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Depreciation Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
TELEPHONE SYSTEM	2008-01-01	6,989	6,989	200DB	7.0000				

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491309007801

TY 2020 Investments - Other Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED	AT COST	9,669,991	11,941,100

TY 2020 Land, Etc. Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
	6,989	6,989		

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491309007801

TY 2020 Other Assets Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
EXCHANGES RECEIVABLE			
PAYROLL TAXES PAYABLE			
FEDERAL EXCISE TAX OVERPYMT	3,915		

TY 2020 Other Expenses Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE SUPPLIES & EXPENSES	7,684	7,684		
TELEPHONE				
DUES AND SUBSCRIPTIONS AND LI				
COMPUTER & INTERNET EXPENSE				
BANK CHARGES				
MEALS AND ENTERTAINMENT				
INSURANCE - WORKMANS COMP	161	161		
INSURANCE - LIABILITY	250	250		
INSURANCE REFUNDS	-1,122	-1,122		

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS EXPENSE				

TY 2020 Other Liabilities Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCHANGES PAYABLE		
FEDERAL EXCISE TAX PAYABLE		2,932
PAYROLL TAXES PAYABLE	1,897	2,775

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491309007801

TY 2020 Other Professional Fees Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
J.P. MORGAN CHASE	58,565	58,565		
ALTIGRO PENSION CONSULTANTS	1,550	1,550		

TY 2020 Taxes Schedule

Name: WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT

EIN: 22-3052726

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX - FORM 990-PF	6,847			

Wallerstein Foundation for Geriatric Life Improvement
EIN #22-3052726 - Form 990-PF
Year: July 1, 2020 to June 30, 2021

Page 3, Part IV, Line 1a, Column h, Gain or (Loss)

DESCRIPTION	DATE ACQ'D	DATE SOLD	SALES PRICE	COST	GAIN/ (LOSS)
JPM 100% US Treasury Fund Primier 675	L	7/1/2020	39,999.13	39,999.13	-
JPM 100% US Treasury Fund Primier 675	L	8/3/2020	40,000.00	40,000.00	-
JPM 100% US Treasury Fund Primier 675	L	9/10/2020	4,805.55	4,805.55	-
					-
Vanguard Mid-Cap ETF	L	7/8/2020	106,360.09	98,573.57	7,786.52
Dodge & Cox Int'l. Stock	L	8/11/2020	116,567.72	126,299.91	(9,732.19)
Dodge & Cox Income Fund	L	9/10/2020	54,234.39	48,558.27	5,676.12
Doubleline TTL Return Bond	L	9/10/2020	108,468.77	104,837.73	3,631.04
Oakmark Fund Instl.	L	9/10/2020	313,999.12	324,035.73	(10,036.61)
ISHARES MSCI All Country ASI	S	9/10/2020	53,400.79	47,778.51	5,622.28
Invesco QQQ Trust Series 1	L	9/10/2020	115,139.49	81,519.90	33,619.59
Invesco QQQ Trust Series 1	S	9/10/2020	102,717.69	72,725.14	29,992.55
Vanguard Mid-Cap ETF	L	9/10/2020	470,861.80	407,722.83	63,138.97
Vanguard Total Bond Mkt.Index	S	9/10/2020	107,209.65	107,301.75	(92.10)
					-
Vanguard Financials ETF	L	10/5/2020	108,876.41	127,983.08	(19,106.67)
					-
Metropiolitan West Total Return Bond Fd	L	11/10/2020	208,853.95	187,705.24	21,148.71
Metropiolitan West Total Return Bond Fd	S	11/20/2020	22,251.96	19,998.71	2,253.25
Fidelity Intl. Index-Instl. PRM	S	11/20/2020	25,000.00	24,341.95	658.05
Oakmark Int'l Instl.	L	11/20/2020	27,660.83	26,810.07	850.76
ISHARES Core MSCI Emerging	S	11/20/2020	25,149.74	23,197.46	1,952.28
ISHARES MSCI All Country ASI	S	11/20/2020	56,170.81	44,143.04	12,027.77
Invesco QQQ Trust Series 1	L	11/25/2020	18,394.54	15,988.93	2,405.61
Invesco QQQ Trust Series 1	L	11/25/2020	135,522.31	117,798.91	17,723.40
					-
ISHARES Gold Trust	L	1/13/2021	97,633.96	65,245.14	32,388.82
Fidelity Intl. Index-Instl. PRM	S	1/28/2021	170,000.00	151,040.06	18,959.94
Oakmark Int'l Instl.	L	1/28/2021	40,000.00	35,753.15	4,246.85
					-
ISHARES Global Infastructure	S	1/28/2021	102,421.31	106,681.37	(4,260.06)
Pimco Total Return Fund	L	2/5/2021	30,000.00	28,542.86	1,457.14
Vanguard Total Bond Mkt.Index	S	2/5/2021	175,000.00	177,477.55	(2,477.55)
Vaneck Gold Miners	L	2/12/2021	49,561.22	48,276.86	1,284.36
					-
Fidelity Index 500	L	2/24/2021	170,000.00	120,676.90	49,323.10
Parnassus Core Equity-Inst	S	2/24/2021	135,000.00	120,111.89	14,888.11
					-
Vanguard Total Bond Mkt.Index	L	2/26/2021	160,000.00	159,737.41	262.59
ISHARES MSCI Brazil	S	3/3/2021	99,494.74	104,701.89	(5,207.15)
Vaneck Gold Miners	L	3/3/2021	130,444.45	87,499.52	42,944.93
Vanguard Total Bond Mkt.Index	L	3/16/2021	87,272.07	86,803.29	468.78
ISHARES Core MSCI Emerging	L	3/26/2021	117,856.86	117,424.03	432.83
ISHARES MSCI All Country ASI	L	3/26/2021	82,518.14	59,487.02	23,031.12

Wallerstein Foundation for Geriatric Life Improvement
EIN #22-3052726 - Form 990-PF
Year: July 1, 2020 to June 30, 2021

Page 3, Part IV, Line 1a, Column h, Gain or (Loss)

DESCRIPTION		DATE ACQ'D	DATE SOLD	SALES PRICE	COST	GAIN/ (LOSS)
						-
Pimco Inv GRD CRD BOND INST	S		4/12/2021	274,440.94	268,342.25	6,098.69
						-
Doubleline TTL Return Bond	L		5/12/2021	29,780.03	29,250.92	529.11
T Rowe Price New Asia-I	L		5/12/2021	23,675.48	18,149.03	5,526.45
ISHARES MSCI All Country ASI	L		5/12/2021	15,454.39	11,389.02	4,065.37
ISHARES MSCI All Country ASI	L		5/12/2021	7,599.15	5,595.76	2,003.39
						-
ISHARES MSCI Japan ETF	S		6/2/2021	60,579.46	60,386.87	192.59
ISHARES Gold Trust	L		6/9/2021	18.19		18.19
ISHARES MSCI All Country ASI	L		6/28/2021	32,550.53	22,580.55	9,969.98
ISHARES MSCI All Country ASI	L		6/28/2021	81,759.80	56,681.78	25,078.02
				4,434,705.46	4,033,960.53	400,744.93

Wallerstein Foundation for Geriatric Life Improvement
EIN #22-3052726 - Form 990-PF
Year: July 1,2020 to June 30, 2021

Page 11, Part XV, Line 3a, Grants and Contributions Paid

Name	Name Address	Relationship	Status	Purpose	Amount
6100 · Contribution Expense - Grants					
Adler Aphasia Center	60 West Hunter Ave., Maywood, NJ 07607	None	Charity	Medical	30,000.00
Adult Day Care Center of Somerset Conty	872 East Main St., Bridgewater, NJ 08807	None	Charity	Elderly	7,500.00
Alzheimer's New Jersey	425 Eagle Rock Avenue, #203, Roseland, NJ 07068	None	Charity	Medical	7,000.00
American Friends of Hebrew University	1 Battery Park Plaza, 25th Fl., New York, NY 10004	None	Charity	Religious	12,500.00
Caregiver Volunteers of Central Jersey	67 NJ-37 West, Toms River, NJ 08755	None	Charity	Medical	5,600.00
Congregation B'nai Jeshurun	1025 South Orange Avenue, Short Hills, NJ 07078	None	Charity	Religious	500.00
Community Meals, Inc.	354 Rock Road, Glen Rock, NJ 07452	None	Charity	Social Action	5,000.00
Connections at Home NJ	271 Grove Avenue, Verona, NJ	None	Charity	Social Action	23,333.33
End of Life Choices	244 5th Ave., #2010, New York, NY 10001	None	Charity	Social Action	10,000.00
Foundation for Morristown Medical Center	100 Madison Avenue, Morristown, NJ 07960	None	Charity	Medical	15,000.00
Greenwich House	122 West 27TH St., New York, NY 10001	None	Charity	Social Action	6,000.00
Habitat for Humanity in Monmouth County	45 South St., Freehold, NJ 07728	None	Charity	Social Action	5,000.00
Health Advocates For Older People	1233 2nd Avenue, New York, N.Y. 10065	None	Charity	Elderly	5,000.00
Home Sharing, Inc.	120 Firnderne Ave., Bridgewater, NJ 08807	None	Charity	Social Action	10,000.00
Hopewell Valley Senior Foundation	P.O. Bov 0567, Pennington, NJ 08534-0567	None	Charity	Elderly	6,000.00
Insight Memory Care Center	3953 Pender Drive, #100, Fairfax, VA. 22030	None	Charity	Medical	10,000.00
Interfaith Caregivers	P.O. Box 186, Haddonfield, NJ 08033	None	Charity	Medical	10,000.00
Island Harvest	15 Grumman Road, Bethpage, NY 11714	None	Charity	Social Action	10,000.00
Jewish Family & Children Services of Northern NJ	1485 Teaneck Road, Teaneck, NJ 07666	None	Charity	Social Action	10,000.00
Jewish Family Services of Central NJ	655 Westfield Avenue, Elizabeth, NJ 07208	None	Charity	Elderly	12,000.00
Jewish Family Services of Somerset, Hunterdon & Warren	150 West High St., Suite A, Somerville, NJ 08876	None	Charity	Social Action	10,000.00
Jewish Family & Children Svces. of Greater Mercer County	707 Alexander Road, Suite 102, Princeton, NJ 08540-6331	None	Charity	Social Action	5,000.00
Kessler Foundation	300 Executive Drive, Suite 150, West Orange, NJ 07052	None	Charity	Medical	49,931.00
Main Street Counseling	8 Marcella Avenue West Orange, NJ 07052	None	Charity	Mental Health	15,000.00
Masonic Charity Foundation of New Jersey	902 Jacksonville Road, Burlington, NJ 08816	None	Charity	Social Action	2,749.00
Maxwell School of Syracuse University	Eggars Hall, 200, Syracuse, NY 13244	None	Charity	Social Action	10,000.00
Montclair Art Museum	3 South Mountain Ave., Montclair, NJ 07042	None	Charity	Arts	10,000.00
Newark Beth Israel Medical Center	201 Lyons Ave., Newark, NJ 07102	None	Charity	Medical	7,500.00
New Bridge Services, Inc.	P.O.Box 576, Pennington, NJ 08534	None	Charity	Social Action	15,000.00
Northern Westchester Hospital	400 East Main St., Mt. Kisco, NY 10549	None	Charity	Medical	10,000.00
Ohio Valley Voices	6642 Branch Hill-Guinea Pike, Loveland, Ohio 45140	None	Charity	Medical	10,000.00
Prevention Resources, Inc.	4 Walter E. Foran Blvd., Suite 410, Flemington, NJ 08822	None	Charity	Medical	15,000.00
Princeton Senior Resource Center	45 Stockton St., Princeton, NJ 08540	None	Charity	Elderly	15,870.00
RWJ University Hospital Foundation	10 Plum St., #910, New Brunswick, NJ 08901	None	Charity	Medical	5,235.00
Seach and Care	1844 2nd Avenue, New York, NY 10128	None	Charity	Elderly	10,000.00
Senior Source	310 Riverside Square Mall, Hackensack, NJ 07601	None	Charity	Elderly	11,000.00
Somerset Health Care Foundation	110 Rehill Avenue, Somerville, NJ 08876	None	Charity	Medical	10,000.00
St. James Social Service Corp.	604 Martin Luther King Jr. Blvd., Newark, NJ 07102	None	Charity	Social Action	10,000.00

Wallerstein Foundation for Geriatric Life Improvement
EIN #22-3052726 - Form 990-PF
Year: July 1,2020 to June 30, 2021

Page 11, Part XV, Line 3a, Grants and Contributions Paid

Name	Name Address	Relationship	Status	Purpose	Amount
The Acr of Essex County	123 Naylon Ave., Livingston, NJ 07039	None	Charity	Social Action	15,000.00
The Fresh Air Fund	633 Third Avenue New York, New York 10017	None	Charity	Social Action	5,000.00
The Senior Center of the Chathams	58 Meyersville Road, Chatham, NJ 07928	None	Charity	Elderly	3,000.00
Twilight Wish Foundation	PO BOX 1042, Doylestown, PA, 18901	None	Charity	Social Action	7,500.00
Union Settlement Association	237 E. 104th St., New York, NY 10029	None	Charity	Social Action	10,000.00
University of Denver (Colorado Seminary)	2199 S. University Blvd., Denver, Co. 80210	None	Charity	Religious	6,250.00
				Total	469,468.33

WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT
FORM 990-PF YEAR ENDED JUNE 30, 2021
PAGE 2, PART 2

File:WFGLI FMV 6-30-2021

# OF SHARES OR PAR	DESCRIPTION	ORIGINAL COST OR BASIS	FAIR MARKET VALUE	
US LARGE CAP EQUITY				
7,291.296	Edgewood Growth Fund - Inst	302,588	452,279	
10,137.968	Fidelity 500 Index Fund - Inst PRM	946,924	1,516,539	
2,469.000	Health Care Select Sector	248,376	310,971	
2,874.000	Industrial Select Sect SPDR	268,123	294,298	
1,689.000	Invesco QQQ TR Unit Ser 1	360,406	598,632	
2,590.000	ISHARES Russell 1000 Value E	339,824	410,826	
10,051.568	Parnassus Core Equity-Inst	503,584	620,483	
4,382.000	Vanguard Financials ETF	296,998	396,352	**4,600,380**
EAFE EQUITY				
11,818.722	Fidelity International Index Fund	466,300	585,972	
14,910.087	Oakmark International - Inst	317,212	442,382	**1,028,354**
EUROPEAN LARGE CAP EQUITY				
7,499.000	Vanguard FTSE Europe ETF	471,690	504,383	**504,383**
JAPANESE LARGE CAP EQUITY				
3,793	ISHARES MSCI Japan EFT	217,077	256,179	
7,593	T Rowe Price New Asia - I	112,143	143,652	**399,831**
ASIA ex-JAPAN EQUITY				
637	ISHARES MSCI All Country ASI	41,592	60,196	
6,245	T Rowe Price Japan Fund - I	122,030	166,995	**227,191**
EMERGING MARKET EQUITY				
7,699	ISHARES Core MSCI Emerging	385,237	515,756	
3,197	ISHARES MSCI India ETF	102,830	141,467	
5,976	Matthews China Fund - Ins	114,260	172,466	**829,689**
JP MORGAN PRIME MM FUND				
HEDGE FUNDS				
62,252.059	Blackstone Alt Multi-Strat-Y	660,690	670,455	
19,427.816	Nueberger Berman Long Sh-Ins	291,890	340,764	
13,865.932	Pimco Dynamic Bond-Inst	151,000	149,891	**1,161,110**
REAL ESTATE & INFRASTRUCTURE				
20,611	Cohen & Steers Real Estate - F	362,701	403,359	**403,359**
HARD ASSETS				
5,569	ISHARES Gold Trust	130,596	187,731	**187,731**

WALLERSTEIN FOUNDATION FOR GERIATRIC LIFE IMPROVEMENT
FORM 990-PF YEAR ENDED JUNE 30, 2021
PAGE 2, PART 2

File:WFGLI FMV 6-30-2021

# OF SHARES OR PAR	DESCRIPTION	ORIGINAL COST OR BASIS	FAIR MARKET VALUE	
U.S. FIXED INCOME				
22,404.16	Dodge & Cox Income Fund	303,842	321,052	
25,288.93	Doubleline Total Ret Bnd-I	260,903	266,545	
14,795.66	JPM Managed Income Fd - USD-L	148,343	148,400	
26,425.22	Pimco Total Return Fund-Inst	263,668	274,294	
7,212.00	Schwab U.S. TIPS ETF	410,129	450,822	
90,049.90	Vanguard Total Bond Market Idx - ADM	951,282	1,018,464	
2,280.00	Vanguard Short-Term Tips	117,754	119,495	**2,599,072**
	ADD JP MORGAN ACCT. 7000 INVESTMENT			
		9,669,992	11,941,100	11,941,100