

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 07-01-2020 , and ending 06-30-2021

Name of foundation THE FRANKLIN FUND		A Employer identification number 13-6160092	
Number and street (or P.O. box number if mail is not delivered to street address) 55 EAST 52ND STREET		B Telephone number (see instructions) (212) 245-5900	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10055		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>2,997,427</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	50,462	50,273		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	88,668			
	b Gross sales price for all assets on line 6a <u>648,303</u>				
	7 Capital gain net income (from Part IV, line 2)		88,668		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	139,130	138,941			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,500	1,500	0	0
	c Other professional fees (attach schedule)	18,061	18,061		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	1,662	235		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings			0	0
	22 Printing and publications			0	0
	23 Other expenses (attach schedule)	250			250
	24 Total operating and administrative expenses. Add lines 13 through 23	21,473	19,796	0	250
	25 Contributions, gifts, grants paid	156,700			156,700
26 Total expenses and disbursements. Add lines 24 and 25	178,173	19,796	0	156,950	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-39,043				
b Net investment income (if negative, enter -0-)		119,145			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	2,743	2,357	2,357
	2 Savings and temporary cash investments	162,831	156,790	156,790
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	563,768	539,778	899,053
	c Investments—corporate bonds (attach schedule)	839,060	1,046,170	1,046,665
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	902,925	686,669	892,562
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,471,327	2,431,764	2,997,427	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	2,471,327	2,431,764	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	2,471,327	2,431,764		
30 Total liabilities and net assets/fund balances (see instructions) .	2,471,327	2,431,764		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,471,327
2 Enter amount from Part I, line 27a	2	-39,043
3 Other increases not included in line 2 (itemize) ▶ _____	3	2,329
4 Add lines 1, 2, and 3	4	2,434,613
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,849
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	2,431,764

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	88,668
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 294.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address
14 The books are in care of CO EVERCORE TRUST CO Telephone no. (212) 822-7647
Located at 55 EAST 52ND STREET NEW YORK NY ZIP+4 10055
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b		
Organizations relying on a current notice regarding disaster assistance check here. ▶		<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No			
<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b		No
<i>If "Yes" to 6b, file Form 8870.</i>					
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CYNTHIA F FRANKLIN 12 TOYON COURT SAUSALITO, CA 94965	TRUSTEE 1	0		
GEORGE S FRANKLIN III 61 COVE NECK ROAD OYSTER BAY, NY 11771	TRUSTEE 1	0		
HELENA F ROZIER 35 COMPO BEACH ROAD WESTPORT, CT 06880	TRUSTEE 1	0		
SHEILA F LIEBER 61 COVE NECK ROAD OYSTER BAY, NY 11771	TRUSTEE 1	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	2,663,966
b	Average of monthly cash balances.	1b	178,827
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,842,793
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,842,793
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	42,642
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,800,151
6	Minimum investment return. Enter 5% of line 5.	6	140,008

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	140,008
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	1,656
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	1,656
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	138,352
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	138,352
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	138,352

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	156,950
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	156,950
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	156,950

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				138,352
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			62,961	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>156,950</u>				
a Applied to 2019, but not more than line 2a			62,961	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				93,989
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				44,363
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				156,700
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
486.855 FROST TOTAL RETURN BOND-INST		2019-02-01	2020-07-06
8087.648 FROST TOTAL RETURN BOND-INST		2020-01-03	2020-07-06
4677.268 DFA INTL SUSTAINABILITY CORE		2018-03-08	2020-08-31
20. ABBOTT LABORATORIES		2020-02-20	2020-09-01
20. AMERICAN TOWER CORP REIT		2020-02-20	2020-09-01
60. BEST BUY INCORPORATED		2019-10-25	2020-09-01
20. BORGWARNER INC		2019-10-25	2020-09-01
20. IPG PHOTONICS CORP		2019-10-25	2020-09-01
20. ROPER TECHNOLOGIES INC		2020-02-20	2020-09-01
20. WESTROCK CO		2020-02-20	2020-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,873		5,000	-127
80,957		84,230	-3,273
49,813		52,011	-2,198
2,138		1,767	371
4,970		4,915	55
6,814		4,413	2,401
828		791	37
3,172		2,842	330
8,699		7,694	1,005
630		815	-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-127
			-3,273
			-2,198
			371
			55
			2,401
			37
			330
			1,005
			-185

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. ACCENTURE PLC CL A		2020-02-20	2020-09-01
22.024 STONE RIDGE ALT LENDING RISK		2018-02-01	2020-09-11
2. AMAZON.COM INC		2019-10-25	2020-09-23
20. ANSYS INC		2019-10-25	2020-09-23
20. BLACKSTONE INC.		2019-09-19	2020-09-23
20. CBRE GROUP INC		2019-09-19	2020-09-23
20. DOMINO'S PIZZA INC		2019-10-25	2020-09-23
20. ADOBE SYSTEMS INC COM		2019-09-19	2020-10-23
20. APPLE COMPUTER INC		2019-10-25	2020-10-23
20. APPLIED MATERIALS INC		2019-10-25	2020-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,760		4,252	508
1,102		1,127	-25
6,159		3,474	2,685
6,241		4,454	1,787
1,043		1,040	3
916		1,083	-167
8,297		5,523	2,774
9,722		5,678	4,044
2,299		1,222	1,077
1,224		1,105	119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			508
			-25
			2,685
			1,787
			3
			-167
			2,774
			4,044
			1,077
			119

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1409.642 ARTISAN INTL VALUE FUND-INS		2019-12-09	2020-10-23
1487.474 ARTISAN INTL VALUE FUND-INS		2018-03-08	2020-10-23
20. BORGWARNER INC		2019-10-25	2020-10-23
20. CDW CORP		2020-09-01	2020-10-23
937.207 DFA INTL SUSTAINABILITY CORE		2018-03-08	2020-10-23
757.909 DFA EM MRKT SUST CORE 1-INST		2019-12-09	2020-10-23
323.172 DFA EM MRKT SUST CORE 1-INST		2018-07-02	2020-10-23
7. HOME DEPOT INC		2019-10-25	2020-10-23
20. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2019-10-25	2020-10-23
900.901 MUZINICH CREDIT OPP-SUP INS		2019-09-19	2020-10-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
48,167		50,000	-1,833
50,827		57,000	-6,173
800		791	9
2,592		2,264	328
10,047		10,422	-375
7,018		6,723	295
2,993		2,886	107
1,968		1,648	320
1,037		925	112
10,009		10,180	-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,833
			-6,173
			9
			328
			-375
			295
			107
			320
			112
			-171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. SS&C TECHNOLOGIES HLDGS INC		2020-02-20	2020-10-23
20. THERMO FISHER SCIENTIFIC INC		2019-10-25	2020-10-23
20. WESTROCK CO		2020-02-20	2020-10-23
89.015 STONE RIDGE ALT LENDING RISK		2018-02-01	2020-12-04
20. ABBOTT LABORATORIES		2019-09-19	2020-12-08
20. BLACKSTONE INC.		2019-09-19	2020-12-08
20. CBRE GROUP INC		2019-09-19	2020-12-08
20. CELANESE CORP COMMON		2019-10-25	2020-12-08
425.532 DFA INTL SUSTAINABILITY CORE		2018-03-08	2020-12-08
1022.495 DFA US SUSTAINABILITY CORE 1		2019-12-09	2020-12-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,300		1,299	1
9,604		5,917	3,687
806		815	-9
4,539		4,553	-14
2,139		1,680	459
1,241		1,035	206
1,322		1,083	239
2,600		2,481	119
5,017		4,732	285
30,102		25,112	4,990

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			3,687
			-9
			-14
			459
			206
			239
			119
			285
			4,990

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
491.642 DFA EM MRKT SUST CORE 1-INST		2019-12-09	2020-12-08
20. MICROSOFT CORP		2019-10-25	2020-12-08
20. MORGAN STANLEY DEAN WITTER DISCOVER & CO		2019-10-25	2020-12-08
441.306 MUZINICH CREDIT OPP-SUP INS		2019-09-19	2020-12-08
20. TEXAS INSTRS INC		2019-09-19	2020-12-08
31.843 VANGUARD INTL GROWTH FUND AD		2020-10-23	2020-12-08
520.291 VOYA SECURITIZED CREDIT-I		2020-03-06	2020-12-08
20. APPLE COMPUTER INC		2019-10-25	2020-12-16
20. BEST BUY INCORPORATED		2019-10-25	2020-12-16
20. BORGWARNER INC		2019-10-25	2020-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,010		4,361	649
4,322		2,817	1,505
1,277		925	352
5,000		4,987	13
3,330		2,591	739
5,034		4,449	585
5,005		5,379	-374
2,548		1,222	1,326
2,066		1,471	595
761		791	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			649
			1,505
			352
			13
			739
			585
			-374
			1,326
			595
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
40. DISNEY WALT CO		2020-09-01	2020-12-16
20. WESTROCK CO		2020-02-20	2020-12-16
20. APPLE COMPUTER INC		2019-10-25	2021-01-22
200. APPLIED MATERIALS INC		2019-10-25	2021-01-22
40. CBRE GROUP INC		2019-09-19	2021-01-22
402.253 DFA INTL SUSTAINABILITY CORE		2018-03-08	2021-01-22
442.478 DFA EM MRKT SUST CORE 1-INST		2019-12-09	2021-01-22
20. J P MORGAN CHASE & CO		2020-09-23	2021-01-22
20. SS&C TECHNOLOGIES HLDGS INC		2020-02-20	2021-01-22
28.622 VANGUARD INTL GROWTH FUND AD		2020-10-23	2021-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,927		5,305	1,622
889		815	74
2,754		1,222	1,532
21,422		10,723	10,699
2,613		2,165	448
4,972		4,473	499
4,960		3,925	1,035
2,678		1,880	798
1,346		1,299	47
4,999		3,999	1,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,622
			74
			1,532
			10,699
			448
			499
			1,035
			798
			47
			1,000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. CHUBB LTD		2019-09-19	2021-01-22
40.149 STONE RIDGE ALT LENDING RISK		2018-02-01	2021-03-12
1476.669 DFA US SUSTAINABILITY CORE 1		2019-12-09	2021-05-03
206. WESTROCK CO		2020-02-20	2021-05-07
134. WESTROCK CO		2019-09-19	2021-05-10
2233.616 PIONEER ILS INTERVAL FUND		2017-12-11	2021-06-01
60. APPLE COMPUTER INC		2019-10-25	2021-06-02
20. BLACKSTONE INC.		2019-09-19	2021-06-02
439.754 DFA US SUSTAINABILITY CORE 1		2018-01-31	2021-06-02
40. DOMINO'S PIZZA INC		2019-10-25	2021-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,081		3,184	-103
2,275		2,054	221
50,236		35,672	14,564
12,312		7,834	4,478
8,038		4,875	3,163
19,164		21,063	-1,899
7,498		3,665	3,833
1,840		1,035	805
15,004		9,793	5,211
17,153		10,458	6,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-103
			221
			14,564
			4,478
			3,163
			-1,899
			3,833
			805
			5,211
			6,695

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. IPG PHOTONICS CORP		2019-10-25	2021-06-02
20. MASTERCARD INC CL A		2019-09-19	2021-06-02
20. NIKE INC CL B		2019-10-25	2021-06-02
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,108		2,842	1,266
7,381		5,549	1,832
2,687		1,830	857
			10,828
			10,828
			10,828
			10,828
			10,828
			10,828
			10,828

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,266
			1,832
			857

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			10,828
			10,828
			10,828
			10,828
			10,828
			10,828

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JACOB'S PILLOW DANCE FESTIVAL INC 358 GEORGE CARTER ROAD BECKET, MA 01223	NONE	PC	GENERAL	5,000
KEHILLATH SHALOM SYNAGOGUE 58 GOOSE MILL RD COLD SPRINGS HARBOR, NY 11724	NONE	PC	GENERAL	3,500
LONG ISLAND CARES INC 10 DAVIDS DRIVE HAUPPAUGE, NY 11788	NONE	PC	GENERAL	2,500
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE INTERFAITH NUTRITION NETWORK INC 211 FULTON AVENUE HEMPSTEAD, NY 11550	NONE	PC	GENERAL	1,000
NATIONAL AUDUBON SOCIETY INC 225 VARICK STREET 7TH FLOOR NEW YORK, NY 10014	NONE	PC	GENERAL	500
THE ACTORS' FUND OF AMERICA 729 SEVENTH AVENUE SUITE 10TH FL NEW YORK, NY 10019	NONE	PC	GENERAL	3,000
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COALITION FOR THE HOMELESS INC 129 FULTON STREET NEW YORK, NY 10038	NONE	PC	GENERAL	2,500
THE PERFORMANCE ZONE INC 75 MAIDEN LANE SUITE 906 NEW YORK, NY 10038	NONE	PC	GENERAL	1,000
DOCTORS WITHOUT BORDERS USA PO BOX 5030 HAGERSTOWN, MD 217415030	NONE	PC	GENERAL	2,500
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ACLU FOUNDATION 125 BROAD ST 18TH FL NEW YORK, NY 10004	NONE	PC	TO ADVANCE CHARITY'S	15,000
EPIPHANY MAGAZINE 71 BEDFORD STREET NEW YORK, NY 10014	NONE	PC	TO ADVANCE CHARITY'S	20,000
PUBLIC ADVOCATES INC 131 STEUART STREET SUITE 300 SAN FRANCISCO, CA 94105	NONE	PC	GENERAL	2,000
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S ORCHESTRA SOCIETY 65-03 180TH ST FRESH MEADOWS, NY 11365	NONE	PC	TO ADVANCE CHARITY'S	2,500
SAVE THE CHILDRENPO BOX 618 GLEN WILD, NY 12738	NONE	PC	TO ADVANCE CHARITY'S	2,500
SYDA FOUNDATIONPO BOX 600 SOUTH FALLSBURG, NY 12779	NONE	PC	GENERAL	12,500
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CENTER FOR VICTIMS OF TORTURE SPECIALTY BUILDING ST PAUL, MN 55114	NONE	PC	TO ADVANCE CHARITY'S	7,500
DHARMATA FOUNDATION 235 WASHINGTON AVE POINT RICHMOND, CA 94801	NONE	PC	TO ADVANCE CHARITY'S	7,500
DECODA INCPO BOX 3252 NEW YORK, NY 10163	NONE	PC	GENERAL	1,000
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TIDES FOUNDATION PO BOX 29903 SAN FRANCISCO, CA 94129	NONE	PC	GENERAL	20,000
SHARE OUR STRENGTH 1030 15TH STREET NW NO 1100W WASHINGTON, DC 20005	NONE	PC	GENERAL	2,500
THE NORTH SHORE LAND ALLIANCE 1395 PLANTING FIELDS RD OYSTER BAY, NY 11771	NONE	PC	TO ADVANCE CHARITY'S	6,200
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROJECT SOUTH9 GAMMON ST SE ATLANTA, GA 30315	NONE	PC	GENERAL	2,500
THE NEW GEORGIA PROJECT 830 GLENWOOD AVE SOUTHEAST SUITE 51 ATLANTA, GA 30316	NONE	PC	GENERAL	2,500
CENTER FOR COMMON GROUND 18459 PATRIOT LANE RUTHER GLEN, VA 22546	NONE	PC	GENERAL	28,500
Total ▶ 3a				156,700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SAN FRANCISCO MARIN FOOD BANK 900 PENNSYLVANIA AVENUE SAN FRANCISCO, CA 94107	NONE	PC	TO ADVANCE CHARITY'S	2,500
Total				156,700



3a

TY 2020 Accounting Fees Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,500	1,500		

TY 2020 Investments Corporate Bonds Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VANGUARD INTERMEDIATE-TERM COR	472,559	479,840
DOUBLELINE TOTAL RETURN BOND F	194,820	192,447
MUZINICH CREDIT OPPORTUNITY FU	84,551	86,336
STONE RIDGE ALT LENDING RISK		
FROST TOTAL RETURN BOND FUND		
VOYA SECURITIZED CREDIT FUND	94,240	89,496
CARILLON REAMS CORE BOND FUND	200,000	198,546

TY 2020 Investments Corporate Stock Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CELANESE CORP	19,413	24,256
WESTROCK CO		
AMAZON INC	22,581	44,722
HOME DEPOT INC	18,414	31,889
AUTOZONE INC	24,576	34,321
DOMINOS PIZZA INC		
BORG WARNER AUTOMOTIVE INC	15,086	19,416
BEST BUY INC	13,768	18,397
NIKE INC CLASS B	8,875	19,002
CONSTELLATION BRANDS INC	12,368	18,711
THERMO FISHER SCIENTIFIC INC	23,652	40,358
UNITEDHEALTH GROUP INC	16,781	33,637
ABBOTT LABS	14,891	20,867
BLACKSTONE GRROUP INC CLASS A	18,115	34,970
MORGAN STANLEY	14,458	29,341
CBRE GROUP INC	15,080	24,947
CHUBB LTD	12,144	12,715
BLACKROCK INC CL A	8,949	17,499
BERKSHIRE HATHAWAY INC CL B	12,605	16,675
ROPER TECHNOLOGIES INC	13,868	18,808
SS&C TECHNOLOGIES HLDGS INC	16,662	20,177
MICROSOFT CORP	15,460	49,846
APPLE INC	7,587	42,184
MASTERCARD INC CL A	21,823	29,207
ADOBE INC	11,327	26,354
ACCENTURE PLC CL A	19,112	29,479
TEXAS INSTRUMENTS INC	17,233	29,422
ANSYS INC	8,806	13,882
ALIBABA GROU HOLDING LTD SPONS	14,285	18,142
IPG PHOTONICS CORP	8,359	12,646

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CDW CORP	13,898	24,451
APPLIED MATERIALS INC		
ALPHABET INC CL C	9,121	37,595
DISNEY WALT CO NEW	8,105	14,062
MCDONALDS CORP	18,699	18,479
WILLIAMS COS INC	16,290	15,930
JP MORGAN CHASE & CO	13,160	21,776
FEDEX CORPORATION	18,477	17,890
FIDELITY NATL INFORMATION SVCS	15,750	17,000

TY 2020 Investments - Other Schedule

Name: THE FRANKLIN FUND

EIN: 13-6160092

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
DFA US SUSTAINABILITY CORE 1	AT COST	284,422	441,640
DFA INTL SUSTAINABILITY CORE	AT COST	84,362	104,549
INFINITY Q DIVERS ALPHA-INST	AT COST	105,000	79,734
ARTISAN INTL VALUE FUND-INS			
DFA EM MRKT SUST CORE 1-INST	AT COST	54,992	71,602
GQP PART EMERG MKTS EQ-INST	AT COST	44,753	61,142
PIONER LLS INTERVAL FUND	AT COST	13,937	12,755
AMERICAN TOWER CORP REIT	AT COST	17,651	21,611
ENDING BALANCE AT CHASE			
VANGUARD INTL GROWTH FUND AD	AT COST	81,552	99,529

TY 2020 Other Decreases Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092

Description	Amount
2020 TRANSACTION POSTED IN 2021	1,248
BASIS ADJ ON APPLE AND STONE RISK ALT	1,601

TY 2020 Other Expenses Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER NON-ALLOCABLE EXPENSE -	250	0		250

TY 2020 Other Increases Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092**Other Increases Schedule**

Description	Amount
2019 TRANSACTION POSTED IN 2020	2,329

TY 2020 Other Professional Fees Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED)	18,061	18,061		

TY 2020 Taxes Schedule**Name:** THE FRANKLIN FUND**EIN:** 13-6160092**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	59	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,368	0		0
FOREIGN TAXES ON QUALIFIED FOR	193	193		0
FOREIGN TAXES ON NONQUALIFIED	42	42		0