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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 06-01-2020 , and ending 05-31-2021

Name of foundation
ETHEL S C SMITH NO 5 CHARITABLE TW

A Employer identification number
23-6648857

Number and street (or P.O. box number if mail is not delivered to street address)
6325 S RAINBOW BLVD STE 300

Room/suite

B Telephone number (see instructions)
(888) 730-4933

City or town, state or province, country, and ZIP or foreign postal code
LAS VEGAS, NV 89118

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............. ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 17,098,080

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify) ______ *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	221,046	221,046		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,051,275			
	b	Gross sales price for all assets on line 6a 3,702,970				
	7	Capital gain net income (from Part IV, line 2)		1,051,275		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	33,427	33,427		
	12	**Total.** Add lines 1 through 11	1,305,748	1,305,748		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	131,193	98,395		32,798
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,020	0	0	1,020
	c	Other professional fees (attach schedule)	38,709			38,709
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	6,948	3,170		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	386	386		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	178,256	101,951	0	72,527
	25	Contributions, gifts, grants paid	529,000			529,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	707,256	101,951	0	601,527
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	598,492			
	b	**Net investment income** (if negative, enter -0-)		1,203,797		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	732	743	743
	2	Savings and temporary cash investments	862,655	764,875	764,875
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)		2,084,672	2,124,645
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	10,645,949	9,258,034	14,207,817
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,509,336	12,108,324	17,098,080
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here** ▶ ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds	11,509,336	12,108,324	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	11,509,336	12,108,324	
	30	**Total liabilities and net assets/fund balances** (see instructions)	11,509,336	12,108,324	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	11,509,336
2	Enter amount from Part I, line 27a	2	598,492
3	Other increases not included in line 2 (itemize) ▶	3	714
4	Add lines 1, 2, and 3	4	12,108,542
5	Decreases not included in line 2 (itemize) ▶	5	218
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	12,108,324

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,051,275
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved.	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _________ (attach copy of letter if necessary–see instructions)				
b	Reserved			1	16,733
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	16,733
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	16,733
6	Credits/Payments:				
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	7,076		
b	Exempt foreign organizations—tax withheld at source	6b	0		
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	7,076
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	9,657
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 0	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _________ **(2)** On foundation managers. ▶ $ _________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ PA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶WELLS FARGO BANK NA Telephone no. ▶(888) 730-4933

Located at ▶100 N MAIN ST WINSTON SALEM NC ZIP+4 ▶27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WELLS FARGO BANK N A 100 N MAIN ST MAC D4001-117 WINSTON SALEM, NC 27101	TRUSTEE 1	131,193		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				
Total number of other employees paid over $50,000. ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	14,803,705
b	Average of monthly cash balances			1b	811,793
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	15,615,498
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	15,615,498
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	234,232
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	15,381,266
6	**Minimum investment return.** Enter 5% of line 5			6	769,063

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	769,063
2a	Tax on investment income for 2020 from Part VI, line 5	2a	16,733		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	16,733
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	752,330
4	Recoveries of amounts treated as qualifying distributions			4	376
5	Add lines 3 and 4			5	752,706
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	752,706

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	601,527
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	601,527
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	601,527

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7					752,706
2 Undistributed income, if any, as of the end of 2020:					
a Enter amount for 2019 only.				457,775	
b Total for prior years: 20___ , 20___ , 20___			0		
3 Excess distributions carryover, if any, to 2020:					
a From 2015.	0				
b From 2016.	0				
c From 2017.	0				
d From 2018.	0				
e From 2019.	0				
f **Total** of lines 3a through e.		0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 601,527					
a Applied to 2019, but not more than line 2a				457,775	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2020 distributable amount.					143,752
e Remaining amount distributed out of corpus		0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					608,954
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .		0			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a		0			
10 Analysis of line 9:					
a Excess from 2016. . . .	0				
b Excess from 2017. . . .	0				
c Excess from 2018. . . .	0				
d Excess from 2019. . . .	0				
e Excess from 2020. . . .	0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

WELLS FARGO
One West 4th Street
Winston Salem, NC 27101
(888) 234-1999

b The form in which applications should be submitted and information and materials they should include:

www.wellsfargo.com/privatefoundationgrants/smith

c Any submission deadlines:

March 1 and Sept 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Grants are for SE Pennsylvania charities with an emphasis on those serving community needs in Delaware County for capital projects, operating expenses, & special programs.

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				529,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	(b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	(d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	221,046	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,051,275	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a PARTNERSHIP/S-CORP			1	33,427	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				1,305,748	

13 **Total.** Add line 12, columns (b), (d), and (e). **13** 1,305,748

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
11	0

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-10-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2021-10-05		P01251603
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000. VR BANK OF AMERICA 3.559% 4/23/27		2019-04-25	2020-06-01
35000. US TREASURY NOTE 1.125% 2/28/21		2016-03-21	2020-06-01
10000. US TREASURY NOTE 1.625% 8/15/22		2013-12-02	2020-06-01
34565.948 JPMORGAN HIGH YIELD-R6 #3938		2008-08-08	2020-06-11
15000. EXPRESS SCRIPTS HOLD 3.900% 2/15/22		2013-01-29	2020-06-15
465.3 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-06-15
20000. FED NATL MTG ASSN 1.875% 12/28/20		2015-12-04	2020-06-15
25000. FEDEX CORP 2.625% 8/01/22		2012-07-24	2020-06-15
25000. OMNICOM GROUP INC 3.625% 5/01/22		2012-08-07	2020-06-15
25000. SCRIPPS NETWORKS INT 2.800% 6/15/20		2015-10-19	2020-06-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
27,517		25,146	2,371
35,246		34,980	266
10,321		9,248	1,073
230,555		253,449	-22,894
15,581		15,936	-355
465		492	-27
20,177		19,983	194
25,928		24,937	991
26,295		26,365	-70
25,000		24,790	210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,371
			266
			1,073
			-22,894
			-355
			-27
			194
			991
			-70
			210

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20000. US TREASURY NOTE 2.250% 11/15/24		2014-12-22	2020-06-15
23000. US TREASURY NOTE 2.000% 2/15/25		2015-04-28	2020-06-15
40000. US TREASURY NOTE 1.625% 2/15/26		2016-02-29	2020-06-15
20000. MORGAN STANLEY 2.800% 6/16/20		2016-04-29	2020-06-16
137.37 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-07-15
2191. ISHARES MSCI EAFE ETF		2009-03-20	2020-07-15
2181.574 VANGUARD 500 INDEX FD- ADM #540		2014-06-02	2020-07-15
10000. BNP PARIBAS 5.000% 1/15/21		2020-03-30	2020-07-20
25000. BANK OF NOVA SCOTIA 2.800% 7/21/21		2017-03-27	2020-07-20
15000. CITIGROUP INC 2.350% 8/02/21		2016-09-22	2020-07-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,705		20,121	1,584
24,785		23,066	1,719
42,708		39,529	3,179
20,000		20,427	-427
137		145	-8
139,114		80,681	58,433
650,000		398,809	251,191
10,219		10,192	27
25,627		25,313	314
15,288		15,123	165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,584
			1,719
			3,179
			-427
			-8
			58,433
			251,191
			27
			314
			165

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
35000. NOVARTIS CAPITAL COR 3.000% 11/20/25		2017-05-24	2020-07-20
156.45 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-08-15
6000. CVS HEALTH CORP 4.100% 3/25/25		2019-11-18	2020-08-25
20000. CVS HEALTH CORP 4.100% 3/25/25		2020-07-20	2020-08-25
146.3 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-09-15
158.34 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-10-15
20000. CONAGRA BRANDS INC 3.800% 10/22/21		2020-07-20	2020-10-19
15000. CONAGRA BRANDS INC 3.800% 10/22/21		2020-03-30	2020-10-19
158.71 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-11-15
25000. HSBC HOLDING PLC 4.000% 3/30/22		2020-07-20	2020-11-24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
38,905		35,581	3,324
156		165	-9
6,893		6,438	455
22,978		22,727	251
146		155	-9
158		167	-9
20,708		20,805	-97
15,531		15,286	245
159		168	-9
26,251		26,419	-168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,324
			-9
			455
			251
			-9
			-9
			-97
			245
			-9
			-168

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25000. HSBC HOLDING PLC 4.000% 3/30/22		2019-02-07	2020-11-24
16700.067 FIDELITY NEW MRKTS INC-Z #3323		2014-11-24	2020-12-14
1548.083 T ROWE PRICE BLUE CHIP-I #429		2020-04-30	2020-12-14
11773.711 TOUCHSTONE MID CAP VAL-INST #552		2016-03-17	2020-12-14
641.66 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2020-12-15
730.802 VANGUARD 500 INDEX FD- ADM #540		2014-06-02	2020-12-15
9000. CVS HEALTH CORP 4.100% 3/25/25		2019-08-26	2020-12-18
216.57 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2021-01-15
40000. MORGAN STANLEY 2.500% 4/21/21		2020-07-20	2021-01-22
50000. US TREASURY NOTE 2.125% 9/30/21		2017-11-16	2021-01-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,251		25,726	525
250,000		252,828	-2,828
250,000		192,520	57,480
234,297		189,674	44,623
642		678	-36
250,000		130,162	119,838
10,234		9,649	585
217		229	-12
40,213		40,661	-448
50,689		50,320	369

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			525
			-2,828
			57,480
			44,623
			-36
			119,838
			585
			-12
			-448
			369

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45000. US TREASURY NOTE 2.000% 2/15/23		2013-03-07	2021-01-22
209.33 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2021-02-15
478.48 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2021-03-15
165. FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2021-04-15
505. ISHARES MBS ETF		2020-06-01	2021-04-27
85. ISHARES MBS ETF		2021-01-22	2021-04-27
410. ISHARES MBS ETF		2017-11-16	2021-04-27
1411.898 ARTISAN MID CAP FUND-INS 1333		2020-04-30	2021-05-12
11901.787 FIDELITY ADV INTL RL EST-Z #3301		2018-03-16	2021-05-12
1891. ISHARES S&P MID-CAP 400 GROWTH		2016-12-14	2021-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
46,731		45,297	1,434
209		221	-12
478		505	-27
165		174	-9
54,879		55,946	-1,067
9,237		9,367	-130
44,555		43,880	675
75,000		57,365	17,635
166,506		137,818	28,688
148,108		87,050	61,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,434
			-12
			-27
			-9
			-1,067
			-130
			675
			17,635
			28,688
			61,058

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5894.682 PRINCIPAL MIDCAP FUND-R6 #4268		2016-03-17	2021-05-12
122.16 FHLMC POOL #J15642 3.500% 6/01/26		2012-08-07	2021-05-15
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
225,000		124,136	100,864
122		129	-7
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			100,864
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			320,884
			320,884
			320,884
			320,884

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MARCUS HOOK PLANK LOG CABIN PO BOX 703 MARCUS HOOK, PA 19061	NONE	PC	GENERAL OPERATING	4,000
CHRIST THE KING DEAF CHURCH 730 S NEW ST WEST CHESTER, PA 19382	NONE	PC	GENERAL OPERATING PURPOSES	5,000
EASTER SEALS OF SOUTHEASTERN PENNSYLVANIA3905 W FORD RD PHILADELPHIA, PA 19131	NONE	PC	GENERAL OPERATING PURPOSES	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE FOUNDATION FOR DELAWARE COUNTY 200 E STATE ST SUITE MEDIA, PA 19063	NONE	PC	GENERAL OPERATING PURPOSES	20,000
HOPE SPRINGS EQUESTRIAN THERAPY INC 1800 ART SCHOOL RD CHESTER SPRINGS, PA 19425	NONE	PC	GENERAL OPERATING PURPOSES	2,000
METROPOLITAN AREA NEIGHBORHOOD NUTRITION ALLIANCE420 N 20TH ST PHILADELPHIA, PA 19130	NONE	PC	GENERAL OPERATING PURPOSES	3,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE PHILADELPHIA SHAKESPEARE THEATRE 2111 SAMSOM STREET PHILADELPHIA, PA 19103	NONE	PC	GENERAL OPERATING PURPOSES	3,000
BARNES FOUNDATION 2025 BENJAMIN FRANKLIN PKWY PHILADELPHIA, PA 19130	NONE	PC	GENERAL OPERATING PURPOSES	5,000
DMAX FOUNDATION 401 DARTMOUTH RD BRYN MAWR, PA 19010	NONE	PC	GENERAL OPERATING PURPOSES	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HOLY FAMILY HOME5300 CHESTER AVE PHILADELPHIA, PA 19143	NONE	PC	GENERAL OPERATING PURPOSES	10,000
MUSICWORKS 2050 WEST CHESTER PIKE HAVERTOWN, PA 19083	NONE	PC	GENERAL OPERATING PURPOSES	5,000
SALUS UNIVERSITY8360 OLD YORK RD ELKINS PARK, PA 19027	NONE	PC	GENERAL OPERATING PURPOSES	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PENNSYLVANIA STATE UNIVERSITY 112 SHIELDS BLDG UNIVERSITY PARK, PA 16802	NONE	PC	GENERAL OPERATING	30,000
MARCH OF DIMES - CAPE FEAR 1550 CRYSTAL DR SUITE 1300 ARLINGTON, VA 22202	NONE	PC	GENERAL OPERATING	5,000
THE SALVATION ARMY PHILADELPHIA 701 N BROAD ST Philadelphia, PA 19123	NONE	PC	GENERAL OPERATING	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
MEDIA ARTS COUNCIL 609 B WEST STATE ST MEDIA, PA 19063	NONE	PC	GENERAL OPERATING	4,000
CCSA FOUNDATION 1500 HIGHLAND AVE CHESTER, PA 19013	NONE	PC	GENERAL OPERATING	20,000
DELAWARE COUNTY VETERANS MEMORIAL ASSOCIATIONPO BOX 183 NEWTON SQUARE, PA 19073	NONE	PC	GENERAL PURPOSE	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DREXEL NEUMANN ACADEMY 1901 POTTER STREET CHESTER, PA 19013	NONE	PC	GENERAL OPERATING	10,000
BRYN MAWR TERRACE 773 E HAVERFORD RD BRYN MAWR, PA 19010	NONE	PC	RE-PURPOSING OF KEP SPACES	3,000
MAKE-A-WISH FOUNDATION OF PHILADELPHIA DELAWARE & SUSQUEHANNA VALLEY 5 VALLEY SQ STE 210 BLUE BELL, PA 19422	NONE	PC	GENERAL OPERATING	3,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACADEMY OF VOCAL ARTS 1920 SPRUCE ST PHILADELPHIA, PA 191036685	NONE	PC	GENERAL PURPOSE	12,000
CRADLE OF LIBERTY COUNCIL BOY SCOUTS OF AMERICA1485VALLEY FORGE RD WAYNE, PA 19087	NONE	PC	GENERAL OPERATING	15,000
ELWYN INC111 ELWYN ROAD ELWYN, PA 19063	NONE	PC	GENERAL PURPOSE	15,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GIRL SCOUTS OF EASTERN PHILADELPHIA POB 27540 PHILADELPHIA, PA 19118	NONE	PC	GENERAL OPERATING	3,000
LINCOLN UNIVERSITY 1570 OLD BALTIMORE PIKE BOX 179 LINCOLN UNIVERSITY, PA 193520999	NONE	PUBLIC CHARITY	GENERAL PURPOSE	10,000
SAINT JOSEPH'S UNIVERSITY 5600 CITY AVENUE PHILADELPHIA, PA 19131	NONE	PC	GENERAL PURPOSE	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SWARTHMORE COLLEGE 500 COLLEGE AVE SWARTHMORE, PA 19081	NONE	PC	GENERAL OPERATING	8,000
PHILADELPHIA MUSEUM OF ART PO BOX 7646 PHILADELPHIA, PA 191017646	NONE	PC	GENERAL PURPOSE	8,000
WIDENER UNIVERSITY1 UNIVERSITY PL CHESTER, PA 19013	NONE	PC	GENERAL OPERATING	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CASA YOUTH ADVOCATES INC PO BOX 407 MEDIA, PA 19063	NONE	PC	GENERAL OPERATING	20,000
SENIOR COMMUNITY SERVICES 600 SWARTHMORE AVE FOLSOM, PA 19033	NONE	PC	SENIOR CENTER AT HOME	5,000
DOMESTIC ABUSE PROJECT OF DELWARE COUNTY14 WEST SECOND STREET MEDIA, PA 19063	NONE	PC	GENERAL PURPOSE	10,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AID FOR FRIENDS (CARING FOR FRIENDS) 12271 TOWNSEND ROAD PHILADELPHIA, PA 19154	NONE	PC	GENERAL OPERATING	10,000
DARLINGTON ARTS CENTER 977 SHAVERTOWN ROAD GARNET VALLEY, PA 19061	NONE	PC	GENERAL OPERATING	5,000
MATERNITY CARE COALITION 2000 HAMILTON STREET SUITE 205 PHILADELPHIA, PA 19107	NONE	PC	GENERAL PURPOSE	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CANINE PARTNERS FOR LIFE 334 FAGGS MANOR ROAD COCHRANVILLE, PA 19330	NONE	PC	GENERAL OPERATING	2,000
SURREY SERVICES FOR SENIORS 60 SURREY WAY Devon, PA 19333	NONE	PC	GENERAL OPERATING	3,000
FOOD TRUST 1617 JOHN F KENNEDY BLVD SUITE 900 PHILADELPHIA, PA 19103	NONE	PC	HEALTHY FOOD ACCESS AND	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BE PROUD FOUNDATION 600 N JACKSON STREET MEDIA, PA 19063	NONE	PC	GENERAL PURPOSE	5,000
MEDIA THEATRE FOR THE PERFORMING ART104 E STATE STREET MEDIA, PA 19063	NONE	PC	GENERAL OPERATING	10,000
NEIGHBOR TO NEIGHBOR COMMUNITY DEVELOPMENT CORP INC 814 CLIFTON AVE SHARON HILL, PA 19079	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
INSTITUTE FOR LEADERSHIP EDUCATION ADVANCEMENT & DEVELOPMENT INC 115 PETRIE AVE ROSEMONT, PA 19010	NONE	PC	GENERAL OPERATING	5,000
CAREER WARDROBE 413 NORTH 4TH STREET PHILADELPHIA, PA 19123	NONE	PC	GENERAL OPERATING	3,000
THE BREATHING ROOM FOUNDATION 600 WEST AVE JENKINTOWN, PA 19046	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WINGS FOR SUCCESSPO BOX 1184 FRAZER, PA 19355	NONE	PC	GENERAL PURPOSE	2,000
ENCORE SERIES INC 1518 WALNUT ST STE 1706 PHILADELPHIA, PA 19102	NONE	PC	GENERAL OPERATING	20,000
BREASTFEEDING RESOURCE CENTER (BRC) 1355 OLD YORK ROAD RM/STE 101 ABINGTON, PA 19001	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PETER'S PLACE 150 N RADNOR-CHESTER RD RADNOR, PA 19087	NONE	PC	GENERAL OPERATING	5,000
WAYNE ART CENTER 413 MAPLEWOOD AVENUE WAYNE, PA 19087	NONE	PC	GENERAL PURPOSE	5,000
DELAWARE COUNTY HISTORICAL SOCIETY PENNSYLVANIA 991 PALMERS MILL ROAD MEDIA, PA 19063	NONE	PC	GENERAL PURPOSE	15,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIRST UP1608 WALNUT ST STE 300 PHILADELPHIA, PA 19103	NONE	PC	GENERAL OPERATING	5,000
VALLEY YOUTH HOUSE COMMITTEE 829 LINDEN ST ALLENTOWN, PA 18101	NONE	PC	SUPPORT	5,000
MUSICOPIA INC 2001 MARKET STREET SUITE 3100 PHILADELPHIA, PA 191037044	NONE	PC	GENERAL PURPOSE	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WILMA THEATER INC265 S BROAD ST PHILADELPHIA, PA 19107	NONE	PC	GENERAL OPERATING	2,000
BRINGING HOPE HOME INC 641 SWEDESFORD RD MALVERN, PA 19355	NONE	PC	GENERAL OPERATING	5,000
BEACON THEATRE PRODUCTION PO BOX 4026 PHILADELPHIA, PA 19118	NONE	PC	GENERAL OPERATING	2,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SHRINERS HOSPITALS FOR CHILDREN 2900 N ROCKY POINT DR TAMPA, FL 33607	NONE	PC	GENERAL OPERATING	4,000
ROSE VALLEY CENTENNIAL FOUNDATION 41 ROSE VALLEY RD ROSE VALLEY, PA 19063	NONE	PC	GENERAL OPERATING	8,000
HELP HOPE LIVE 2 RADNOR CORPORATE CENTER SUITE 10 RADNOR, PA 19087	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BERNARDINE CENTER 2625 WEST NINTH STREET CHESTER, PA 19013	NONE	PC	GENERAL PURPOSE	7,000
ATLANTIC COAST OPERA FESTIVAL 2028 SOUTH 17TH STREET SUITE 21 PHILADELPHIA, PA 19145	NONE	PC	GENERAL OPERATING	3,000
HISTORIC LANSDOWNE THEATER CORPORATION 31 NORTH LANSDOWNE AVENUE LANSDOWNE, PA 19050	NONE	PC	GENERAL OPERATING	35,000
Total . . . . ▶ **3a**				529,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PENNSYLVANIA VETERANS MUSEUM 12 EAST STATE STREET PO BOX 73 MEDIA, PA 19063	NONE	PC	GENERAL PURPOSE	15,000
EPISCOPAL LEGAL AID OF THE DIOCESE OF PENNSYLVANIA INC11 BOOTH LN HAVERFORD, PA 19041	NONE	PC	GENERAL OPERATING	10,000
CULTUREWORKS GREATER PHILADELPHIA INC 1315 WALNUT ST STE 320 PHILADELPHIA, PA 19107	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				529,000

TY 2020 Accounting Fees Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,020			1,020

TY 2020 Investments Corporate Bonds Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
912828WJ5 US TREASURY NOTE 2.5	52,753	53,252
912828M56 US TREASURY NOTE	40,354	42,778
166764BW9 CHEVRON CORP	51,733	51,655
912828TY6 US TREASURY NOTE 1.6	23,478	25,549
867914BS1 SUNTRUST BANKS INC	55,175	55,736
06406HCV9 BANK OF NEW YORK MEL	20,532	21,670
256677AC9 DOLLAR GENERAL CORP	37,323	36,586
912828U24 US TREASURY NOTE 2.0	50,099	52,873
00287YAQ2 ABBVIE INC	49,718	49,204
912828P46 US TREASURY NOTE 1.6	43,324	46,841
254687FL5 WALT DISNEY COMPANY/	51,467	49,793
12572QAG0 CME GROUP INC	20,397	21,574
9128286T2 US TREASURY NOTE 2.3	58,392	59,044
9128285M8 US TREASURY NOTE	58,180	56,393
00206RDC3 AT&T INC	44,179	43,968
912828N30 US TREASURY NOTE 2.1	52,393	51,576
42824CBJ7 HP ENTERPRISE CO	35,724	35,722
037833AK6 APPLE INC 2.400% 5/0	51,065	51,997
20030NCT6 COMCAST CORP	59,807	57,674
29444UBL9 EQUINIX INC	35,156	34,076

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
9128283W8 US TREASURY NOTE	51,707	55,037
912828G38 US TREASURY NOTE 2.2	40,059	42,508
172967LQ2 CITIGROUP INC	20,916	20,637
0258M0EG0 AMERICAN EXPRESS CRE	24,962	25,423
59156RBH0 METLIFE INC 3.600% 4	40,358	43,528
437076BM3 HOME DEPOT INC	33,895	38,185
06051GGA1 BANK OF AMERICA CORP	55,248	54,402
25389JAR7 DIGITAL REALTY TRUST	49,464	50,435
14040HBN4 CAPITAL ONE FINANCIA	49,252	50,430
86562MAQ3 SUMITOMO MITSUI FINL	49,661	51,403
9128286A3 US TREASURY NOTE	53,378	54,367
3135G04Z3 FED NATL MTG ASSN	50,208	49,842
78016EZM2 ROYAL BANK OF CANADA	29,616	29,691
61746BEF9 MORGAN STANLEY	45,525	44,533
9128286X3 US TREASURY NOTE	55,066	53,246
071813BF5 BAXTER INTERNATIONAL	29,902	30,686
277432AR1 EASTMAN CHEMICAL CO	22,694	25,181
036752AB9 ANTHEM INC	34,369	36,794
46625HRV4 JPMORGAN CHASE & CO	53,404	54,042
406216BD2 HALLIBURTON COMPANY	2,036	2,114

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
06367WMQ3 BANK OF MONTREAL	53,212	52,994
3135G0ZR7 FED NATL MTG ASSN 2.	49,966	53,733
961214DW0 WESTPAC BANKING CORP	52,386	55,553
38148LAE6 GOLDMAN SACHS GROUP	27,842	27,496
912828J27 US TREASURY NOTE 2.0	39,587	42,228
693475AZ8 PNC FINANCIAL SERVIC	53,686	51,870
126650DM9 CVS HEALTH CORP	40,130	39,094
912828D56 US TREASURY NOTE 2.3	29,544	31,931
38148LAC0 GOLDMAN SACHS GROUP	25,413	27,153
606822BA1 MITSUBISHI UFJ FIN	29,937	32,148

TY 2020 Investments - Other Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
34528QBP8 FORD CREDIT FLOORPLA			
GTY995004 GAI AGILITY INCOME F			
606822BA1 MITSUBISHI UFJ FIN			
86562MAQ3 SUMITOMO MITSUI FINL			
59156RBH0 METLIFE INC 3.600% 4			
912828F21 US TREASURY NOTE 2.1			
464287655 ISHARES RUSSELL 2000	AT COST	168,942	491,590
00888Y508 INV BALANCE RISK COM			
74925K581 BOSTON P LNG/SHRT RE	AT COST	241,577	281,074
589509207 MERGER FUND-INST #30	AT COST	270,361	300,857
00143W859 INV OPP DEVELOP MRKT	AT COST	380,144	548,847
74256W568 PRINCIPAL REAL EST S	AT COST	364,413	479,625
464287234 ISHARES MSCI EMERGIN	AT COST	133,014	138,821
316390152 FIDELITY ADV INTL RL	AT COST	24,257	30,332
411511504 HARBOR CAPITAL APRCT			
74256W584 PRINCIPAL MIDCAP FUN	AT COST	252,864	486,950
77954Q403 T ROWE PRICE BLUE CH	AT COST	550,225	1,435,924
693390841 PIMCO HIGH YIELD FD-	AT COST	320,000	337,393
31641Q755 FIDELITY NEW MRKTS I	AT COST	301,780	298,214
38148LAC0 GOLDMAN SACHS GROUP			
3135G0ZR7 FED NATL MTG ASSN 2.			
912828U32 US TREASURY NOTE			
912828TJ9 US TREASURY NOTE 1.6			
912828U24 US TREASURY NOTE 2.0			
437076BM3 HOME DEPOT INC			
464288588 ISHARES MBS ETF	AT COST	75,853	78,026
912828UN8 US TREASURY NOTE 2.0			
912828J27 US TREASURY NOTE 2.0			
61761JB32 MORGAN STANLEY			
811065AE1 SCRIPPS NETWORKS INT			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
31428XAS5 FEDEX CORP 2.625% 8/			
9128286A3 US TREASURY NOTE			
406216BD2 HALLIBURTON COMPANY			
961214DW0 WESTPAC BANKING CORP			
3135G0H55 FED NATL MTG ASSN			
037833AK6 APPLE INC 2.400% 5/0			
0258M0EG0 AMERICAN EXPRESS CRE			
26078JAA8 DOWDUPONT INC			
68389XAK1 ORACLE CORP 3.875% 7			
464287507 ISHARES CORE S&P MID	AT COST	75,268	120,454
09260C703 BLACKROCK GL L/S CRE	AT COST	240,800	237,327
89155H389 TOUCHSTONE MID CAP V			
4812C0803 JPMORGAN HIGH YIELD			
9128285M8 US TREASURY NOTE			
064159FL5 BANK OF NOVA SCOTIA			
404280AN9 HSBC HOLDING PLC 4.0			
681919AZ9 OMNICOM GROUP INC 3.			
260543CF8 DOW CHEMICAL CO/THE			
46625HHU7 JPMORGAN CHASE & CO			
071813BF5 BAXTER INTERNATIONAL			
66989HAJ7 NOVARTIS CAPITAL COR			
126650DC1 CVS HEALTH CORP			
172967KV2 CITIGROUP INC			
12572QAG0 CME GROUP INC			
277432AR1 EASTMAN CHEMICAL CO			
06051GHT9 VR BANK OF AMERICA			
912828P87 US TREASURY NOTE			
922908710 VANGUARD 500 INDEX F	AT COST	766,199	1,673,120
3128PVHT0 FHLMC POOL #J15642	AT COST	6,047	6,117
277923728 EATON VANCE GLOBAL M			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
14064D873 F&T BHVRL SM CAP EQ-	AT COST	383,000	569,582
78464A607 SPDR DOW JONES REIT			
003021714 ABERDEEN EMERG MARKE	AT COST	470,000	684,761
464287606 ISHARES S&P MID-CAP	AT COST	242,921	423,057
29875E100 AMERICAN EUROPACIFIC			
464287465 ISHARES MSCI EAFE ET	AT COST	135,107	296,565
06406HCV9 BANK OF NEW YORK MEL			
912828M56 US TREASURY NOTE			
9128283W8 US TREASURY NOTE			
25470DAF6 DISCOVERY COMMUNICAT			
912828D56 US TREASURY NOTE 2.3			
912828G38 US TREASURY NOTE 2.2			
912828P46 US TREASURY NOTE 1.6			
30219GAF5 EXPRESS SCRIPTS HOLD			
912828WJ5 US TREASURY NOTE 2.5			
912828TY6 US TREASURY NOTE 1.6			
912828VS6 US TREASURY NOTE 2.6			
55279HAJ9 MANUFACTURERS & TRAD			
25389JAR7 DIGITAL REALTY TRUST			
867914BS1 SUNTRUST BANKS INC			
00888Y847 INVESCO BAL RSK COMM	AT COST	106,826	146,722
126650CW8 CVS HEALTH CORP			
205887BZ4 CONAGRA BRANDS INC			
9128286T2 US TREASURY NOTE 2.3			
921910501 VANGUARD INTL GRTH F	AT COST	360,000	629,971
4812C0126 JPMORGAN HIGH YIELD-			
036752AB9 ANTHEM INC			
04314H402 ARTISAN INTERNATIONA	AT COST	360,000	479,316
411512528 HARBOR CAPITAL APPRE	AT COST	866,217	1,713,505
05567LT31 BNP PARIBAS 5.000% 1			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
46140H403 INVESCO DB OIL FUND	AT COST	96,359	135,091
GTY995331 AGILITY MULTI-ASSET	AT COST	450,000	456,171
00206RDC3 AT&T INC			
04314H600 ARTISAN MID CAP FUND	AT COST	342,635	477,310
46625HRV4 JPMORGAN CHASE & CO			
922031760 VANGUARD HIGH YIELD	AT COST	308,666	321,509
693391559 PIMCO EMERG MKTS BD-	AT COST	325,000	317,491
04314H758 ARTISAN SMALL CAP FU	AT COST	301,506	295,732
46429B366 ISHARES CMBS ETF	AT COST	78,111	78,323
27831R108 EV GLBL MACR ABS RTR	AT COST	259,942	238,040

TY 2020 Other Decreases Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Description	Amount
MUTUAL FUND TIMING ADJ	99
PURCHASE OF ACCRUED INTEREST CARRYOVER	119

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TY 2020 Other Expenses Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES - ADR FEES	20	20		0
FROM PARTNERSHIP/S-CORP	366	366		0

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TY 2020 Other Income Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FROM PARTNERSHIP/S-CORP	33,427	33,427	

TY 2020 Other Increases Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Other Increases Schedule

Description	Amount
RETURN OF PRIOR YEAR GRANT	376
COST BASIS ADJUSTMENT	338

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TY 2020 Other Professional Fees Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GRANT ADMIN FEE	38,709			38,709

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TY 2020 Taxes Schedule

Name: ETHEL S C SMITH NO 5 CHARITABLE TW

EIN: 23-6648857

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	2,555	2,555		0
FEDERAL ESTIMATES - PRINCIPAL	3,778	0		0
FOREIGN TAXES ON NONQUALIFIED	615	615		0