

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation JOHANNA A FAVROT FUND		A Employer identification number 76-0638641	
Number and street (or P.O. box number if mail is not delivered to street address) 1770 SAINT JAMES PL NO 510		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code HOUSTON, TX 770563499		B Telephone number (see instructions) (713) 622-1442	
G Check all that apply: <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 8,244,836	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	110,623	110,623		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	12,051			
	b Gross sales price for all assets on line 6a _____ 299,013				
	7 Capital gain net income (from Part IV, line 2)		12,051		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	122,674	122,674		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	750	375		375
	b Accounting fees (attach schedule)	9,398	4,699		4,699
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,590	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	1,831	915		916
	21 Travel, conferences, and meetings	466	233		233
	22 Printing and publications				
	23 Other expenses (attach schedule)	4,060	2,060		2,000
	24 Total operating and administrative expenses. Add lines 13 through 23	18,095	8,282		8,223
	25 Contributions, gifts, grants paid	280,000			280,000
	26 Total expenses and disbursements. Add lines 24 and 25	298,095	8,282		288,223
	27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements	-175,421			
	b Net investment income (if negative, enter -0-)		114,392		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	37,719	118,811	118,811
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	6,908,802	6,621,840	8,125,615
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	0	410	410	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,946,521	6,741,061	8,244,836	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	30,039	0	
	23 Total liabilities (add lines 17 through 22)	30,039	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	6,916,482	6,741,061	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
	29 Total net assets or fund balances (see instructions)	6,916,482	6,741,061	
30 Total liabilities and net assets/fund balances (see instructions) .	6,946,521	6,741,061		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,916,482
2 Enter amount from Part I, line 27a	2	-175,421
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	6,741,061
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	6,741,061

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a 150 SHS SPDR SERIES TRUST			2019-11-14	2020-04-01
b 3,635 SHS SPDR S&P 500 FOSSIL FUEL RESER			2019-11-14	2020-07-27
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,274		11,372	-2,098
b 289,739		275,590	14,149
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			-2,098
b			14,149
c			
d			
e			

2	Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7</div><div>If (loss), enter -0- in Part I, line 7</div></div>	2	12,051
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved				
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved	
2 Reserved			2	
3 Reserved			3	
4 Reserved			4	
5 Reserved			5	
6 Reserved			6	
7 Reserved			7	
8 Reserved ,			8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	1,590
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	1,590
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	1,590
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	2,000
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	2,000
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	410
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 410 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1a		No
c	Did the foundation file Form 1120-POL for this year?	1b		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0	1c		No
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	13	Yes	
14	The books are in care of ▶ <u>JULIE RICHARDSON</u> Telephone no. ▶ <u>(713) 622-1442</u>			

Located at ▶ 1770 ST JAMES PLACE 510 HOUSTON TX ZIP+4 ▶ 770563405

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.		6b No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	7,048,281
b	Average of monthly cash balances.	1b	97,073
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,145,354
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,145,354
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	107,180
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,038,174
6	Minimum investment return. Enter 5% of line 5.	6	351,909

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	351,909
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	1,590
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	1,590
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	350,319
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	350,319
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	350,319

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	288,223
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	288,223
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	288,223

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				350,319
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			270,535	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 288,223				
a Applied to 2019, but not more than line 2a			270,535	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				17,688
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:	0			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				332,631
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2016.				
b Excess from 2017.				
c Excess from 2018.				
d Excess from 2019.				
e Excess from 2020.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

JULIE RICHARDSON
1770 ST JAMES PL 510
HOUSTON, TX 77056
(713) 622-1442

b The form in which applications should be submitted and information and materials they should include:

NO SPECIFIC FORMS REQUIRED

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	280,000
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	110,623	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	12,051	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .		0		122,674	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		122,674

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-03-18	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
	KANANI ADINOLFI		2021-03-18		P00744914
	Firm's name ▶ RSM US LLP				Firm's EIN ▶ 42-0714325
	Firm's address ▶ 1330 POST OAK BLVD STE 2400 HOUSTON, TX 77056				Phone no. (713) 625-3500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
DAVID DE KANTER ARDNT	PRESIDENT/TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
AMIE ROSE ANDERSON	VP/TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
TATIANA JONES	SECRETARY/TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
CHRISTOPHER FAVROT ARNDT	TREASURER/TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
MICHAEL DE BRUYN ARNDT	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
THOMAS CHANNING ARNDT	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
JOSEPH FAVROT PETERSON	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
GENEVIEVE HELEN PETERSON	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
SARAH JOHANNA PETERSON PITTOCK	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
NOEL SHEPARD STEPHENS	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				
LAURENCE FAVROT	TRUSTEE 1.00	0	0	0
1770 ST JAMES PLACE 510 HOUSTON, TX 770563405				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLLEGE TRACK (DAVID ARNDT) 111 BROADWAY AVENUE OKLAND, CA 94607		PC	COLLEGE TRACK PROVIDES TUTORING AND MENTORING SERVICES TO UNDERPRIVILEGED HIGH SCHOOL STUDENTS AND FIRST-GENERATION COLLEGE STUDENTS. THE PURPOSE OF THE GRANT IS TO FUND GENERAL OPERATING EXPENSES.	5,000
COLORADO FOUNDATION FOR WATER EDUCATION (CHANNING ARNDT) 1600 DOWNING ST STE 200 DENVER, CO 80218		PC	WATER EDUCATION COLORADO PROVIDES RELIABLE, TRUSTWORTHY, IMPARTIAL WATER REPORTING AND EDUCATIONAL OPPORTUNITIES THAT HELP ADVANCE DEMOCRATIC SYSTEMS FOR WATER MANAGEMENT AND PROTECTION. THE PURPOSE OF THE GRANT IS TO FUND GENERAL OPERATING EXPENSES.	35,000
HAVEN HILLS INC (MICHAEL ARNDT) PO BOX 260 COGNA PARK, CA 91305		PC	HAVEN HILLS PROVIDES SAFETY, SHELTER AND SUPPORT OF VICTIMS OF DOMESTIC VIOLENCE IN THE LOS ANGELES AREA. GRANT IS INTENDED FOR GENERAL FUNDS USE RELATED TO THE MANAGEMENT AND UPKEEP OF THE HAVEN HILLS CENTER FOR SURVIVORS OF DOMESTIC VIOLENCE AND ITS ACTIVITIES.	25,000
Total ► 3a				280,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOUSTON ZOO INC (TANYA JONES) 1513 CAMBRIDGE STREET HOUSTON, TX 77030		PC	THE OBJECTIVE OF THE HOUSTON ZOO IS TO BE A LEADER IN THE GLOBAL MOVEMENT TO SAVE WILDLIFE AND CONNECTION COMMUNITIES WITH ANIMALS INSPIRING ACTION TO SAVE WILDLIFE AND EDUCATE BOTH YOUNG AND OLD ON THE CONNECTION OF ANIMALS WITH OUR ENVIRONMENT THE PURPOSE OF THE GRANT IS TO SUPPORT UNRESTRICTED EMERGENCY OPERATING FUNDS TO SUPPORT DAY-TO-DAY EXPENSES AS THE CORONAVIRUS PANDEMIC HAS HAD A SEVERE IMPACT ON THE ZOO DUE TO THE MANDATORY CLOSURE TO THE PUBLIC FOR SEVERAL MONTHS.	35,000
KQED INC (SARAH PITTOCK) 50 BEALE STREET 5TH FLOOR SAN FRANCISCO, CA 94105		PC	KQED, THE BAY AREA'S PBS & NPR STATION, PROVIDES RADIO, TV, & DIGITAL PROGRAMMING TO THE BAY AREA & BEYOND, W/ NEARLY HALF OF THE REGION'S 7 MIL RESIDENTS ACCESSING SOME FORM OF KQED MEDIA EVERY MONTH. KQED PRODUCES ORIGINAL, IN-DEPTH NEWS COVERAGE OF REGIONAL ISSUES 7 DAYS A WEEK, W/ STORIES FREQUENTLY AIRED FOR NATIONAL AUDIENCES ON NPR. KQED'S RADIO AUDIENCE IS THE LARGEST IN THE BAY AREA, COMMERCIAL OR NON-COMMERCIAL, & KQED SERVES THE LARGEST AUDIENCE NATIONALLY IN THE PUBLIC MEDIA SYSTEM. THE PURPOSE OF THE GRANT IS TO SUPPORT THE FOLLOWING: KQED PROVIDES RADIO, TV, & DIGITAL PROGRAMMING TO THE BAY AREA & BEYOND, W/ NEARLY HALF OF THE REGION'S RESIDENTS ACCESSING KQED MEDIA EVERY MONTH. KQED PRODUCES ORIGINAL, IN-DEPTH NEWS COVERAGE OF REGIONAL ISSUES 7 DAYS A WEEK, W/ STORIES FREQUENTLY AIRED FOR NATIONAL AUDIENCES ON NPR. KQED'S RADIO AUDIENCE IS THE LARGEST IN THE BAY AREA, COMMERCIAL OR NON-COMMERCIAL, & KQED SERVES THE LARGEST AUDIENCE NATIONALLY IN THE PUBLIC MEDIA SYSTEM.	30,000
NASHVILLE ALLIANCE FOR PUBLIC EDUCATION & KNOWLEDGE LTD (JOSH PETERSON) 1207 18TH AVENUE SOUTH STE 202 NASHVILLE, TN 37212		PC	THE NASHVILLE PUBLIC EDUCATION FOUNDATION, ORIGINALLY CALLED THE ALLIANCE FOR PUBLIC EDUCATION, WAS FOUNDED IN 2001 AS A DEDICATED NON-PROFIT THAT UNITES PUBLIC AND PRIVATE SECTOR RESOURCES, LEADERS AND STRATEGIES TO STRENGTHEN NASHVILLE'S PUBLIC SCHOOLS. AS AN INDEPENDENT 501(C)(3), THE FOUNDATION REQUESTS AND MANAGES PRIVATE DONATIONS IN SUPPORT OF PUBLIC SCHOOLS. THE PURPOSE OF THE GRANT IS TO SUPPORT THE ELIMINATION OF THE DIGITAL DIVIDE FOR MERO NASHVILLE PUBLIC SCHOOLS STUDENTS. THE FUND WILL SUPPORT THE INFRASTRUCTURE TO DELIVER ONLINE EDUCATION DURING COVID-19 PANDEMIC BY PURCHASING LOW-COST WI-FI ACCESS FOR UNDER-RESOURCED HOUSEHOLDS. IT WILL FURTHER SUPPORT TEACHERS' PROFESSIONAL DEVELOPMENT TO ENSURE THAT ALL TEACHERS HAVE THE TOOLS AND SUPPORTS NECESSARY TO FACILITATE EFFECTIVE INSTRUCTION INCLUDING TEACHER TRAINING TO ALLOW BETTER USE OF LEARNING MANAGEMENT SYSTEMS AND VIDEO BASED TOOLS TO ENGAGE STUDENTS AT HOME.	35,000
Total ► 3a				280,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATURAL RESOURCES DEFENSE COUNCIL INC (CHRIS ARNDT) 1152 15TH STREET NW STE 300 WASHINGTON, DC 20005		PC	THE NRDC'S MISSION IS TO "SAFEGUARD THE EARTH: ITS PEOPLE, ITS PLANTS AND ANIMALS AND THE NATURAL SYSTEMS UPON WHICH ALL LIFE DEPENDS." THE FUNDS WILL BE USED FOR TWO SEPARATE, YET RELATED PURPOSES: FIRST TO SUPPORT THE NRDC'S INVOLVEMENT IN THE SUSTAINABLE FER PROJECT, WHICH AIMS TO ADVISE ON RULES AND REGULATIONS COMPATIBLE WITH AN AFFORDABLE RELIABLE AND SUSTAINABLE WHOLESALE ELECTRICITY MARKET. THE SECOND PROJECT WITH NRDC AIMS TO PROMOTE ENHANCED GRID INTEGRATION IN THE WESTERN UNITED STATES SO THAT WHOLESALE POWER CAN BE DELIVERED EFFICIENTLY AND WITH LOWER POLLUTION.	20,000
OPEN SPACE INSTITUTE LAND TRUST INC (AMIE ANDERSON) 1350 BROADWAY NEW YORK, NY 10018		PC	OSI PROTECTS LAND FROM DEVELOPMENT FOR USE AS PARKS AND OTHER PRESERVED AREAS. THE PURPOSE OF THE GRANT IS MAINTENANCE OF "MARCIA'S MILE AND THE GLENCLYFFE TRAILS INCLUDING A NEW TRAILHEAD DESCRIBING MARCIA, HER WORK AND ONE OF HER FOX DESIGNS.	10,000
PRISON UNIVERSITY PROJECT INC (DAVID ARNDT) PO BOX 492 SAN QUENTIN, CA 94964		PC	THE PROJECT PROVIDES REMEDIAL COLLEGE PREP CLASSES AND A TWO-YEAR COLLEGE EDUCATION FOR INMATES IN SAN QUENTIN PRISON. THE PURPOSE OF THE GRANT IS TO ASSIST WITH GENERAL OPERATING EXPENSES AND TO BUY BOOKS TO BUILD UP THE PRISON LIBRARY.	25,000
Total ▶ 3a				280,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RAINFOREST FOUNDATION INC (AMIE ANDERSON) 50 COURT STREET STE 712 BROOKLYN, NY 11201		PC	THE RAINFOREST FOUNDATION SUPPORTS INDIGENOUS COMMUNITIES IN THEIR PROTECTION OF NATURAL RESOURCES AND FORESTS. THE PURPOSE OF THE GRANT IS TO FUND THE RAINFOREST MONITORING PROGRAM IN PERU.	35,000
ROOM TO READ (DAVID ARNDT) 465 CALIFORNIA ST STE 1000 SAN FRANCISCO, CA 94104		PC	ROOM TO READ PROMOTES EDUCATION IN DEVELOPING COUNTRIES BY BUILDING SCHOOLS AND LIBRARIES, PUBLISHING CHILDREN'S BOOKS AND PROVIDING SCHOLARSHIPS. THE PURPOSE OF THE GRANT IS TO FUND SCHOLARSHIPS FOR GIRLS IN DEVELOPING COUNTRIES.	5,000
SIERRA CLUB FOUNDATION (CHRIS ARNDT) 1536 WYNKOOP ST DENVER, CO 80202		PC	THE SIERRA CLUB FOUNDATION ADMINISTERS FUNDS IN SUPPORT OF SCIENTIFIC, EDUCATIONAL CHARITABLE AND LITERARY EFFORTS TO PRESERVE, PROTECT AND RESTORE THE NATURAL ENVIRONMENT. THE PURPOSE OF THE GRANT IS TO SUPPORT THE BEYOND COAL CAMPAIGN WITH AN EMPHASIS ON ACCELERATING BUILDING HEAT AND COOKING TO ELECTRIFICATION.	20,000
Total ▶ 3a				280,000

TY 2020 Accounting Fees Schedule**Name:** JOHANNA A FAVROT FUND**EIN:** 76-0638641

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	9,398	4,699		4,699

TY 2020 Investments - Other Schedule

Name: JOHANNA A FAVROT FUND

EIN: 76-0638641

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SPDR S&P 500 FOSSIL FUEL RESERVES	AT COST	6,621,840	8,125,615

TY 2020 Legal Fees Schedule**Name:** JOHANNA A FAVROT FUND**EIN:** 76-0638641

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	750	375		375

TY 2020 Other Assets Schedule

Name: JOHANNA A FAVROT FUND

EIN: 76-0638641

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
FEDERAL EXCISE TAX RECEIVABLE		410	410

TY 2020 Other Expenses Schedule**Name:** JOHANNA A FAVROT FUND**EIN:** 76-0638641**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CONTRACT LABOR	4,000	2,000		2,000
BANK & BROKERAGE FEES	60	60		0

TY 2020 Other Liabilities Schedule**Name:** JOHANNA A FAVROT FUND**EIN:** 76-0638641

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL EXCISE TAX PAYABLE	30,039	0

TY 2020 Taxes Schedule

Name: JOHANNA A FAVROT FUND
EIN: 76-0638641

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAXES	1,590	0		0