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Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
▶ **Go to *www.irs.gov/Form990PF* for instructions and the latest information.**

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
ROSA MAY GRIFFIN FOUNDATION

A Employer identification number
75-6011866

Number and street (or P.O. box number if mail is not delivered to street address)
P O BOX 3794

Room/suite

B Telephone number (see instructions)
(903) 983-2051

City or town, state or province, country, and ZIP or foreign postal code
KILGORE, TX 75663

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 6,545,192

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	1,786	1,786	1,786	
	4	Dividends and interest from securities	88,103	88,103	88,103	
	5a	Gross rents				
	b	Net rental income or (loss) _____				
	6a	Net gain or (loss) from sale of assets not on line 10	199,315			
	b	Gross sales price for all assets on line 6a 4,601,714				
	7	Capital gain net income (from Part IV, line 2)		199,315		
	8	Net short-term capital gain			28,500	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	78,516	78,516	78,516	
	12	**Total.** Add lines 1 through 11	367,720	367,720	196,905	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	36,000			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	6,386	6,386	6,386	
	c	Other professional fees (attach schedule)	59,115	59,115	59,115	
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	12,004	6,855	6,855	
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy	1,800	1,800	1,800	
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	1,337	1,337	1,337	
	24	**Total operating and administrative expenses.** Add lines 13 through 23	116,642	75,493	75,493	0
	25	Contributions, gifts, grants paid	332,614			332,614
	26	**Total expenses and disbursements.** Add lines 24 and 25	449,256	75,493	75,493	332,614
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-81,536			
	b	**Net investment income** (if negative, enter -0-)		292,227		
	c	**Adjusted net income** (if negative, enter -0-)			121,412	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	243,696	666,990	666,990
	3 Accounts receivable ▶ 3,491			
	Less: allowance for doubtful accounts ▶	5,379	3,491	3,491
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	3,800	5,680	5,680
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	5,124,285	4,619,464	5,588,245
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)	1		280,786
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,377,161	5,295,625	6,545,192
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.**			
	24 Net assets without donor restrictions	5,377,161	5,295,625	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 **Total net assets or fund balances** (see instructions)	5,377,161	5,295,625	
	30 **Total liabilities and net assets/fund balances** (see instructions)	5,377,161	5,295,625	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,377,161
2	Enter amount from Part I, line 27a	2	-81,536
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,295,625
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	5,295,625

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	199,315
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	28,500

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2	Reserved	2	
3	Reserved	3	
4	Reserved	4	
5	Reserved	5	
6	Reserved	6	
7	Reserved	7	
8	Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ **(attach copy of letter if necessary–see instructions)**		
b	Reserved	1	4,062
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	4,062
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	4,062
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020 — 6a: 5,680		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	5,680
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,618
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 1,618 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b,** attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ _____ **(2)** On foundation managers. ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶ N/A

14 The books are in care of ▶ DAN PHILLIPS Telephone no. ▶ (903) 985-8177

Located at ▶ 1116 N KILGORE STREET KILGORE TX ZIP+4 ▶ 75662

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No

See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☑ No	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☑ No	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☑ No	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☑ No	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☑ No	
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes	☑ No	
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?	☐ Yes	☑ No	
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☑ No	
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
EBB MOBLEY P O BOX 3794 KILGORE, TX 75663	PRESIDENT 000.00	18,000	0	0
DAN PHILLIPS P O BOX 3794 KILGORE, TX 75663	CFO 000.00	18,000	0	0
HELENA T MOBLEY P O BOX 3794 KILGORE, TX 75663	ASSOC TRUST 000.00	0	0	0
FRANCYE W PHILLIPS P O BOX 3794 KILGORE, TX 75663	ASSOC TRUST 000.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,649,053
b	Average of monthly cash balances	1b	773,020
c	Fair market value of all other assets (see instructions)	1c	380,955
d	**Total** (add lines 1a, b, and c)	1d	6,803,028
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,803,028
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	102,045
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,700,983
6	**Minimum investment return.** Enter 5% of line 5	6	335,049

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	335,049
2a	Tax on investment income for 2020 from Part VI, line 5	2a	4,062		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,062
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	330,987
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	330,987
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	330,987

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	332,614
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	332,614
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	332,614

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				330,987
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			82	
b Total for prior years: 20___, 20___, 20___				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 332,614				
a Applied to 2019, but not more than line 2a			82	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2020 distributable amount.				330,987
e Remaining amount distributed out of corpus	1,545			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,545			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a	1,545			
10 Analysis of line 9:				
a Excess from 2016. . . .				
b Excess from 2017. . . .				
c Excess from 2018. . . .				
d Excess from 2019. . . .				
e Excess from 2020. . . . 1,545				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

THE ROSA MAY GRIFFIN FOUNDATION
P O BOX 3794
KILGORE, TX 75663
(903) 985-8177

b The form in which applications should be submitted and information and materials they should include:

LETTER EXPLAINING PURPOSE OR USE OF THE REQUESTING ORGANIZATION'S GRANT REQUEST, DOLLAR AMOUNT REQUESTED, AND COPY OF IRS EXEMPT STATUS LETTER.

c Any submission deadlines:

APPLICATIONS ARE ACCEPTED AT ANY TIME.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

GRANTS MADE ONLY TO QUALIFYING SEC. 501(C)(3) TAX-EXEMPT ORGANIZATIONS CHARTERED AND OPERATING IN THE STATE OF TEXAS.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . . ▶ **3a**				332,614
b *Approved for future payment*				
Total . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					1,786
4 Dividends and interest from securities. . . .					88,103
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					523
8 Gain or (loss) from sales of assets other than inventory					199,315
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a OIL, GAS REVENUES-NET					77,993
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .					367,720
13 **Total.** Add line 12, columns (b), (d), and (e).				13	367,720

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-08-27	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
BRANDON L MAYS CPA		2021-08-30		P01274188
Firm's name ▶ MAYS & ASSOCIATES PLLC				Firm's EIN ▶46-3680200
Firm's address ▶ 1116 N KILGORE ST KILGORE, TX 75662				Phone no. (903) 983-2051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, **(a)** 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
PUBLICLY-TRADED SECURITIES-S/T 02444	P		
PUBLICLY-TRADED SECURITIES-L/T 02444	P		
PUBLICLY-TRADED SECURITIES-S/T-04C13	P		
PUBLICLY-TRADED SECURITIES-L/T-04C13	P		
PUBLICLY-TRADED SECURITIES-L/T-04C13	P		
PUBLICLY-TRADED SECURITIES-L/T-04C13	P		
PUBLICLY-TRADED SECURITIES-S/T-04J94	P		
PUBLICLY-TRADED SECURITIES-L/T-04J94	P		
PUBLICLY-TRADED SECURITIES-S/T-02473	P		
PUBLICLY-TRADED SECURITIES-L/T-02473	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed **(f)** (or allowable)	Cost or other basis **(g)** plus expense of sale	Gain or (loss) **(h)** (e) plus (f) minus (g)
862,683		837,275	25,408
1,242,851		1,102,543	140,308
54,279		62,507	-8,228
1,066,988		1,147,690	-80,702
55,000		43,734	11,266
28		28	
245,020		263,244	-18,224
247,437		202,714	44,723
437,882		408,338	29,544
366,612		334,326	32,286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** **(l)** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis **(j)** as of 12/31/69	Excess of col. (i) **(k)** over col. (j), if any	
			25,408
			140,308
			-8,228
			-80,702
			11,266
			-18,224
			44,723
			29,544
			32,286

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUSTIN PRESBYTERIAN THEOL SEMINARY 100 E 27TH ST AUSTIN, TX 78705	NONE	PC	NEW LEARNING AND INFORMATION CENTER	5,000
SCHREINER UNIVERSITY 2100 MEMORIAL BLVD KERRVILLE, TX 780285611	NONE	PC	PASA SCHOLARSHIP	5,000
LONGVIEW ISD FOUNDATION P O BOX 2807 LONGVIEW, TX 75606	NONE	GOV	EARLY GRADUATION HIGH SCHOOL	2,500
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LONGVIEW ISD FOUNDATION P O BOX 2807 LONGVIEW, TX 75606	NONE	GOV	GREAT IDEAS GRANT PROGRAM	5,000
EAST TEXAS SYMPHONIC BAND P O BOX 251 LONGVIEW, TX 75606	NONE	PC	33RD SEASON	500
GREGG COUNTY HISTORICAL MUSEUM P O BOX 3342 LONGVIEW, TX 75606	NONE	GOV	CHALLENGE GRANT MATCH	10,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FIRST PRESBYTERIAN CHURCH-KILGORE P O BOX 1216 KILGORE, TX 75663	NONE	PC	EAST TEXAS PIPE ORGAN FESTIVAL	15,000
FIRST PRESBYTERIAN CHURCH-KILGORE P O BOX 1216 KILGORE, TX 75663	NONE	PC	VACATION BIBLE SCHOOL	5,084
EAST TEXAS BAPTIST UNIVERSITY ONE TIGER DRIVE MARSHALL, TX 75670	NONE	NC	BUSINESS BUILDING	16,667
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
PARENTING RESOURCE CENTER OF EAST T P O BOX 2683 LONGVIEW, TX 75606	NONE	NC	GRANT-OPERATIONS	1,000
PRESBYTERIAN CHURCH-LONGVIEW P O BOX 1006 LONGVIEW, TX 75606	NONE	PC	YOUTH DIRECTOR, PASTOR GRAD STUDY	34,000
PRESBYTERIAN CHURCH-LONGVIEW P O BOX 1006 LONGVIEW, TX 75606	NONE	PC	AUDIO QUALITY IMPROVEMENT	3,456
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KILGORE HISTORICAL PRESERVATION FDN 213 EAST MAIN KILGORE, TX 75662	NONE	GOV	REPAIRS	20,000
REEL EAST TEXAS FILM FESTIVAL P O BOX 2428 KILGORE, TX 75663	NONE	PC	GRANT-OPERATIONS	7,500
PRESBYTERIAN CHILDREN HOMES & SERVI 815 E MAIN STREET KILGORE, TX 75662	NONE	PC	KILGORE CHILD AND FAMILY PROGRAM	1,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEXAS SHAKESPEARE FESTIVAL FOUNDATI P O BOX 2788 KILGORE, TX 75663	NONE	PC	MATCHING GRANT-FOUNDATION	50,000
LONGVIEW MUSEUM OF FINE ARTS 215 E TYLER LONGVIEW, TX 75601	NONE	PC	GRANT-2ND PAYMENT	22,000
LONGVIEW BALLET THEATRE 1116 N 3RD ST LONGVIEW, TX 75601	NONE	PC	PRODUCTION OF CINDERELLA	1,500
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KIBAP O BOX 1524 KILGORE, TX 75663	NONE	GOV	ROOF REPAIR	2,000
LONGVIEW SYMPHONY ORCHESTRA 300 N GREEN ST SUITE 426 LONGVIEW, TX 75601	NONE	PC	MAGIC OF HARRY POTTER CONCERT	2,500
ASBURY HOUSE320 S CENTER ST LONGVIEW, TX 75601	NONE	PC	GRANT-OPERATIONS	6,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TEXAS OILFIELD ANGELS LLC P O BOX 127 HENDERSON, TX 75653	NONE	PC	SPONSORSHIP 2020 BBQ COOK-OFF	5,000
BOY SCOUTS OF AMERICA 1331 E 5TH STREET TYLER, TX 75701	NONE	PC	ECONOMIC RELIEF FUND	5,000
PRESBYTERIAN CAMP GILMONT 6075 TX 155 GILMER, TX 75644	NONE	PC	EVERGREEN EVACUATION	1,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN DIABETES ASSOCIATION 1701 BEAUREGARD ST ALEXANDRIA, VA 22311	NONE	PC	GRANT - OPERATIONS	10,000
PRESBYTERIAN CAMP GILMONT 6075 TX 155 GILMER, TX 75644	NONE	PC	GRANT - OPERATIONS	5,000
EAST TEXAS CASA1121 JUDSON RD 184 LONGVIEW, TX 75601	NONE	PC	GRANT - OPERATIONS	9,500
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ETCADA708 GLENCREST LN LONGVIEW, TX 75601	NONE	PC	GRANT - OPERATIONS	1,000
FRIENDS OF THE KILGORE PUBLIC LIBRA 301 N HENDERSON BLVD KILGORE, TX 75662	NONE	PC	GENERAL OPERATIONS	1,500
HEARTISANS MARKETPLACE 3501 GILMER RD LONGVIEW, TX 75604	NONE	PC	GENERAL OPERATIONS	1,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HEARLIGHT MINISTRIES7345 E US 80 HALLSVILLE, TX 75650	NONE	PC	OPERATIONS	500
HEARTS ANONYMOUS 724 WOODLAWN ST KILGORE, TX 75662	NONE	PC	MATCHING GRANT	2,407
HELPING HANDS OF KILGORE 201 S MARTIN ST KILGORE, TX 75662	NONE	PC	GENERAL OPERATIONS	5,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HIWAY 80 RESCUE MISSION 3117 W MARSHALL AVE LONGVIEW, TX 75604	NONE	PC	GENERAL OPERATIONS	9,500
JUNIOR SERVICE LEAGUE OF LONGVIEW 1109 FOURTH ST LONGVIEW, TX 75601	NONE	PC	MATCHING GRANT	7,500
KILGORE BOYS & GIRLS CLUB 724 HARRIS ST KILGORE, TX 75662	NONE	PC	GENERAL OPERATIONS	9,500
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
KISD EDUCATION FOUNDATION 301 N KILGORE ST KILGORE, TX 75662	NONE	SOUNK	GRANT	1,000
LONGVIEW CHAMBER OF COMMERCE 410 N CENTER ST LONGVIEW, TX 75601	NONE	PC	OPERATIONS	1,000
MEALS ON WHEELS MINISTRY INC 506 N SECOND ST LONGVIEW, TX 75601	NONE	PC	GENERAL OPERATIONS	17,500
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
REHABS RETREAT & RANCH INC 3607 STONE RD KILGORE, TX 75662	NONE	PC	GRANT-OPERATIONS	2,500
TCDLEI6808 HILL MEADOW DR AUSTIN, TX 78736	NONE	PC	HOLMES FUND	10,000
THE SALVATION ARMYPO BOX 1537 KILGORE, TX 75663	NONE	PC	OPERATIONS	1,000
Total . . . . . ▶ **3a**				332,614

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE CRIMSON SCHOOL 2455 N EASTMAN RD LONGVIEW, TX 75605	NONE	PC	GRANT-OPERATIONS	5,000
THE DICKEY FOUNDATION 18583 DALLAS PKWY STE 120 DALLAS, TX 75287	NONE	PC	OPERATIONS	500
WINDRIDGE THERAPEUTIC EQ 593 WINDRIDGE RD GILMER, TX 75645	NONE	PC	OPERATIONS	5,000
Total . . . . . ▶ **3a**				332,614

TY 2020 Accounting Fees Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING	6,386	6,386	6,386	

efile GRAPHIC print - DO NOT PROCESS	As Filed Data -	DLN: 93491242008191

TY 2020 Investments Corporate Stock Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
18,939.252 SH-AAM BAHL & GAYNOR INC		
14,685.805 SH-AMER FDS AMER BAL FD		
13833.268 SH-AMER FDS AMER BAL FD CL	364,527	417,350
29,111 SH-BLACKROCK LIQUIDITY FD		
2000 SH-BLACKROCK SHORT MATURITY	100,260	100,340
2905 SH-COMM SERVICES SELECT		
1583 SH COMM SERVICES SELECT	78,943	106,821
1,084 SH-CONSUMER DISCRETIONARY SPDR		
673 SH-CONSUMER DISCRETIONARY SPDR	90,451	108,205
25,419.123 SH-DELAWARE VALUE FD INST		
12453.330 SH-EATON VANCE RCHRD BRST	185,177	232,186
20,507.849 SH-EATON VANCE RCHRD BRST		
6007.123 SH-FIRST EAGLE GLOBAL CLASS	312,453	369,798
6,793.229 SH-FIRST EAGLE GLOBAL CL I		
393 SH-FIRST TR DOW JONES		
954.00 SH-HEALTH CARE SELECT SPDR	89,738	108,222
1,837 SH-HEALTH CARE SELECT SPDR		
382.00 SH ISH USD HIGH YIELD	15,610	15,761
434 SH-INVESCO PREFERRED ETF		
123 SH-ISHARES IBOXX HIGH YIELD COR		
1,334 SH-ISHARES IBOXX INVT GR CORP		
591.00 SH ISHARES IBOXX INVT GR COR	72,745	81,635
3287.00 SH ISHARES BROAD USD INVST	198,781	203,695
3,583 SH-ISHARES INC CORE MSCI		
3,030 SH-ISHARES INC CORE S&P SMALL	73,875	91,900
3898.00 SH-ISHARES CORE S & P US	264,815	345,714
5738.00 SH-ISHARES CORE S&P	337,850	356,846
943 SH-ISHARES MBS ETF		
440 SH-ISHARES 20+ YR TREASURY BOND		
2500.00 SH-ISHARES GOLD TR	45,388	45,325

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
823 SH-ISHARES MSCI EUROPE		
1001.00 SH-ISHARES MSCI ASIA EX JAPA	73,284	89,700
362 SH-ISHARES NASDAQ BIOTECH		
576 SH-ISHARES 0-5 YEAR TIPS		
602 SH-ISHARES 3-7 YR TREAS BD ETF	79,474	80,054
169 SH-ISHARES TIPS BOND ETF		
2,896 SH-ISHARES TR CORE MSCI EAF		
330 SH-ISHARES TR RUSSELL 2000	40,349	64,700
3324.00 SH ISHARES US TREASURY BD	91,997	90,546
592 SH-REAL ESTATE SELECT SECTOR SPD		
912 SH-SECTOR SPDR ENERGY	31,335	34,565
1,768 SH-SECTOR SPDR CONSMRS STPL		
427 SH-SECTOR SPDR ENERGY		
1278 SH-SECTOR SPDR INDUSTRIAL	83,598	113,167
760 SH-SECTOR SPDR UTILITIES		
15000 SH-SP500 STEPUP ISSUER CIBC	150,000	159,600
673 SH- SPDR BLOOMBURG BARCLAYS	61,620	61,593
356 SH-SPDR S&P INSURANCE ETF		
1689 SH-SPDR US FINANCIAL SECTOR	44,627	49,792
3,138 SH-SPDR US FINANCIAL SECTOR		
3997.00 SH-SPDR US FINANCIAL SECTOR	89,318	117,832
1,936 SH-SELECT SECTOR SPDR TR		
1070 SH-SELECT SECTOR SPDR TR	88,480	139,121
3,874 SH-SPDR PORTFOLIO ST CORP BD		
5121 SH-SPDR PORTFOLIO SH TRM CORP B	157,605	160,799
532 SH-VANGUARD CONSUMER STAPLES ETF		
570 SH-VANGUARD MSCI PACIFIC		
752 SH-VANGUARD MSCI PACIFIC	49,283	59,852
3,375 SH-VANGUARD FTSE ALL WORLD		
3463 SH-VANGUARD FTSE ALL WORLD	172,694	202,101

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1029.00 SH-VANGUARD FTSE EMERGING	42,451	51,563
4800 SH-VANGUARD FTSE DEVELOPED	194,934	226,608
173 SH-VANGUARD MATERIALS ETF		
2563 SH-VANGUARD MORTGAGE BACKED	138,169	138,581
420 SH-VANGUARD INFORMATION TECH ETF		
499 SH-VANGUARD INDUSTRIAL ETF		
1,180 SH-VANGUARD INTERM TRM CRP BD		
2417 SH-VANGUARD INTERM TERM BD	226,434	234,787
236 SH-VANGUARD INTERM TERM BOND		
791 SH-VANGUARD SHORT TERM BOND		
90 SH-XTRACKERS USD HIGH YLD CORP BD		
29 SH-ALPHABET INC SHS CL A	26,162	50,827
28 SH-ALPHABET INC SHS CL A		
20 SH-AMAZON COM INC		
16 SH-AMAZON COM INC	29,513	52,111
424 SH AMERICAN EXPRESS	41,169	51,266
193 SH-APPLE INC	7,263	25,609
141 SH-APPLE INC		
926 SH-AT&T		
301 SH-CATEPILLAR INC DEL	30,694	54,788
752 SH-CISCO SYSTEMS INC		
472 SH-CHEVRON CORP	35,140	39,860
688 SH-COCA COLA COM		
829 SH-COMCAST CORP NEW CL A		
989 SH-COMCAST CORP NEW CL A	41,216	51,824
276 SH-DISNEY (WALT) CO COM STK		
387 SH-DUKE ENERGY CORP NEW		
9,351 SH-ENCANA CORP		
1,000 SH-EXXON MOBIL CORP		
163 SH-FACEBOOK	28,061	44,525

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
551 SH-GILEAD SCIENCES INC COM		
78 SH-HOME DEPOT INC	18,969	20,718
266 SH-HONEYWELL INT INC DEL	32,898	56,578
409 SH-JPMORGAN CHASE & CO	36,929	51,972
276 SH-JOHNSON AND JOHNSON COM		
292 SH-JOHNSON AND JOHNSON COM	40,557	45,955
1731 SH-MASTERCARD INC	13,652	46,759
134 SH-MASTERCARD INC		
423 SH-MEDTRONIC PLC	37,516	49,550
338 SH-MEDTRONIC PLC		
209 SH-MICROSOFT CORP	12,882	46,486
252 SH-MICROSOFT CORP		
689 SH-MONDELEZ INTERNATIONAL		
200 SH-NORTHROP GRUMMAN CORP		
224 SH-PAYPAL HOLDINGS INC	12,885	52,461
359 SH-PAYPAL HOLDINGS INC		
301 SH-PROCTER & GAMBLE CO		
322 SH-PROCTER & GAMBLE CO	26,665	44,803
159 SH-QUALCOMM INC	23,354	24,222
217 SH-STARBUCKS CORP		
374 SH-TJX COS INC NEW	14,747	25,540
307 SH-TJX COS INC NEW		
224 SH-UNION PACIFIC	40,358	46,641
134 SH-UNITEDHEALTH GROUP INC	22,569	46,991
144 SH-UNITEDHEALTH GROUP INC		
500 SH-UNITED TECHS CORP		
303 SH-WALMART INC		

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TY 2020 Other Assets Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
OIL, GAS PROPERTIES	1	1	280,786
ROUNDING		-1	

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TY 2020 Other Expenses Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE EXPENSE	1,337	1,337	1,337	

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TY 2020 Other Income Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INVESTMENT INCOME	523	523	523
OIL, GAS REVENUES-NET	77,993	77,993	77,993

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TY 2020 Other Professional Fees Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRILL LYNCH MANAGEMENT FEES	59,115	59,115	59,115	

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TY 2020 Taxes Schedule

Name: ROSA MAY GRIFFIN FOUNDATION

EIN: 75-6011866

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
AD VALOREM TAXES	5,140	5,140	5,140	
PRIOR YEAR EXCISE TAX	5,675			
FOREIGN TAX PAID	1,189	1,715	1,715	