








For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation John S Dunn Foundation		A Employer identification number 74-1933660	
Number and street (or P.O. box number if mail is not delivered to street address) 3355 West Alabama Street 990		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Houston, TX 77098		B Telephone number (see instructions) (713) 626-0368	
G Check all that apply: <div><input type="checkbox"/> Initial return<input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return<input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change<input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here .....	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 95,401,000		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .....	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	50			
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments	4,676	4,676		
	4 Dividends and interest from securities . . .	2,507,203	2,507,203		
	5a Gross rents . . . . .				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 	789,224			
	b Gross sales price for all assets on line 6a 58,783,162				
	7 Capital gain net income (from Part IV, line 2) . . .		537,847		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . . 	2,384,134	2,577,316		
	12 Total. Add lines 1 through 11 . . . . .	5,685,287	5,627,042		
	13 Compensation of officers, directors, trustees, etc.	252,000	126,000		126,000
	14 Other employee salaries and wages . . . . .	97,600	48,800		48,800
	15 Pension plans, employee benefits . . . . .	28,395	14,197		14,198
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . . 	70,890	35,445		35,445
	c Other professional fees (attach schedule) . . . . . 	441,154	441,154		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions) . . . . . 	58,076	11,076		
	19 Depreciation (attach schedule) and depletion . . . . . 	9,675			
	20 Occupancy . . . . .	49,015	24,507		24,508
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .	2,357	1,178		1,179
	23 Other expenses (attach schedule) . . . . . 	45,959	26,003		19,210
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	1,055,121	728,360		269,340
	25 Contributions, gifts, grants paid . . . . .	5,067,042			5,067,042
	26 Total expenses and disbursements. Add lines 24 and 25	6,122,163	728,360		5,336,382
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-436,876			
	b Net investment income (if negative, enter -0-)		4,898,682		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	59,135	59,383	59,383
	<b>2</b> Savings and temporary cash investments . . . . .	13,585,936	6,353,643	6,353,643
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .		702	702
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	31,986,757	30,789,265	46,884,057
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	10,000,000	17,000,000	16,749,200
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	17,492,978	18,494,612	25,327,805
	<b>14</b> Land, buildings, and equipment: basis ▶ <u>164,514</u> Less: accumulated depreciation (attach schedule) ▶ <u>138,304</u>	35,885	26,210	26,210
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	73,160,691	72,723,815	95,401,000	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .	133	133	
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule). . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	133	133	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .	73,160,558		
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	73,160,558	72,723,682	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	73,160,691	72,723,815		

Part III Analysis of Changes in Net Assets or Fund Balances		
<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	73,160,558
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-436,876
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	72,723,682
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	72,723,682

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	537,847
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{	<b>3</b>	

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved									
<b>(a)</b> Reserved		<b>(b)</b> Reserved		<b>(c)</b> Reserved		<b>(d)</b> Reserved			
<b>2</b> Reserved . . . . .						<b>2</b>			
<b>3</b> Reserved. . . . .						<b>3</b>			
<b>4</b> Reserved . . . . .						<b>4</b>			
<b>5</b> Reserved . . . . .						<b>5</b>			
<b>6</b> Reserved . . . . .						<b>6</b>			
<b>7</b> Reserved . . . . .						<b>7</b>			
<b>8</b> Reserved , . . . . .						<b>8</b>			

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Reserved.	<b>1</b>	68,092
<b>c</b>	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2.	<b>3</b>	68,092
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	<b>5</b>	68,092
<b>6</b>	Credits/Payments:		
<b>a</b>	2020 estimated tax payments and 2019 overpayment credited to 2020	<b>6a</b>	46,867
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	10,000
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d.	<b>7</b>	56,867
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	<b>8</b>	
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .	<b>9</b>	11,225
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. <b>(2)</b> On foundation managers.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>	Yes
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>	Yes
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <a href="https://johnsdunnfoundation.org/">https://johnsdunnfoundation.org/</a>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► Donna E Nasso Telephone no. ► (713) 626-0368			

Located at ► 3355 West Alabama Street 990 Houston TX ZIP+4 ► 770981718

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . .	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly):		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . .	<b>1b</b>		<b>No</b>
	Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>			
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input checked="" type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
See Additional Data Table				
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
Donna E Nasso	Administrator	97,600	20,928	
3355 West Alabama Street 990 Houston, TX 770981718	40.00			
<b>Total</b> number of other employees paid over \$50,000. . . . .				

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Morgan Stanley Smith Barney LLC 1 New York Plaza 12 Floor New York, NY 10004	Investment management	247,449
BKD LLP 2700 Post Oak Blvd Suite 1500 Houston, TX 77056	Accounting	70,890
Morgan Stanley Alternative Investment Ptrs 100 Front Street Suite 400 West Conshohocken, PA 19428	Investment management	125,630

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b> _____ _____	
<b>2</b> _____ _____	
<b>3</b> _____ _____	
<b>4</b> _____ _____	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b> _____ _____	
<b>2</b> _____ _____	
All other program-related investments. See instructions.	
<b>3</b> _____ _____	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	63,200,512
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	1,186,598
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	22,627,022
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	87,014,132
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	87,014,132
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	1,305,212
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	85,708,920
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	4,285,446

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	4,285,446
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	68,092
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	68,092
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	4,217,354
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	4,217,354
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	4,217,354

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	5,336,382
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	5,336,382
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	5,336,382

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				4,217,354
<b>2</b> Undistributed income, if any, as of the end of the 2020:				
<b>a</b> Enter amount for 2019 only. . . . .				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	743,594			
<b>b</b> From 2016. . . . .	167,040			
<b>c</b> From 2017. . . . .	855,249			
<b>d</b> From 2018. . . . .	988,681			
<b>e</b> From 2019. . . . .	943,850			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	3,698,414			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ► \$ _____ 5,336,382				
<b>a</b> Applied to 2019, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				4,217,354
<b>e</b> Remaining amount distributed out of corpus	1,119,028			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,817,442			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .				
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .				
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .				
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	743,594			
<b>9</b> <b>Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a. . . . .	4,073,848			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	167,040			
<b>b</b> Excess from 2017. . . . .	855,249			
<b>c</b> Excess from 2018. . . . .	988,681			
<b>d</b> Excess from 2019. . . . .	943,850			
<b>e</b> Excess from 2020. . . . .	1,119,028			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

John S Dunn Foundation  
3355 West Alabama St Suite 990  
Houston, TX 770981718  
(713) 626-0368

**b** The form in which applications should be submitted and information and materials they should include:

A letter should be submitted stating the name of the organization, the amount requested, and the purpose for which the funds are requested.

**c** Any submission deadlines:

None

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Generally, grants are limited to donees within the state of Texas whose primary efforts are medically related to educational and research activities.

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	5,067,042
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated.

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>Enter gross amounts unless otherwise indicated.</b>				
<b>1</b> Program service revenue:				
a _____				
b _____				
c _____				
d _____				
e _____				
f _____				
<b>g</b> Fees and contracts from government agencies				
<b>2</b> Membership dues and assessments. . . .				
<b>3</b> Interest on savings and temporary cash investments . . . . .				
		14	4,676	
<b>4</b> Dividends and interest from securities. . . .				
		14	2,507,203	
<b>5</b> Net rental income or (loss) from real estate:				
<b>a</b> Debt-financed property. . . . .				
<b>b</b> Not debt-financed property. . . . .				
<b>6</b> Net rental income or (loss) from personal property				
<b>7</b> Other investment income. . . . .				
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .				
525990	251,377	18	537,847	
<b>9</b> Net income or (loss) from special events:				
<b>10</b> Gross profit or (loss) from sales of inventory				
<b>11</b> Other revenue:				
<b>a</b> Foreign dividend income				
		14	2,573,414	
<b>b</b> From Sch K-1 (Form 1065)				
525990	-193,182	14	3,839	
<b>c</b> Royalty income				
		15	63	
<b>d</b> _____				
<b>e</b> _____				
<b>12</b> Subtotal. Add columns (b), (d), and (e). . .				
	58,195		5,627,042	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . . <b>13</b> 5,685,237				

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

	Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

<b>1b(1)</b>	<b>No</b>
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<b>1b(2)</b>		<b>No</b>
--------------	--	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
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<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

\*\*\*\*\*

2021-10-28

\*\*\*\*\*

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  Kurt Coburn	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01638285
Firm's name ► Blazek & Vetterling				Firm's EIN ► 76-0269860
Firm's address ► 2900 Wesleyan Suite 200  Houston, TX 77027				Phone no. (713) 439-5739

**Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation**

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Charles M Lusk III 3355 West Alabama Suite 990 Houston, TX 770981718	Pres/Trustee 7.70	36,000		
Dan S Wilford 3355 West Alabama Suite 990 Houston, TX 770981718				
John R Wallace 3355 West Alabama Suite 990 Houston, TX 770981718	VP/Trustee 5.26	36,000		
Cynthia J Adkins 3355 West Alabama Suite 990 Houston, TX 770981718				
David G Key 3355 West Alabama Suite 990 Houston, TX 770981718	Trustee 7.46	36,000		
Terry Lacy 3355 West Alabama Suite 990 Houston, TX 770981718				
J Dickson Rogers 3355 West Alabama Suite 990 Houston, TX 770981718	Trustee 5.14	36,000		

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Baylor University1311 S 5th St Waco, TX 76706	N/A	PC	To support 10 students attending the Garland School of Social Work in Houston for the 2020-21 academic year	100,000
Bo's Place10050 Buffalo Speedway Houston, TX 77054	N/A	PC	Grief support services and education at no cost to children, teens, adults, and community members	25,000
Bridges to LifePO Box 570895 Houston, TX 77257	N/A	PC	Operational support for its program for victims and offenders and healing	10,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Camp For All3701 Kirby Dr Ste 570 Houston, TX 77098	N/A	PC	Camp's Health Center	25,000
Casa de Esperanza de los Ninos Foun PO Box 301209 Houston, TX 77230	N/A	PC	Residential program	45,000
Christ Church Cathedral1117 Texas St Houston, TX 77002	N/A	PC	Center for Co-Occurring Disorders	20,000
<b>Total . . . . . ▶ 3a</b>				5,067,042



**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Christian Community Service Center 3230 Mercert St Houston, TX 77027	N/A	PC	Emergency services program	10,000
CHRISTUS Foundation for HealthCare 2615 Fannin St Houston, TX 77002	N/A	PC	CHRISTUS St. Mary's Clinic to hire additional family practice physician, mental health counselor, and two additional medical assistants	84,000
Communities In Schools of Houston I 1235 N Loop W Fwy Houston, TX 77008	N/A	PC	Project MOVE dental care to uninsured children	45,000
<b>Total . . . . .</b> ► <b>3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Communities In Schools of Houston I 1235 N Loop W Fwy Houston, TX 77008	N/A	PC	Establish the John S. "Steve" Dunn, Jr. Distinguished Professorship in Brain Tumor Research; Dagmar Dunn Pickens Gipe Distinguished Professorship in Brain Tumor Research; and Milby Dow Dunn Fund for Brain Tumor Research	200,000
Covenant House Texas1111 Lovett Blvd Houston, TX 77006	N/A	PC	Medical Clinic & Mental Health Services Program	25,000
El Centro de Corazon412 Telephone Rd Houston, TX 77023	N/A	PC	Medical, dental and behavioral health services for Houston's East End	75,000
<b>Total . . . . .</b> ► <b>3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Halo House Foundation4007 Tartan Ln Houston, TX 77025	N/A	PC	Operating costs and program administration	25,000
Hope and Healing Center Institute 717 Sage Rd Houston, TX 77056	N/A	PC	Warmline for greater Houston which will provide peer support and local resources for individuals in mental health distress	50,000
Houston Achievement Place 245 W 17th St Houston, TX 77008	N/A	PC	Research seed grants with the Collaborative Research Center explicitly designed to bring together Rice researchers with their colleagues in the Texas Medical Center	35,000
<b>Total . . . . .</b> ► <b>3a</b>				5,067,042

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Houston Eye Associates Foundation 7155 Old Katy Rd Ste N100 Houston, TX 77024	N/A	PC	Preserve and restore the sight of adults and children faced with financial hardship	20,000
Houston Hospice1905 Holcombe Blvd Houston, TX 77030	N/A	PC	Equipment to maintain remote work and critical operations during COVID-19	75,000
Houston Methodist Hospital Foundati 1707 Sunset Blvd Houston, TX 77005	N/A	PC	Specialized equipment, state-of- the-art technology, and research	214,285
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Houston Methodist Hospital Foundati 1707 Sunset Blvd Houston, TX 77005	N/A	PC	Establish the "Eva Lynn and John S. Dunn, Jr. Center for Research in Reconstructive and Plastic Surgery"	142,857
Houston Methodist Hospital Foundati 1707 Sunset Blvd Houston, TX 77005	N/A	PC	Establish the John S. Dunn Foundation Distinguished Centennial Chair in Behaviroal Health to explore connection between mental health and gut microbiome	300,000
Memorial Hermann Foundation 929 Gessner Drive Suite 2650 Houston, TX 77024	N/A	PC	Specialized equipment, state-of-the-art technology, and research	241,400
<b>Total . . . . . ► 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Memorial Hermann Foundation 929 Gessner Drive Suite 2650 Houston, TX 77024	N/A	PC	Three-year study using allogeneic mesenchymal stem cells to treat Parkinson's Disease	100,000
Mental Health America of Greater Ho 2211 Norfolk St Ste 810 Houston, TX 77098	N/A	PC	Veterans Behavioral Health Program	75,000
Palmer Drug Abuse Program 840 Gessner Rd Suite 1200 Houston, TX 77024	N/A	PC	General support	25,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
reMIND Depression Bipolar S PO Box 27607 Houston, TX 77227	N/A	PC	Provide free support groups for individuals, family members and friends impacted by depression or bipolar disorder	125,000
Rice University6100 Main St Houston, TX 77005	N/A	PC	Support infectious disease research infrastructure at UTMB to be named the "John S. Dunn Laboratory"	300,000
Rise School of Houston 5618 HMark Crosswell Jr St Houston, TX 77021	N/A	PC	Part-time nurse and basic nursing supplies	25,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Ronald McDonald House Charities of 6300 West Loop S Ste 288 Bellaire, TX 77401	N/A	PC	Ronald McDonald Care Mobile Unit	10,000
San Jacinto College Foundation 4624 Fairmont Pkwy Pasadena, TX 77504	N/A	PC	30 \$1,000 scholarships for the John S. Dunn Scholarship Fund	30,000
San Jose Clinic2615 Fannin St Houston, TX 77002	N/A	PC	Dental program for uninsured	60,000
<b>Total . . . . . ▶ 3a</b>				5,067,042



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Shriners Hospitals for Children 6977 Main St Houston, TX 77030	N/A	PC	Psychology program salaries and department operating costs	50,000
Sight Savers America Inc 337 Business Circle Pelham, AL 35124	N/A	PC	Purchase Electronic Video Magnifiers for children in Houston	12,500
St Luke's United Methodist Church 3471 Westheimer Road Houston, TX 77027	N/A	PC	Nick Finnegan Counseling Center	10,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
Star of Hope 4848 Loop Central Dr Suite 500 Houston, TX 77081	N/A	PC	Men's Development Center - John S. Dunn Wellness Center	40,000
Texas Children's Hospital 6621 Fannin Street Houston, TX 77030	N/A	PC	John S. Dunn Foundation Chair in Neurosurgery	200,000
Texas Children's Hospital 6621 Fannin Street Houston, TX 77030	N/A	PC	On-campus mental health initiative	125,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The Beacon1212 Prairie St Houston, TX 77002	N/A	PC	General operating expenses for The Beacon and its four programs	50,000
The Center for Hearing and Speech 3100 Shenandoah St Houston, TX 77021	N/A	PC	Programs to identify and serve children with hearing impairments.	152,000
The Council on Recovery 303 Jackson Hill St Houston, TX 77007	N/A	PC	Financially assist Houston families without employment due to COVID-19	100,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The Gateway Academy Inc 3721 Dacoma St Houston, TX 77092	N/A	PC	Social/emotional program	50,000
The Harris School Inc6210 Rookin St Houston, TX 77074	N/A	PC	Scholarship program	25,000
The Landing9894 Bissonnet St Ste 605 Houston, TX 77036	N/A	PC	Mental health counseling and access to community-based resources for victims of human trafficking	25,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The Rose12700 N Featherwood Dr 260 Houston, TX 77034	N/A	PC	Empower Her sponsorship program	25,000
The University of Texas Medical Bra 301 University Blvd Galveston, TX 77555	N/A	GOV	Project CLASS	150,000
The University of Texas Medical Bra 301 University Blvd Galveston, TX 77555	N/A	GOV	Vaccine development	100,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The UT Health Psychiatry1941 East Rd Houston, TX 77054	N/A	GOV	Pediatric bipolar research	200,000
The UT Health Psychiatry1941 East Rd Houston, TX 77054	N/A	GOV	Research to develop glioblastoma treatment	350,000
The UT Health Science Center 7000 Fannin St Ste 1200 Houston, TX 77030	N/A	GOV	John S. Dunn Foundation Medicine Scholars Program	150,000
<b>Total . . . . . ▶ 3a</b>				5,067,042

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
The UT MD Anderson Cancer Center 1515 Holcombe Blvd Houston, TX 77030	N/A	GOV	Radiological sciences and Imaging Lab	450,000
The Will Erwin Headache Research Fd 609 Main St 2350 Houston, TX 77002	N/A	PC	Headache and migraine research at Memorial Hermann's Mischer Neuroscience Institute.	25,000
TIRR Foundation 4605 Post Oak Pl Dr UNIT 222 Houston, TX 77027	N/A	PC	Support Mission Connect to establish an "Integrating Perspective in Spinal Cord Injury Research" grant award	100,000
<b>Total . . . . . ► 3a</b>				5,067,042

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
University of St Thomas 3800 Montrose Blvd Houston, TX 77006	N/A	PC	John S. Dunn Scholarhsip Fund to provide scholarships from \$500 to \$5,000 per student.	50,000
Vecino Health Centers424 Hahlo St Houston, TX 77020	N/A	PC	Directly assist those impacted by COVID-19	50,000
Village Learning Center Inc 3819 Plum Valley Dr Kingwood, TX 77339	N/A	PC	Medical services for children and adults with developmental and physical disabilities.	60,000
<b>Total . . . . .</b> ► <b>3a</b>				5,067,042



**TY 2020 Accounting Fees Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit services and tax compliance	70,890	35,445	0	35,445

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2020 Depreciation Schedule**

**Name:** John S Dunn Foundation

**EIN:** 74-1933660

**Software ID:** 20011551

**Software Version:** 2020v4.0

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Leasehold improvements	2013-01-01	104,749	68,864	SL	10.0000	9,675			

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2020 Gain/Loss from Sale of Other Assets Schedule**

**Name:** John S Dunn Foundation

**EIN:** 74-1933660

**Software ID:** 20011551

**Software Version:** 2020v4.0

**Gain Loss Sale Other Assets Schedule**

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Sec 751 gain - DCP Midstream LP		Purchase			40,868		Cost		40,868	
Sec 751 gain - Enable Midstream Partners LP		Purchase			37,131		Cost		37,131	
Sec 751 gain - NGL Energy Partners LP		Purchase			29,675		Cost		29,675	
Sec 751 gain - Western Midstream Partners LP		Purchase			143,703		Cost		143,703	

**TY 2020 Investments Corporate Bonds Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
BCS 8% Callable Indu RTY SPX	2,000,000	2,020,000
BCS 8% Callable SPX SX5E TPX	3,000,000	2,931,300
CITI 1.025% Callable NDX RTY SPX	3,000,000	2,955,300
CITI 9.25% Callable NDX RTY SPX	3,000,000	3,011,700
MS 2.6% Autocall MRNA	1,000,000	879,500
MS 8% Autocall NDX RTY SPX	2,000,000	2,028,500
SG 8% Callable RTY SPX SX5E	3,000,000	2,922,900

## TY 2020 Investments Corporate Stock Schedule

**Name:** John S Dunn Foundation

**EIN:** 74-1933660

**Software ID:** 20011551

**Software Version:** 2020v4.0

### Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
12,375 Abbott Laboratories	1,022,782	1,354,939
4,357 Accenture PLC Ireland CI A	415,686	1,138,092
2,801 Adidas AG	313,214	512,555
1,959 Adobe Inc	157,886	979,735
983 Aerojet Rocketdyne Hldgs Inc	39,220	51,952
352 Albany Intl A	25,696	25,844
3,307 Alibaba Group Hldg Ltd	574,643	769,638
805 Align Technology	57,797	430,176
11,509 Ally Financial Inc	341,012	410,411
183 Alphabet Inc CI A	57,315	320,733
550 Alphabet Inc CI C	191,140	963,534
11,630 Altria Group Inc	434,467	476,830
2,344 Amadeus It Group SA ADR	154,895	176,128
1,426 American Eq Invt Life Hldg C	35,177	39,443
1,650 Amgen Inc	365,261	379,368
2,058 Amicus Therapeutics Inc	19,276	47,519
2,121 Aon PLC CI A	432,221	448,104
6,872 Apple Inc	300,236	911,846
542 Aspen Technology Inc	25,539	70,596
14,548 AT&T Inc	434,035	418,400
2,119 Autodesk Inc Delaware	366,223	647,015
345 Balchem CP	19,120	39,751
525 Bandwidth Inc CI A	36,224	80,677
354 Biohaven Pharma Hldg Co Ltd	22,584	30,341
2,409 BJs Whsl Club Hldgs Inc	61,625	89,808
724 Blackrock Inc	327,393	522,395
6,948 Blackstone Group Inc CI A	318,250	450,300
1,400 Bloom Energy Corp CI A	33,554	40,124
10,871 Boston Scientific Corp	322,353	390,812
5,548 Bristol Myers Squibb Co	339,834	344,142

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,352 Broadcom Inc	323,971	591,973
622 Brooks-Automation Inc	26,696	42,203
9,095 Bunzl PLC	251,996	309,230
773 Cactus Inc Cl A	23,492	20,152
429 Calavo Growers Inc	38,038	29,785
682 Cardtronics PLC Cl A	24,854	24,075
724 Caredx Inc	29,091	52,454
383 Casey's General Stores Inc	27,361	68,411
725 Championx Corporation	10,633	11,093
1,950 Check Point Software Tech Ltd	206,047	259,175
1,266 Chegg Inc	18,140	114,358
6,417 Chevron Corp	600,954	541,916
7,475 Citigroup Inc	327,108	460,909
5,929 Coloplast As Sponsered ADR	50,693	90,832
1,388 Core Mark Holding Co Inc	37,594	40,766
1,302 Cornerstone Ondemand Inc	56,186	57,340
650 Cryoport Inc	22,348	28,522
4,496 CSL Ltd	245,457	495,234
1,091 Dassault Systems SA ADS	139,094	222,204
2,002 Dollar Gen Corp New	138,170	421,021
6,552 Enbridge Inc	209,336	209,598
694 Envestnet Inc	31,290	57,109
9,813 Experian Gp Ltd ADR	209,385	372,501
4,157 Facebook Inc Cl A	494,803	1,135,526
700 Forward Air Corp	45,438	53,788
978 Fox Factory Holding Corp	22,845	103,384
2,144 Gartner Inc	118,172	343,447
10,109 General Mtrs Co	215,734	420,939
585 Grocery Outlet Hldg Corp	20,661	22,961
1,456 H&E Equipment Svcs Inc	36,206	43,403

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
890 Hain Celestial Group Inc	25,465	35,734
415 Hamilton Lane CI A	30,295	32,391
956 Health Catalyst Inc	35,227	41,615
2,287 Heron Therapeutics Inc	54,254	48,404
7,650 Hess Midstream LP CI A	158,986	149,711
1,649 Honeywell International Inc	279,573	350,742
247 Hubspot Inc	7,992	97,921
4,052 Icon PLC	415,388	790,059
152 IDEX Corporation Delaware	12,368	30,278
829 Illumina Inc	276,942	306,730
17,544 Industria De Diseno Textil Ind	284,300	281,406
334 Insulet Corp	10,721	85,380
1,295 Integra Lifesciences Crp	40,765	84,071
5,861 Intel Corp	290,747	291,995
2,897 Intl Business Machines Corp	379,801	364,674
360 Intuitive Surgical Inc	268,092	294,516
1,272 Invitae Corp	24,054	53,182
209 Irhythm Technologies Inc	32,144	49,577
1,240 Iridium Communications Inc	30,802	48,763
20,935 Ishares Inc Msci Japan ETF	1,062,467	1,414,369
550 JAMF Hldg Corp	17,975	16,456
3,280 Johnson & Johnson	454,002	516,206
3,490 JPMorgan Chase & Co	272,966	443,474
9,426 Kinder Morgan Incorp	189,659	128,853
1,122 Lattice Semiconductor	21,055	51,410
2,536 LVMH Moet Hennessy Louis Vuitt	186,792	316,315
642 Masonite Intl Corp	43,229	63,134
1,741 Mastercard Inc CI A	193,031	621,433
69,928 Matthews Asian Japan Inv	1,432,806	1,767,089
1,708 McDonalds Corp	335,954	366,503

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
4,619 Medtronic PLC Shs	408,108	541,070
5,704 Merck & Co Inc	397,455	466,587
7,024 Microsoft Corp	559,935	1,562,278
958 Model N Inc	35,313	34,181
4,360 Mondelez Intl Inc	216,896	254,929
318 Monolithic Pwr Systems Inc	13,153	116,461
751 Monro Inc	40,446	40,028
1,338 MRC Global Inc	24,214	8,871
639 MSCI Inc	146,276	285,333
2,203 National Vision Holdings Inc	68,991	99,774
2,256 Nestle Spon ADR Rep Reg Shr	186,187	265,757
2,943 New Oriental Ed & Tech Grp ADR	392,526	546,839
623 New Relic Inc	27,037	40,744
3,067 Newpark Res	31,163	5,889
6,335 Nike Inc B	381,957	896,212
833 Nlight Inc	21,507	27,197
432 Omnicell Inc	39,385	51,849
281 OSI Systems Inc	18,215	26,195
737 Pacira Biosciences	48,223	44,102
1,624 Pagerduty Inc	44,210	67,721
2,548 Paypal Hldgs Inc	233,452	596,742
443 Penumbra Inc	42,561	77,525
3,539 Pepsico Inc NC	432,699	524,834
1,783 Pfizer Inc	64,435	65,632
613 PJT Partners Inc CI A	27,720	46,128
9,445 Plains GP Hldg LP Partners Int A	223,369	79,810
2,906 Procter & Gamble	335,470	404,341
1,608 Progyny Inc	30,431	68,163
675 Qualys Inc	17,295	82,262
220 Quanterix Corp	9,855	10,230



## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
322 RBC Bearings Inc	45,253	57,651
409 Redfin Corp	8,416	28,070
683 Regeneron Pharmaceuticals Inc	224,326	329,964
12,003 Sage Group PLC ADR	434,282	388,825
2,397 Salesforce.com Inc	411,501	533,404
3,792 SAP AG	386,349	494,439
16,405 Schlumberger Ltd	494,904	358,121
6,455 Seagate Technology PLC	324,639	401,243
1,805 Sempra Energy	247,527	229,975
1,140 ServiceNow Inc	304,993	627,490
446 Shift4 Pmts Inc Cl A	21,522	33,628
15,903 Siemens Healthineers AG ADR	340,316	409,343
669 Silk Road Medical Inc	25,730	42,134
466 Smartsheet Inc	14,520	32,289
1,553 Stanley Black & Decker Inc	272,994	277,304
4,837 Starbucks Corp Washington	200,339	517,462
2,009 Surgery Partners Inc	30,969	58,281
1,174 Syneos Health Inc Cl A	38,424	79,985
7,456 Targa Resources Corp	339,595	196,689
29,682 TCG BDC Inc	670,603	304,537
2,176 Temenos Ag Sponsored ADR	249,243	308,165
11,484 Tencent Hldgs Ltd Unspn ADR	557,138	825,585
615 Tennant Co	42,552	43,155
921 Thermo Fisher Scientific	271,484	428,983
1,944 Trex Company Inc	14,303	162,752
759 Trupanion Inc	21,103	90,860
340 Ultragenyx Pharmaceutical Inc	25,642	47,066
5,362 Unilever PLC ADS	297,478	323,650
1,118 UnitedHealth GP Inc	347,574	392,060
718 US Ecology Inc	31,453	26,085

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
572 Varonis Systems Inc	21,845	93,585
221 Viatris Inc	3,550	4,142
2,343 Viavi Solutions Inc	23,370	35,086
3,262 Visa Inc Cl A	145,816	713,497
1,127 Vocera Comm Inc	18,528	46,804
328 Vroom Inc	16,828	13,438
6,067 Wal-Mart De Mexico SA	150,687	170,725
1,906 Walmart Inc	186,960	274,750
4,476 Walt Disney Hldg Co	422,978	810,962
1,010 Western Alliance Bancorp	25,961	60,550
3,254 Williams Co Inc	97,018	65,243
336 Wix.com Ltd	9,443	83,987
645 XPO Logistics Inc	18,547	76,884
2,235 Yext Inc	34,285	35,134
4,015 Zoetis Inc Class-A	285,490	664,483

## TY 2020 Investments - Other Schedule

**Name:** John S Dunn Foundation

**EIN:** 74-1933660

**Software ID:** 20011551

**Software Version:** 2020v4.0

### Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Carlyle Energy Credit Opp II Offshore	AT COST	590,104	371,083
Cheniere Energy Partners LP	AT COST	96,617	224,648
Energy Transfer LP	AT COST	208,101	203,464
Enterprise Prod Prtnrs LP	AT COST	120,422	383,749
Graham Absolute Return Ltd	AT COST	3,000,000	3,160,986
GSO Credit Alpha Feeder Fund II LP	AT COST	545,973	560,009
HBK Multi-Strategy Offshore Fund Ltd	AT COST	2,000,000	2,329,964
Magellan Midstream Partners LP	AT COST	284,236	417,864
Millennium International Ltd	AT COST	2,451,361	6,356,817
MPLX LP	AT COST	257,861	475,456
North Haven Capital Partners VI NUSWMF	AT COST	673,953	827,458
North Haven Credit Partners II Offshore	AT COST	1,242,974	694,549
North Haven Real Estate Fund V Offshore	AT COST	92,814	99,185
Oaktree SSF II (Feeder)	AT COST	727,296	991,548
Private Equity Co-Investment Opp Fund I	AT COST	1,679,013	2,612,688
Phillips 66 Partners LP	AT COST	119,459	153,997
Plains All Amern Pipeline LP	AT COST	119,907	77,802
Private Markets Fund VII (Cayman) LP	AT COST	3,311,965	4,754,204
York Credit Opportunities Unit Trust	AT COST	722,546	382,324
Clear Bayou - Miner Deed	AT COST	10	10
CorInnova Inc	AT COST	250,000	250,000

**TY 2020 Land, Etc.  
Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	59,765	59,765		
Improvements	104,749	78,539	26,210	26,210

**TY 2020 Other Expenses Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Computer expenses	15,327	7,663		7,664
Custody Fees	4,994	4,994		
From Sch K-1 (Form 1065)	2,545	1,799		
Insurance	13,746	6,873		6,873
Office supplies/miscellaneous	6,621	3,311		3,310
Telephone	2,726	1,363		1,363

**TY 2020 Other Income Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Foreign dividend income	2,573,414	2,573,414	
From Sch K-1 (Form 1065)	-189,343	3,839	
Royalty income	63	63	

**TY 2020 Other Professional Fees Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
Investment management fees	441,154	441,154	0	0

**TY 2020 Taxes Schedule****Name:** John S Dunn Foundation**EIN:** 74-1933660**Software ID:** 20011551**Software Version:** 2020v4.0**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Excise tax	47,000			
Foreign tax	11,073	11,073		
Severence tax	3	3		