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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020

, and ending 12-31-2020

Name of foundation
THE PETERFFY FOUNDATION INC

Number and street (or P.O. box number if mail is not delivered to street address)
777 S FLAGLER DR - E TWR NO 100

City or town, state or province, country, and ZIP or foreign postal code
WEST PALM BEACH, FL 33401

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 107,061,956

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify) _____
(Part I, column (d) must be on cash basis.)

A Employer identification number
47-2351448

B Telephone number (see instructions)
(203) 618-5800

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here..... ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a)

Revenue and expenses per books

(b)

Net investment income

(c)

Adjusted net income

(d)

Disbursements for charitable purposes (cash basis only)

Revenue

1

Contributions, gifts, grants, etc., received (attach schedule)

77,984,601

2

Check ▶ ☐ if the foundation is **not** required to attach Sch. B

3

Interest on savings and temporary cash investments

84

84

4

Dividends and interest from securities

596,707

596,707

5a

Gross rents

b

Net rental income or (loss)

6a

Net gain or (loss) from sale of assets not on line 10

27,809,067

b

Gross sales price for all assets on line 6a

53,086,959

7

Capital gain net income (from Part IV, line 2)

27,809,067

8

Net short-term capital gain

9

Income modifications

10a

Gross sales less returns and allowances

b


Less: Cost of goods sold

c

Gross profit or (loss) (attach schedule)

11

Other income (attach schedule)



3

3

12

Total. Add lines 1 through 11

106,390,462

28,405,861

Operating and Administrative Expenses

13

Compensation of officers, directors, trustees, etc.

0

0

0

14

Other employee salaries and wages

15

Pension plans, employee benefits

16a


Legal fees (attach schedule)

b

Accounting fees (attach schedule)

c

Other professional fees (attach schedule)



549,588

242,246

307,342

17

Interest


2,661

2,661

0

18

Taxes (attach schedule) (see instructions)



3,111

3,111

0

19

Depreciation (attach schedule) and depletion

20

Occupancy

21


Travel, conferences, and meetings

22

Printing and publications

23

Other expenses (attach schedule)



77,153

11,604

65,549

24

Total operating and administrative expenses.
Add lines 13 through 23

632,513

259,622

372,891

25

Contributions, gifts, grants paid

3,877,383

3,877,383

26

Total expenses and disbursements. Add lines 24 and 25

4,509,896

259,622

4,250,274

27

Subtract line 26 from line 12:

a

Excess of revenue over expenses and disbursements

101,880,566

b

Net investment income (if negative, enter -0-)

28,146,239

c

Adjusted net income (if negative, enter -0-)

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2020)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	262,213	208,987	208,987
	2 Savings and temporary cash investments	105,356	15,794,968	15,794,968
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	10,232,066	56,789,927	56,789,927
	c Investments—corporate bonds (attach schedule)	0	1,000,000	1,000,000
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,792,000	33,267,000	33,267,000
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	0	1,074	1,074	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	15,391,635	107,061,956	107,061,956	
Liabilities	17 Accounts payable and accrued expenses		322	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	0	420,810	
	23 Total liabilities (add lines 17 through 22)	0	421,132	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	15,391,635	106,640,824	
29 Total net assets or fund balances (see instructions)	15,391,635	106,640,824		
30 Total liabilities and net assets/fund balances (see instructions) .	15,391,635	107,061,956		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	15,391,635
2 Enter amount from Part I, line 27a	2	101,880,566
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	117,272,201
5 Decreases not included in line 2 (itemize) ▶ _____	5	10,631,377
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	106,640,824

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY HELD SECURITIES			
b PUBLICLY HELD SECURITIES			
c PUBLICLY HELD SECURITIES			
d PUBLICLY HELD SECURITIES			
e CAPITAL GAINS DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 10,925,669		9,113,045	1,812,624
b 22,365,276		10,522,074	11,843,202
c 12,757,257		5,642,773	7,114,484
d 6,916,982			6,916,982
e 121,775			121,775

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,812,624
b			11,843,202
c			7,114,484
d			6,916,982
e			121,775

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	27,809,067
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved ,		8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	391,233
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	391,233
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	391,233
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	0
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	395,000
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	395,000
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	62
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	3,705
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 3,705 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
1b			No
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► FL		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>THE PETERFFY FOUNDATION</u> Telephone no. ► <u>(203) 618-5800</u>			

Located at ► 777 S FLAGLER DR E TWR SUITE 1001 WEST PALM BEACH FL ZIP+4 ► 33401

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b Yes
	Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALEXANDRA PETERFFY PHILLIPS POINT E TWR 777 S FLAGLER DR WEST PALM BEACH, FL 33401	CHAIR 5.00	0	0	0
CATHERINE PETERFFY PHILLIPS POINT E TWR 777 S FLAGLER DR WEST PALM BEACH, FL 33401	DIRECTOR, CEO 5.00	0	0	0
WILLIAM PETERFFY PHILLIPS POINT E TWR 777 S FLAGLER DR WEST PALM BEACH, FL 33401	DIRECTOR, PRES., CHAIR OF I 5.00	0	0	0
CHRISTOPHER UZPEN PHILLIPS POINT E TWR 777 S FLAGLER DR WEST PALM BEACH, FL 33401	DIRECTOR, SECRETARY 5.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000. ▶				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KTSIS CAPITAL LLC 321 BENJAMIN AVE SE GRAND RAPIDS, MI 49506	GRANT MANAGEMENT	218,781
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 THE WUANKAVILKA CENTER FOR EMERGENCY OPERATIONS "COWE" - THE COWE MAIN OBJECTIVE IS TO RESPOND TO THE THREATS THE COVID-19 PANDEMIC REPRESENTS TO THE WUANKAVILKA COMMUNITIES IN ECUADOR.	123,795
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	70,888,182
b	Average of monthly cash balances.	1b	7,862,115
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	78,750,297
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	78,750,297
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,181,254
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	77,569,043
6	Minimum investment return. Enter 5% of line 5.	6	3,878,452

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	3,878,452
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	391,233
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	391,233
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	3,487,219
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	3,487,219
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	3,487,219

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,250,274
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,250,274
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,250,274

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				3,487,219
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016. 40,920				
c From 2017.				
d From 2018. 28,817				
e From 2019.				
f Total of lines 3a through e.	69,737			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 4,250,274				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				3,487,219
e Remaining amount distributed out of corpus	763,055			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	832,792			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	832,792			
10 Analysis of line 9:				
a Excess from 2016. 40,920				
b Excess from 2017.				
c Excess from 2018. 28,817				
d Excess from 2019.				
e Excess from 2020. 763,055				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2020	(b) 2019	(c) 2018	(d) 2017	

b	85% of line 2a				
----------	--------------------------	--	--	--	--

c Qualifying distributions from Part XII, line 4 for each year listed					
--	--	--	--	--	--

d	Amounts included in line 2c not used directly for active conduct of exempt activities				
----------	---	--	--	--	--

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
--	--	--	--	--	--

3	Complete 3a, b, or c for the alternative test relied upon:				

[illegible]

(1) Value of all assets					
-----------------------------------	--	--	--	--	--

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
---	--	--	--	--	--

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
---	--	--	--	--	--

c "Support" alternative test—enter:					
-------------------------------------	--	--	--	--	--

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
---	--	--	--	--	--

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii).					
---	--	--	--	--	--

(3) Largest amount of support from an exempt organization				
---	--	--	--	--

(4) Gross investment income				
-----------------------------	--	--	--	--

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	3,877,383
b <i>Approved for future payment</i>				
Total			▶ 3b	0

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions.)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	84	
4 Dividends and interest from securities.			14	596,707	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			14	3	
8 Gain or (loss) from sales of assets other than inventory			18	27,809,067	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		28,405,861	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13	28,405,861	28,405,861

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

--	--	--

1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)	No
-------	----

1b(3)	No
--------------	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c	No
----	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

1	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.
---	--

**Sign
Here**

2021-10-21

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

**Paid
Preparer
Use Only**

Print/Type preparer's name LYNN CHIOTA	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P01983355
Firm's name ▶ IBG TAX SERVICES CORP				Firm's EIN ▶ 45-4099503
Firm's address ▶ 1 PICKWICK PLAZA GREENWICH, CT 06830				Phone no. (203) 618-5700

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
7TH GENERATION FUNDPO BOX 4569 ARCATA, CA 95518		PC	TO SUPPORT THE FLICKER FUNDTO SUPPORT THE FLICKER FUND	100,000
ALLIANCE FOR GLOBAL JUSTICE 225 EAST 26TH STREET 1 TUCSON, AZ 85713		PC	TO SUPPORT THE MOVEMENT FOR BLACK LIVES	130,000
AMAZON WATCH 2201 BROADWAY SUITE 508 OAKLAND, CA 94612		PC	TO SUPPORT THE EARLY STAGES OF THE ANIMATED FILM, SACHA	26,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BIONEERS COLLECTIVE HERITAGE INSTITUTE 215 LINCOLN AVENUE SUITE 202 SANTA FE, NM 87501		PC	GENERAL SUPPORT	500,000
BLUE SKY FUNDERS FORUM 475 RIVERSIDE DRIVE 960 NEW YORK, NY 10115		PC	GENERAL SUPPORT	4,000
BOULDER COMMUNITY HEALTH FOUNDATION 4747 ARAPAHOE AVE BOULDER, CO 80303		PC	TO SUPPORT COVID RELIEF	40,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLOR OF CHANGE EDUCATION FUND 1714 FRANKLIN STREET OAKLAND, CA 94612		PC	GENERAL SUPPORT	40,000
COMMUNITY FOUNDATION BOULDER COUNTY 1123 SPRUCE STREET BOULDER, CO 80302		PC	TO SUPPORT COVID RELIEF	40,000
SHIPIBO-KONIBO MINI-FACTORY FOR INDIGENOUS CRAFTS LOS CETICOS MZ F LOTE 21 PERU PE		NC	RESTRICTED TO INSTALL THE MINI-FACTORY OF INDIGENOUS CRAFTS IN THE AMAZONIAN CITY OF PUCALLPA AND USE IT TO CARRY OUT TRAININGS AND WORKSHOPS THUS ALLEVIATING THE ECONOMIC EFFECTS OF THE COVID-19 PANDEMIC.	8,824
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DEVELOPMENT PARTNERS IN NETWORK LA MARIE ROAD VACOAS MP		NC	RESTRICTED TO THE CLEANUP OF THE OIL SPILL FROM MV WAKASHIO TANKER NEAR THE ISLAD OF MAURITIUS	50,000
LAND IS LIFE495A HENRY STREET 1006 BROOKLYN, NY 11231		PC	RESTRICTED TO THE CREATION OF THE WUANKAVILKA CENTER FOR EMERGENCY OPERATION COWE	91,936
LAND IS LIFE495A HENRY STREET 1006 BROOKLYN, NY 11231		PC	RESTRICTED TO THE STRENGHTENING OF CHIQUITANIA	15,044
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OCIR LLC100 LINDA LANE WEST RIVERHEAD, NY 11901		NC	TO SUPPORT THE COMPLETION OF RICO: A MODERN GANGSTER TALE, A DOCUMENTARY THAT EXPOSES HOW OIL CORPORATIONS ARE USING ANTI-MAFIA LAWS TO TAKE DOWN ENVIRONMENTAL ADVOCATES WHO ARE CRITICAL OF THEIR PROFIT-DRIVEN DESTRUCTION.	789
DRYLANDS AGROECOLOGY RESEARCH 12191 FOOTHILLS HWY LONGMONT, CO 80503		PC	RESTRICTED TO THE SUPPORT OF THE DRYLANDS/ELK RUN FARMS CAPITAL IMPROVEMENT PROJECTS.	110,000
EARTH ISLAND INSTITUTE 2150 ALLSTON WAY SUITE 460 BERKELEY, CA 94704		PC	RESTRICTED TO SUPPORT SEEDING SOVEREIGNTY	35,000
Total ► 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EKVNV-YEFOLECVLKEPO BOX 148 WEOGUFKA, AL 35183		PC	GENERAL SUPPORT	280,000
HUNI KUI PEOPLE OF BRAZIL RUA JATUARANA 68 RIO BRANCO, BRAZIL 69915252 BR		NC	TO SUPPORT THE EMERGENCY SUPPLIES FOR A WATER WELL	1,500
LAND IS LIFE495A HENRY STREET 1006 BROOKLYN, NY 11231		PC	RESTRICTED TO THE KUIKURO DO ALTO XINGO INDIGENOUS COMMUNITY SUPPORT	12,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUNI KUI PEOPLE OF BRAZIL RUA JATUARANA 68 RIO BRANCO, BRAZIL 69915252 BR		NC	TO SUPPORT THE GENERAL ASSEMBLY OF THE OF THE HUNI KUI PEOPLE	5,001
HUNI KUI PEOPLE OF BRAZIL RUA JATUARANA 68 RIO BRANCO, BRAZIL 69915252 BR		NC	TO SUPPORT COVID RELIEF OF THE HUNI KUI PEOPLE	5,000
LAND IS LIFE495A HENRY STREET 1006 BROOKLYN, NY 11231		PC	RESTRICTED TO THE SUPPORT OF THE BLACK INDIGENOUS GLOBAL PROJECT	57,380
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FAR AWAY PROJECTS463 BROADWAY SAN FRANCISCO, CA 94133		PC	RESTRICTED TO SUPPORT THE MUSHROOM FARM PROJECT	500,000
FIDELITY INVESTMENTS CHARITABLE GIFT FUND 200 SEAPORT BOULEVARD BOSTON, MA 02210		PC	RESTRICTED TO THE FUNDATIA CONSERVATION CARPATHIA	50,000
FOUR WINDS AMERICAN INDIAN COUNCIL PO BOX 9714 DENVER, CO 80209			GENERAL SUPPORT	10,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GLOBAL GREENGRANTS FUND 2840 WILDERNESS PLACE BOULDER, CO 80301		PC	GENERAL SUPPORT	20,000
GRASSROOTS GLOBAL JUSTIN PO BOX 73768 WASHINGTON, DC 20056		PC	RESTRICTED TO SUPPORT THE FEMISIT ORGANIZING SCHOOL FOR FUNDERS	15,000
GRASSROOTS INTERNATIONAL 179 BOYSLTON STREET BOSTON, MA 02130		PC	GENERAL SUPPORT	20,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWAVE ORGANIZATION CORP 315 FRONT STREET NEW HAVEN, CT 06513		PC	GENERAL SUPPORT	50,000
INDIGENOUS ENVIRONMENTAL NETWORK PO BOX 485 BEMIDJI, MN 56619		PC	GENERAL SUPPORT	20,000
LAND IS LIFE495A HENRY STREET 1006 BROOKLYN, NY 11231		PC	GENERAL SUPPORT	12,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LENIN FRANCISCO MONTAHUANO USHIGUA AVENIDA PATRIA Y 9 DE OCTUBRE E-421 QUITO EC		NC	TO SUPPORT A DOCUMENTARY CHRONICALING THE LAST 40 YEARS OF AMAZONIAN COMMUNITIES IN THE ECUDORIAN AMAZON FIGHTING TO KEEP THEIR TERRITORIES FREE OF EXTRACTION.	12,000
MAKEWAY CHARITABLE SOCIETY 400-163 W HASTINGS STREET VANCOUVER, BRITISH COLUMBIA CA		PC	RESTRICTED TO SUPPORT THE RAINFOREST SOULUTIONS IMPLEMENTATION PROJECT	150,000
PEACE DEVELOPMENT FUND PO BOX 1280 AMHERST, MA 01004		PC	RESTRICTED TO SUPPORT THE BELOVED ECONOMIES PROJECT	62,500
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RAINCOAST CONSERVATION PROJECT PO BOX 687 NORTH BEND, WA 98045		PC	RESTRICTED TO SUPPORT THE SPIRIT BEAR HABITAT PROTECTION PROGRAM AND THE SALMON FISHERY MANAGEMENT PROGRAM	100,000
SACRED FIRE FOUNDATION PO BOX 11014 MARINA DEL REY, CA 90295		PC	GENERAL SUPPORT	100,000
SHOWING UP FOR RACIAL JUSTICE PO BOX 1053 BUFFALO, NY 14205		PC	GENERAL SUPPORT	50,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SKY'S THE LIMIT FUND 510A VALLEY WAY MILPITAS, CA 95035		PC	RESTRICTED TO SUPPORT THE WILDERNESS THERAPY SUPPORT PROGRAM SCHOLARSHIPS	500,000
SOCIAL AND ENVIRONMENTAL ENTREPRENEURS 233564 CALABASAS ROAD CALABASAS, CA 91302		PC	RESTRICTED TO SUPPORT THE BELOVED ECONOMIES INITIATIVE	27,158
STANDEARTH1329 N STATE STREET BELLINGHAM, WA 98225		PC	GENERAL SUPPORT	50,000
Total ▶ 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THOUSAND CURRENTS1330 BROADWAY OAKLAND, CA 94612		PC	GENERAL SUPPORT	40,000
TIDES FOUNDATIONPO BOX 29903 SAN FRANCISCO, CA 94129		PC	RETRICTED TO SUPPORT THE JUSTICE COLLABORATIVE	55,000
TIDES FOUNDATIONPO BOX 29903 SAN FRANCISCO, CA 94129		PC	RESTICTED TO SUPPORT THE NATIONAL BAIL FUND NETWORK	25,000
Total ► 3a				3,877,383

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRIBAL LINK FOUNDATION INC 37 VESTRY STREET NEW YORK, NY 10013		PC	RESTRICTED TO SUPPORT THE JUMPSCALE PROJECTS	31,500
UNIVERSITY OF NEW HAMPSHIRE 55 COLLEGE ROAD DURHAM, NH 03824		PC	RESTRICTED TO SUPPORT THE OUTDOOR BEHAVIORAL HEALTHCARE CENTER	349,751
WOMENS EARTH AND CLIMATE ACTION NETWORK 775 EAST BLITHEDALE AVENUE MILL VALLEY, CA 94941		PC	GENERAL SUPPORT	75,000
Total ▶ 3a				3,877,383

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Expenditure Responsibility Statement

Name: THE PETERFFY FOUNDATION INC

EIN: 47-2351448

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
LENIN FRANCISCO MONTAHUANO USHIGUA	AVENIDA PATRIA Y 9 DE OCTUBRE E-421 QUITO EC	2020-06-24	12,000	TO SUPPORT A DOCUMENTARY CHRONICALING THE LAST 40 YEARS OF AMAZONIAN COMMUNITIES IN THE ECUDORIAN AMAZON FIGHTING TO KEEP THEIR TERRITORIES FREE OF EXTRACTION.	12,000	NO			ADDITIONAL VERIFICATION CONSIDERED UNNECESSARY.
SHIPIBO-KONIBO MINI-FACTORY FOR INDIGENOUS CRAFTS	LOS CETICOS MZ F LOTE 21 PERU PE	2020-11-19	8,824	TO SUPPORT RECIPIENT'S MISSION TO INSTALL THE MINI-FACTORY OF INDIGENOUS CRAFTS IN THE AMAZONIAN CITY OF PUCALLPA AND USE IT TO CARRY OUT TRAININGS AND WORKSHOPS THUS ALLEVIATING THE ECONOMIC EFFECTS OF THE COVID-19 PANDEMIC.	8,824	NO			ADDITIONAL VERIFICATION CONSIDERED UNNECESSARY.
OCIR LLC	100 LINDA LANE WEST RIVERHEAD, NY 11901	2019-01-25	70,000	TO SUPPORT THE COMPLETION OF RICO: A MODERN GANGSTER TALE, A DOCUMENTARY THAT EXPOSES HOW OIL CORPORATIONS ARE USING ANTI-MAFIA LAWS TO TAKE DOWN ENVIRONMENTAL ADVOCATES WHO ARE CRITICAL OF THEIR PROFIT-DRIVEN DESTRUCTION.	64,460	NO			ADDITIONAL VERIFICATION CONSIDERED UNNECESSARY.
DEVELOPMENT PRACTITIONERS IN NETWORK	LA MARIE ROAD MP	2020-09-04	50,000	TO SUPPORT THE CLEANUP OF THE OIL SPILL FROM THE MV WAKASHIO TANKER NEAR THE ISLAND OF MAURITIUS.	50,000	NO			ADDITIONAL VERIFICATION CONSIDERED UNNECESSARY.

TY 2020 Investments Corporate Bonds Schedule

Name: THE PETERFFY FOUNDATION INC

EIN: 47-2351448

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	1,000,000	1,000,000

TY 2020 Investments Corporate Stock Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTERACTIVE BROKERS	56,789,927	56,789,927

TY 2020 Investments - Other Schedule

Name: THE PETERFFY FOUNDATION INC

EIN: 47-2351448

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
IROQUOIS VALLEY FARMLAND REIT	AT COST	4,790,400	4,790,400
IBGH	AT COST	28,476,600	28,476,600

TY 2020 Other Assets Schedule

Name: THE PETERFFY FOUNDATION INC

EIN: 47-2351448

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DIVIDEND ACCRUALS		1,074	1,074

TY 2020 Other Decreases Schedule

Name: THE PETERFFY FOUNDATION INC

EIN: 47-2351448

Description	Amount
UNREALIZED LOSS IN BROKERAGE ACCOUNT	10,631,377

TY 2020 Other Expenses Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BUSINESS FILING FEES	3,466	0		3,466
BANK FEES	4,431	0		4,431
WEBSITE EXPENSE	2,617	0		2,617
CHARITABLE INITIATIVE SUPPLIES COWE	35,235	0		35,235
INVESTMENT EXPENSES	11,604	11,604		0
CHARITABLE INITIATIVE TRANSPORTATION COWE	7,440	0		7,440
CHARITABLE INITIATIVE MISC COWE	7,559	0		7,559
SUPPLIES CHIQUITANIA	4,801	0		4,801

TY 2020 Other Income Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FX GAIN	3	3	3

TY 2020 Other Liabilities Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448

Description	Beginning of Year - Book Value	End of Year - Book Value
SECURITIES SHORT	0	420,810

TY 2020 Other Professional Fees Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PHILANTHROPY ADVISOR	218,781	0		218,781
INVESTMENT MANAGEMENT FEES	242,246	242,246		0
CHARITABLE INITIATIVE CONSULTANT COWE	33,620	0		33,620
OTHER PROFESSIONAL FEES	2,000	0		2,000
CHARITABLE INITIATIVE MEDICAL PROFESSIONAL COWE	23,483	0		23,483
CHARITABLE INITIATIVE COVID TESTING COWE	16,458	0		16,458
CONSULTANT CHIQUITANIA	4,000	0		4,000
CONSULTANT BLACK INDIGENOUS GLOBAL PROJECT	9,000	0		9,000

**TY 2020 Substantial Contributors
Schedule****Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448

Name	Address
THOMAS PETERFFY	1255 SOUTH OCEAN BLVD PALM BEACH, FL 33480

TY 2020 Taxes Schedule**Name:** THE PETERFFY FOUNDATION INC**EIN:** 47-2351448**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAXES PAID	3,111	3,111		0

Schedule B (Form 990, 990-EZ, or 990-PF) <small>Department of the Treasury Internal Revenue Service</small>	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to <u>www.irs.gov/Form990</u> for the latest information.	OMB No. 1545-0047
		2020
Name of the organization THE PETERFFY FOUNDATION INC		Employer identification number 47-2351448

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)() (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE PETERFFY FOUNDATION INC

Employer identification number
47-2351448

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	THOMAS PETERFFY 1255 SOUTH OCEAN BLVD PALM BEACH, FL 33480	\$ 77,984,601	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE PETERFFY FOUNDATION INC	Employer identification number 47-2351448
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Part II **Noncash Property** (see Instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—	See Additional Data Table	\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	
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—		\$	
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—		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
—		\$	

47-2351448

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

Schedule B (Form 990, 990-EZ, or 990-PF) (2020)

Additional Data

Software ID:
Software Version:
EIN: 47-2351448
Name: THE PETERFFY FOUNDATION INC

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	775,000 CLASS B-1 AND 775,000 CLASS B-2 SHARES OF IBG HOLDINGS LLC AND IBKR MEMBER HOLDINGS LLC	<u>\$ 28,476,600</u>	<u>2020-04-20</u>
<u>1</u>	830,370 AABA SHARES	<u>\$ 16,300,162</u>	<u>2020-04-20</u>
<u>1</u>	12,150 AAPL SHARES	<u>\$ 3,393,070</u>	<u>2020-04-20</u>
<u>1</u>	56,000 AMAT SHARES	<u>\$ 2,886,800</u>	<u>2020-04-20</u>
<u>1</u>	25,000 ARW SHARES	<u>\$ 1,351,000</u>	<u>2020-04-20</u>
<u>1</u>	105,000 BAC SHARES	<u>\$ 2,378,775</u>	<u>2020-04-20</u>
<u>1</u>	43,500 BX SHARES	<u>\$ 2,094,525</u>	<u>2020-04-20</u>
<u>1</u>	39,808 CNC SHARES	<u>\$ 2,764,666</u>	<u>2020-04-20</u>
<u>1</u>	44,000 INTC SHARES	<u>\$ 2,616,460</u>	<u>2020-04-20</u>
<u>1</u>	244,500 LM SHARES	<u>\$ 12,135,758</u>	<u>2020-04-20</u>

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>1</u>	12,000 SHARES PH AAPL	<u>\$ 1,611,660</u>	<u>2020-04-20</u>
<u>1</u>	23,000 SHARES TT	<u>\$ 1,975,125</u>	<u>2020-04-20</u>