

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ **Go to www.irs.gov/Form990PF for instructions and the latest information.**

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation RAY BASS CHARITABLE TRUST		A Employer identification number 43-6647183	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 110 N WACKER DRIVE IL4-110-07-03		B Telephone number (see instructions) (866) 752-2127	
City or town, state or province, country, and ZIP or foreign postal code CHICAGO, IL 606061511		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>750,880</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	11,228	11,054		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	38,637			
	b Gross sales price for all assets on line 6a <u>212,553</u>				
	7 Capital gain net income (from Part IV, line 2)		38,637		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	49,865	49,691			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	9,194	5,517		3,678
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,250	750	0	500
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	1,098	303		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	240	240		
	24 Total operating and administrative expenses. Add lines 13 through 23	11,782	6,810	0	4,178
	25 Contributions, gifts, grants paid	30,972			30,972
26 Total expenses and disbursements. Add lines 24 and 25	42,754	6,810	0	35,150	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	7,111				
b Net investment income (if negative, enter -0-)		42,881			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	20,698	17,686	17,686
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	565,215	575,350	733,194
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			0
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	585,913	593,036	750,880	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	585,913	593,036	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	585,913	593,036		
30 Total liabilities and net assets/fund balances (see instructions) .	585,913	593,036		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	585,913
2 Enter amount from Part I, line 27a	2	7,111
3 Other increases not included in line 2 (itemize) ▶ _____	3	22
4 Add lines 1, 2, and 3	4	593,046
5 Decreases not included in line 2 (itemize) ▶ _____	5	10
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	593,036

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		2	38,637
	{		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 8 and overpayment is 0.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	652,458
b	Average of monthly cash balances.	1b	15,566
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	668,024
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	668,024
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	10,020
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	658,004
6	Minimum investment return. Enter 5% of line 5.	6	32,900

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	32,900
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	596
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	596
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	32,304
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	32,304
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	32,304

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	35,150
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	35,150
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	35,150

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				32,304
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			7,325	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>35,150</u>				
a Applied to 2019, but not more than line 2a			7,325	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				27,825
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				4,479
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
See Additional Data Table				
Total ▶ 3a				30,972
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
950.852 AGGREGATE BOND CTF		2020-01-17	2020-03-20
164.834 AGGREGATE BOND CTF		2020-01-17	2020-03-20
55.313 SMALL CAP GROWTH LEADERS CTF		2020-01-17	2020-11-30
49.514 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-09-20	2020-04-17
32.812 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-09-20	2020-06-30
37.812 EMERGING MARKETS STOCK COMMON TRUST FUND		2013-09-20	2020-11-30
52.92 MID CAP VALUE CTF		2013-09-20	2020-12-18
49.679 SMALL CAP VALUE CTF		2020-01-17	2020-11-30
52.454 MID CAP GROWTH CTF		2013-09-20	2020-05-31
31.993 MID CAP GROWTH CTF		2013-09-20	2020-11-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,080		16,682	-602
2,788		2,892	-104
2,671		2,460	211
2,619		2,784	-165
2,040		2,077	-37
3,034		2,934	100
2,109		1,971	138
1,685		1,580	105
2,411		2,235	176
1,856		1,570	286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-602
			-104
			211
			-165
			-37
			100
			138
			105
			176
			286

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
23.998 DIVIDEND INCOME COMMON TRUST FUND		2020-01-17	2020-08-31
5.405 DIVIDEND INCOME COMMON TRUST FUND		2013-09-20	2020-08-31
35.564 DIVIDEND INCOME COMMON TRUST FUND		2013-09-20	2020-12-18
63. ISHARES CORE TOT U S BD MKT ETF		2016-01-08	2020-03-20
121. ISHARES CORE TOT U S BD MKT ETF		2019-01-31	2020-03-20
25. ISHARES CORE S&P MID CAP ETF		2017-01-31	2020-05-29
12. ISHARES CORE S&P MID CAP ETF		2017-01-31	2020-12-18
7. ISHARES RUSSELL 2000 ETF		2019-06-28	2020-01-17
10. ISHARES RUSSELL 2000 ETF		2017-01-31	2020-01-17
10. ISHARES RUSSELL 2000 ETF		2015-03-31	2020-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,916		1,932	-16
432		403	29
3,004		2,819	185
6,902		6,845	57
13,256		13,003	253
4,371		4,203	168
2,751		2,017	734
1,182		1,090	92
1,689		1,352	337
1,689		1,246	443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-16
			29
			185
			57
			253
			168
			734
			92
			337
			443

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
121. ISHARES RUSSELL 2000 ETF		2013-09-19	2020-01-17
55.935 CLEARBRIDGE LARGE CAP GROWTH FUND CL I		2019-07-01	2020-08-31
21.232 PIMCO HIGH YIELD FD INSTL CL		2017-02-02	2020-07-01
81.445 PIMCO HIGH YIELD FD INSTL CL		2017-01-04	2020-07-01
590.82 PIMCO HIGH YIELD FD INSTL CL		2016-06-02	2020-07-01
501.066 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2020-01-21
90.197 PIMCO FOREIGN BD US\$HD INSTL		2019-01-31	2020-03-23
142.163 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2020-01-21	2020-05-29
43.855 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2020-01-21	2020-12-18
3.184 PRINCIPAL MIDCAP BLEND FUND INSTL CL		2017-02-02	2020-12-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,431		12,978	7,453
3,832		2,972	860
180		188	-8
691		720	-29
5,016		5,009	7
5,386		5,396	-10
920		971	-51
4,128		4,600	-472
1,569		1,419	150
114		74	40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7,453
			860
			-8
			-29
			7
			-10
			-51
			-472
			150
			40

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
26.65 ROWE T PRICE BLUE CHIP GROWTH FUND CL I		2019-07-01	2020-08-31
2.329 ROWE T PRICE BLUE CHIP GROWTH FUND CL I		2019-05-01	2020-08-31
57. SPDR BLOOMBERG BARCLAYS HIGH YIELD BOND ETF		2019-01-31	2020-01-17
118. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-01-17	2020-05-29
12. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-01-17	2020-11-30
28. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-08-31	2020-11-30
16. VANGUARD FTSE DEVELOPED MARKETS ETF		2020-08-31	2020-12-18
103. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2019-01-31	2020-01-17
21. VANGUARD TOTAL INTL BOND INDEX FUND ETF		2019-01-31	2020-03-20
70. VANGUARD FTSE EMERGING MKTS ETF		2020-01-17	2020-04-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,340		3,126	1,214
379		268	111
6,280		6,054	226
4,405		5,268	-863
544		536	8
1,270		1,178	92
756		673	83
5,849		5,652	197
1,164		1,152	12
2,488		3,208	-720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,214
			111
			226
			-863
			8
			92
			83
			197
			12
			-720

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
61. VANGUARD FTSE EMERGING MKTS ETF		2016-05-31	2020-04-17
3. VANGUARD FTSE EMERGING MKTS ETF		2020-03-20	2020-04-17
51. VANGUARD FTSE EMERGING MKTS ETF		2020-03-20	2020-04-17
168. VANGUARD FTSE EMERGING MKTS ETF		2016-01-08	2020-04-17
15. VANGUARD S&P 500 ETF		2020-03-20	2020-06-30
4. VANGUARD S&P 500 ETF		2016-01-08	2020-06-30
57. VANGUARD S&P 500 ETF		2016-01-08	2020-08-31
152.026 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2020-01-17	2020-06-30
212.58 INTERNATIONAL FOCUSED EQUITY COMMON TRUST FUND		2020-08-31	2020-12-18
476.737 LARGE CAP CORE CTF		2018-01-31	2020-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,168		2,062	106
107		97	10
1,813		1,629	184
5,972		5,085	887
4,219		3,284	935
1,125		711	414
18,365		10,129	8,236
2,141		2,242	-101
3,682		3,323	359
4,012		4,729	-717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			106
			10
			184
			887
			935
			414
			8,236
			-101
			359
			-717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
130.028 LARGE CAP CORE CTF		2020-05-31	2020-12-18
183.475 MID CAP CORE CTF		2018-01-31	2020-11-30
306.057 SMALL CAP CORE CTF		2018-06-30	2020-01-17
155.367 SMALL CAP CORE CTF		2018-06-30	2020-11-30
103.746 SMALL CAP CORE CTF		2018-01-31	2020-11-30
161.713 SMALL CAP CORE CTF		2018-01-31	2020-12-18
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,777		1,449	328
2,248		1,655	593
3,315		3,214	101
1,798		1,379	419
1,200		890	310
2,025		1,393	632
			2,284
			2,284
			2,284
			2,284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			328
			593
			101
			419
			310
			632

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			2,284
			2,284

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FIRST BAPTIST CHURCH PO BOX 326 AURORA, MO 656050326	N/A	PC	UNRESTRICTED GENERAL	15,486
UNITED METHODIST CHURCH 1211 S CAMATION DR AURORA, MO 656050007	N/A	PC	UNRESTRICTED GENERAL	15,486
Total ▶ 3a				30,972

TY 2020 Accounting Fees Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE - BOA	1,250	750		500

TY 2020 General Explanation Attachment**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2020 Investments Corporate Stock Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464287507 ISHARES CORE S&P MID	17,376	29,648
464287655 ISHARES RUSSELL 2000		
921943858 VANGUARD FTSE DEVELO	46,865	59,815
922042858 VANGUARD FTSE EMERGI		
693390841 PIMCO HIGH YIELD FD		
202671913 AGGREGATE BOND CTF	92,123	96,055
207543877 SMALL CAP GROWTH LEA	16,473	20,241
29099J109 EMERGING MARKETS STO	21,532	23,734
302993993 MID CAP VALUE CTF	20,101	22,662
303995997 SMALL CAP VALUE CTF	16,153	20,021
323991307 MID CAP GROWTH CTF	19,489	23,985
45399C107 DIVIDEND INCOME COMM	61,752	68,114
99Z466197 INTERNATIONAL FOCUSE	47,845	60,738
464287226 ISHARES CORE US AGGR	12,760	13,828
922908363 VANGUARD S&P 500 ETF	30,564	59,115
74253Q747 PRINCIPAL MIDCAP BLE	18,695	29,941
99Z639934 LARGE CAP CORE CTF	26,611	38,053
99Z639942 MID CAP CORE CTF	22,223	31,515
99Z639959 SMALL CAP CORE CTF	20,128	30,633
78468R622 SPDR BLOOMBERG BARCL		
92203J407 VANGUARD TOTAL INTL	7,004	7,377
52469H784 CLEARBRIDGE LARGE CA	21,789	30,989
77954Q403 ROWE T PRICE BLUE CH	21,590	31,827
693390882 PIMCO FOREIGN BD US\$	6,977	7,170
78468R663 SPDR BLOOMBERG BARCL	20,895	20,867
74440Y884 PGIM HIGH YIELD FUND	6,405	6,866

TY 2020 Other Decreases Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183

Description	Amount
ROC BASIS ADJUSTMENT	10

TY 2020 Other Expenses Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OTHER ALLOCABLE EXPENSE-PRINCI	120	120		0
OTHER ALLOCABLE EXPENSE-INCOME	120	120		0

TY 2020 Other Increases Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183**Other Increases Schedule**

Description	Amount
TIMING DIFFERENCE DEF. INCOME	3
CTF ADJUSTMENT	19

TY 2020 Taxes Schedule**Name:** RAY BASS CHARITABLE TRUST**EIN:** 43-6647183**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	203	203		0
EXCISE TAX - PRIOR YEAR	207	0		0
EXCISE TAX ESTIMATES	588	0		0
FOREIGN TAXES ON QUALIFIED FOR	79	79		0
FOREIGN TAXES ON NONQUALIFIED	21	21		0