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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
CARITAS FOUNDATION

A Employer identification number
41-6487728

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
PO BOX 1150

B Telephone number (see instructions)
(608) 257-3501

City or town, state or province, country, and ZIP or foreign postal code
MADISON, WI 537011150

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 12,232,920

J Accounting method: ☑ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

Section	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	993,316			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities . . .	241,117	226,433		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	333,910			
	b	Gross sales price for all assets on line 6a 3,564,209				
	7	Capital gain net income (from Part IV, line 2) . . .		1,129,524		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,568,343	1,355,957		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	28,000	0		28,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	42,575	42,575		0
	17	Interest				
	18	Taxes (attach schedule) (see instructions) . . .	17,476	4,476		0
	19	Depreciation (attach schedule) and depletion . . .				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	356	0		0
	24	**Total operating and administrative expenses.** Add lines 13 through 23	88,407	47,051		28,000
	25	Contributions, gifts, grants paid	467,400			467,400
	26	**Total expenses and disbursements.** Add lines 24 and 25	555,807	47,051		495,400
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	1,012,536			
	b	**Net investment income** (if negative, enter -0-)		1,308,906		
	c	**Adjusted net income** (if negative, enter -0-) . . .				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	1,278,223	1,288,416	1,288,416
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	1,217,021	1,677,766	1,778,889
b	Investments—corporate stock (attach schedule)	3,575,324	4,005,377	6,164,852
c	Investments—corporate bonds (attach schedule)	2,314,887	2,868,940	3,000,763
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	450,000	0	0
14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	8,835,455	9,840,499	12,232,920
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds	0	0	
27	Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
28	Retained earnings, accumulated income, endowment, or other funds	8,835,455	9,840,499	
29	**Total net assets or fund balances** (see instructions)	8,835,455	9,840,499	
30	**Total liabilities and net assets/fund balances** (see instructions)	8,835,455	9,840,499	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	8,835,455
2	Enter amount from Part I, line 27a	2	1,012,536
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	9,847,991
5	Decreases not included in line 2 (itemize) ▶	5	7,492
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	9,840,499

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	**2**	1,129,524
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	**(b)** Reserved	**(c)** Reserved	**(d)** Reserved

2 Reserved	**2**	
3 Reserved.	**3**	
4 Reserved	**4**	
5 Reserved	**5**	
6 Reserved	**6**	
7 Reserved	**7**	
8 Reserved , ...	**8**	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _________ (attach copy of letter if necessary–see instructions)				
b	Reserved.			1	18,194
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2.			3	18,194
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	18,194
6	Credits/Payments:				
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	11,422		
b	Exempt foreign organizations—tax withheld at source	6b	0		
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	25,000		
d	Backup withholding erroneously withheld	6d	0		
7	Total credits and payments. Add lines 6a through 6d.			7	36,422
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			10	18,228
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 18,228	**Refunded** ▶		11	0

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶HAMANG PATEL Telephone no. ▶(608) 283-2278

Located at ▶1 S PINCKNEY STREET STE 700 MADISON WI ZIP+4 ▶537034257

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	☐ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		**1a**	10,292,710
b	Average of monthly cash balances		**1b**	263,996
c	Fair market value of all other assets (see instructions)		**1c**	0
d	**Total** (add lines 1a, b, and c)		**1d**	10,556,706
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	**1e** 0		
2	Acquisition indebtedness applicable to line 1 assets		**2**	0
3	Subtract line 2 from line 1d		**3**	10,556,706
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		**4**	158,351
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		**5**	10,398,355
6	**Minimum investment return.** Enter 5% of line 5		**6**	519,918

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		**1**	519,918
2a	Tax on investment income for 2020 from Part VI, line 5	**2a** 18,194		
b	Income tax for 2020. (This does not include the tax from Part VI.)	**2b**		
c	Add lines 2a and 2b		**2c**	18,194
3	Distributable amount before adjustments. Subtract line 2c from line 1		**3**	501,724
4	Recoveries of amounts treated as qualifying distributions		**4**	0
5	Add lines 3 and 4		**5**	501,724
6	Deduction from distributable amount (see instructions)		**6**	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		**7**	501,724

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	**1a**	495,400
b	Program-related investments—total from Part IX-B	**1b**	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	**2**	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	**3a**	
b	Cash distribution test (attach the required schedule)	**3b**	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	**4**	495,400
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	**5**	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	**6**	495,400

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				501,724
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			418,601	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 495,400				
a Applied to 2019, but not more than line 2a			418,601	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				76,799
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				424,925
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016. . . .				
b Excess from 2017. . . .				
c Excess from 2018. . . .				
d Excess from 2019. . . .				
e Excess from 2020. . . .				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				467,400
b *Approved for future payment* EDGEWOOD COLLEGE 1000 EDGEWOOD COLLEGE DRIVE MADISON, WI 53711		PC	WELLNESS CENTER, 3-5 OF 5	150,000
Total . . . . ▶ **3b**				150,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	241,117	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	333,910	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .		0		575,027	0

13 Total. Add line 12, columns (b), (d), and (e). 13 575,027

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-11-06	******	May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No
Signature of officer or trustee	Date	Title	

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
BRIGID ELLIOTT-BOGER CPA		2021-11-06		P00089494

Firm's name ▶ BAKER TILLY US LLP	Firm's EIN ▶39-0859910
Firm's address ▶ PO BOX 7398 MADISON, WI 537077398	Phone no. (608) 249-6622

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PUBLICLY TRADED SECURITIES - ST			
WASH SALES - ST			
PUBLICLY TRADED SECURITIES - LT			
PUBLICLY TRADED SECURITIES - LT			
WASH SALES - LT			
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
694,232		642,644	51,588
523			523
1,869,776		1,594,340	275,436
999,340		197,701	801,639
234			234
104			104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			51,588
			523
			275,436
			801,639
			234
			104

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FREDERICK P SCHWARTZ PO BOX 1150 MADISON, WI 537011150	PRESIDENT, DIRECTOR 1.00	0	0	0
DEBORAH A SCHWARTZ PO BOX 1150 MADISON, WI 537011150	VICE-PRESIDENT, DIRECTOR 1.00	0	0	0
HAMANG B PATEL 1 S PINCKNEY ST STE 700 MADISON, WI 537034257	EXEC. DIRECTOR, SECRETARY, 5.00	24,000	0	0
SARAH A KUEMMEL PO BOX 1150 MADISON, WI 537011150	DIRECTOR 1.00	1,000	0	0
KATHERINE T SCHWARTZ PO BOX 1150 MADISON, WI 537011150	DIRECTOR 1.00	1,000	0	0
JOSEPH M SCHWARTZ PO BOX 1150 MADISON, WI 537011150	DIRECTOR 1.00	1,000	0	0
DAVID KRUGER PO BOX 1150 MADISON, WI 537011150	DIRECTOR 1.00	1,000	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ACCESS COMMUNITY HEALTH CENTERS 2901 WEST BELTLINE HIGHWAY SUITE 120 MADISON, WI 53713		PC	HEALTHCARE SUPPORT	50,000
BIG BROTHERS AND BIG SISTERS OF DANE COUNTY INC 2059 ATWOOD AVENUE 4TH FLOOR MADISON, WI 53704		PC	SOCIAL SERVICE SUPPORT	30,000
CHARIS CLASSICAL ACADEMY INCORPORATED 3210 HEATHERDELL LANE MADISON, WI 53713		PC	EDUCATION SUPPORT	50,000
Total . . . . ▶ **3a**				467,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DISTRICT COUNCIL OF MADISON INC SOCIETY OF ST VINCENT DE PAUL 2033 FISH HATCHERY ROAD MADISON, WI 53713		PC	SOCIAL SERVICE SUPPORT	62,400
EDGEWOOD COLLEGE 1000 EDGEWOOD COLLEGE DRIVE MADISON, WI 53711		PC	WELLNESS CENTER, 2 OF 5	50,000
ONE CITY SCHOOLS INC 2012 FISHER STREET MADISON, WI 53713		PC	EDUCATION SUPPORT	50,000
Total . . . . ▶ **3a**				467,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OUR LADY QUEEN OF PEACE SCHOOL 418 HOLLY AVENUE MADISON, WI 53711		PC	TECHNOLOGY SUPPORT	12,500
SECOND HARVEST FOODBANK OF SOUTHERN WISCONSIN INC 2802 DAIRY DRIVE MADISON, WI 53718		PC	COMMUNITY SUPPORT	100,000
ST MARY'S FOUNDATION INC 700 SOUTH PARK STREET MADISON, WI 53715		PC	HEALTHCARE SUPPORT IN MEMORY OF MSGR. MICHAEL BURKE	12,500
Total . . . . ▶ **3a**				467,400

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF WISCONSIN FOUNDATION 1848 UNIVERSITY AVENUE MADISON, WI 53726		PC	HEALTH MINDS INNOVATIONS	50,000
Total . . . . ▶ **3a**				467,400

TY 2020 Investments Corporate Bonds Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BDA	44,138	44,138
BANK OF NOVA SCOTIA 2.5%	99,903	101,220
TORONTO-DOMINION BANK 2.55%	25,039	25,306
XILINX, INC. 3.0%	50,031	50,693
APPLE INC. 2.85%	50,081	50,624
DOMINION ENERGY INC 2.715%	30,543	30,678
THE KROGER CO. 2.95%	49,984	51,218
TOYOTA MOTOR CREDIT CORP 2.7%	49,972	52,993
WESTPAC BANKING CORP 2.7%	49,992	52,222
AFLAC INCORPORATED 3.625%	51,251	53,991
TRANSCANADA PIPELINES LIMITED 3.75%	30,656	32,654
TORONTO-DOMINION BANK 3.25%	49,936	54,797
INTERPUBLIC GROUP COS 4.2%	51,563	56,076
EL PASO PIPELINE PARTNERS 4.3%	51,194	55,720
COSTCO WHOLESALE CORP 2.75%	20,086	21,585
NORTHROP GRUMMAN CORP 2.93%	74,407	82,588
LOCKHEED MARTIN CORP 2.9%	49,823	55,018
EASTMAN CHEMICAL COMPANY 3.8%	51,245	56,151
SYNCHRONY FINANCIAL 4.5%	39,351	40,065
LOWE'S COMPANIES, INC. 2.5%	66,310	70,830

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CVS HEALTH CORPORATION 3.0%	49,944	55,892
COCA-COLA COMPANY 2.25%	49,037	54,632
B.A.T. CAPITAL CORPORATION 4.7%	29,398	29,687
MIDAMERICAN ENERGY CO 3.65%	54,556	59,772
S&P GLOBAL INC. 2.5%	75,522	81,958
SYSCO CORPORATION 2.4%	49,824	52,482
AVALONBAY COMMUNITIES, INC. 2.3%	64,930	69,824
PRUDENTIAL FINANCIAL INC 2.1%	74,812	79,282
PEPSICO INC 2.75%	39,785	45,099
LOWE'S COMPANIES, INC. 4.5%	29,855	37,628
SHERWIN-WILLIAMS CO 2.3%	39,992	41,889
NOVARTIS CAPITAL CORP 2.2%	64,915	70,328
FRANKLIN RESOURCES INC 1.6%	19,952	19,956
MORGAN STANLEY HYBRID 2.699%	45,000	49,243
WELLS FARGO & COMPANY HYBRID 2.572%	9,828	10,711
BANK OF AMERICA CORPORATION HYBRID 2.496%	105,000	112,522
SPIRIT REALTY LP 3.2%	29,700	32,266
BANK OF MONTREAL HYBRID 3.803%	50,936	51,083
IADB 2.5%	125,000	132,238
EATON VANCE INCOME FUND OF BOSTON	875,449	875,704

TY 2020 Investments Corporate Stock Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BDA	5,348	5,348
ALG	3,852	6,898
ALGT	5,059	6,434
ABCB	6,230	8,026
AMSF	4,226	5,456
ATRC	4,207	8,072
AVNT	8,564	10,821
BCPC	3,765	6,948
BOOT	3,535	4,770
BRKS	5,875	5,428
CACI	3,243	4,488
CSTL	2,730	3,022
CATY	6,510	6,663
CENT	1,640	1,622
CENTA	6,128	7,157
CRNC	3,659	5,024
CHDN	2,843	6,452
CHUY	2,727	2,808
CNS	2,753	7,802
CMP	3,934	3,888
CVET	6,966	8,363
CRY	5,048	5,548
CYRX	2,001	4,871
EBS	7,305	8,512
DAVA	9,006	10,438
FIVE	1,385	6,999
GBCI	4,600	8,512
GMED	3,330	9,783
LOPE	2,918	9,777
HAE	5,149	5,938

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HALO	3,720	5,552
HQY	5,577	7,668
HTLD	3,884	4,525
HELE	2,576	5,555
HLIO	5,567	7,514
HMN	7,578	7,693
HLI	4,616	6,723
ICFI	4,522	5,885
ICUI	789	4,504
IDA	4,978	6,242
IART	5,624	6,817
LHCG	2,945	6,613
LAD	3,940	13,170
MTSI	5,885	10,182
MEDP	5,682	6,821
EBSB	3,549	3,103
MTX	5,125	5,653
NEW	5,217	7,114
OCFC	3,013	3,689
OLLI	4,089	5,479
OXM	4,129	4,258
PPBI	8,124	7,363
PATK	6,463	8,680
PCTY	3,176	9,266
PFGC	5,894	9,903
PHR	4,089	8,193
PRIM	5,853	6,917
PGNY	3,954	6,443
PFPT	3,051	5,456
RPD	5,812	9,647

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROLL	2,333	8,952
ROG	1,359	2,795
SBCF	7,200	8,629
SHYF	2,418	2,895
SLGN	1,214	3,448
SLAB	5,410	9,168
SITE	1,700	4,759
SKY	7,074	8,416
SF	4,839	9,840
SUPN	4,777	5,032
SYNH	6,255	9,947
TXRH	3,387	11,333
UFPI	2,539	8,888
ECOL	3,469	3,996
VCYT	3,866	6,607
VIAV	5,898	7,188
WSC	2,552	4,240
WWW	2,609	4,232
WPX	4,816	4,808
ADC	4,544	4,838
NHI	4,028	5,973
QTX	5,899	7,295
RHP	5,135	4,066
STAG	7,012	9,432
DSGX	2,073	3,801
NOVT	5,408	7,330
RBA	3,208	9,946
CARR	0	11
BDA	27,305	27,305
LULU	8,799	14,269

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MTD	6,096	11,397
RMD	8,149	16,580
A C N	7,696	17,501
ADYEY	9,035	11,375
AAGIY	12,871	22,495
ALC	11,046	12,800
AMADY	14,313	13,409
AON	7,824	8,028
ASML	7,522	20,972
ATLKY	8,496	12,101
CP	7,785	18,751
CSLLY	6,305	19,557
DSDVY	4,829	16,515
EXPGY	7,717	17,500
RACE	6,583	9,869
HDB	9,411	19,366
ICLR	2,181	6,434
LZAGY	12,167	12,612
LVMUY	5,423	18,878
MELI	10,655	28,479
NSRGY	8,029	13,092
PDRDY	7,770	9,286
SHOP	1,599	13,583
SXYAY	7,653	11,380
SNN	11,676	11,386
STE	6,740	11,183
TSM	4,294	27,035
TCEHY	2,049	12,802
SPY	1,650,361	3,193,329
VNQ	285,032	329,104

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BTMKX	881,920	974,903
SSKEX	186,394	292,690
RWX	364,673	328,525

TY 2020 Investments Government Obligations Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

US Government Securities - End of Year Book Value:	1,601,458
US Government Securities - End of Year Fair Market Value:	1,696,564
State & Local Government Securities - End of Year Book Value:	76,308
State & Local Government Securities - End of Year Fair Market Value:	82,325

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491313021881

TY 2020 Other Decreases Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Description	Amount
TIMING DIFFERENCES ON DIVIDEND INCOME	1,468
REALIZED CAPITAL GAIN ADJUSTMENT ON DONATED STOCKS RECEIVED/SOLD	6,024

TY 2020 Other Expenses Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
U.S. POST OFFICE - POSTAGE	356	0		0

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93491313021881

TY 2020 Other Professional Fees Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GS - INVESTMENT MANAGEMENT	42,414	42,414		0
GS - INVESTMENT EXPENSES	161	161		0

TY 2020 Taxes Schedule

Name: CARITAS FOUNDATION

EIN: 41-6487728

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
GS - FOREIGN TAX PAID	4,476	4,476		0
DEPARTMENT OF TREASURY - 990PF EXCISE TAX PAYMENT	13,000	0		0

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	**Schedule of Contributors** ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Go to *www.irs.gov/Form990* for the latest information.	OMB No. 1545-0047 **2020**

Name of the organization	Employer identification number
CARITAS FOUNDATION	41-6487728

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33\frac{1}{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
CARITAS FOUNDATION	41-6487728

Part I Contributors **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FREDERICK P AND DEBORAH A SCHWARTZ PO BOX 1150 MADISON, WI 537011150	$ 993,316	☑ Person ☐ Payroll ☑ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization
CARITAS FOUNDATION

Employer identification number
41-6487728

Part II **Noncash Property** (see Instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
	See Additional Data Table	$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
		$	

Name of organization	Employer identification number
CARITAS FOUNDATION	41-6487728

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

Additional Data

Software ID:
Software Version:
EIN: 41-6487728
Name: CARITAS FOUNDATION

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	ABT - 259 SHARES	$ 27,830	2020-12-23
1	ADBE - 15 SHARES	$ 7,454	2020-12-23
1	GOOG - 6 SHARES	$ 10,394	2020-12-23
1	AMZN - 10 SHARES	$ 31,853	2020-12-23
1	AAPL - 2,600 SHARES	$ 340,496	2020-12-23
1	AMAT - 260 SHARES	$ 21,848	2020-12-23
1	CARR - 89 SHARES	$ 3,396	2020-12-23
1	DHR - 180 SHARES	$ 39,703	2020-12-23
1	DG - 200 SHARES	$ 42,234	2020-12-23
1	FB - 480 SHARES	$ 128,693	2020-12-23

Form 990 Schedule B, Part II - Noncash Property (see Instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
1	KLAC - 100 SHARES	$ 25,279	2020-12-23
1	MCHP - 20 SHARES	$ 2,720	2020-12-23
1	MSFT - 978 SHARES	$ 216,158	2020-12-23
1	NFLX - 20 SHARES	$ 10,290	2020-12-23
1	PH - 96 SHARES	$ 25,862	2020-12-23
1	PYPL - 160 SHARES	$ 38,310	2020-12-23
1	QCOM - 60 SHARES	$ 8,817	2020-12-23
1	SHOP - 10 SHARES	$ 11,979	2020-12-23