

Form 990-PF

Department of the Treasury
Internal Revenue ServiceC&E
121

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2020

Open to Public Inspection

For calendar year 2020 or tax year beginning

, 2020, and ending

, 20

Name of foundation

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

PO BOX 649

City or town, state or province, country, and ZIP or foreign postal code

NEENAH, WI 54957-0649

G Check all that apply.

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization.

Section 501(c)(3) exempt private foundation

 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 3,263,429.

J Accounting method: Cash Accrual
 Other (specify) _____

(Part I, column (d), must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check <input type="checkbox"/> if the foundation is not required to file Form 990-PF				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	56,671.	56,671.		STMT 1
5a Gross rents OCT 22 2021				
b Net rental income or (loss)				
6a Net gain or (loss) from sales of assets (from line 10)	125,260.			
b Gross sales price for all assets on line 6a	1,817,328.			
7 Capital gain net income (if applicable)	RECEIVED IN CORRES	125,260.		
8 Net short-term capital gain	IRS: OSG 22			
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)	OGDEN, UTAH			
12 Total. Add lines 1 through 11	181,931.	181,931.		
13 Compensation of officers, directors, trustees, etc.	34,330.	34,330.		
14 Other employee salaries and wages		NONE	NONE	
15 Pension plans, employee benefits		NONE	NONE	
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule)	900.	900.	NONE	NONE
c Other professional fees (attach schedule)				
17 Interest				
18 Taxes (attach schedule) (see instructions)	17,752.	871.		16,881.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings		NONE	NONE	
22 Printing and publications		NONE	NONE	
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses				
Add lines 13 through 23.	52,982.	36,101.	NONE	16,881.
25 Contributions, gifts, grants paid	130,500.			130,500.
26 Total expenses and disbursements. Add lines 24 and 25	183,482.	36,101.	NONE	147,381.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	-1,551.			
b Net investment income (if negative, enter -0-)		145,830.		
c Adjusted net income (if negative, enter -0-)			NONE	

Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing			95,397.	52,550.
	2 Savings and temporary cash investments				52,550.
	3 Accounts receivable ►				
	Less allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less allowance for doubtful accounts ►				
	5 Grants receivable.				
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7 Other notes and loans receivable (attach schedule) ►				
	Less: allowance for doubtful accounts ►	NONE			
	8 Inventories for sale or use.				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations (attach schedule)				
	b Investments - corporate stock (attach schedule)				
	c Investments - corporate bonds (attach schedule) . STMT 4.	2,448,633.	2,490,037.	3,210,879.	
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	12 Investments - mortgage loans.				
	13 Investments - other (attach schedule)				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ► (attach schedule)				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,544,030.	2,542,587.	3,263,429.	
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue.				
	20 Loans from officers, directors, trustees, and other disqualified persons.				
	21 Mortgages and other notes payable (attach schedule)				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)			NONE	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ►				
	and complete lines 24, 25, 29, and 30.				
	24 Net assets without donor restrictions				
	25 Net assets with donor restrictions				
	Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/>				
	and complete lines 26 through 30.				
	26 Capital stock, trust principal, or current funds	2,544,030.	2,542,587.		
	27 Paid-in or capital surplus, or land, bldg , and equipment fund.				
	28 Retained earnings, accumulated income, endowment, or other funds				
	29 Total net assets or fund balances (see instructions)	2,544,030.	2,542,587.		
	30 Total liabilities and net assets/fund balances (see instructions)	2,544,030.	2,542,587.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,544,030.
2 Enter amount from Part I, line 27a	2	-1,551.
3 Other increases not included in line 2 (itemize) ► 2019 WASH SALE ADJ EFFECTING BASIS	3	300.
4 Add lines 1, 2, and 3	4	2,542,779.
5 Decreases not included in line 2 (itemize) ► 2020 WASH SALES	5	192.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29	6	2,542,587.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1 a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,817,328.		1,692,068.	125,260.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			125,260.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		125,260.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	}		3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
Reserved				
2 Reserved			2	
3 Reserved			3	
4 Reserved			4	
5 Reserved			5	
6 Reserved			6	
7 Reserved			7	
8 Reserved			8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . . Date of ruling or determination letter. _____ (attach copy of letter if necessary - see instructions)	}	1	2,027.
b	Reserved			
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)		2	NONE
3	Add lines 1 and 2		3	2,027.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)		4	NONE
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,027.
6	Credits/Payments			
a	2020 estimated tax payments and 2019 overpayment credited to 2020		6a	8,800.
b	Exempt foreign organizations - tax withheld at source		6b	NONE
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	8,800.	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ► 9	9		
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ► 10	10	6,773.	
11	Enter the amount of line 10 to be Credited to 2021 estimated tax ► 507. Refunded ► 11	11	6,266.	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

1c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year
(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?
If "Yes," attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
If "Yes," attach the statement required by *General Instruction T*.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument, or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered. See instructions ►

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G*? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8b	X	
9		X
10		X

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Part VII-A Statements Regarding Activities (continued)

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11	X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X
14 The books are in care of ► _____ Telephone no. ► _____ Located at ► _____ ZIP+4 ► _____		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u>		
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► _____	16	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year, did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
1b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>		
1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? If "Yes," list the years ► _____	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year, did the foundation pay or incur any amount to	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
(1). Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?					
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?				<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions				
Organizations relying on a current notice regarding disaster assistance, check here ► <input type="checkbox"/>					
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No		
If "Yes," attach the statement required by Regulations section 53.4945-5(d).					
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?				
If "Yes" to 6b, file Form 8870					
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?				
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.					
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances	
LEGACY PRIVATE TRUST COMPANY PO BOX 649, NEENAH, WI 54957-0649	CO-TRUSTEE 6	31,330.	-0-	-0-	
JOHN M JAGEMANN 621 YORK ST, MANITOWOC, WI 54220	CO-TRUSTEE 5	2,000.	-0-	-0-	
ROBERT PIETROSKE 506 FOREST DR, MANITOWOC, WI 54220	ALLOC-COMMITTEE 1	500.	-0-	-0-	
THOMAS J BARE 827 MEMORIAL DR, MANITOWOC, WI 54220	ALLOOC COMMITTEE 1	500.	-0-	-0-	

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances	
NONE		NONE	NONE	NONE	

Total number of other employees paid over \$50,000 ► NONE

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Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services ► NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		

All other program-related investments See instructions

3	NONE	
Total. Add lines 1 through 3 ►		

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a Average monthly fair market value of securities	1a 2,978,542.
b Average of monthly cash balances	1b 55,049.
c Fair market value of all other assets (see instructions)	1c NONE
d Total (add lines 1a, b, and c)	1d 3,033,591.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2 Acquisition indebtedness applicable to line 1 assets	2 NONE
3 Subtract line 2 from line 1d.	3 3,033,591.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 45,504.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 2,988,087.
6 Minimum investment return. Enter 5% of line 5	6 149,404.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ► and do not complete this part.)

1 Minimum investment return from Part X, line 6	1 149,404.
2a Tax on investment income for 2020 from Part VI, line 5	2a 2,027.
b Income tax for 2020. (This does not include the tax from Part VI).	2b
c Add lines 2a and 2b.	2c 2,027.
3 Distributable amount before adjustments. Subtract line 2c from line 1.	3 147,377.
4 Recoveries of amounts treated as qualifying distributions.	4 NONE
5 Add lines 3 and 4	5 147,377.
6 Deduction from distributable amount (see instructions)	6 NONE
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 147,377.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26.	1a 147,381.
b Program-related investments - total from Part IX-B.	1b
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2 NONE
3 Amounts set aside for specific charitable projects that satisfy the:	
a Suitability test (prior IRS approval required)	3a NONE
b Cash distribution test (attach the required schedule)	3b NONE
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4 147,381.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5 N/A
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6 147,381.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				147,377.
2 Undistributed income, if any, as of the end of 2020			132,856.	
a Enter amount for 2019 only.		NONE		
b Total for prior years 20____,20____,20____				
3 Excess distributions carryover, if any, to 2020				
a From 2015	NONE			
b From 2016	NONE			
c From 2017	NONE			
d From 2018	NONE			
e From 2019	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2020 from Part XII, line 4 ► \$ 147,381.			132,856.	
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2020 distributable amount.				14,525.
e Remaining amount distributed out of corpus.	NONE			
5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b.		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b Taxable amount - see instructions		NONE		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2020 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2021.				132,852.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2016	NONE			
b Excess from 2017	NONE			
c Excess from 2018	NONE			
d Excess from 2019	NONE			
e Excess from 2020	NONE			

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling					4942(j)(3) or 4942(j)(5)
Check box to indicate whether the foundation is a private operating foundation described in section		Prior 3 years			
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					(e) Total
(a) 2020		(b) 2019	(c) 2018	(d) 2017	
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4, for each year listed .				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon . .				
a	"Assets" alternative test - enter				
(1)	Value of all assets				
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed. . .				
c	"Support" alternative test - enter				
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3)	Largest amount of support from an exempt organization				
(4)	Gross investment income .				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a. The name, address, and telephone number or email address of the person to whom applications should be addressed

SEE STATEMENT 6

b. The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

6 Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV. Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT 12				130,500.
Total			► 3a	130,500.
<i>b Approved for future payment</i>				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments.					
4 Dividends and interest from securities			14	56,671.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	125,260.	
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . . .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				181,931.	
13 Total. Add line 12, columns (b), (d), and (e)				13	181,931.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

NOT APPLICABLE

Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?	Yes	No
a	Transfers from the reporting foundation to a noncharitable exempt organization of.		
(1)	Cash	1a(1)	X
(2)	Other assets	1a(2)	X
b	Other transactions:		
(1)	Sales of assets to a noncharitable exempt organization	1b(1)	X
(2)	Purchases of assets from a noncharitable exempt organization.	1b(2)	X
(3)	Rental of facilities, equipment, or other assets	1b(3)	X
(4)	Reimbursement arrangements	1b(4)	X
(5)	Loans or loan guarantees	1b(5)	X
(6)	Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? Yes No

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

Signature of officer or trustee

5/13/2021

2021 ➤ Tax Officer

May the IRS discuss this return
with the preparer shown below?
See instructions Yes No

BARBARA A BLASHKA				
Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed
	Firm's name ►			Firm's EIN ►
	Firm's address ►			Phone no.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BANK FIRST CORPORATION	3,600.	3,600.
XTRACKERS USD HIGH YIELD CORP BOND ETF	308.	308.
GOLDMAN SACHS EQ WGT US LRG CAP GROWTH E	2,163.	2,163.
INVEESCO S & P 500 QUALITY ETF	3,129.	3,129.
INVEESCO S & P 500 EQ WGT PORT	1,037.	1,037.
INVEESCO S & P 500 LOW VOLATILITY ETF	786.	786.
INVEESCO EMERGING MKTS SOVEREIGN DEBT	426.	426.
ISHARES CORE S & P TOTAL US STOCK MARKET	3,668.	3,668.
ISHARES TR S & P 500 INDEX	13,818.	13,818.
ISHARES CORE TOTAL US AGGREGATE BOND	5,050.	5,050.
ISHARES BARCLAYS 20+ YR TR BD	874.	874.
ISHARES BARCLAYS 7-10 YR TR BD	608.	608.
ISHARES BARCLAYS 1-3 YR TR BD FD	59.	59.
ISHARES TR S & P SMLCAP 600	489.	489.
ISHARES MSCI ACWI EX US ETF	4,187.	4,187.
ISHARES EDGE MSCI USA MOMENTUM IDX	1,684.	1,684.
JPMORGAN ULTRASHORT INCOME ETF	358.	358.
JANUS HENDERSON MORTGAGE BACKED SECURITI	818.	818.
QUADRATIC INTEREST RATE VOLATILITY AND I	24.	24.
FEDERATED HERMES GOVERNMENT	406.	406.
PROSHARES LARGE CAP CORE PLUS ETF	563.	563.
SPDR SERIES TRUST BRCLYS INTL ETF	9.	9.
SPDR BLOOMBERG BARCLAYS TIPS ETF	160.	160.
VANGUARD TOTAL INTERNATIONAL STOCK INDEX	1,389.	1,389.
VANGUARD TOTAL BOND MARKET ETF	5,674.	5,674.
VANGUARD FTSE DEV MARKETS ETF	2,039.	2,039.
VANGUARD INTL EMERGING MKT ETF	1,511.	1,511.
VANGUARD INTER TERM CORP BD ETF	1,834.	1,834.
TOTAL	56,671.	56,671.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	900.	900.		
TOTALS	900.	900.	NONE	NONE

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INCOME	INVESTMENT INCOME	CHARITABLE PURPOSES
FEDERAL TAX PAYMENT - PRIOR YE	8,081.			8,081.
FEDERAL ESTIMATES - INCOME	8,800.			8,800.
FOREIGN TAXES ON QUALIFIED FOR	680.			680.
FOREIGN TAXES ON NONQUALIFIED	191.			191.
TOTALS	17,752.			16,881.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BANK FIRST NATIONAL PROSHARES LARGE CAP CORE PLUS INVESCO S&P 500 LOW VOL ETFF INVESCO S&P 500 EQ WGT PORT E VANGUARD INT'L EMERGING MKTS INVESCO EMERGING MKTS SOVEREIGN ISHARES BARCLAYS 1-3 YR TR BD JP MORGAN ULTRASHORT INCOME ET VANGUARD INTER TERM CORP BD ET XTRACKERS USD HI YLD CORP BD E ISHARES BARCLAYS 2.0+ YR TR BD INVESCO S&P 500 QUALITY ETF ISHARES CORE S&P TOTAL US STOC ISHARES CORE TOTAL US AGGREGAT ISHARES EDGE MSCI USA MOMENTUM ISHARES MSCI ACWI EX US ISHARES TR S&P SMLCAP 600 ISHARES TR S&P 500 INDEX VANGUARD FTSE DEV MARKETS ETF VANGUARD TOTAL BOND MARKET ETF VANGUARD TOTAL INTERNATIONAL S GOLDMAN SACHS EQ WGT US LRG CA ISHARES BARCLAY 7-10 YR TR BD JANUS HENDERSON MORTGAGE BACKED QUADRATIC INTEREST RATE VOLATI SPDR BLOOMBERG BARCLAYS TIPS E	5,586.	259,280.
TOTALS	2,490,037.	3,210,879.

AVERAGE MONTHLY FMV - 990PF, PART X, LINES 1a-1c

MONTH	LINE 1a-FMV SECURITIES	LINE 1b-FMV CASH BALANCES	LINE 1c-FMV OTHER ASSETS
JANUARY	3,020,863.	56,672.	NONE
FEBRUARY	2,845,730.	55,801.	NONE
MARCH	2,555,679.	61,619.	NONE
APRIL	2,740,050.	64,404.	NONE
MAY	2,895,627.	49,736.	NONE
JUNE	2,941,651.	57,010.	NONE
JULY	3,042,332.	41,021.	NONE
AUGUST	3,143,014.	52,270.	NONE
SEPTEMBER	3,052,770.	55,994.	NONE
OCTOBER	3,023,519.	55,422.	NONE
NOVEMBER	3,270,391.	58,085.	NONE
DECEMBER	3,210,879.	52,550.	NONE
TOTAL	35,742,505.	660,584.	NONE
AVERAGE FMV	2,978,542.	55,049.	NONE

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV - LINES 2a - 2d

39-6548517

RECIPIENT NAME:

LEGACY PRIVATE TR CO. ATTN: TAMMY ROSS

ADDRESS:

PO BOX 649

NEENAH, WI 54957-0649

RECIPIENT'S PHONE NUMBER: 920-967-5020

FORM, INFORMATION AND MATERIALS:

STATED NEEDS: REQUIRED SCHOLARSHIP APPLICATION

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

EXEMPT 501(C) (3) ORGANIZATION PRIMARILY IN MANITOWOC COUN

STATEMENT 6

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XXXXX3019

22 -

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

39-6548517

RECIPIENT NAME:
BOYS & GIRLS CLUB OF MANITOWOC CTY
ATTN BILL BERTSCHE
ADDRESS:
PO BOX 756
MANITOWOC, WI 54221-0756
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
INCOURAGE - DOMESTIC VIOLENCE CENTER
ADDRESS:
300 E REED AVE
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 12,500.

RECIPIENT NAME:
ST MARY ST MICHAELS SCHOOL
ADDRESS:
19 S CTY RD J
REEDSVILLE, WI 54230
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID -2,500.

RECIPIENT NAME:
THE CROSSING OF MANITOWOC COUNTY
ATTN: SUE BRYNTESSEN
ADDRESS:
205 N 8TH STREET
MANITOWOC, WI 54221-2065
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 1,000.

STATEMENT 7

XD576 2 000

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XXXXX3019

23 -

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

39-6548517

RECIPIENT NAME:
MANITOWOC COUNTY HISTORICAL SOCIETY
ADDRESS:
924 PINECREST ROAD
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
PAINTING PATHWAYS
ADDRESS:
1226 WASHINGTON STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
HOPE HOUSE OF MANITOWOC COUNTY
ADDRESS:
1110 S 35TH STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:
SALVATION ARMY
ADDRESS:
411 NORTH 6TH STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 10,000.

STATEMENT 8

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

39-6548517

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RECIPIENT NAME:
HOLIDAY HOUSE OF MANITOWOC COUNTY
ADDRESS:
2818 MEADOW LN
MANITOWOC, WI 54221-0579
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
WISCONSIN MARITIME MUSEUM
ADDRESS:
75 MARITIME DR
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
SPECIAL OLYMPICS WISCONSIN
ADDRESS:
2310 CROSSROADS DRIVE SUITE 1000
MADISON, WI 53718
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
BIG BROTHERS BIG SISTERS
OF MANITOWOC COUNTY
ADDRESS:
810 WASHINGTON ST
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 2,500.

STATEMENT 9

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019 39-6548517
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
=====

RECIPIENT NAME:
LAKE SHORE CAP INC
ADDRESS:
702 STATE STREET
MANITOWOC, WI 54221-2315
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:
LAKE SHORE TECHNICAL COLLEGE
FOUNDATION INC
ADDRESS:
1290 NORTH AVENUE
CLEVELAND, WI 53015-1414
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:
MAKE-A-WISH
ADDRESS:
100 W COLLEGE AVENUE, SUITE 50E
APPLETON, WI 54911
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 1,000.

RECIPIENT NAME:
CAPITOL CIVIC CENTRE INC
ADDRESS:
PO BOX 399
MANITOWOC, WI 54221-0399
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 7,500.

STATEMENT 10

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

39-6548517

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RECIPIENT NAME:
PETER'S PANTRY
ADDRESS:
843 SOUTH 21ST STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:
MANITOWOC YOUTH BASEBALL ASSOCIATION.
ADDRESS:
2002 MARSHALL STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
MANITOWOC POLICE DEPT K9 UNIT
ATTN: STEVE CORBEILLE
ADDRESS:
900 QUAY STREET
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 2,500.

RECIPIENT NAME:
MASQUERS INC
ADDRESS:
616 N 8TH ST
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 1,000.

STATEMENT 11

HAROLD C KALLIES CHARITABLE TRUST XXXXX3019
FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

39-6548517

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RECIPIENT NAME:
WOODLAND DUNES NATURE CENTER INC
ADDRESS:
P O BOX 486
TWO RIVERS, WI 54241-0486
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 10,000.

RECIPIENT NAME:
THE HAVEN OF MANITOWOC COUNTY INC
ADDRESS:
PO BOX 1795
MANITOWOC, WI 54221-1795
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 7,500.

RECIPIENT NAME:
PINK HEALS MANITOWOC COUNTY
ADDRESS:
PO BOX 5
MISHICOT, WI 54228
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 5,000.

RECIPIENT NAME:
TREEHOUSE THEATER
ADDRESS:
PO BOX 1722
MANITOWOC, WI 54220
PURPOSE OF GRANT:
GENERAL OPERATING EXPENSE
AMOUNT OF GRANT PAID 6,500.

TOTAL GRANTS PAID: 130,500.

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STATEMENT 12