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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
THE ANN LUDINGTON SULLIVAN FOUNDATION

A Employer identification number
38-3323702

Number and street (or P.O. box number if mail is not delivered to street address) 203 LAKE MANOR RD
Room/suite

B Telephone number (see instructions)
(919) 942-0727

City or town, state or province, country, and ZIP or foreign postal code
CHAPEL HILL, NC 275164322

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 896,422

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)	22,274			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	5,866	5,866		
	4	Dividends and interest from securities	9,509	9,509		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	18,842			
	b	Gross sales price for all assets on line 6a 240,737				
	7	Capital gain net income (from Part IV, line 2)		18,842		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	56,491	34,217		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	7,958	7,958		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,060			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	694	694		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	10,712	8,652		0
	25	Contributions, gifts, grants paid	52,100			52,100
	26	**Total expenses and disbursements.** Add lines 24 and 25	62,812	8,652		52,100
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-6,321			
	b	**Net investment income** (if negative, enter -0-)		25,565		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	27,488	39,707	39,707
2	Savings and temporary cash investments			
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)	159,206	151,355	158,189
b	Investments—corporate stock (attach schedule)	365,527	329,722	461,308
c	Investments—corporate bonds (attach schedule)	79,216	84,610	89,139
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	116,038	135,760	148,079
14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	747,475	741,154	896,422
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶ ☑ and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions	747,475	741,154	
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	747,475	741,154	
30	**Total liabilities and net assets/fund balances** (see instructions)	747,475	741,154	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	747,475
2	Enter amount from Part I, line 27a	2	-6,321
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	741,154
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	741,154

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	SHORT-TERM NONCOVERED	P		
b	SHORT-TERM COVERED	P		
c	LONG-TERM COVERED	P		
d	LONG-TERM NONCOVERED	P		
e	NONCOVERED UNDETERMINED	P		

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,247		4,319	-72
b	84,833		87,006	-2,173
c	139,348		118,892	20,456
d	11,525		11,678	-153
e	784			784

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				-72
b				-2,173
c				20,456
d				-153
e				784

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	18,842
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved.	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved , ...	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**				
b	Reserved.			1	355
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2.			3	355
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	355
6	Credits/Payments:				
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	1,501		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d.			7	1,501
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,146
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 1,146	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶ANN L SULLIVAN Telephone no. ▶(919) 942-0727

Located at ▶203 LAKE MANOR ROAD CHAPEL HILL NC ZIP+4 ▶275164322

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. ☐ Yes ☑ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?.	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*	☐ Yes ☐ No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?.	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*		6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
ANN L SULLIVAN 203 LAKE MANOR ROAD CHAPEL HILL, NC 275164322	PRESIDENT 1.00	0	0	0
PATRICK M SULLIVAN 203 LAKE MANOR ROAD CHAPEL HILL, NC 275164322	VICE PRESIDE 0.25	0	0	0
COLIN SULLIVAN 203 LAKE MANOR ROAD CHAPEL HILL, NC 275164322	TREASURER 0.25	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	805,412
b	Average of monthly cash balances		1b	25,446
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	830,858
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	830,858
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	12,463
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	818,395
6	**Minimum investment return.** Enter 5% of line 5		6	40,920

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	40,920
2a	Tax on investment income for 2020 from Part VI, line 5	2a 355		
b	Income tax for 2020. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	355
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	40,565
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	40,565
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	40,565

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	52,100
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	52,100
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	52,100

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				40,565
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			25,818	
b Total for prior years: 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f **Total** of lines 3a through e.				
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 52,100				
a Applied to 2019, but not more than line 2a			25,818	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2020 distributable amount.				26,282
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount —see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				14,283
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2016. . . .				
b Excess from 2017. . . .				
c Excess from 2018. . . .				
d Excess from 2019. . . .				
e Excess from 2020. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ANN L SULLIVAN
203 LAKE MANOR RD
CHAPEL HILL, NC 275164322
(919) 942-0727

b The form in which applications should be submitted and information and materials they should include:

LETTER FORM

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				52,100
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	5,866	
4 Dividends and interest from securities. . . .			14	9,509	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			14	18,842	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				34,217	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 34,217

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	1a(1)		No
	(2) Other assets.	1a(2)		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
	(3) Rental of facilities, equipment, or other assets.	1b(3)		No
	(4) Reimbursement arrangements.	1b(4)		No
	(5) Loans or loan guarantees.	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

****** | 2021-05-05 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
SHANNON ASTRIKE CPA		2021-08-02		P00682980
Firm's name ▶ ASTRIKE FINANCIAL PLLC				Firm's EIN ▶20-5706142
Firm's address ▶ 1618 DENVER ST MIDLAND, MI 48640				Phone no. (989) 486-3337

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AUTISM SPEAKS 1 EAST 33RD 4TH FLOOR NEW YORK, NY 10016	N/A	CHARITABLE	OPERATING FUND	1,000
CLINTON GLOBAL INITIATIVE 1200 PRESIDENT CLINTON LITTLE ROCK, AR 72201	N/A	CHARITABLE	OPERATING FUND	3,000
DISPUTE SETTLEMENT CENTER 302 WEST WEAVER STREET CARRBORO, NC 27510	N/A	CHARITABLE	OPERATING FUND	500
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
DURHAM NATIVITY SCHOOL 1004 NORTH MANGUM ST DURHAM, NC 27701	N/A	EDUCATIONAL	OPERATING FUND	6,000
GLOBAL FUND FOR WOMEN 800 MARKET ST STE 700 SAN FRANCISCO, CA 94102	N/A	CHARITABLE	OPERATING FUND	1,000
HABITAT FOR HUMANITY 88 VILCOM CENTER DR CHAPEL HIL, NC 27514	N/A	CHARITABLE	OPERATING FUND	1,000
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTH CAROLINA JUSTICE CENTER PO BOX 28068 RALEIGH, NC 27611	N/A	CHARITABLE	OPERATING FUND	1,000
PLANNED PARENTHOOD FEDERATION PO BOX 97166 WASHINGTON, DC 20090	N/A	CHARITABLE	OPERATING FUND	3,000
PORCH INC218 LAKE MANOR ROAD CHAPEL HILL, NC 27516	N/A	CHARITABLE	OPERATING FUND	4,000
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ROANOKE COLLEGE221 COLLEGE LANE SALEM, VA 24153	N/A	EDUCATIONAL	OPERATING FUND	3,000
SKJAJA FUND104-R NC HWY 54 W CARRBORO, NC 27510	N/A	CHARITABLE	OPERATING FUND	1,000
THE ARTS CENTER300-G E MAIN ST CARRBORO, NC 27510	N/A	CHARITABLE	OPERATING FUND	500
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRIANGLE LAND CONSERVANCY 514 S DUKE ST DURHAM, NC 27701	N/A	CHARITABLE	OPERATING FUND	1,000
WUNC PUBLIC RADIOPO BOX 8880 CHAPEL HILL, NC 27515	N/A	CHARITABLE	OPERATING FUND	4,000
SANDY HOOK PROMISEPO BOX 3489 NEWTOWN, CT 06470	N/A	CHARITABLE	OPERATING FUND	1,000
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE HUMANE SOCIETY 1255 23RD STREET NW WASHINGTON, DC 20037	N/A	CHARITABLE	OPERATING FUND	1,000
THE MICHAEL J FOX FOUNDATION PO BOX 4777 NEW YORK, NY 101634777		CHARITABLE	OPERATING FUND	15,000
WORLD CENTRAL KITCHEN 655 NEW YORK AVE NW 6TH FLOOR WASHINGTON, DC 20001		CHARITABLE	OPERATING FUND	2,000
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SOUTHERN POVERTY LAW CENTER 400 WASHINGTON AVE PO BOX 548 MONTGOMERY, AL 361779621		CHARITABLE	OPERATING FUND	500
PAWS4EVER6311 NICKS RD MEBANE, NC 27302		CHARITABLE	OPERATING FUND	500
NATIONAL BRAIN TUMOR SOCIETY 55 CHAPEL ST SUITE 200 NEWTON, MA 02458		CHARITABLE	OPERATING FUND	100
Total . . . . ▶ **3a**				52,100

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEGAL AID OF NC224 S DAWSON ST RALEIGH, NC 27601		CHARITABLE	OPERATING FUND	1,000
NATURE CONSERVANCY 4245 NORTH FAIRFAX DR ARLINGTON, VA 222031606		CHARITABLE	OPERATING FUND	1,000
Total . . . . ▶ **3a**				52,100

TY 2020 Investments Corporate Bonds Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXP. 2.2% DUE 3/3/20		
JP MOR. CH. & CO. 4.25% DUE 11/15/20		
DUP. DE NEM. INC. DUE 11/15/20		
VERIZON COMM. INC 4.6% DUE 4/1/21		
BANK OF MONTREAL 3.10% DUE 4/31/21		
ORACLE CORP 2.8% DUE 7/8/21		
GOLD. SA. GR. INC 5.25% DUE 7/27/20		
COMCAST CORP 3.45% DUE 10/1/21		
CITIGROUP INC 2.9% DUE 12/8/21	3,008	3,065
KINDER MOR. ENER. 3.95% DUE 12/8/21		
N. GRUM. CORP 2.55% DUE 10/15/22	2,976	3,114
CVS HEALTH CORP 3.7% DUE 3/9/23		
APPLE INC 2.4% DUE 5/3/23	2,972	3,147
WALMART INC 3..4% DUE 6/26/23	3,055	3,222
UNITED TECH CORP 3.65% DUE 8/16/23		
AMAZON.COM 2.8% DUE ON 8/22/24	6,175	6,493
WELLS FARGO & CO 3.30 % DUE 9/9/24	4,038	4,381
ENT. PRODUCTS OP. 3.75% DUE 2/15/25	3,068	3,359
BP CAP MKT 3.41% DUE 2/11/26	3,040	3,363
BANK OF AM CORP 3.25% DUE 10/21/27	1,965	2,238

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CAPITAL ONE FIN. 3.8% DUE 1/31/28	1,984	2,305
JP CHASE & CO FXD 3.54% DUE 5/1/28	2,003	2,282
MARCH & MCLENNAN 4.3%DUE 3/15/29	3,166	3,653
FISERV INC 3.5% DUE 7/1/29	3,003	3,426
BANK OF AM CORP 3.97% DUE 2/7/30	5,281	5,889
ABBVIE INC 3.6% DUE 5/14/25	3,319	3,342
CVS HEALTH 1.3% DUE 8/21/27	3,989	4,019
VERIZON 4.329% DUE 9/21/28	3,615	3,613
CIGNA CORP 2.4% DUE 3/15/30	4,156	4,264
ORACLE CORP 2.95% DUE 4/1/30	4,462	4,473
APPLE INC 1.65% DUE 5/11/30	4,107	4,120
NEXTERA 2.25% DUE 6/1/30	3,159	3,145
COMCAST 1.5% DUE 2/5/31	4,971	4,966
GOLDMAN SACHS 5.25% DUE 7/27/21	4,053	4,112
KINDER MOR 3.95% DUE 9/1/22	3,045	3,148

TY 2020 Investments Corporate Stock Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
20 SHS ABBVIE INC COM	1,568	2,143
15 SHS ACCENTURE PLC IRELAND CL A	2,697	3,918
23 SHS ADIDAS AG	2,758	4,209
16 SHS ADOBE INC	4,475	8,002
9 SHS ADVANCED AUTO PARTS	1,437	1,417
39 SHS AGILENT	2,991	4,621
44 SHS AIA GROUP LTD SPON ADR	1,709	2,162
12 SHS AIR PROD & CHEM INC	2,398	3,278
14 SHS AKAMAI TECHNOLOGIES INC	1,101	1,470
10 SHS ALEXION PHARM INC	1,219	1,562
2 SHS ALPHABET INC CL A		
2 SHS ALPHABET INC CL C		
41 SHS AMADEUS IT GROUP SA ADR		
2 SHS AMAZON.COM INC	3,059	6,514
59 SHS AMERICAN EXPRESS CO	6,777	7,134
12 SHS AMERICAN TOWER CORP REIT	1,691	2,693
13 SHS AMERISOURCE BERGEN CORP	967	1,271
16 SHS AMGEN INC	3,137	3,679
36 SHS AMN HEALTHCARE SCVCS INC		
5 SHS ANSYS INC	955	1,819
7 SHS ANTHEM INC COM	1,786	2,248
123 SHS APPLE INC	5,599	16,321
14 SHS ASPEN TECHNOLOGY INC	1,646	1,823
174 SHS ASSA ABLOY AS UNSP ADR	1,872	2,140
61 SHS ASTRAZENECA PLC ADS	2,396	3,049
50 SHS AT&T		
70 SHS ATLAS COPCO ASA ADR A NEW	2,208	3,576
152 SHS BANK OF AMERICA	4,344	4,607
41 SHS BANK OF NEW YORK MELLON CORP	1,738	1,740
37 SHS BERKLEY WR CORP	2,263	2,457

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
10 SHS BERKSHIRE HATHAWAY CL-B NEW	2,087	2,319
10 SHS BIOMARIN PHARMAC SE	838	877
2 SHS BLACKROCK INC		
23 SHS BRIGHT HORIZONS FAMILY SOLUT	3,026	3,979
61 SHS BUREAU VERTIAS REGISTRE INT	1,514	1,679
18 SHS CDW CORP	1,898	2,372
8 SHS CH ROBINSON WORLDWIDE INC NEW		
13 SHS CHARLES RIVER LABS INTL INC	1,809	3,248
28 SHS CHARLES SCHWAB NEW	1,524	1,485
7 SHS CHARTER COMMUNICATIONS INC	2,578	4,631
29 SHS CITIGROUP INC NEW		
41 SHS COCA COLA INC	1,395	2,248
27 SHS COLGATE PALMOLIVE CO	1,856	2,309
117 SHS COMCAST CORP NEW CL A	5,025	6,131
8 SHS COOPER CO INC NEW	2,312	2,907
21 SHS COPART INC	1,383	2,672
5 SHS COSTCO WHOLESALE CORP NEW	1,230	1,884
34 SHS COVERTRO AG SPONSORED ADR		
135 SHS CREDIT ARICOLE SA UNSP AFT	856	842
20 SHS CSL LTD	1,370	2,203
23 SHS CVS HEALTH CORP	1,254	1,571
191 SHS DAILIN INDS INSPON ADR	2,347	4,265
15 SHS DANAHER CORP	1,952	3,332
13 SHS DEERE & CO	2,105	3,498
100 SHS DISH NETWORK CORP CL A	3,383	3,234
15 SHS DOCUSIGN INC	713	3,335
44 SHS EASTON CORP PLC SHS	3,702	5,286
22 SHS ECOLAB INC	4,017	4,760
46 SHS EDISON INTERNATIONAL	2,869	2,890
42 SHS ELANCO ANIMAL HEALTH INC	1,334	1,288

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
26 SHS EMERSON ELECTRIC CO	1,924	2,090
24 SHS EOG RESOURCES INC		
3 SHS EQUINIX INC COM PAR	1,358	2,143
12 SHS ESTEE LAUDER CO INC CL A	2,048	3,194
28 SHS ESPEDITORS INTL WASH INC		
20 SHS FACEBOOK INC CL-A	3,819	5,463
4 SHS FAIR ISAAC & CO INC	1,199	2,044
14 SHS FIDELITY NATL INFORMATION SE	1,872	1,980
36 SHS FLIR SYSTEMS INC.	1,890	1,578
36 SHS FOX CORP CL B		
85 SHS GIBSON ENERGY INC COM	1,408	1,370
17 SHS HOME DEPOT INC	3,513	4,516
28 SHS HONEYWELL INTERNATIONAL INC	4,735	5,956
24 SHS IHS MARKIT LTD	1,358	2,156
13 SHS ILL TOOL WORKS INC	1,979	2,650
152 SHS INDUSTRIA DE DISENO TESTIL 1	2,229	2,438
23 SHS INTERACTIVE BROKERS GROUP CL	1,238	1,401
19 SHS INTL FLAVORD AND FRAGRANCES	2,586	2,068
12 SHS INTUIT INC	3,591	4,558
10 SHS JACK HENRY AND ASSOC INC	1,466	1,620
30 SHS JOHNSON & JOHNSON	4,144	4,721
38 SHS JP MORGAN CHASE & CO	4,292	4,829
18 SHS KELLOGG CO		
51 SHS KEURIG DR PEPPER INC	1,392	1,632
9 SHS KEYENCE CORP	2,810	5,056
8 SHS LENNOX INTL INC	2,139	2,192
6 SHS LINDE PLC		
17 SHS MARCH & MCLENNAN COS INC	1,612	1,989
6 SHS MARTIN MARIETTA MATERIALS	1,278	1,704
5 SHS MCMORMICH AND CO NON VOTING		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
19 SHS MERCH AND CO INS NEW COM	738	1,554
78 SHS MICROSOFT CORP	10,099	17,349
38 SHS MONDELEX INTL INC COM	1,909	2,222
15 SHS MOTOROLA SOLUTIONS INC	2,178	2,551
9 SHS MSCI INC COM	2,000	4,019
117 SHS MUENCHENER RUECK-UNSPON ADR	2,754	3,476
13 SHS NORDSON CP	1,873	2,612
13 SHS NOVARTIS AG ADR	1,050	1,228
34 SHS NORDISK AS ADR	1,641	2,375
18 SHS NUTANIX INC CL A	285	574
61 SHS NVENT ELECTRIC PLC		
5 SHS NVIDIA CORP	887	2,611
7 SHS NXP SEMICONDUCTORS	881	1,113
22 ORACLE CORP	1,203	1,423
29 SHS OTIS WORLDWIDE CORP	1,373	1,959
71 SHS OCCIDENTAL PETROLEUM CORP DE		
6 SHS PALO ALTO NETWORKS INC	1,297	2,132
17 SHS PEPSICO INC NC	2,140	2,521
31 SHS PFIZER INC		
9 SHS PIONEER NATURAL RESOURCES CO		
9 SHS POOL CORP	1,594	3,353
12 SHS PPG INDUSTRIES INC	1,391	1,731
13 SHS PRIMERICA INC		
19 SHS PROGRESSIVE CORP OHIO	1,435	1,879
66 SHS PRUDENTIAL PLC ADR	2,500	2,437
28 SHS QUALCOM INC	1,976	4,266
14 SHS RBC BEARINGS INC		
52 SHS REYNOLDS CONSUMER	1,478	1,562
149 SHS RECKITT BENCKISER	2,352	2,700
75 SHS ROCHE HOLDINGS ADR	2,433	3,288

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
45 SHS ROLLINS	975	1,758
50 SHS ROYAL DUTCH SHELL PLC	3,126	1,757
13 SHS S&P GLOBAL INC COM	2,843	4,273
13 SHS SALESFORCE.COM INC	2,205	2,893
49 SHS SANFLO ADR		
19 SHS SAP AG	2,429	2,477
13 SHS SCHLUNGERGER LTD		
27 SHS SEMPRA ENERGY	3,201	3,440
22 SHS SITEONE LANDSCAPE SUPPLY CO	1,453	3,490
9 SHS SPLUNK INC	1,157	1,529
39 SHS STARTBUCKS CORP WASHINGTON	2,981	4,172
22 SHS T-MOBILE	2,051	2,967
43 SHS TAIWAN SMCNDCTR MFG CO LTD AD	1,933	4,689
34 SHS TC ENERGY CORP	1,736	1,384
34 SHS TE CONNECTIVITY LDT NEW	3,153	4,116
36 SHS TERADYNE INC	1,733	4,316
8 SHS TEXAS INSTRUMENTS	925	1,313
25 SHS THE SCOTTS MIRCLE GRO CO	2,072	4,979
6 SHS THERMO FISHER SCIENTIFIC	1,615	2,795
27 SHS THOR INDUSTRIES INC	1,877	2,511
12 SHS TRACTOR SUPPLY CO		
11 SHS TRAVELERS COMPANIES INC COM	1,505	1,544
53 SHS TWEEDY BROWNE GLOBAL VALUE FU		
33 US BANCORP COM NEW	1,087	1,537
31 SHS UBER TECHNOLOGIES INC	1,091	1,581
154 SHS UBS GROUP AS SHS	1,996	2,176
6 SHS ULTA BEAUTY INC	1,286	1,723
42 SHS UNILEVER PLC NEW ADS	2,455	2,535
34 SHS UNITED PARCEL SER INC CL B	2,583	5,726
26 SHS UNITED HEALTH GP INC	6,248	9,118

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
75 SHS VERTIV HOLDINGS LLC	1,242	1,400
48 SHS VISA INC CL A	6,323	10,499
9 SHS VMWARE INC CLASS A	1,313	1,262
61 SHS VODSFONE GROUP PLC		
4 SHS WWGRAINGER INC	1,168	1,633
13 SHS WALT DISNEY CO HLDG CO	1,752	2,355
2 SHS WORKDAY INC	455	479
25 SHS XYLEM INC COM	2,041	2,545
10 SHS ZEBRA TECH CL A	1,990	3,843
291 SHS ISHARES SP SMALLCAP	21,558	26,743
15 SHS ALCON INC	799	990
19 SHS ALLEGION PUB LTD CO	1,947	2,211
9 SHS APTIV PLC	639	1,173
2 SHS ASML HOLDING NV NY REG NEW	839	975
2 SHS ATLASSIAM CORP PLC CL A	400	468
4 SHS BENTLEY SYS INC COM CL B	154	162
12 SHS CAPITAL ONE FINANCIAL CORP	1,138	1,186
4 SHS CHEMED CORPORATION	1,798	2,130
10 SHS CME GROUP INC	1,660	1,821
16 SHS EXPONENT INC	1,178	1,440
8 SHS EQUIFAX INC	1,058	1,543
27 SHS KERING S A ADR NEW	1,186	1,956
16 SHS MONSTER BEVERAGE	1,009	1,480
6 SHS LAM RESEARCH CORP	1,844	2,834

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TY 2020 Investments Government Obligations Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

US Government Securities - End of Year Book Value:	151,355
US Government Securities - End of Year Fair Market Value:	158,189
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2020 Investments - Other Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
810 SHS OF BLACKROCK BATS : SERIES A	AT COST	7,841	7,897
7892 SHS BLACKROCK BATS: SERIES M	AT COST	76,377	78,368
4654.665 SHS VIRTUS VONTOBEL	AT COST	51,542	61,814

TY 2020 Other Expenses Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
FOREIGN TAXES	327	327		
MISCELLANEOUS EXPENSE	367	367		

TY 2020 Other Professional Fees Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGT FEES	7,958	7,958		

TY 2020 Taxes Schedule

Name: THE ANN LUDINGTON SULLIVAN FOUNDATION

EIN: 38-3323702

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL 990 PF	2,060			

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, 990-EZ, or 990-PF.**
▶ **Go to *www.irs.gov/Form990* for the latest information.**

OMB No. 1545-0047

2020

Name of the organization	Employer identification number
THE ANN LUDINGTON SULLIVAN FOUNDATION	38-3323702

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the $33^{1}/_{3}$% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling $5,000 or more during the year . . . . ▶ $ ____________

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
THE ANN LUDINGTON SULLIVAN FOUNDATION	38-3323702

Part I **Contributors** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN LUDINGTON CHARITABLE LEAD TRUS 235 E MAIN ST PO BOX 2049 MIDLAND, MI 48640	$ 22,274	☑ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)
-		$	☐ Person ☐ Payroll ☐ Noncash (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE ANN LUDINGTON SULLIVAN FOUNDATION	38-3323702

Part II **Noncash Property**

(see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
-		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (See instructions)**	**(d) Date received**
-		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (See instructions)**	**(d) Date received**
-		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (See instructions)**	**(d) Date received**
-		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (See instructions)**	**(d) Date received**
-		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (See instructions)**	**(d) Date received**
-		$	

Name of organization	Employer identification number
THE ANN LUDINGTON SULLIVAN FOUNDATION	38-3323702

Part III *Exclusively* **religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of** *exclusively* **religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once. See instructions.)** ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee