

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation DOROTHY U DALTON FOUNDATION INC		A Employer identification number 38-2240062	
Number and street (or P.O. box number if mail is not delivered to street address) CO GREENLEAF TRUST 211 S ROSE ST		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code KALAMAZOO, MI 49007		B Telephone number (see instructions) (269) 388-9800	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... 2. Foreign organizations meeting the 85% test, check here and attach computation ...	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 39,848,665		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	14,950	14,950		
	4 Dividends and interest from securities	567,741	567,741		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 _____	1,153,245			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		1,153,245		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,735,936	1,735,936		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	9,910	4,955		4,955
	c Other professional fees (attach schedule)	184,962	160,962		24,000
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	44,020	18,020		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	16,269	20		16,249
	24 Total operating and administrative expenses. Add lines 13 through 23	255,161	183,957		45,204
	25 Contributions, gifts, grants paid	1,721,500			1,721,500
	26 Total expenses and disbursements. Add lines 24 and 25	1,976,661	183,957		1,766,704
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-240,725			
	b Net investment income (if negative, enter -0-)		1,551,979		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	4,613	3,403	3,403
	2 Savings and temporary cash investments	3,586,212	3,996,308	3,996,308
	3 Accounts receivable ▶ <u>38,315</u>			
	Less: allowance for doubtful accounts ▶ _____	35,141	38,315	38,315
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	3,262,390	2,534,821	2,720,810
	b Investments—corporate stock (attach schedule)	2,730,177	2,613,240	5,084,765
	c Investments—corporate bonds (attach schedule)	2,382,093	3,299,472	3,448,937
	Liabilities	11 Investments—land, buildings, and equipment: basis ▶ _____		
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)		17,992,817	17,267,159	24,556,127
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)		29,993,443	29,752,718	39,848,665
17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule).				
22 Other liabilities (describe ▶ _____)				
23 Total liabilities (add lines 17 through 22)		0	0	
Net Assets or Fund Balances		Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.		
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	14,119,390	14,119,390	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	15,874,053	15,633,328	
	29 Total net assets or fund balances (see instructions)	29,993,443	29,752,718	
30 Total liabilities and net assets/fund balances (see instructions) .	29,993,443	29,752,718		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	29,993,443
2 Enter amount from Part I, line 27a	2	-240,725
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	29,752,718
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	29,752,718

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a PUBLICLY TRADED SECURITIES				
b CAPITAL GAINS DIVIDENDS		P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 9,028,690		7,972,671	1,056,019
b 97,226			97,226
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,056,019
b			97,226
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,153,245
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved				
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved	
2 Reserved			2	
3 Reserved			3	
4 Reserved			4	
5 Reserved			5	
6 Reserved			6	
7 Reserved			7	
8 Reserved ,			8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	21,573
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	21,573
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	21,573
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	15,000
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	19,200
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	34,200
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	185
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	12,442
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 12,442 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
1b			No
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► MI		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► RN KILGORE GREENLEAF TRUST Telephone no. ► (269) 388-9800			

Located at **►** 211 SOUTH ROSE STREET KALAMAZOO MI ZIP+4 **►** 49007

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? ☐	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) ☐	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) ☐	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:			Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☐ Yes ☒ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☐ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

[illegible]

Total number of others receiving over \$50,000 for professional services.	0
--	---

Part IX-A	Summary of Direct Charitable Activities
------------------	--

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses

generations and other documents (e.g., conference notices, research papers, preprints, etc.)	
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	31,892,906
b	Average of monthly cash balances.	1b	3,808,441
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	35,701,347
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	35,701,347
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	535,520
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	35,165,827
6	Minimum investment return. Enter 5% of line 5.	6	1,758,291

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,758,291
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	21,573
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	21,573
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,736,718
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,736,718
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,736,718

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,766,704
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,766,704
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,766,704

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				1,736,718
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	120,103			
b From 2016.	90,487			
c From 2017.	58,817			
d From 2018.				
e From 2019.	69,578			
f Total of lines 3a through e.	338,985			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 1,766,704				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				1,736,718
e Remaining amount distributed out of corpus	29,986			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	368,971			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	120,103			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	248,868			
10 Analysis of line 9:				
a Excess from 2016.	90,487			
b Excess from 2017.	58,817			
c Excess from 2018.				
d Excess from 2019.	69,578			
e Excess from 2020.	29,986			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

RONALD N KILGORE GREENLEAF TRUST
211 SOUTH ROSE STREET
KALAMAZOO, MI 49007
(269) 388-9800

b The form in which applications should be submitted and information and materials they should include:

APPLICANTS SHOULD SUBMIT A BRIEF DESCRIPTION AND BUDGET OF THE PROJECT OR PROGRAM THAT THE GRANT IS INTENDED TO FUND.

c Any submission deadlines:

THE BOARD MEETS TO ACT ON APPLICATIONS IN JUNE, SEPTEMBER AND DECEMBER.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NO GRANTS OR LOANS WILL BE GIVEN TO INDIVIDUALS.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	1,721,500
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	(e) (See instructions.)
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments.					
3 Interest on savings and temporary cash investments			14	14,950	
4 Dividends and interest from securities.			14	567,741	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,153,245	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e).		0		1,735,936	0
13 Total. Add line 12, columns (b), (d), and (e).			13		1,735,936

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | |
|---|--|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | | |
| (1) Cash. | | 1a(1) | | No |
| (2) Other assets. | | 1a(2) | | No |
| b Other transactions: | | | | |
| (1) Sales of assets to a noncharitable exempt organization. | | 1b(1) | | No |
| (2) Purchases of assets from a noncharitable exempt organization. | | 1b(2) | | No |
| (3) Rental of facilities, equipment, or other assets. | | 1b(3) | | No |
| (4) Reimbursement arrangements. | | 1b(4) | | No |
| (5) Loans or loan guarantees. | | 1b(5) | | No |
| (6) Performance of services or membership or fundraising solicitations. | | 1b(6) | | No |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees. | | 1c | | No |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- | b If "Yes," complete the following schedule. | | |
|--|--------------------------|---------------------------------|
| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
| | | |
| | | |
| | | |
| | | |
| | | |

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	***** _____ Signature of officer or trustee	2021-10-05 _____ Date	***** _____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
	JASON J DURANT CPA		2021-10-05		P00286749
	Firm's name ▶ PLANTE & MORAN PLLC				Firm's EIN ▶ 38-1357951
	Firm's address ▶ 750 TRADE CENTRE WAY STE 300 PORTAGE, MI 49002				Phone no. (269) 567-4500

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JUDY K JOLLIFFE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	PRESIDENT & DIRECTOR 1.00	0	0	0
RONALD N KILGORE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007				
JAY GARSIDE 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1.00	0	0	0
SARAH A JOHANSSON 211 SOUTH ROSE STREET KALAMAZOO, MI 49007				
RACHEL WORGESS 211 SOUTH ROSE STREET KALAMAZOO, MI 49007	DIRECTOR 1.00	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AACORN FARM INC2016 N 5TH ST KALAMAZOO, MI 49009		PC	EXPANDING COMMUNITY INVOLVEMENT	10,000
AMERICAN RED CROSS 5460 VENTURE CT KALAMAZOO, MI 49009		PC	BIOMEDICAL SERVICES	5,000
ARTS COUNCIL OF GREATER KALAMAZOO 359 S BURDICK ST STE 203 KALAMAZOO, MI 49007		PC	EMERGENCY ARTS FUNDING (COVID-19)	25,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BACH FESTIVAL SOCIETY OF KALAMAZOO 1200 ACADEMY STREET KALAMAZOO, MI 49006		PC	CONCERT PRODUCTION EXPENSES FOR 2020-2021	4,000
BALLET ARTS ENSEMBLE INC 2018 RAMBLING ROAD KALAMAZOO, MI 49008		PC	SPRING CONCERT 2020	4,000
BIG BROTHERS BIG SISTERS 3501 COVINGTON RD KALAMAZOO, MI 49001		PC	ONE-TO-ONE MENTORING	10,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BLACK ARTS & CULTURAL CENTER 359 S KALAMAZOO MALL KALAMAZOO, MI 49007		PC	INTERACTIVE ART EXHIBIT	10,000
BOYS & GIRLS CLUBS OF GREATER KALAMAZOO 915 LAKE STREET KALAMAZOO, MI 49001		PC	DOUGAS UNIT OPERATIONS	45,000
BRONSON HEALTH FOUNDATION 301 JOHN STREET BOX C KALAMAZOO, MI 49007		PC	BRONSON CANCER PAVILION, CANCER CARE CAMPAIGN	100,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BRONSON HEALTH FOUNDATION 301 JOHN STREET KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED-COVID-19 RELIEF FUND	500
CAN-DO KITCHEN3501 LAKE ST KALAMAZOO, MI 49048		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
CAN-DO KITCHEN3501 LAKE ST KALAMAZOO, MI 49048		PC	FOOD INCUBATION & OPENING DOORS	25,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMUNITY HEALING CENTERS 2615 STADIUM DRIVE KALAMAZOO, MI 49008		PC	GILMORE INPATIENT PROGRAM	50,000
COMMUNITY HOMEWORKS 504 S WESTNEDGE AVENUE KALAMAZOO, MI 49008		PC	AFFORDABLE SUSTAINABILITY FOR LOW-INCOME HOME	10,000
DISABILITY NETWORK OF SOUTHWEST MICHIGAN 517 EAST CROSSTOWN PARKWAY KALAMAZOO, MI 49001		PC	INDEPENDENT LIVING PROGRAM	5,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOUGLAS COMMUNITY ASSOCIATION 1000 W PATERSON STREET KALAMAZOO, MI 49007		PC	DOUGLASS PARKING LOT	25,000
DOUGLAS COMMUNITY ASSOCIATION 1000 W PATERSON STREET KALAMAZOO, MI 49007		PC	GENERAL OPERATIONS	25,000
ECUMENICAL SENIOR CENTER 702 N BURDICK ST KALAMAZOO, MI 49007		PC	REMODELING OF COMMERCIAL KITCHEN	10,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EDISON NEIGHBORHOOD ASSOCIATION 816 WASHINGTON AVE KALAMAZOO, MI 49001		PC	PROGRAMS, PROJECTS, & SERVICES	10,000
FIRST DAY SHOE FUND 6750 CHIME STREET KALAMZOO, MI 49009		PC	SHOE DISTRIBUTION 2020	5,000
FONTANA CHAMBER ARTS 359 S BURDICK ST SUITE 200 KALAMAZOO, MI 49007		PC	2020-2021 PROGRAMS	5,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GIRL SCOUTS HEART OF MICHIGAN 601 WEST MAPLE STREET KALAMAZOO, MI 49008		PC	NETWORK REFRESH	15,000
GLASS ART KALAMAZOO 326 W KALAMAZOO AVE 100 KALAMAZOO, MI 49007		PC	STUDIO REOPENING ASSISTANCE	3,000
GOODWILL INDUSTRIES OF SW MICHIGAN 420 E ALCOTT STREET KALAMAZOO, MI 49001		PC	GOODWILL LIFE GUIDES PROGRAMS	15,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOSPICE CARE OF SOUTHWEST MICHIGAN 7100 STADIUM DR KALAMAZOO, MI 49009		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
HOSPITAL HOSPITALITY HOUSE OF SOUTHWEST MICHIGAN 828 S BURDICK ST KALAMAZOO, MI 49001		PC	HHH GRANT PROJECT	10,000
HOUSING RESOURCES INC 420 E ALCOTT ST 200 KALAMAZOO, MI 49001		PC	GENERAL SUPPORT 2020	30,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
HOUSING RESOURCES INC 420 E ALCOTT ST 200 KALAMAZOO, MI 49001		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
IRVING S GILMORE INT'L KEYBOARD FESTIVAL 359 S BURDICK ST SUITE 101 KALAMAZOO, MI 49007		PC	GILMORE KEYBOARD FESTIVAL PROGRAMS	15,000
JULIUS AND ESTER STULBERG STRING COMPETITION INC 359 S KALAMAZOO MALL STE 14 KALAMAZOO, MI 49007		PC	2020 STULBERG INT'L STRING COMPETITION JUDGES	4,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNIOR ACHIEVEMENT OF SOUTHWEST MICHIGAN 2775 W DICKMAN RD H3 SPRINGFIELD, MI 49037		PC	KALAMAZOO FINANCIAL PROGRAMS	3,000
KAIROS DWELLING2945 GULL RD KALAMAZOO, MI 49048		PC	2020 OPERATIONAL EXPENSES	25,000
KALAMAZOO AVIATION HISTORY MUSEUM 3101 E MILHAM RD KALAMAZOO, MI 49002		PC	F-117 NIGHTHAWK ACQUISITION	20,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
KALAMAZOO BOOK ARTS CENTER 326 W KALAMAZOO AVE 103A KALAMAZOO, MI 49007		PC	KALAMAZOO BOOK ARTS CENTER EDUCATION PROGRAM	3,000
KALAMAZOO CHILDREN'S CHORUS PO BOX 50414 KALAMAZOO, MI 49005		PC	STUDENT SCHOLARSHIPS (INDIVIDUAL LESSONS)	2,500
KALAMAZOO CIVIC THEATER 329 S PARK STREET KALAMAZOO, MI 49007		PC	KALAMAZOO CIVIC THEATRE'S 92ND SEASON	150,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO COLLEGE 1200 ACADEMY STREET KALAMAZOO, MI 49006		PC	FESTIVAL PLAYHOUSE GENERAL SUPPORT	40,000
KALAMAZOO COLLEGE 1200 ACADEMY STREET KALAMAZOO, MI 49006		PC	STETSON CHAPEL RENOVATION 2 OF 2	75,000
KALAMAZOO COUNTY READY 4S 259 E MICHIGAN AVE 209 KALAMAZOO, MI 49008		PC	2020-2021 HIGH QUALITY & PRE-K ACCESS	20,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO GARDEN COUNCIL 5333 N WESTNEDGE AVE KALAMAZOO, MI 49004		PC	VETERANS MEMORIAL RAMP	2,500
KALAMAZOO GOSPEL MISSION 448 N BURDICK ST KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
KALAMAZOO IN BLOOMPO BOX 20178 KALAMAZOO, MI 49019		PC	2020 COMMUNITY BEAUTIFICATION	5,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO INSTITUTE OF ARTS 314 SOUTH PARK STREET KALAMAZOO, MI 49007		PC	CHILDREN AND YOUTH ARTS EDUCATIONAL PROGRAM	25,000
KALAMAZOO JUNIOR SYMPHONY 714 S WESTNEDGE KALAMAZOO, MI 49007		PC	COMPREHENSIVE EARLY YOUTH ORCHESTRAL TRAINING	5,000
KALAMAZOO LITERACY COUNCIL 420 E ALCOTT STREET KALAMAZOO, MI 49001		PC	PARENT LITERACY TOGETHER PROGRAM	5,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO LOAVES AND FISHES 901 PORTAGE STREET KALAMAZOO, MI 49001		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
KALAMAZOO LOAVES AND FISHES 901 PORTAGE STREET KALAMAZOO, MI 49001		PC	GROCERY PANTRY PROGRAM	30,000
KALAMAZOO NEIGHBORHOOD HOUSING 1219 S PARK ST KALAMAZOO, MI 49001		PC	HOME OWNERSHIP CENTER AND FORECLOSURE SUPPORT	10,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO NONPROFIT ADVOCACY COALITION 315 W MICHIGAN AVENUE KALAMAZOO, MI 49007		PC	PHASE 1: CRITICAL REPAIRS & ORGANIZATIONAL NEEDS	25,000
KALAMAZOO PUBLIC LIBRARY 315 S ROSE ST KALAMAZOO, MI 49007		PC	ONEPLACE CAPACITY BUILDING CENTER	17,500
KALAMAZOO SYMPHONY ORCHESTRA 359 S BURDICK ST SUITE 100 KALAMAZOO, MI 49007		PC	ARTISTS IN RESIDENCE PROGRAM	20,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
KALAMAZOO VALLEY HABITAT FOR HUMANITY 1126 GULL ROAD KALAMAZOO, MI 49048		PC	HOUSING SOLUTIONS FOR LOW INCOME FAMILIES	20,000
MAKE A WISH FOUNDATION OF MICHIGAN 7600 GRAND RIVER AVENUE STE 175 BRIGHTON, MI 48114		PC	KALAMAZOO-WISH GRANTING PROGRAM	6,000
MINISTRY WITH COMMUNITY 440 N CHURCH STREET KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINISTRY WITH COMMUNITY 440 N CHURCH STREET KALAMAZOO, MI 49007		PC	DROP-IN MEALS PROGRAM	35,000
MINISTRY WITH COMMUNITY 440 N CHURCH STREET KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
NEW YEAR'S FEST OF KALAMAZOO INC 1417 S BURDICK ST KALAMAZOO, MI 49001		PC	NEW YEAR'S FEST HYBRID	10,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
OPEN DOORS KALAMAZOO 1141 S ROSE ST 3 KALAMAZOO, MI 49001		PC	GENERAL SUPPORT	30,000
OPEN ROADS BIKE PROGRAM 1523 RIVERVIEW DRIVE KALAMAZOO, MI 49004		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
OPEN ROADS BIKE PROGRAM 1523 RIVERVIEW DRIVE KALAMAZOO, MI 49004		PC	EARN A BIKE PROGRAM	4,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PARTNERS IN HOUSING TRANSITION 247 WEST LOVELL STREET KALAMAZOO, MI 49007		PC	EVERY CHILD'S HEAD IN A BED PROGRAM	5,000
PRETTY LAKE VACATION CAMP 9123 WEST Q AVENUE MATTAWAN, MI 49071		PC	GENERAL SUPPORT	20,000
READ AND WRITE KALAMAZOO 802 S WESTNEDGE AVE KALAMAZOO, MI 49008		PC	RAWK 2020 VISION EXPANSION	12,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RENAISSANCE ENTERPRISES CO 901 LAY BLVD KALAMAZOO, MI 49001		PC	THERAPEUTIC ENTERTAINMENT FOR CONFINED ELDERS	2,500
SHERMAN LAKE YMCA6225 N 39TH ST AUGUSTA, MI 49012		PC	OUTDOOR CENTER - 25TH ANNIVERSARY CAMPAIGN	75,000
SPECIAL OLYMPICS MICHIGAN 4024 STUDENT RECREATION CENTER WMU KALAMAZOO, MI 49008		PC	KALAMAZOO PARTICIPANTS - SPECIAL OLYMPICS	5,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPECIALIZED LANGUAGE DEVELOPMENT CENTER 5250 LOVERS LANE SUITE LL100 KALAMAZOO, MI 49002		PC	KALAMAZOO PROGRAM SUPPORT	10,000
THE ARC COMMUNITY ADVOCATES 3901 EMERALD DR KALAMAZOO, MI 49001		PC	FAMILY AND ADULT ADVOCACY ACROSS THE LIFESPAN	7,500
TRAUMA RECOVERY ASSOCIATES 1608 LAKE ST KALAMAZOO, MI 49001		PC	COMMUNITY-BASED TRAUMA HEALING PROGRAMS	10,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY OF BATTLE CREEK AND KALAMAZOO REGION 409 S WESTNEDGE AVE KALAMAZOO, MI 49007		PC	ANNUAL CAMPAIGN 2019-2020	60,000
VIBRANT KALAMAZOO 1523 RIVERVIEW DRIVE KALAMAZOO, MI 49004		PC	EASTSIDE SQUARE REDEVELOPMENT PROJECT	100,000
WELLSPRING CORI TERRY & DANCERS 359 S KALAMAZOO MALL 204 KALAMAZOO, MI 49007		PC	OUTREACH PROGRAMS	3,500
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WEST MICHIGAN CANCER CENTER 200 N PARK ST KALAMAZOO, MI 49007		PC	TRUSTEE DIRECTED-GENERAL SUPPORT	500
WEST MICHIGAN CANCER CENTER 200 N PARK ST KALAMAZOO, MI 49007		PC	PATIENT CARE SERVICES & SURVIVORSHIP PROGRAM	7,500
WESTERN MICHIGAN UNIVERSITY FOUNDATION 1903 W MICHIGAN AVE KALAMAZOO, MI 49008		PC	KALAMAZOO-AREA STUDENTS COVID CRISIS 1 OF 3	85,000
Total ▶ 3a				1,721,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
YMCA OF GREATER KALAMAZOO 1001 W MAPLE ST KALAMAZOO, MI 49008		PC	IMPACTS AND INITIATIVES IN THE COVID-19 ERA	100,000
YMCA912 N BURDICK ST KALAMAZOO, MI 49007		PC	EDISON CHILDREN'S CENTER ANNUAL CAMPAIGN 2	75,000
YWCA352 E MICHIGAN AVENUE KALAMAZOO, MI 49007		PC	SEXUAL ASSAULT PROGRAM	75,000
Total ▶ 3a				1,721,500

TY 2020 Accounting Fees Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & TAX SERVICES - PLANTE & MORAN, PLLC	9,910	4,955		4,955

TY 2020 Investments Corporate Bonds Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
APPLE INC 2.8500% 05/06/21	150,328	151,219
DOMINION ENERGY INC 2.000% 8/15/2021	152,082	151,253
DISNEY WALT CO MTNS BE 2.450% 03/04/22	99,944	102,590
DUKE ENERGY CORP NEW 3.050% 08/15/22	99,912	103,590
DR PEPPER SNAPPLE GROUP INC 2.700% 11/15/22	148,268	155,175
AT&T INC 2.6250% 12/01/22	98,455	103,732
MOODYS CORP 2.625% 01/15/2023	156,848	156,502
BURLINGTON NORTHN SANTA FE 3.400% 09/01/24	99,096	109,723
CHEVRON CORP 3.1910% 06/24/23	126,145	132,945
DTE ENERGY CO 1.050% 06/01/2025	151,129	151,684
GOLDMAN SACHS GROUP INC 3.500% 04/01/2025	159,996	166,648
INTUIT 0.950% 07/15/2025	151,701	151,883
JP MORGAN CHASE & CO 3.200% 06/15/26	140,625	167,755
MACDONALDS CORP MED TERM NT 1.450% 09/01/2025	153,386	155,551
MORGAN STANLEY 3.875% 04/29/2024	110,271	110,782
ORACEL CORP 2.500% 04/01/2025	157,087	161,214
PAYPAL HOLDINGS INC 1.650% 06/01/2025	152,088	156,717
REPUBLIC SERVICES INC 2.900% 07/01/26	93,393	110,492
S&P GLOBAL INC 2.950% 01/22/2027	159,253	165,991
SOUTHERN PWR CO 2.950% 07/01/23	158,594	158,845

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
STARBUCKS CORP 2.000% 03/12/2027	150,990	159,174
UNITEDHEALTH GROUP INC 3.100% 03/15/26	48,201	56,033
WAL-MART STORES INC 2.550% 04/11/23	125,182	130,953
WASTE MANAGEMENT INC 3.125% 03/01/25	97,250	109,482
PACKAGING CORP AMERICA 3.400% 12/15/2027	159,248	169,004

TY 2020 Investments Corporate Stock Schedule

Name: DOROTHY U DALTON FOUNDATION INC
 EIN: 38-2240062

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ADOBE SYSTEMS INC	74,060	109,026
ALPHABET INC. CL C	171,716	336,361
AMAZON.COM INC	163,018	315,922
AMERCIAN TOWER CORP	145,580	219,971
APPLE INC	14,686	127,515
BERKSHIRE HATHAWAY CL B	234,653	269,433
BOOKING HOLDINGS INC	117,613	140,318
CANADIAN PACIFIC RAILWAY LTD	97,680	228,815
COLGATE-PALMOLIVE CO	78,177	97,481
DANAHER CORP	45,097	148,834
DOLLAR GENERAL CORP	52,510	159,828
EDWARDS LIFESCIENCES CORP	38,767	135,477
FACEBOOK INC CL A	145,039	208,967
HONEYWELL INTERNATIONAL INC	82,691	185,049
LABORATORY CORP OF AMERICA HOLDINGS	77,799	101,775
MICROSOFT CORPORATION	100,202	188,167
MONDELEZ INTERNATIONAL INC CL A	156,268	203,476
NESTLE SA ADR	87,190	120,307
NIKE INC CL B	63,688	157,739
PAYPAL HOLDINGS INC	25,732	200,241
PEPSICO INC	20,090	110,484
ROPER TECHNOLOGIES, INC.	63,966	161,659
S&P GLOBAL INC	76,629	100,591
SALESFORCE.COM INC.	43,667	129,067
STARBUCKS CORP	96,346	180,261
STRYKER CORP	11,812	118,844
TJX COMPANIES, INC	103,116	185,749
US BANCORP	71,903	75,942
VISA INC CL A	153,545	367,466

TY 2020 Investments Government Obligations Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062**US Government Securities - End
of Year Book Value:**

2,534,821

**US Government Securities - End
of Year Fair Market Value:**

2,720,810

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2020 Investments - Other Schedule

Name: DOROTHY U DALTON FOUNDATION INC

EIN: 38-2240062

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ASG MANAGED FUTURES STRATEGY FUND N	AT COST	602,560	669,347
DELAWARE EMERGING MARKETS R6	AT COST	849,762	1,617,194
IQ MERGER ARBITRAGE ETF	AT COST	572,618	619,938
ISHARES CORE MSCI EAFE ETF	AT COST	1,626,607	1,726,559
ISHARES CORE S&P SMALLCAP 600 INDEX	AT COST	867,188	1,207,107
ISHARES CORE S&P MIDCAP 400 INDEX	AT COST	1,329,836	1,789,227
ISHARES CORE S&P 500 INDEX	AT COST	6,757,071	11,286,100
ISHARES AGENCY BOND ETF	AT COST	300,168	297,560
MATTHEWS PACIFIC TIGER FUND CL I	AT COST	1,077,214	1,630,940
PEAR TREE POLARIS FOREIGN VALUE FUND R6	AT COST	1,273,000	1,318,618
WCM FOCUSED INTERNATIONAL GROWTH FUND	AT COST	1,221,135	1,572,902
MFS EMERGING MARKETS DEBT FUND R6	AT COST	300,000	311,111
VANGUARD S/T INFLATION PROTECTED SEC ADMIRAL	AT COST	490,000	509,524

TY 2020 Other Expenses Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	40	0		40
SUBSCRIPTIONS	16,000	0		16,000
ADR FEES	20	20		0
BANK FEES	209	0		209

TY 2020 Other Professional Fees Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT & MANAGEMENT SERVICES - GREENLEAF TRUST	184,962	160,962		24,000

TY 2020 Taxes Schedule**Name:** DOROTHY U DALTON FOUNDATION INC**EIN:** 38-2240062**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	18,020	18,020		0
EXCISE TAX	26,000	0		0