

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation HUMBERT ROGERS CHARITABLE TRUST		A Employer identification number 37-6311964	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 11647	Room/suite	B Telephone number (see instructions) (205) 820-3141	
City or town, state or province, country, and ZIP or foreign postal code BIRMINGHAM, AL 35202		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>987,471</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	20,015	20,015		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,241			
	b Gross sales price for all assets on line 6a <u>141,094</u>				
	7 Capital gain net income (from Part IV, line 2)		2,241		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	22,256	22,256			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	13,058	10,447		2,612
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	1,500	0	0	1,500
	c Other professional fees (attach schedule)	1,275	1,275		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	501	104		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	17	2		15
	24 Total operating and administrative expenses. Add lines 13 through 23	16,351	11,828	0	4,127
	25 Contributions, gifts, grants paid	39,094			39,094
26 Total expenses and disbursements. Add lines 24 and 25	55,445	11,828	0	43,221	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-33,189				
b Net investment income (if negative, enter -0-)		10,428			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	17,758	29,089	29,089
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	751,055	706,384	958,382
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	768,813	735,473	987,471	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	768,813	735,473	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	768,813	735,473		
30 Total liabilities and net assets/fund balances (see instructions) .	768,813	735,473		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	768,813
2 Enter amount from Part I, line 27a	2	-33,189
3 Other increases not included in line 2 (itemize) ▶ _____	3	145
4 Add lines 1, 2, and 3	4	735,769
5 Decreases not included in line 2 (itemize) ▶ _____	5	296
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	735,473

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	2,241
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 299, with 148 credited to 2021 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	895,570
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	895,570
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	895,570
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	13,434
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	882,136
6	Minimum investment return. Enter 5% of line 5.	6	44,107

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	44,107
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	145
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	145
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	43,962
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	43,962
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	43,962

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	43,221
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	43,221
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	43,221

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				43,962
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			39,094	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>43,221</u>				
a Applied to 2019, but not more than line 2a			39,094	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				4,127
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				39,835
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
See Additional Data Table				
Total ▶ 3a				39,094
<i>b Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
146. AT&T INC		2018-06-27	2020-02-18
10. EOG RESOURCES INC		2019-03-04	2020-02-18
36. EOG RESOURCES INC		2018-05-23	2020-02-18
26. JB HUNT TRANSPORT SERVICES INC		2017-04-28	2020-02-18
17. UNITED PARCEL SERVICE INC		2018-02-08	2020-02-18
32. COGNIZANT TECHNOLOGY SOLUTIONS CORP		2014-12-02	2020-03-20
37. CARRIER GLOBAL CORP		2018-06-27	2020-04-07
18. OTIS WORLDWIDE CORP		2018-06-27	2020-04-07
.5 OTIS WORLDWIDE CORP		2005-04-28	2020-04-17
59. CISCO SYSTEMS INC		2018-04-13	2020-04-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,583		4,960	623
736		958	-222
2,651		4,423	-1,772
2,851		2,351	500
1,805		1,904	-99
1,458		1,739	-281
562		525	37
832		775	57
22		13	9
2,406		2,532	-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			623
			-222
			-1,772
			500
			-99
			-281
			37
			57
			9
			-126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
125. FIFTH THIRD BANCORP		2019-11-06	2020-04-21
19. STRYKER CORP		2018-05-23	2020-04-21
1060.918 INVESCO INTERNATIONAL GROWTH FUND		2018-02-12	2020-04-27
764.901 BAIRD AGGREGATE BOND FUND		2019-03-04	2020-05-05
193.526 DOUBLELINE TOTAL RETURN BOND FUND		2019-11-05	2020-05-05
1071.806 FIDELITY ADV INV GR BND-Z		2019-03-04	2020-05-05
462.963 ARTISAN DEVELOPING WORLD FUND		2019-04-22	2020-05-27
80.451 BARON EMERGING MARKETS FUND		2018-06-27	2020-05-27
19.476 DOUBLELINE EMG MKTS INC-I		2019-11-05	2020-06-26
459.529 DOUBLELINE EMG MKTS INC-I		2018-03-16	2020-06-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,070		3,766	-1,696
3,452		2,213	1,239
28,815		38,394	-9,579
8,850		8,123	727
2,040		2,069	-29
9,110		8,317	793
8,000		6,231	1,769
1,000		1,110	-110
196		205	-9
4,627		4,811	-184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,696
			1,239
			-9,579
			727
			-29
			793
			1,769
			-110
			-9
			-184

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. AMAZON COM INC		2017-04-28	2020-08-12
61. EQUITY RESIDENTIAL		2019-07-12	2020-08-12
8. NVIDIA CORP		2019-04-08	2020-08-12
35. ROSS STORES INC		2019-04-08	2020-08-12
1446.812 WILLIAM BLAIR MACRO ALLOCATION FUND		2018-02-12	2020-08-13
6. APPLE INC		2018-02-08	2020-09-22
6. LILLY ELI & CO		2018-02-12	2020-09-22
2. NVIDIA CORP		2019-04-08	2020-09-22
353.854 ARTISAN DEVELOPING WORLD FUND		2019-04-22	2020-10-26
709.683 BARON EMERGING MARKETS FUND		2018-06-27	2020-10-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,164		937	2,227
3,329		4,715	-1,386
3,663		1,520	2,143
3,270		3,292	-22
15,380		17,333	-1,953
666		240	426
896		460	436
976		380	596
8,489		4,763	3,726
11,511		9,794	1,717

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,227
			-1,386
			2,143
			-22
			-1,953
			426
			436
			596
			3,726
			1,717

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MURPHYSBORO HIGH SCHOOL 50 BLACKWOOD DR MURPHYSBORO, IL 62966	NONE	PC	SCHOLARSHIPS	24,000
ST JOSEPH MEMORIAL HOSPITAL 2 HOSPITAL DRIVE MURPHYSBORO, IL 62966	NONE	PC	GENERAL OPERATING	10,094
SAINT ANDREW ROMAN CATHOLIC ELEMENTARY 723 MULBERRY ST MURPHYSBORO, IL 62966	NONE	PC	SCHOLARSHIPS	5,000
Total ▶ 3a				39,094

TY 2020 Accounting Fees Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,500			1,500

TY 2020 Investments - Other Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
58933Y105 MERCK & CO INC	AT COST	2,983	4,744
742718109 PROCTER & GAMBLE CO/	AT COST	1,934	2,922
913017109 UNITED TECHNOLOGIES			
92343V104 VERIZON COMMUNICATIO	AT COST	4,867	6,051
06828M876 BARON EMERGING MARKE	AT COST	29,336	50,384
256677105 DOLLAR GENERAL CORP	AT COST	2,684	5,468
65339F101 NEXTERA ENERGY INC	AT COST	1,536	5,555
863667101 STRYKER CORP			
931142103 WAL MART STORES INC	AT COST	5,129	7,640
911312106 UNITED PARCEL SERVIC	AT COST	3,830	5,389
91324P102 UNITED HEALTH GROUP	AT COST	5,425	6,312
92826C839 VISA INC	AT COST	5,675	8,749
98978V103 ZOETIS INC	AT COST	4,391	7,944
26875P101 EOG RESOURCES INC			
461202103 INTUIT INC	AT COST	770	3,419
883556102 THERMO FISHER SCIENT	AT COST	3,051	7,918
969251750 WILLIAM BLAIR MACRO			
H1467J104 CHUBB LTD	AT COST	3,823	5,079
N53745100 LYONDELLBASELL INDU-	AT COST	3,527	4,400
023135106 AMAZON COM INC	AT COST	5,654	16,285
17275R102 CISCO SYSTEMS INC	AT COST	1,640	2,282
192446102 COGNIZANT TECHNOLOGY			
20030N101 COMCAST CORP	AT COST	4,348	6,078
258620103 DOUBLELINE TOTAL RET			
278865100 ECOLAB INC	AT COST	1,365	2,813
778296103 ROSS STORES INC			
166764100 CHEVRONTEXACO CORP	AT COST	5,515	4,391
172967424 CITIGROUP INC	AT COST	2,847	3,083
580135101 MC DONALDS CORPORATI	AT COST	2,199	4,077
594918104 MICROSOFT CORP COM	AT COST	9,093	21,797

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
00206R102 AT&T INC			
057071854 BAIRD AGGREGATE BOND	AT COST	73,860	83,423
128119880 CALAMOS MARKET NEUTR	AT COST	33,630	35,286
30231G102 EXXON MOBIL CORP	AT COST	5,230	3,627
445658107 JB HUNT TRANSPORT SE			
464287507 ISHARES CORE S& P MI	AT COST	33,635	54,010
46625H100 J P MORGAN CHASE & C	AT COST	3,588	8,387
46637K265 JPMORGAN HEDGED EQUI	AT COST	33,958	41,722
713448108 PEPSICO INC	AT COST	3,882	6,970
00770G847 JOHCM INTERNATIONAL	AT COST	34,145	45,597
464287804 ISHARES CORE S& P SM	AT COST	31,347	52,015
744320102 PRUDENTIAL FINANCIAL	AT COST	2,128	1,874
92939U106 WEC ENERGY GROUP INC	AT COST	1,860	2,485
G29183103 EATON CORP PLC	AT COST	3,142	6,608
00203H859 AQR MANAGED FUTURES	AT COST	17,390	15,270
12572Q105 CME GROUP INC	AT COST	2,283	2,367
437076102 HOME DEPOT INC/THE	AT COST	3,325	6,375
438516106 HONEYWELL INTERNATIO	AT COST	2,726	6,168
79466L302 SALESFORCE COM INC	AT COST	2,097	3,338
02079K305 ALPHABET INC/CA	AT COST	2,539	7,011
037833100 APPLE INC	AT COST	5,325	27,865
09247X101 BLACKROCK INC	AT COST	2,775	5,051
258620509 DOUBLELINE EMG MKTS	AT COST	7,474	7,638
532457108 ELI LILLY & CO	AT COST	2,429	5,403
31635T807 FIDELITY ADV INV GR	AT COST	73,649	83,061
316773100 FIFTH THIRD BANCORP			
04314H592 ARTISAN DEVELOPING W	AT COST	10,152	20,145
89832Q109 TRUIST FINANCIAL COR	AT COST	2,330	3,499
254687106 WALT DISNEY CO/THE	AT COST	6,117	7,972
29476L107 EQUITY RESIDENTIAL			

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
00889A400 INVESCO INTERNATIONA			
609207105 MONDELEZ INTERNATION	AT COST	4,770	5,145
464287739 ISHARES U.S. REAL ES	AT COST	4,636	4,539
92532F100 VERTEX PHARMACEUTICA	AT COST	2,080	2,600
126650100 CVS HEALTH CORP	AT COST	4,812	5,327
553530106 MSC INDUSTRIAL DIREC	AT COST	2,512	2,785
67066G104 NVIDIA CORP	AT COST	2,526	7,311
48121L114 JPMORGAN STRAT INC O	AT COST	32,542	32,599
74440Y884 PGIM HIGH YIELD FUND	AT COST	23,211	25,287
412295107 HARDING LOEVNER FDS	AT COST	28,263	40,141
78410G104 SBA COMMUNICATIONS C	AT COST	3,346	3,103
75513E101 RAYTHEON TECHNOLOGIE	AT COST	3,774	4,934
22160K105 COSTCO WHSL CORP NEW	AT COST	3,421	3,768
57636Q104 MASTERCARD INC	AT COST	2,740	2,856
25746U109 DOMINION ENERGY INC	AT COST	4,329	4,061
30303M102 FACEBOOK INC	AT COST	5,447	6,829
747525103 QUALCOMM INC	AT COST	4,872	9,140
922020813 VANGUARD EMERG MKTS	AT COST	4,824	5,138
09258N570 BLACKROCK TACT OPPOR	AT COST	15,380	15,347
09062X103 BIOGEN IDEC INC	AT COST	1,757	1,469
693506107 PPG INDUSTRIES INC	AT COST	3,618	4,327
258620566 DOUBLELINE TTL RTRN	AT COST	34,886	35,729

TY 2020 Other Decreases Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964

Description	Amount
COST BASIS ADJUSTMENT	296

TY 2020 Other Expenses Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	15	0		15
INVESTMENT EXPENSES-DIVIDEND I	2	2		0

TY 2020 Other Increases Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964**Other Increases Schedule**

Description	Amount
MUTUAL FUND TIMING ADJ	145

TY 2020 Other Professional Fees Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED)	1,275	1,275		

TY 2020 Taxes Schedule**Name:** HUMBERT ROGERS CHARITABLE TRUST**EIN:** 37-6311964**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	104	104		0
FEDERAL ESTIMATES - PRINCIPAL	397	0		0
FOREIGN TAXES ON NONQUALIFIED		0		0