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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020

, and ending 12-31-2020

Name of foundation  
ERIC A BAAE AND MARY C BAAE CHARITABLE

Number and street (or P.O. box number if mail is not delivered to street address)  
6325 S RAINBOW BLVD STE 300

City or town, state or province, country, and ZIP or foreign postal code  
LAS VEGAS, NV 89118

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,148,414

J Accounting method:

☐ Cash

☐ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

A Employer identification number  
35-6713433

B Telephone number (see instructions)  
(888) 730-4933

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here..... ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ▶ ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 2)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less: Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

Operating and Administrative Expenses

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements



b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)



For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2020)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	2,651	3,825	3,825
	<b>2</b> Savings and temporary cash investments . . . . .	109,990	32,370	32,370
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		377,065	684,607
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	1,478,272 	1,226,078	1,427,612
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,590,913	1,639,338	2,148,414	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	1,590,913	1,639,338	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds			
<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	1,590,913	1,639,338		
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	1,590,913	1,639,338		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	1,590,913
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	49,219
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____ 	<b>3</b>	60
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,640,192
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____ 	<b>5</b>	854
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	1,639,338

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss) <span style="float: right;">{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }</span>		<b>2</b>	114,449
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <span style="float: right;">{ . . . . . }</span>		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income****SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved . . . . .		<b>2</b>	
<b>3</b> Reserved. . . . .		<b>3</b>	
<b>4</b> Reserved . . . . .		<b>4</b>	
<b>5</b> Reserved . . . . .		<b>5</b>	
<b>6</b> Reserved . . . . .		<b>6</b>	
<b>7</b> Reserved . . . . .		<b>7</b>	
<b>8</b> Reserved , . . . .		<b>8</b>	

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Reserved.	<b>1</b>	1,950
<b>c</b>	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2.	<b>3</b>	1,950
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	<b>5</b>	1,950
<b>6</b>	Credits/Payments:		
<b>a</b>	2020 estimated tax payments and 2019 overpayment credited to 2020	<b>6a</b>	632
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d.	<b>7</b>	632
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .	<b>9</b>	1,318
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> 0 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	<b>1a</b>	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>	No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?	<b>1c</b>	No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation. ► \$ _____ <b>(2)</b> On foundation managers. ► \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>	No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<b>3</b>	No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>	No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>	No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	Yes
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	Yes
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ► IN _____		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	Yes
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<b>9</b>	No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>	No

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>▶</b> N/A	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of <b>▶</b> WELLS FARGO BANK NA Telephone no. <b>▶</b> (888) 730-4933			

Located at **▶** 100 N MAIN ST MAC D4001-117 WINSTON SALEM NCZIP+4 **▶** 27101

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <b>▶</b> <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . <b>▶</b> <b>15</b>			
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country <b>▶</b>			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

<b>1a</b>	During the year did the foundation (either directly or indirectly):		<b>Yes</b>	<b>No</b>
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . . <input type="checkbox"/>	<b>1c</b>		<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <b>▶</b> 20____, 20____, 20____, 20____			
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . . <input type="checkbox"/>	<b>2b</b>		
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. <b>▶</b> 20____, 20____, 20____, 20____			
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . . <input type="checkbox"/>	<b>3b</b>		
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>		<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>		<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	<i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
	<i>If "Yes" to 6b, file Form 8870.</i>			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
WELLS FARGO BANK N A 100 N MAIN ST MAC D4001-117 WINSTON SALEM, NC 27101	TRUSTEE 1	28,597		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b> . . . . .				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	1,845,902
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	68,579
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	1,914,481
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,914,481
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	28,717
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,885,764
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	94,288

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	94,288
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	1,950
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,950
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	92,338
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	92,338
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	92,338

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	93,106
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	93,106
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	93,106

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				92,338
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			84,201	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	0			
<b>b</b> From 2016. . . . .	0			
<b>c</b> From 2017. . . . .	0			
<b>d</b> From 2018. . . . .	0			
<b>e</b> From 2019. . . . .	0			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ► \$ 93,106				
<b>a</b> Applied to 2019, but not more than line 2a			84,201	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				8,905
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6 Enter the net total of each column as indicated below:</b>	0			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				83,433
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	0			
<b>b</b> Excess from 2017. . . . .	0			
<b>c</b> Excess from 2018. . . . .	0			
<b>d</b> Excess from 2019. . . . .	0			
<b>e</b> Excess from 2020. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
WELLS FARGO BANK ATTN JENNIFER KING  
11 EAST WAYNE ST  
FORT WAYNE, IN 46802  
(260) 461-6458

**b** The form in which applications should be submitted and information and materials they should include:  
LETTER FORMAT

**c** Any submission deadlines:  
NONE

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
ALLEN COUNTY CHARITABLE ORGANIZATION

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			▶ <b>3a</b>	85,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . .			14	48,715	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	114,449	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
<b>a</b> FEDERAL TAX REFUND _____			1	1,943	
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). .				165,107	

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**[illegible]

## Part XVII

		Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>		<b>No</b>
--------------	--	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
--------------	--	-----------

<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<p><b>Sign Here</b></p> <p>*****</p> <hr/> <p>Signature of officer or trustee</p>	<p>2021-05-04</p> <hr/> <p>Date</p>	<p>*****</p> <hr/> <p>Title</p>
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May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	JOSEPH J CASTRIANO		2021-05-04		
	Firm's name ► PRICEWATERHOUSECOOPERS LLP				Firm's EIN ► 13-4008324
	Firm's address ► 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45. ZOETIS INC		2017-04-25	2020-02-03
16. ZOETIS INC		2019-08-07	2020-02-03
45. AT & T INC			2020-02-12
1. ALPHABET INC CL C		2019-08-07	2020-02-12
1. ALPHABET INC CL C		2018-11-15	2020-02-12
13. APPLE INC		2019-08-07	2020-02-12
5. BLACKROCK INC			2020-02-12
3. BOEING CO		2019-11-06	2020-02-12
32. CVS HEALTH CORPORATION			2020-02-12
13. CELANESE CORP		2019-08-07	2020-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,099		2,481	3,618
2,169		1,912	257
1,714		1,757	-43
1,518		1,164	354
1,518		1,039	479
4,179		2,539	1,640
2,874		1,923	951
1,040		1,063	-23
2,393		2,650	-257
1,430		1,360	70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,618
			257
			-43
			354
			479
			1,640
			951
			-23
			-257
			70

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
24. CITIGROUP INC.		2019-07-31	2020-02-12
31. COGNIZANT TECH SOLUTIONS CRP COM		2019-08-07	2020-02-12
8. DIAGEO PLC - ADR		2019-08-07	2020-02-12
10. WALT DISNEY CO		2019-08-07	2020-02-12
10. ELECTRONIC ARTS INC		2019-02-15	2020-02-12
6. HOME DEPOT INC		2019-08-07	2020-02-12
89. ISHARES S&P MID-CAP 400 GROWTH		2010-08-12	2020-02-12
9. ISHARES RUSSELL 2000 ETF		2018-01-25	2020-02-12
120. ISHARES S&P MID-CAP 400 VALUE			2020-02-12
11. JPMORGAN CHASE & CO		2019-10-18	2020-02-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,915		1,708	207
2,141		1,915	226
1,290		1,314	-24
1,410		1,339	71
1,092		1,065	27
1,449		1,230	219
21,760		7,169	14,591
1,512		1,429	83
20,449		9,060	11,389
1,528		1,323	205

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			207
			226
			-24
			71
			27
			219
			14,591
			83
			11,389
			205

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
6. JPMORGAN CHASE & CO			2020-02-12
3927.087 JOHN HANCOCK II-ABSLT RTRN-I 3643			2020-02-12
278. JOHN HANCOCK II-ABSLT RTRN-I 3643		2019-05-10	2020-02-12
9. ELI LILLY & CO COM			2020-02-12
70. MANULIFE FINANCIAL CORP		2019-10-18	2020-02-12
15. MERCK & CO INC NEW		2019-08-07	2020-02-12
24. MICROSOFT CORP		2019-08-07	2020-02-12
1. MICROSOFT CORP		2018-11-15	2020-02-12
35. MONDELEZ INTERNATIONAL INC		2019-08-07	2020-02-12
18. NIKE INC CL B		2019-08-07	2020-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
833		620	213
34,401		37,495	-3,094
2,435		2,474	-39
1,302		765	537
1,415		1,295	120
1,270		1,253	17
4,451		3,203	1,248
185		105	80
2,060		1,843	217
1,812		1,461	351

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			213
			-3,094
			-39
			537
			120
			17
			1,248
			80
			217
			351



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7. PNC FINANCIAL SERVICES GROUP		2015-08-28	2020-02-12
626.139 T ROWE PR REAL ESTATE-I #432			2020-02-12
472. SPDR DJ WILSHIRE INTERNATIONAL R ETF		2009-04-21	2020-02-12
54. SUNCOR ENERGY INC NEW F		2018-11-26	2020-02-12
10. TARGET CORP		2014-10-28	2020-02-12
4. THERMO FISHER SCIENTIFIC INC		2019-08-07	2020-02-12
24. TORONTO DOMINION BK ONT COM NEW		2019-07-31	2020-02-12
32. TOTAL S.A. - ADR		2018-11-26	2020-02-12
8. UNION PACIFIC CORP		2019-08-07	2020-02-12
1. UNITED PARCEL SERVICE-CL B		2018-11-26	2020-02-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,092		639	453
16,705		17,422	-717
18,486		11,106	7,380
1,603		1,751	-148
1,167		607	560
1,356		1,093	263
1,369		1,400	-31
1,589		1,758	-169
1,475		1,324	151
106		111	-5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			453
			-717
			7,380
			-148
			560
			263
			-31
			-169
			151
			-5

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14. UNITED PARCEL SERVICE-CL B		2019-08-07	2020-02-12
8. UNITEDHEALTH GROUP INC		2019-08-07	2020-02-12
1. UNITEDHEALTH GROUP INC		2018-11-15	2020-02-12
128. VANGUARD SHORT TERM BOND ETF			2020-02-12
218. VANGUARD SHORT TERM BOND ETF		2019-02-27	2020-02-12
15. EATON CORP PLC		2018-11-26	2020-02-12
10. MEDTRONIC PLC		2019-07-19	2020-02-12
110. FLEXTRONICS INTL LTD		2018-03-08	2020-02-12
36. HOME DEPOT INC		2017-03-31	2020-03-04
3. HOME DEPOT INC		2019-08-07	2020-03-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,480		1,595	-115
2,368		1,948	420
296		263	33
10,365		10,074	291
17,653		17,229	424
1,567		1,086	481
1,181		1,012	169
1,490		2,045	-555
8,556		5,291	3,265
713		615	98

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-115
			420
			33
			291
			424
			481
			169
			-555
			3,265
			98

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. THERMO FISHER SCIENTIFIC INC		2019-08-07	2020-03-04
15. EATON CORP PLC			2020-03-04
29. EATON CORP PLC		2019-08-07	2020-03-04
12. CVS HEALTH CORPORATION			2020-03-17
12. CVS HEALTH CORPORATION		2019-09-10	2020-03-17
9. ELI LILLY & CO COM		2019-08-07	2020-03-17
18. MONDELEZ INTERNATIONAL INC		2019-08-07	2020-03-17
2. UNION PACIFIC CORP		2019-08-07	2020-03-17
5. UNION PACIFIC CORP		2010-08-12	2020-03-17
67. EATON CORP PLC			2020-03-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,295		1,093	202
1,461		804	657
2,824		2,204	620
704		952	-248
704		763	-59
1,250		991	259
888		948	-60
256		331	-75
640		185	455
4,973		2,464	2,509

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			202
			657
			620
			-248
			-59
			259
			-60
			-75
			455
			2,509

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
459.395 EATON VANCE GLOB MACRO ADV-I 208		2017-10-24	2020-04-03
17.479 FIDELITY NEW MRKTS INC-Z #3323		2013-10-11	2020-04-03
540. ISHARES CORE U.S. AGGREGATE ETF		2020-02-12	2020-04-03
39. ISHARES CORE MSCI EMERGING ETF		2020-02-12	2020-04-03
215. ISHARES CORE MSCI EMERGING ETF		2018-09-25	2020-04-03
57. PNC FINANCIAL SERVICES GROUP			2020-04-03
419.272 PRINCIPAL HIGH YIELD-R6 #4264			2020-04-03
585. VANGUARD INTERMEDIATE TERM B			2020-04-03
69. VANGUARD INTERMEDIATE TERM B		2020-02-12	2020-04-03
45. VANGUARD FTSE DEVELOPED ETF		2019-08-07	2020-04-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,387		4,920	-533
222		275	-53
62,012		61,627	385
1,537		2,088	-551
8,470		11,133	-2,663
5,112		5,073	39
2,558		3,011	-453
52,101		50,036	2,065
6,145		6,128	17
1,430		1,784	-354

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-533
			-53
			385
			-551
			-2,663
			39
			-453
			2,065
			17
			-354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1158. VANGUARD FTSE DEVELOPED ETF			2020-04-03
941. VANGUARD FTSE EMERGING MARKETS ETF		2009-04-21	2020-04-03
40. EOG RESOURCES, INC			2020-04-27
68. EOG RESOURCES, INC			2020-04-27
11. ELECTRONIC ARTS INC		2020-04-03	2020-04-27
4. ELECTRONIC ARTS INC		2019-02-15	2020-04-27
4. THERMO FISHER SCIENTIFIC INC		2020-04-03	2020-04-27
.066 RAYTHEON TECHNOLOGIES CORP		2019-10-18	2020-04-29
22. AT & T INC		2019-10-18	2020-05-12
6. APPLE INC		2020-04-03	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,786		42,892	-6,106
30,794		24,156	6,638
1,788		2,939	-1,151
3,040		7,169	-4,129
1,277		1,131	146
464		426	38
1,368		1,132	236
4		6	-2
650		836	-186
1,910		1,457	453

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6,106
			6,638
			-1,151
			-4,129
			146
			38
			236
			-2
			-186
			453

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. BLACKROCK INC		2020-04-03	2020-05-12
1. BOEING CO		2019-11-06	2020-05-12
1. BROADCOM INC		2019-09-10	2020-05-12
12. CVS HEALTH CORPORATION			2020-05-12
40. CVS HEALTH CORPORATION		2019-09-10	2020-05-12
4. CELANESE CORP		2019-08-07	2020-05-12
15. CISCO SYSTEMS INC		2019-08-07	2020-05-12
12. CITIGROUP INC.		2019-07-31	2020-05-12
8. COGNIZANT TECH SOLUTIONS CRP COM		2019-08-07	2020-05-12
3. DIAGEO PLC - ADR		2019-08-07	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,399		1,284	115
133		354	-221
276		293	-17
764		911	-147
2,548		2,545	3
322		418	-96
657		777	-120
528		854	-326
437		494	-57
426		493	-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			115
			-221
			-17
			-147
			3
			-96
			-120
			-326
			-57
			-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3. WALT DISNEY CO		2019-08-07	2020-05-12
4. ELECTRONIC ARTS INC		2020-04-03	2020-05-12
3. FIDELITY NATL INFORMATION SVCS INC		2020-03-04	2020-05-12
20. GILEAD SCIENCES INC			2020-05-12
3. GILEAD SCIENCES INC		2020-04-03	2020-05-12
13. HAIN CELESTIAL GROUP INC		2015-12-17	2020-05-12
29. ISHARES S&P MID-CAP 400 GROWTH		2020-04-03	2020-05-12
210. ISHARES S&P MID-CAP 400 GROWTH		2010-08-12	2020-05-12
335. ISHARES RUSSELL 2000 ETF		2018-01-25	2020-05-12
91. ISHARES S&P MID-CAP 400 VALUE		2020-04-03	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
326		402	-76
467		411	56
387		447	-60
1,596		1,515	81
239		232	7
396		533	-137
6,007		4,920	1,087
43,502		16,915	26,587
43,510		53,200	-9,690
11,089		9,381	1,708

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-76
			56
			-60
			81
			7
			-137
			1,087
			26,587
			-9,690
			1,708

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
301. ISHARES S&P MID-CAP 400 VALUE			2020-05-12
34. ISHARES CORE MSCI EMERGING ETF		2018-09-25	2020-05-12
6. JPMORGAN CHASE & CO		2019-05-09	2020-05-12
11. LAS VEGAS SANDS CORP		2018-10-01	2020-05-12
2. ELI LILLY & CO COM		2020-04-03	2020-05-12
43. MANULIFE FINANCIAL CORP			2020-05-12
5. MERCK & CO INC NEW		2019-08-07	2020-05-12
10. MICROSOFT CORP		2020-04-03	2020-05-12
46. MONDELEZ INTERNATIONAL INC			2020-05-12
6. NIKE INC CL B		2019-08-07	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36,679		20,026	16,653
1,496		1,761	-265
538		669	-131
523		657	-134
318		282	36
530		780	-250
391		418	-27
1,867		1,551	316
2,342		2,413	-71
549		487	62

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			16,653
			-265
			-131
			-134
			36
			-250
			-27
			316
			-71
			62



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7876.113 PRINCIPAL HIGH YIELD-R6 #4264		2019-10-09	2020-05-12
3. RAYTHEON TECHNOLOGIES CORP		2019-10-18	2020-05-12
104.58 JAMES ALPHA GL REAL EST-I		2020-02-13	2020-05-12
10. SUNCOR ENERGY INC NEW F		2019-08-07	2020-05-12
9. SUNCOR ENERGY INC NEW F		2018-11-26	2020-05-12
6. TJX COMPANIES INC		2020-03-04	2020-05-12
3. TARGET CORP		2020-04-03	2020-05-12
1. THERMO FISHER SCIENTIFIC INC		2010-08-12	2020-05-12
11. TORONTO DOMINION BK ONT COM NEW		2019-07-31	2020-05-12
11. TOTAL S.A. - ADR			2020-05-12

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
51,274		55,842	-4,568
174		257	-83
1,483		2,064	-581
172		280	-108
155		292	-137
292		373	-81
363		281	82
337		45	292
453		641	-188
385		583	-198

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,568
			-83
			-581
			-108
			-137
			-81
			82
			292
			-188
			-198

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7. UNILEVER N.V. - ADR		2020-02-04	2020-05-12
2. UNION PACIFIC CORP		2019-08-24	2020-05-12
5. UNITED PARCEL SERVICE-CL B		2018-11-26	2020-05-12
2. UNITEDHEALTH GROUP INC		2019-08-07	2020-05-12
24. VANGUARD FTSE DEVELOPED ETF		2013-02-08	2020-05-12
2. VISA INC-CLASS A SHRS		2020-03-17	2020-05-12
2. WASTE MANAGEMENT INC		2020-03-04	2020-05-12
4. MEDTRONIC PLC		2019-07-19	2020-05-12
4. TE CONNECTIVITY LTD		2019-08-07	2020-05-12
39. FLEXTRONICS INTL LTD		2018-03-08	2020-05-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
346		411	-65
313		357	-44
474		553	-79
583		487	96
861		872	-11
367		316	51
202		240	-38
396		405	-9
298		352	-54
380		725	-345

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-65
			-44
			-79
			96
			-11
			51
			-38
			-9
			-54
			-345

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
38. HAIN CELESTIAL GROUP INC		2015-12-17	2020-05-19
28. TJX COMPANIES INC			2020-05-19
13. ELECTRONIC ARTS INC			2020-05-29
90. TJX COMPANIES INC		2011-06-29	2020-05-29
26. TJX COMPANIES INC			2020-05-29
2423.562 JAMES ALPHA GL REAL EST-I			2020-06-17
27. CVS HEALTH CORPORATION			2020-07-20
14. COGNIZANT TECH SOLUTIONS CRP COM		2016-03-16	2020-07-20
11. COGNIZANT TECH SOLUTIONS CRP COM		2019-08-07	2020-07-20
7. AT & T INC		2019-10-18	2020-08-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,107		1,558	-451
1,413		1,626	-213
1,558		1,291	267
4,761		1,172	3,589
1,375		1,287	88
39,286		44,291	-5,005
1,724		1,589	135
858		825	33
674		679	-5
213		266	-53

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-451
			-213
			267
			3,589
			88
			-5,005
			135
			33
			-5
			-53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
36. AT & T INC		2018-02-13	2020-08-11
2. ALPHABET INC CL C			2020-08-11
10. APPLE INC		2020-04-03	2020-08-11
1. BLACKROCK INC		2020-04-03	2020-08-11
1. BLACKROCK INC		2019-08-07	2020-08-11
.61443 BLACKSTONE GROUP INC/THE		2020-05-29	2020-08-11
9.38557 BLACKSTONE GROUP INC/THE		2020-05-29	2020-08-11
4. BOEING CO		2018-11-30	2020-08-11
4. BROADCOM INC		2019-09-10	2020-08-11
3. CME GROUP INC		2012-06-07	2020-08-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,095		1,321	-226
3,007		2,683	324
4,461		2,428	2,033
595		428	167
595		427	168
33		35	-2
501		530	-29
748		1,376	-628
1,331		1,174	157
507		161	346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-226
			324
			2,033
			167
			168
			-2
			-29
			-628
			157
			346

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
14. CVS HEALTH CORPORATION		2019-08-07	2020-08-11
9. CELANESE CORP			2020-08-11
30. CISCO SYSTEMS INC		2019-08-07	2020-08-11
26. CITIGROUP INC.			2020-08-11
14. COGNIZANT TECH SOLUTIONS CRP COM		2016-03-16	2020-08-11
5.00025 DIAGEO PLC - ADR		2018-11-15	2020-08-11
.99975 DIAGEO PLC - ADR		2018-11-15	2020-08-11
6. WALT DISNEY CO		2019-08-07	2020-08-11
8. ELECTRONIC ARTS INC			2020-08-11
6. FIDELITY NATL INFORMATION SVCS INC		2020-03-04	2020-08-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
925		803	122
937		938	-1
1,433		1,553	-120
1,423		1,880	-457
943		825	118
682		715	-33
136		143	-7
791		804	-13
1,093		773	320
873		893	-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			122
			-1
			-120
			-457
			118
			-33
			-7
			-13
			320
			-20

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
117.042 FIDELITY NEW MRKTS INC-Z #3323		2013-10-11	2020-08-11
6. GILEAD SCIENCES INC		2017-03-24	2020-08-11
23. HAIN CELESTIAL GROUP INC		2015-12-17	2020-08-11
431. INVESCO OPTIMUM YIELD DIVERS		2020-04-03	2020-08-11
28. ISHARES RUSSELL 2000 ETF		2018-01-25	2020-08-11
223. ISHARES CORE MSCI EMERGING ETF		2018-09-25	2020-08-11
15. JPMORGAN CHASE & CO			2020-08-11
4. LAM RESEARCH CORP COM		2020-04-27	2020-08-11
20. LAS VEGAS SANDS CORP			2020-08-11
7. ELI LILLY & CO COM		2020-07-20	2020-08-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,723		1,839	-116
409		406	3
763		943	-180
5,908		5,127	781
4,468		4,447	21
11,787		11,547	240
1,589		1,650	-61
1,502		1,054	448
1,016		1,193	-177
1,059		1,166	-107

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-116
			3
			-180
			781
			21
			240
			-61
			448
			-177
			-107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
76. MANULIFE FINANCIAL CORP		2019-07-31	2020-08-11
11. MERCK & CO INC NEW		2019-08-07	2020-08-11
8. MICROSOFT CORP		2020-04-03	2020-08-11
12. MICROSOFT CORP		2019-08-07	2020-08-11
9. MONDELEZ INTERNATIONAL INC			2020-08-11
11. MONDELEZ INTERNATIONAL INC		2020-04-03	2020-08-11
13. NIKE INC CL B		2019-08-07	2020-08-11
354.267 PGIM HIGH YIELD-Q 1067		2020-05-13	2020-08-11
11. RAYTHEON TECHNOLOGIES CORP			2020-08-11
37. SUNCOR ENERGY INC NEW F		2019-08-07	2020-08-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,179		1,373	-194
892		919	-27
1,654		1,241	413
2,481		1,601	880
499		388	111
610		553	57
1,380		1,055	325
1,895		1,747	148
724		961	-237
641		1,035	-394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-194
			-27
			413
			880
			111
			57
			325
			148
			-237
			-394

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
10. SYSCO CORP		2020-05-19	2020-08-11
596.866 TCW EMRG MKTS INCM-I 4721		2018-07-12	2020-08-11
258.492 TCW EMRG MKTS INCM-I 4721		2020-02-13	2020-08-11
7. TARGET CORP		2020-04-03	2020-08-11
2. THERMO FISHER SCIENTIFIC INC		2010-08-12	2020-08-11
21. TORONTO DOMINION BK ONT COM NEW		2019-07-31	2020-08-11
23. TOTAL S.A. - ADR			2020-08-11
15. UNILEVER N.V. - ADR		2020-02-04	2020-08-11
7. UNION PACIFIC CORP		2020-04-03	2020-08-11
10. UNITED PARCEL SERVICE-CL B			2020-08-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
620		525	95
4,924		4,781	143
2,133		2,228	-95
926		655	271
815		89	726
1,005		1,225	-220
924		1,170	-246
865		881	-16
1,345		986	359
1,561		1,096	465

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			95
			143
			-95
			271
			726
			-220
			-246
			-16
			359
			465



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. UNITEDHEALTH GROUP INC		2020-07-20	2020-08-11
2. UNITEDHEALTH GROUP INC		2019-08-07	2020-08-11
137. VANGUARD FTSE DEVELOPED ETF		2013-02-08	2020-08-11
36. VANGUARD MIDCAP VIPER		2020-02-12	2020-08-11
4. VISA INC-CLASS A SHRS		2020-03-17	2020-08-11
5. WASTE MANAGEMENT INC		2020-03-04	2020-08-11
8. MEDTRONIC PLC		2019-07-19	2020-08-11
8. TE CONNECTIVITY LTD		2019-08-07	2020-08-11
84. FLEXTRONICS INTL LTD			2020-08-11
3. APPLE INC		2020-04-03	2020-08-25

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,287		1,216	71
644		487	157
5,709		4,980	729
6,434		6,666	-232
807		633	174
560		600	-40
814		809	5
774		704	70
980		1,488	-508
1,489		728	761

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			71
			157
			729
			-232
			174
			-40
			5
			70
			-508
			761

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. HAIN CELESTIAL GROUP INC		2020-04-03	2020-08-25
45. HAIN CELESTIAL GROUP INC		2015-12-17	2020-08-25
26. TORONTO DOMINION BK ONT COM NEW		2019-07-31	2020-08-25
7. TORONTO DOMINION BK ONT COM NEW		2019-10-18	2020-08-25
9. UNITED PARCEL SERVICE-CL B		2017-03-24	2020-08-25
32. APPLE INC		2019-08-07	2020-09-14
4. APPLE INC		2020-04-03	2020-09-14
3. NIKE INC CL B		2019-08-07	2020-09-14
8. NIKE INC CL B		2020-04-03	2020-09-14
9. UNITED PARCEL SERVICE-CL B		2019-08-28	2020-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
274		243	31
1,368		1,845	-477
1,263		1,516	-253
340		395	-55
1,426		947	479
3,647		1,562	2,085
456		243	213
355		244	111
948		635	313
1,433		935	498

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			31
			-477
			-253
			-55
			479
			2,085
			213
			111
			313
			498

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
18. TE CONNECTIVITY LTD			2020-09-14
448. ISHARES CORE U.S. AGGREGATE ETF			2020-09-25
1000. VANGUARD INTERMEDIATE TERM B			2020-09-25
124. VANGUARD INTERMEDIATE TERM B			2020-09-25
22. APPLE INC			2020-10-21
59. AT & T INC		2018-02-13	2020-11-02
33. CME GROUP INC		2012-06-07	2020-11-02
15. NIKE INC CL B		2020-04-03	2020-11-02
19. FLEXTRONICS INTL LTD		2020-07-20	2020-11-02
386. FLEXTRONICS INTL LTD			2020-11-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,790		1,279	511
52,900		52,239	661
93,338		82,963	10,375
11,574		11,373	201
2,591		624	1,967
1,616		2,164	-548
5,020		1,770	3,250
1,836		1,191	645
261		205	56
5,307		5,373	-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			511
			661
			10,375
			201
			1,967
			-548
			3,250
			645
			56
			-66

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
38. AT & T INC		2018-02-13	2020-11-03
107. SPIRIT AEROSYTSEMS HOLD-CL A			2020-11-03
3. THERMO FISHER SCIENTIFIC INC		2010-08-12	2020-11-03
4. UNITED PARCEL SERVICE-CL B		2020-03-17	2020-11-03
6. UNITED PARCEL SERVICE-CL B			2020-11-03
5. BROADCOM INC		2019-09-10	2020-11-19
2. TARGET CORP		2020-04-03	2020-11-30
7. TARGET CORP		2019-08-07	2020-11-30
1. ALPHABET INC CL C		2019-08-07	2020-12-09
18. APPLE INC		2008-11-05	2020-12-09

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,046		1,394	-348
2,096		6,899	-4,803
1,476		134	1,342
644		382	262
967		620	347
1,889		1,467	422
358		187	171
1,253		573	680
1,819		1,164	655
2,241		69	2,172

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-348
			-4,803
			1,342
			262
			347
			422
			171
			680
			655
			2,172

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1498.777 EV GL MACR ABS RTRN ADV-R6 #1072		2017-10-24	2020-12-09
1266. INVESCO OPTIMUM YIELD DIVERS		2020-04-03	2020-12-09
9. MICROSOFT CORP		2019-08-07	2020-12-09
53. VANGUARD FTSE DEVELOPED ETF		2013-02-08	2020-12-09
107. VANGUARD MIDCAP VIPER		2020-02-12	2020-12-09
73. GILEAD SCIENCES INC			2020-12-10
2. LAM RESEARCH CORP COM		2019-08-07	2020-12-10
5. LAM RESEARCH CORP COM			2020-12-10
11. NIKE INC CL B			2020-12-10
37. SUNCOR ENERGY INC NEW F		2019-08-07	2020-12-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,367		16,082	285
18,450		15,061	3,389
1,937		1,201	736
2,467		1,926	541
21,818		19,813	2,005
4,418		2,121	2,297
980		388	592
2,450		1,237	1,213
1,517		603	914
694		1,035	-341

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			285
			3,389
			736
			541
			2,005
			2,297
			592
			1,213
			914
			-341

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20. TOTAL S.A. - ADR			2020-12-10
7. UNION PACIFIC CORP		2010-08-12	2020-12-10
2. UNION PACIFIC CORP		2020-04-03	2020-12-10
100. FLEXTRONICS INTL LTD		2019-08-07	2020-12-21
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
913		969	-56
1,415		259	1,156
404		282	122
1,768		1,043	725
			29
			29
			29
			29
			29
			29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (l) over col. (j), if any	
			-56
			1,156
			122
			725

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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[illegible][illegible][illegible]

[illegible][illegible][illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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[illegible][illegible][illegible]

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[illegible][illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<p>List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)</p>	<p>(b) How acquired P—Purchase D—Donation</p>	<p>(c) Date acquired (mo., day, yr.)</p>	<p>(d) Date sold (mo., day, yr.)</p>
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CHILDRENS AUTISM CENTER INCORPORATED 5601 COVENTRY LN FORT WAYNE, IN 468047145	NONE	PC	GENERAL OPERATING	7,500
BLESSINGS IN A BACKPACK INC 111 E WAYNE ST STE 555 FORT WAYNE, IN 468022600	NONE	PC	GENERAL OPERATING	5,000
COMMUNITY HARVEST FOOD BANK OF NE INDIAN 999 EAST TILLMAN ROAD FORT WAYNE, IN 46816	NONE	PC	GENERAL OPERATING	5,000
<b>Total . . . . . ▶ 3a</b>				85,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
NORTHEAST INDIANA PUBLIC RADIO INC 3204 CLAIRMONT COURT PO BOX 8459 FORT WAYNE, IN 46898	NONE	PC	GENERAL OPERATING	10,000
VISITING NURSE AND HOSPICE HOME INC 5910 HOMESTEAD RD FORT WAYNE, IN 468144202	NONE	PC	GENERAL OPERATING	10,000
ERIN'S HOUSE FOR GRIEVING CHILDREN INC 5670 YMCA PARK DR W FORT WAYNE, IN 468353280	NONE	PC	GENERAL OPERATING	5,000
<b>Total</b> . . . . . ► <b>3a</b>				85,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SUPER SHOT INC709 CLAY ST 101 FORT WAYNE, IN 46802	NONE	PC	GENERAL OPERATING	10,000
LEAGUE FOR THE BLIND AND DISABLED 5821 S ANTHONY BLVD FORT WAYNE, IN 46816	NONE	PC	GENERAL OPERATING	10,000
JUNIOR ACHIEVEMENT OF NORTHERN INDIANA FORT WAYNE, IN 46825	NONE	PC	GENERAL OPERATING	7,500
<b>Total . . . . . ▶ 3a</b>				85,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HEARCARE CONNECTION INC 130 W MAIN ST STE 150 Fort Wayne, IN 46802	NONE	PC	GENERAL OPERATING	10,000
FORT WAYNE MEDICAL SOCIETY FOUNDATION 709 CLAY ST 101 FORT WAYNE, IN 46802	NONE	PC	GENERAL OPERATING	5,000
<b>Total . . . . . ▶ 3a</b>				85,000

**TY 2020 Accounting Fees Schedule****Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE**EIN:** 35-6713433

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	957			957

**TY 2020 Investments Corporate Stock Schedule**

**Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE  
**EIN:** 35-6713433

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
56501R106 MANULIFE FINANCIAL C	14,439	18,159
037833100 APPLE INC	1,527	53,076
02079K107 ALPHABET INC CL C	12,346	35,038
460690100 INTERPUBLIC GROUP CO	7,647	9,102
192446102 COGNIZANT TECH SOLUT	8,431	12,374
867224107 SUNCOR ENERGY INC NE	9,506	6,326
31620M106 FIDELITY NATL INFORM	7,826	8,912
512807108 LAM RESEARCH CORP CO	4,981	13,224
09260D107 BLACKSTONE GROUP INC	8,153	9,786
904767704 UNILEVER PLC - ADR	8,701	9,477
09857L108 BOOKING HOLDINGS INC	8,410	11,136
031162100 AMGEN INC	7,058	6,668
89151E109 TOTAL S.A. - ADR	10,408	9,681
911312106 UNITED PARCEL SERVIC	5,890	13,472
871829107 SYSCO CORP	8,058	13,070
11135F101 BROADCOM INC	11,303	17,952
254687106 WALT DISNEY CO	4,479	15,944
H84989104 TE CONNECTIVITY LTD	5,133	9,565
75513E101 RAYTHEON TECHNOLOGIE	12,540	12,157
87612E106 TARGET CORP	3,955	12,181
25243Q205 DIAGEO PLC - ADR	7,474	13,340
09247X101 BLACKROCK INC	7,635	15,874
883556102 THERMO FISHER SCIENT	938	9,781
91324P102 UNITEDHEALTH GROUP I	5,034	23,145
172967424 CITIGROUP INC.	16,638	20,224
126650100 CVS HEALTH CORPORATI	5,786	10,177
891160509 TORONTO DOMINION BK	12,393	13,089
94106L109 WASTE MANAGEMENT INC	11,343	11,793
46625H100 JPMORGAN CHASE & CO	11,147	22,873
Y2573F102 FLEX LTD	4,013	7,713

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
00287Y109 ABBVIE INC	6,565	6,536
G5960L103 MEDTRONIC PLC	8,637	10,543
907818108 UNION PACIFIC CORP	2,142	12,077
92826C839 VISA INC-CLASS A SHR	7,545	10,499
H2906T109 GARMIN LTD	8,453	11,009
17275R102 CISCO SYSTEMS INC	8,580	14,768
594918104 MICROSOFT CORP	7,628	48,265
G29183103 EATON CORP PLC	8,002	8,530
517834107 LAS VEGAS SANDS CORP	11,457	13,291
58933Y105 MERCK & CO INC NEW	7,361	10,552
150870103 CELANESE CORP	5,751	13,254
097023105 BOEING CO	8,653	11,131
654106103 NIKE INC CL B	5,427	14,288
405217100 HAIN CELESTIAL GROUP	3,512	7,709
285512109 ELECTRONIC ARTS INC	6,714	11,919
00206R102 AT & T INC	12,214	11,044
609207105 MONDELEZ INTERNATION	9,220	12,571
532457108 ELI LILLY & CO COM	6,012	11,312

**TY 2020 Investments - Other Schedule**

**Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE  
**EIN:** 35-6713433

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
00206R102 AT & T INC			
192446102 COGNIZANT TECH SOLUT			
594918104 MICROSOFT CORP			
654106103 NIKE INC CL B			
89151E109 TOTAL FINA ELF S.A.			
405217100 HAIN CELESTIAL GROUP			
09247X101 BLACKROCK INC			
921937819 VANGUARD INTERMEDIAT			
17275R102 CISCO SYSTEMS INC			
532457108 ELI LILLY & CO COM			
87612E106 TARGET CORP			
46625H100 JPMORGAN CHASE & CO			
867224107 SUNCOR ENERGY INC NE			
172967424 CITIGROUP INC			
47803M168 JOHN HANCOCK II-CURR			
848574109 SPIRIT AEROSYTSEMS H			
46434G103 ISHARES CORE MSCI EM	AT COST	146,520	171,479
921937827 VANGUARD BD INDEX FD			
25243Q205 DIAGEO PLC - ADR			
254687106 WALT DISNEY CO			
437076102 HOME DEPOT INC			
512807108 LAM RESEARCH CORP CO			
883556102 THERMO FISHER SCIENT			
26875P101 EOG RESOURCES, INC			
464287655 ISHARES RUSSELL 2000	AT COST	65,211	87,835
02079K107 ALPHABET INC/CA			
921943858 VANGUARD FTSE DEVELO	AT COST	66,118	85,875
Y2573F102 FLEXTRONICS INTL LTD			
375558103 GILEAD SCIENCES INC			
693475105 PNC FINANCIAL SERVIC			

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
517834107 LAS VEGAS SANDS CORP			
H84989104 TE CONNECTIVITY LTD			
G29183103 EATON CORP PLC			
98978V103 ZOETIS INC			
037833100 APPLE COMPUTER INC C			
285512109 ELECTRONIC ARTS INC			
126650100 CVS/CAREMARK CORPORA			
464287705 ISHARES S&P MIDCAP 4			
87234N765 TCW EMRG MKTS INCM-I	AT COST	79,280	85,106
779919307 T ROWE PR REAL ESTAT			
150870103 CELANESE CORP			
922042858 VANGUARD EMERGING MA			
277923264 EATON VANCE GLOB MAC			
609207105 MONDELEZ INTERNATIONAL			
12572Q105 CME GROUP INC			
097023105 BOEING COMPANY			
872540109 TJX COS INC NEW			
907818108 UNION PACIFIC CORP			
56501R106 MANULIFE FINANCIAL C			
911312106 UNITED PARCEL SERVIC			
91324P102 UNITEDHEALTH GROUP I			
464287606 ISHARES S&P MIDCAP 4			
58933Y105 MERCK & CO INC NEW			
78463X863 SPDR DJ WILSHIRE INT			
46090F100 INVESCO OPTIMUM YIEL	AT COST	34,325	44,178
891160509 TORONTO DOMINION BK			
922908629 VANGUARD MIDCAP VIPE	AT COST	255,009	341,377
31620M106 FIDELITY NATL INFORM			
755111507 RAYTHEON CO			
464287226 ISHARES CORE U.S. AG	AT COST	280,069	296,421

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
11135F101 BROADCOM INC			
G5960L103 MEDTRONIC PLC			
31641Q755 FIDELITY NEW MRKTS I	AT COST	42,471	42,800
74256W626 PRINCIPAL HIGH YIELD			
27830W108 EV GL MACR ABS RTRN	AT COST	40,897	40,002
74440Y884 PGIM HIGH YIELD-Q 10	AT COST	216,178	232,539



**TY 2020 Other Decreases Schedule****Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE**EIN:** 35-6713433

Description	Amount
MUTUAL FUND TIMING ADJ	557
TAX LOT ADJUSTMENT	297

**TY 2020 Other Expenses Schedule****Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE**EIN:** 35-6713433**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES - ADR FEES	12	12		0

# **TY 2020 Other Income Schedule**

**Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE

**EIN:** 35-6713433

## **Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	1,943	0	

**TY 2020 Other Increases Schedule****Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE**EIN:** 35-6713433**Other Increases Schedule**

Description	Amount
COST BASIS ADJUSTMENT	60

**TY 2020 Taxes Schedule****Name:** ERIC A BAAE AND MARY C BAADE CHARITABLE**EIN:** 35-6713433**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	950	950		0
FOREIGN TAXES ON QUALIFIED FOR	133	133		0
FOREIGN TAXES ON NONQUALIFIED	239	239		0