




For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation CRISP SMITH MILLER TRUST		A Employer identification number 35-2337949	
Number and street (or P.O. box number if mail is not delivered to street address) 6325 S RAINBOW BLVD STE 300		Room/suite	B Telephone number (see instructions) (888) 730-4933
City or town, state or province, country, and ZIP or foreign postal code LAS VEGAS, NV 89118		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 1,380,420	J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .				
	3 Interest on savings and temporary cash investments . . . . .				
	4 Dividends and interest from securities . . . . .	31,646	31,601		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	17,536			
	b Gross sales price for all assets on line 6a 655,933				
	7 Capital gain net income (from Part IV, line 2) . . . . .		17,536		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .	435			
	12 Total. Add lines 1 through 11 . . . . .	49,617	49,137		
	13 Compensation of officers, directors, trustees, etc.	18,209	16,388		1,821
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	991	0	0	991
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions) . . . . .	846	846		0
	19 Depreciation (attach schedule) and depletion . . . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .	8	8		
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	20,054	17,242	0	2,812
	25 Contributions, gifts, grants paid . . . . .	81,000			81,000
	26 Total expenses and disbursements. Add lines 24 and 25	101,054	17,242	0	83,812
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-51,437			
	b Net investment income (if negative, enter -0-)		31,895		
c Adjusted net income (if negative, enter -0-)				0	

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	1,732	2,447	2,447		
	<b>2</b> Savings and temporary cash investments . . . . .	47,496	37,730	37,730		
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0		
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____					
	<b>5</b> Grants receivable . . . . .					
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .					
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0					
	<b>8</b> Inventories for sale or use . . . . .					
	<b>9</b> Prepaid expenses and deferred charges . . . . .					
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)					
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		250,350	422,687		
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .					
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____					
	<b>12</b> Investments—mortgage loans . . . . .					
	<b>13</b> Investments—other (attach schedule) . . . . .	1,082,097 	788,807	917,556		
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____					
<b>15</b> Other assets (describe ▶ _____)						
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,131,325	1,079,334	1,380,420			
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .					
	<b>18</b> Grants payable . . . . .					
	<b>19</b> Deferred revenue . . . . .					
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons					
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .					
	<b>22</b> Other liabilities (describe ▶ _____)					
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0			
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>					
	<b>24</b> Net assets without donor restrictions . . . . .					
	<b>25</b> Net assets with donor restrictions . . . . .					
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>					
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	1,131,325	1,079,334			
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund					
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds					
<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	1,131,325	1,079,334				
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	1,131,325	1,079,334				

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	1,131,325
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-51,437
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	1,079,888
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____ 	<b>5</b>	554
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	1,079,334

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a See Additional Data Table				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	<div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>	2	17,536
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	<div style="border-left: 1px solid black; border-right: 1px solid black; padding: 0 5px;"> </div>	3	

#### **Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved			
<b>(a)</b> Reserved	<b>(b)</b> Reserved	<b>(c)</b> Reserved	<b>(d)</b> Reserved
<b>2</b> Reserved . . . . .		<b>2</b>	
<b>3</b> Reserved. . . . .		<b>3</b>	
<b>4</b> Reserved . . . . .		<b>4</b>	
<b>5</b> Reserved . . . . .		<b>5</b>	
<b>6</b> Reserved . . . . .		<b>6</b>	
<b>7</b> Reserved . . . . .		<b>7</b>	
<b>8</b> Reserved , . . . .		<b>8</b>	

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Reserved.	<b>1</b>	443
<b>c</b>	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2.	<b>3</b>	443
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	<b>5</b>	443
<b>6</b>	Credits/Payments:		
<b>a</b>	2020 estimated tax payments and 2019 overpayment credited to 2020	<b>6a</b>	216
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	0
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d.	<b>7</b>	216
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .	<b>9</b>	227
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> 0 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>Yes</b>	<b>No</b>
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1a</b>		<b>No</b>
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?	<b>1b</b>		<b>No</b>
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____	<b>1c</b>		<b>No</b>
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____			
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<b>3</b>		<b>No</b>
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>		<b>No</b>
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>		
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		<b>No</b>
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	<b>Yes</b>	
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NJ _____			
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<b>9</b>		<b>No</b>
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ► <u>WELLS FARGO BANK NA</u> Telephone no. ► <u>(888) 730-4933</u>			
Located at ► <u>100 N MAIN ST MAC D4001-117 WINSTON SALEM NC</u> ZIP+4 ► <u>27101</u>				
<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ► <b>15</b> <input type="checkbox"/>			
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____	<b>16</b>	<b>Yes</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>	<b>1b</b>	<b>No</b>
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

<b>5a</b>	During the year did the foundation pay or incur any amount to:		<b>Yes</b>	<b>No</b>
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>	
	Organizations relying on a current notice regarding disaster assistance check here. . . . .	<input type="checkbox"/>		
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>6b</b>	<b>No</b>
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .			
	If "Yes" to 6b, file Form 8870.			
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		<b>7b</b>	
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .			
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
<b>(a)</b> Name and address	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation (If not paid, enter -0-)	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
WELLS FARGO BANK N A 100 N MAIN ST MAC D4001-117 WINSTON SALEM, NC 27101	TRUSTEE 1	18,209		
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
<b>(a)</b> Name and address of each employee paid more than \$50,000	<b>(b)</b> Title, and average hours per week devoted to position	<b>(c)</b> Compensation	<b>(d)</b> Contributions to employee benefit plans and deferred compensation	<b>(e)</b> Expense account, other allowances
NONE				
<b>Total</b> number of other employees paid over \$50,000. . . . .				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
Total. Add lines 1 through 3 . . . . . ►	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	1,196,669
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	52,609
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	1,249,278
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,249,278
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	18,739
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,230,539
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	61,527

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	61,527
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	443
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	443
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	61,084
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	61,084
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	61,084

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	83,812
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	83,812
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	83,812

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				61,084
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			59,643	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	0			
<b>b</b> From 2016. . . . .	0			
<b>c</b> From 2017. . . . .	0			
<b>d</b> From 2018. . . . .	0			
<b>e</b> From 2019. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ► \$ <u>83,812</u>				
<b>a</b> Applied to 2019, but not more than line 2a			59,643	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				24,169
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6 Enter the net total of each column as indicated below:</b>	0			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				36,915
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a. . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	0			
<b>b</b> Excess from 2017. . . . .	0			
<b>c</b> Excess from 2018. . . . .	0			
<b>d</b> Excess from 2019. . . . .	0			
<b>e</b> Excess from 2020. . . . .	0			

## Part XIV

- Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

## Inform

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			<b>3a</b>	81,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			<b>3b</b>	

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .					
<b>4</b> Dividends and interest from securities. . . . .			14	31,646	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property. . . . .					
<b>b</b> Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	17,536	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue:					
<b>a</b> FEDERAL TAX REFUND _____			1	435	
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				49,617	
<b>13 Total.</b> Add line 12, columns (b), (d), and (e). . . . .					49,617

[illegible]

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions:				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-05-04	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below  
 (see instr.) ☒ **Yes** ☐ **No**

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN
	JOSEPH J CASTRIANO		2021-05-04		P01251603
	Firm's name ► PRICEWATERHOUSECOOPERS LLP				Firm's EIN ► 13-4008324
	Firm's address ► 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. JPMORGAN CHASE & CO		2018-11-19	2020-02-03
2. JPMORGAN CHASE & CO		2019-09-27	2020-02-03
40. ZOETIS INC		2017-04-25	2020-02-03
53. ISHARES S&P MID-CAP 400 GROWTH		2017-02-17	2020-02-14
8. ISHARES RUSSELL 2000 ETF		2018-02-02	2020-02-14
71. ISHARES S&P MID-CAP 400 VALUE		2017-02-17	2020-02-14
8. ISHARES S&P MID-CAP 400 VALUE		2019-10-21	2020-02-14
410.336 T ROWE PR REAL ESTATE-I #432		2015-12-24	2020-02-14
307. SPDR DJ WILSHIRE INTERNATIONAL R ETF		2017-01-20	2020-02-14
140. VANGUARD SHORT TERM BOND ETF		2019-02-26	2020-02-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
667		552	115
267		235	32
5,421		2,205	3,216
13,053		10,142	2,911
1,349		1,237	112
12,095		10,667	1,428
1,363		1,291	72
11,120		10,654	466
12,108		11,181	927
11,347		11,068	279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			115
			32
			3,216
			2,911
			112
			1,428
			72
			466
			927
			279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
87. VANGUARD SHORT TERM BOND ETF		2017-02-17	2020-02-14
12. VANGUARD FTSE DEVELOPED ETF		2019-10-21	2020-02-14
1. ALPHABET INC CL C		2018-05-18	2020-02-18
5. APPLE INC		2019-08-09	2020-02-18
7. APPLE INC		2014-02-25	2020-02-18
3. BOEING CO		2019-02-26	2020-02-18
3. BROADCOM INC		2019-12-26	2020-02-18
26. CVS HEALTH CORPORATION		2014-02-25	2020-02-18
10. CELANESE CORP		2019-02-26	2020-02-18
27. CELANESE CORP		2014-02-25	2020-02-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,052		6,892	160
528		505	23
1,524		1,065	459
1,596		1,012	584
2,235		522	1,713
1,017		1,286	-269
931		963	-32
1,865		2,034	-169
1,073		1,064	9
2,897		1,431	1,466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			160
			23
			459
			584
			1,713
			-269
			-32
			-169
			9
			1,466

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
17. CISCO SYSTEMS INC		2019-09-27	2020-02-18
15. CITIGROUP INC.		2019-10-21	2020-02-18
12. COGNIZANT TECH SOLUTIONS CRP COM		2016-03-16	2020-02-18
9. DIAGEO PLC - ADR		2019-08-09	2020-02-18
8. ELECTRONIC ARTS INC		2019-11-06	2020-02-18
5. FIDELITY NATL INFORMATION SVCS INC		2019-08-09	2020-02-18
11. JPMORGAN CHASE & CO		2019-09-27	2020-02-18
10. JPMORGAN CHASE & CO		2019-08-09	2020-02-18
4. LAM RESEARCH CORP COM		2018-07-24	2020-02-18
17. MICROSOFT CORP		2019-08-09	2020-02-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
793		829	-36
1,165		1,075	90
829		704	125
1,458		1,478	-20
876		790	86
775		685	90
1,493		1,295	198
1,357		1,099	258
1,301		694	607
3,185		2,348	837

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-36
			90
			125
			-20
			86
			90
			198
			258
			607
			837



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. MONDELEZ INTERNATIONAL INC		2019-08-09	2020-02-18
18. SPIRIT AEROSYTSEMS HOLD-CL A		2019-08-09	2020-02-18
31. TJX COMPANIES INC		2019-09-27	2020-02-18
10. TARGET CORP		2014-02-25	2020-02-18
3. THERMO FISHER SCIENTIFIC INC		2014-02-25	2020-02-18
5. TOTAL S.A. - ADR		2019-02-26	2020-02-18
11. TOTAL S.A. - ADR		2015-01-27	2020-02-18
3. UNITED PARCEL SERVICE-CL B		2018-11-19	2020-02-18
5. UNITED PARCEL SERVICE-CL B		2019-08-09	2020-02-18
5. UNITEDHEALTH GROUP INC		2019-08-09	2020-02-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,134		1,044	90
1,197		1,347	-150
1,962		1,693	269
1,176		565	611
1,011		371	640
243		286	-43
535		584	-49
320		334	-14
533		584	-51
1,508		1,250	258

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			90
			-150
			269
			611
			640
			-43
			-49
			-14
			-51
			258

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. UNITEDHEALTH GROUP INC		2019-09-27	2020-02-18
8. EATON CORP PLC		2018-12-28	2020-02-18
9. TE CONNECTIVITY LTD		2019-09-27	2020-02-18
57. FLEXTRONICS INTL LTD		2018-03-07	2020-02-18
18. HOME DEPOT INC		2019-05-16	2020-03-19
10. HOME DEPOT INC		2017-03-31	2020-03-19
20. EATON CORP PLC		2019-08-09	2020-03-19
54. EATON CORP PLC		2014-02-25	2020-03-19
298.635 EATON VANCE GLOB MACRO ADV-I 208		2017-10-26	2020-04-03
17.441 FIDELITY NEW MRKTS INC-Z #3323		2020-02-20	2020-04-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,508		1,076	432
836		529	307
830		834	-4
751		1,050	-299
2,844		3,631	-787
1,580		1,470	110
1,382		1,566	-184
3,732		3,281	451
2,852		3,189	-337
221		267	-46

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			432
			307
			-4
			-299
			-787
			110
			-184
			451
			-337
			-46

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
179. ISHARES CORE U.S. AGGREGATE ETF		2019-12-09	2020-04-03
173. ISHARES CORE U.S. AGGREGATE ETF		2019-09-27	2020-04-03
26. ISHARES CORE MSCI EMERGING ETF		2019-09-27	2020-04-03
36. ISHARES CORE MSCI EMERGING ETF		2019-10-21	2020-04-03
105. ISHARES CORE MSCI EMERGING ETF		2018-10-05	2020-04-03
284.736 PRINCIPAL HIGH YIELD-R6 #4264		2019-10-11	2020-04-03
385. VANGUARD INTERMEDIATE TERM B		2017-02-17	2020-04-03
41. VANGUARD INTERMEDIATE TERM B		2020-02-14	2020-04-03
778. VANGUARD FTSE DEVELOPED ETF		2017-02-17	2020-04-03
3. VANGUARD FTSE DEVELOPED ETF		2019-10-21	2020-04-03

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,556		20,420	136
19,867		19,561	306
1,024		1,285	-261
1,418		1,869	-451
4,137		5,179	-1,042
1,737		2,043	-306
34,288		32,129	2,159
3,651		3,650	1
24,714		30,169	-5,455
95		126	-31

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			136
			306
			-261
			-451
			-1,042
			-306
			2,159
			1
			-5,455
			-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
610. VANGUARD FTSE EMERGING MARKETS ETF		2017-02-17	2020-04-03
30. PNC FINANCIAL SERVICES GROUP		2016-01-25	2020-04-06
9. PNC FINANCIAL SERVICES GROUP		2019-05-16	2020-04-06
.37 RAYTHEON TECHNOLOGIES CORP		2019-09-10	2020-04-29
137. ISHARES S&P MID-CAP 400 GROWTH		2017-02-17	2020-05-15
19. ISHARES S&P MID-CAP 400 GROWTH		2020-04-03	2020-05-15
210. ISHARES RUSSELL 2000 ETF		2018-02-02	2020-05-15
59. ISHARES S&P MID-CAP 400 VALUE		2020-04-03	2020-05-15
196. ISHARES S&P MID-CAP 400 VALUE		2017-02-17	2020-05-15
46. ISHARES CORE MSCI EMERGING ETF		2018-10-05	2020-05-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,962		23,927	-3,965
2,818		2,570	248
845		1,182	-337
25		31	-6
27,361		26,216	1,145
3,795		3,223	572
26,058		32,466	-6,408
6,823		6,082	741
22,665		29,447	-6,782
1,977		2,269	-292

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,965
			248
			-337
			-6
			1,145
			572
			-6,408
			741
			-6,782
			-292

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5123.472 PRINCIPAL HIGH YIELD-R6 #4264		2019-10-11	2020-05-15
26. VANGUARD FTSE DEVELOPED ETF		2017-02-17	2020-05-15
27. CVS HEALTH CORPORATION		2014-02-25	2020-05-22
45. EOG RESOURCES, INC		2018-05-18	2020-05-22
21. EOG RESOURCES, INC		2019-06-18	2020-05-22
1644.655 JAMES ALPHA GL REAL EST-I		2020-02-20	2020-06-17
5. BOEING CO		2019-09-27	2020-07-06
1. BOEING CO		2019-02-26	2020-07-06
60. TJX COMPANIES INC		2014-02-25	2020-07-06
5. TJX COMPANIES INC		2019-09-27	2020-07-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
32,995		36,377	-3,382
905		1,000	-95
1,705		1,945	-240
2,335		4,638	-2,303
1,090		1,714	-624
26,660		30,233	-3,573
911		1,855	-944
182		429	-247
3,144		1,830	1,314
262		273	-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,382
			-95
			-240
			-2,303
			-624
			-3,573
			-944
			-247
			1,314
			-11

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
41. TJX COMPANIES INC		2020-04-06	2020-07-06
19. CVS HEALTH CORPORATION		2014-02-25	2020-07-21
22. COGNIZANT TECH SOLUTIONS CRP COM		2019-08-09	2020-07-21
20. HAIN CELESTIAL GROUP INC		2017-06-27	2020-07-21
12. UNILEVER N.V. - ADR		2020-02-03	2020-07-21
8. APPLE INC		2014-02-25	2020-08-04
13. CVS HEALTH CORPORATION		2014-02-25	2020-08-04
2. JPMORGAN CHASE & CO		2019-02-26	2020-08-04
11. JPMORGAN CHASE & CO		2019-08-09	2020-08-04
24. JPMORGAN CHASE & CO		2019-08-09	2020-08-04

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,149		1,901	248
1,223		1,368	-145
1,357		1,377	-20
662		672	-10
652		706	-54
3,494		597	2,897
845		936	-91
190		210	-20
1,047		1,209	-162
2,284		2,638	-354

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			248
			-145
			-20
			-10
			-54
			2,897
			-91
			-20
			-162
			-354

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. MICROSOFT CORP		2019-08-09	2020-08-04
7. MICROSOFT CORP		2020-04-06	2020-08-04
3. THERMO FISHER SCIENTIFIC INC		2020-04-06	2020-08-04
1. THERMO FISHER SCIENTIFIC INC		2014-02-25	2020-08-04
49. UNILEVER N.V. - ADR		2020-02-03	2020-08-04
33. HAIN CELESTIAL GROUP INC		2016-01-25	2020-08-25
30. TORONTO DOMINION BK ONT COM NEW		2019-07-30	2020-08-25
12. UNITED PARCEL SERVICE-CL B		2017-03-24	2020-08-25
2. BLACKROCK INC		2018-12-28	2020-09-14
16. LAS VEGAS SANDS CORP		2020-02-03	2020-09-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
212		138	74
1,482		1,135	347
1,240		899	341
413		124	289
2,948		2,883	65
1,024		1,106	-82
1,460		1,747	-287
1,903		1,303	600
1,099		778	321
838		1,068	-230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			74
			347
			341
			289
			65
			-82
			-287
			600
			321
			-230

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
22. TOTAL S.A. - ADR		2015-01-27	2020-09-14
17. TE CONNECTIVITY LTD		2016-11-08	2020-09-14
320. INVESCO OPTIMUM YIELD DIVERS		2020-04-03	2020-09-25
75. ISHARES CORE U.S. AGGREGATE ETF		2019-12-09	2020-09-25
101. ISHARES CORE U.S. AGGREGATE ETF		2020-05-15	2020-09-25
140. ISHARES CORE MSCI EMERGING ETF		2018-10-05	2020-09-25
201.147 TCW EMRG MKTS INCM-I 4721		2018-07-16	2020-09-25
144.124 TCW EMRG MKTS INCM-I 4721		2020-02-20	2020-09-25
37. VANGUARD INTERMEDIATE TERM B		2020-05-15	2020-09-25
650. VANGUARD INTERMEDIATE TERM B		2017-02-17	2020-09-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
834		1,168	-334
1,692		1,261	431
4,339		3,807	532
8,856		8,449	407
11,926		11,820	106
7,117		6,905	212
1,603		1,615	-12
1,149		1,251	-102
3,454		3,380	74
60,670		54,044	6,626

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-334
			431
			532
			407
			106
			212
			-12
			-102
			74
			6,626



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
99. VANGUARD FTSE DEVELOPED ETF		2017-02-17	2020-09-25
1. ALPHABET INC CL C		2019-09-27	2020-09-28
81. APPLE INC		2014-02-25	2020-09-28
19. GILEAD SCIENCES INC		2019-08-09	2020-09-28
35. HAIN CELESTIAL GROUP INC		2016-01-25	2020-09-28
16. JPMORGAN CHASE & CO		2019-02-26	2020-09-28
9. JPMORGAN CHASE & CO		2020-04-06	2020-09-28
4. LAM RESEARCH CORP COM		2019-08-09	2020-09-28
23. LAS VEGAS SANDS CORP		2014-09-10	2020-09-28
2. LAS VEGAS SANDS CORP		2020-02-03	2020-09-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,952		3,808	144
1,463		1,218	245
9,306		1,510	7,796
1,191		1,240	-49
1,175		920	255
1,538		1,801	-263
865		799	66
1,347		784	563
1,095		1,529	-434
95		133	-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			144
			245
			7,796
			-49
			255
			-263
			66
			563
			-434
			-38

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. MICROSOFT CORP		2019-10-21	2020-09-28
7. MICROSOFT CORP		2019-08-09	2020-09-28
31. MONDELEZ INTERNATIONAL INC		2018-11-19	2020-09-28
25. NIKE INC CL B		2017-10-30	2020-09-28
16. UNITED PARCEL SERVICE-CL B		2014-02-25	2020-09-28
1. UNITEDHEALTH GROUP INC		2020-04-06	2020-09-28
3. UNITEDHEALTH GROUP INC		2019-09-27	2020-09-28
6. VISA INC-CLASS A SHRS		2020-04-06	2020-09-28
20. CME GROUP INC		2014-02-25	2020-11-09
4. CME GROUP INC		2020-04-06	2020-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,046		691	355
1,464		967	497
1,766		1,598	168
3,110		1,379	1,731
2,699		1,586	1,113
303		245	58
910		646	264
1,201		998	203
3,283		1,466	1,817
657		721	-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			355
			497
			168
			1,731
			1,113
			58
			264
			203
			1,817
			-64

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
62. SPIRIT AEROSYTSEMS HOLD-CL A		2016-10-03	2020-11-09
208. FLEXTRONICS INTL LTD		2018-03-07	2020-11-09
881.063 EV GL MACR ABS RTRN ADV-R6 #1072		2017-10-26	2020-12-09
814. INVESCO OPTIMUM YIELD DIVERS		2020-04-03	2020-12-09
28. VANGUARD FTSE DEVELOPED ETF		2017-02-17	2020-12-09
72. VANGUARD MIDCAP VIPER		2020-02-14	2020-12-09
92. AT & T INC		2018-02-13	2020-12-14
2. BLACKROCK INC		2019-09-27	2020-12-14
2. BLACKSTONE GROUP INC/THE		2020-05-22	2020-12-14
11. BLACKSTONE GROUP INC/THE		2020-07-06	2020-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,654		3,668	-2,014
3,195		3,590	-395
9,621		9,427	194
11,862		9,684	2,178
1,303		1,077	226
14,681		13,370	1,311
2,857		3,449	-592
1,383		887	496
126		106	20
691		608	83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,014
			-395
			194
			2,178
			226
			1,311
			-592
			496
			20
			83

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. BROADCOM INC		2019-09-10	2020-12-14
12. CVS HEALTH CORPORATION		2014-02-25	2020-12-14
13. CELANESE CORP		2014-02-25	2020-12-14
15. CITIGROUP INC.		2019-07-30	2020-12-14
16. COGNIZANT TECH SOLUTIONS CRP COM		2016-08-24	2020-12-14
6. FIDELITY NATL INFORMATION SVCS INC		2019-06-18	2020-12-14
44. GILEAD SCIENCES INC		2019-08-09	2020-12-14
2. LAM RESEARCH CORP COM		2018-07-24	2020-12-14
6. ELI LILLY & CO COM		2020-07-21	2020-12-14
13. NIKE INC CL B		2017-10-30	2020-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,649		1,174	475
852		847	5
1,684		684	1,000
887		1,073	-186
1,264		931	333
886		737	149
2,643		2,872	-229
991		370	621
970		995	-25
1,796		717	1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			475
			5
			1,000
			-186
			333
			149
			-229
			621
			-25
			1,079

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
67. SUNCOR ENERGY INC NEW F		2018-11-19	2020-12-14
16. SYSCO CORP		2020-08-25	2020-12-14
37. TOTAL S.A. - ADR		2017-09-08	2020-12-14
8. MEDTRONIC PLC		2019-08-09	2020-12-14
101. FLEXTRONICS INTL LTD		2018-07-12	2020-12-14
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,222		2,010	-788
1,204		928	276
1,638		1,962	-324
906		812	94
1,683		1,243	440
			19
			19
			19
			19
			19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			-788
			276
			-324
			94
			440

[illegible][illegible][illegible]

[illegible][illegible][illegible]

[illegible][illegible][illegible]



List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

[illegible][illegible][illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]

[illegible][illegible][illegible]

[illegible][illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]



List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]


## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

## Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**[illegible]

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ANIMAL CARE FUND INC 353 SANCTUARY HILL LN EAST SMITHFIELD, PA 188170129	NONE	PC	GENERAL SUPPORT	20,250
FARM SANCTUARY INC 3100 AIKENS ROAD WATKINS GLEN, NY 14891	NONE	PC	GENERAL OPERATING	20,250
P E T A TIM ENSTICE DIR OF PLANNED GIVING NORFOLK, VA 23510	NONE	PC	GENERAL SUPPORT	20,250
<b>Total . . . . . ▶ 3a</b>				81,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ANIMAL LEGAL DEFENSE FUND 525 EAST COTATI AVENUE COTATI, CA 94931	NONE	PC	GENERAL SUPPORT	20,250
<b>Total</b> . . . . .  <b>3a</b>				81,000

**TY 2020 Accounting Fees Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE (NON-ALLOC	991			991

**TY 2020 Investments Corporate Stock Schedule**

**Name:** CRISP SMITH MILLER TRUST  
**EIN:** 35-2337949

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
037833100 APPLE INC	7,353	31,713
09247X101 BLACKROCK INC	4,803	10,102
097023105 BOEING CO	5,299	6,422
126650100 CVS HEALTH CORPORATI	5,416	6,079
150870103 CELANESE CORP	4,142	8,316
17275R102 CISCO SYSTEMS INC	6,014	9,532
172967424 CITIGROUP INC.	9,654	12,147
25243Q205 DIAGEO PLC - ADR	6,644	8,417
254687106 WALT DISNEY CO	5,194	9,240
46625H100 JPMORGAN CHASE & CO	4,661	9,657
517834107 LAS VEGAS SANDS CORP	7,002	8,404
594918104 MICROSOFT CORP	6,100	32,028
87612E106 TARGET CORP	3,897	10,062
883556102 THERMO FISHER SCIENT	1,967	7,452
89151E109 TOTAL S.A. - ADR	6,449	5,909
907818108 UNION PACIFIC CORP	4,688	10,827
911312106 UNITED PARCEL SERVIC	5,233	9,262
91324P102 UNITEDHEALTH GROUP I	4,594	15,079
G29183103 EATON CORP PLC	4,313	4,445
02079K107 ALPHABET INC CL C	10,534	22,774
867224107 SUNCOR ENERGY INC NE	6,040	3,725
192446102 COGNIZANT TECH SOLUT	5,080	7,867
405217100 HAIN CELESTIAL GROUP	2,078	4,095
532457108 ELI LILLY & CO COM	3,620	7,429
56501R106 MANULIFE FINANCIAL C	7,569	9,017
58933Y105 MERCK & CO INC NEW	5,771	8,098
H84989104 TE CONNECTIVITY LTD	3,582	6,659
654106103 NIKE INC CL B	4,183	9,337
G5960L103 MEDTRONIC PLC	5,816	7,028
891160509 TORONTO DOMINION BK	7,697	8,012



## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
031162100 AMGEN INC	4,185	3,909
31620M106 FIDELITY NATL INFORM	5,023	5,800
871829107 SYSCO CORP	5,089	8,020
11135F101 BROADCOM INC	7,588	12,260
460690100 INTERPUBLIC GROUP CO	4,653	5,080
75513E101 RAYTHEON TECHNOLOGIE	6,238	5,935
H2906T109 GARMIN LTD	3,972	4,786
09260D107 BLACKSTONE GROUP INC	5,021	6,092
92826C839 VISA INC-CLASS A SHR	4,721	6,562
94106L109 WASTE MANAGEMENT INC	5,154	6,014
00206R102 AT & T INC	8,726	7,938
Y2573F102 FLEX LTD	3,115	5,915
09857L108 BOOKING HOLDINGS INC	2,367	4,455
904767704 UNILEVER PLC - ADR	3,772	4,346
512807108 LAM RESEARCH CORP CO	3,327	8,501
609207105 MONDELEZ INTERNATION	5,594	7,601
285512109 ELECTRONIC ARTS INC	6,412	10,339

**TY 2020 Investments - Other Schedule**

**Name:** CRISP SMITH MILLER TRUST  
**EIN:** 35-2337949

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
921943858 VANGUARD FTSE DEVELO	AT COST	44,966	55,188
192446102 COGNIZANT TECH SOLUT			
254687106 WALT DISNEY CO			
693475105 PNC FINANCIAL SERVIC			
883556102 THERMO FISHER SCIENT			
46090F100 INVESCO OPTIMUM YIEL	AT COST	22,095	28,384
464287606 ISHARES S&P MIDCAP 4			
02079K107 ALPHABET INC/CA			
46434G103 ISHARES CORE MSCI EM	AT COST	93,991	110,245
532457108 ELI LILLY & CO COM			
09247X101 BLACKROCK INC			
172967424 CITIGROUP INC			
Y2573F102 FLEXTRONICS INTL LTD			
17275R102 CISCO SYSTEMS INC			
512807108 LAM RESEARCH CORP CO			
907818108 UNION PACIFIC CORP			
911312106 UNITED PARCEL SERVIC			
464287705 ISHARES S&P MIDCAP 4			
58933Y105 MERCK & CO INC NEW			
922042858 VANGUARD EMERGING MA			
98978V103 ZOETIS INC			
097023105 BOEING COMPANY			
126650100 CVS/CAREMARK CORPORA			
437076102 HOME DEPOT INC			
87612E106 TARGET CORP			
150870103 CELANESE CORP			
G29183103 EATON CORP PLC			
26875P101 EOG RESOURCES, INC			
779919307 T ROWE PR REAL ESTAT			
H84989104 TE CONNECTIVITY LTD			

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
921937827 VANGUARD BD INDEX FD			
921937819 VANGUARD INTERMEDIAT			
375558103 GILEAD SCIENCES INC			
56501R106 MANULIFE FINANCIAL C			
46625H100 JPMORGAN CHASE & CO			
00206R102 AT & T INC			
848574109 SPIRIT AEROSYTSEMS H			
609207105 MONDELEZ INTERNATION			
594918104 MICROSOFT CORP			
654106103 NIKE INC CL B			
89151E109 TOTAL FINA ELF S.A.			
405217100 HAIN CELESTIAL GROUP			
464287655 ISHARES RUSSELL 2000	AT COST	41,764	56,465
867224107 SUNCOR ENERGY INC NE			
87234N765 TCW EMRG MKTS INCM-I	AT COST	51,282	54,698
517834107 LAS VEGAS SANDS CORP			
277923264 EATON VANCE GLOB MAC			
12572Q105 CME GROUP INC			
78463X863 SPDR DJ WILSHIRE INT			
037833100 APPLE COMPUTER INC C			
25243Q205 DIAGEO PLC - ADR			
872540109 TJX COS INC NEW			
91324P102 UNITEDHEALTH GROUP I			
74256W626 PRINCIPAL HIGH YIELD			
G5960L103 MEDTRONIC PLC			
31641Q755 FIDELITY NEW MRKTS I	AT COST	27,050	27,507
285512109 ELECTRONIC ARTS INC			
31620M106 FIDELITY NATL INFORM			
891160509 TORONTO DOMINION BK			
922908629 VANGUARD MIDCAP VIPE	AT COST	162,767	219,383

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
11135F101 BROADCOM INC			
464287226 ISHARES CORE U.S. AG	AT COST	179,358	190,522
755111507 RAYTHEON CO			
27830W108 EV GL MACR ABS RTRN	AT COST	26,221	25,710
74440Y884 PGIM HIGH YIELD-Q 10	AT COST	139,313	149,454

# TY 2020 Other Decreases Schedule

**Name:** CRISP SMITH MILLER TRUST

**EIN:** 35-2337949

Description	Amount
MUTUAL FUND TIMING ADJ	363
ROUNDING	1
TAX LOT ADJUSTMENT	190

**TY 2020 Other Expenses Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES - ADR FEES	8	8		0

## TY 2020 Other Income Schedule

**Name:** CRISP SMITH MILLER TRUST

**EIN:** 35-2337949

### Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	435	0	

**TY 2020 Taxes Schedule****Name:** CRISP SMITH MILLER TRUST**EIN:** 35-2337949**Taxes Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	605	605		0
FOREIGN TAXES ON QUALIFIED FOR	87	87		0
FOREIGN TAXES ON NONQUALIFIED	154	154		0