








For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation Harris & Frances Block Foundation Inc		A Employer identification number 31-1784246	
Number and street (or P.O. box number if mail is not delivered to street address) c/o Betsy Chodorkoff 491 Ennis Hill		B Telephone number (see instructions) (802) 426-3806	
City or town, state or province, country, and ZIP or foreign postal code Marshfield, VT 05658		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 13,681,934		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	268,140	268,140		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10 	563,954			
	b Gross sales price for all assets on line 6a 3,425,929				
	7 Capital gain net income (from Part IV, line 2) . . .		563,954		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)	0			
	11 Other income (attach schedule) 	-125	2,332		
	12 Total. Add lines 1 through 11	831,969	834,426		
	13 Compensation of officers, directors, trustees, etc.	112,075	15,558		96,517
	14 Other employee salaries and wages	285	0		285
	15 Pension plans, employee benefits	0	0		0
	16a Legal fees (attach schedule)	0			
	b Accounting fees (attach schedule) 	6,025	4,411		1,614
	c Other professional fees (attach schedule) 	87,433	67,002		20,431
	17 Interest	35	35		0
	18 Taxes (attach schedule) (see instructions) 	33,677	17,977		5,658
	19 Depreciation (attach schedule) and depletion 	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	1,109	0		1,109
	22 Printing and publications	388	0		388
	23 Other expenses (attach schedule) 	49,311	6,967		42,339
	24 Total operating and administrative expenses. Add lines 13 through 23	290,338	111,950		168,341
	25 Contributions, gifts, grants paid	552,462			582,462
	26 Total expenses and disbursements. Add lines 24 and 25	842,800	111,950		750,803
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-10,831			
	b Net investment income (if negative, enter -0-)		722,476		
c Adjusted net income (if negative, enter -0-) . . .					

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	13,664,907
2	Enter amount from Part I, line 27a	2	-10,831
3	Other increases not included in line 2 (itemize) ▶ _____	3	27,858
4	Add lines 1, 2, and 3	4	13,681,934
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	13,681,934

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly Traded Securities				
b LTCGD		P	2019-12-31	2020-12-31
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,416,681	0	2,861,975	554,706
b 9,248	0	0	9,248
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a 0	0	0	554,706
b 0	0	0	9,248
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	563,954
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved				
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved	
2 Reserved			2	
3 Reserved			3	
4 Reserved			4	
5 Reserved			5	
6 Reserved			6	
7 Reserved			7	
8 Reserved			8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	10,042
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	10,042
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	10,042
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	18,068
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	18,068
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	8,026
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 8,026 Refunded	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
1b			No
c	Did the foundation file Form 1120-POL for this year?		No
1c			No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
4b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► VT		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address www.blockfound.org	13	Yes	
14	The books are in care of Tapia & Huckabay PC Telephone no. (802) 870-7086			

Located at [206 Main Street Suite 20 Vergennes VT](#) ZIP+4 [05491](#)

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15		
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶	16	Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 None	0
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 None	0
2	
All other program-related investments. See instructions.	
3	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	12,925,872
b	Average of monthly cash balances.	1b	261,279
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,187,151
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,187,151
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	197,807
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,989,344
6	Minimum investment return. Enter 5% of line 5.	6	649,467

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	649,467
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	10,042
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	0
c	Add lines 2a and 2b.	2c	10,042
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	639,425
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	639,425
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	639,425

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	750,803
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	750,803
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	750,803

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				639,425
2 Undistributed income, if any, as of the end of the 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	93,182			
b From 2016.	159,451			
c From 2017.	0			
d From 2018.	0			
e From 2019.	121,213			
f Total of lines 3a through e.	373,846			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 750,803				
a Applied to 2019, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				639,425
e Remaining amount distributed out of corpus	111,378			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	485,224			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	93,182			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	392,042			
10 Analysis of line 9:				
a Excess from 2016.	159,451			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	121,213			
e Excess from 2020.	111,378			

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ► 3a				552,462
b <i>Approved for future payment</i>				
Total ► 3b				

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments. . . .					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities. . . .			14	268,140	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property.					
b	Not debt-financed property.					
6	Net rental income or (loss) from personal property					
7	Other investment income.					
8	Gain or (loss) from sales of assets other than inventory			18	563,954	
9	Net income or (loss) from special events:					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	Ordinary Gains from Partnerships			1	4,165	
b	Partnership Rental Losses			1	-2,607	
c	Other Partnership Gains			1	774	
d	Passthrough Partnership Losses			1	-2,923	
e	Tax Exempt Income			1	466	
12	Subtotal. Add columns (b), (d), and (e). .				831,969	
13	Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		831,969

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-11-10	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00154308
	William S Huckabay CPA				
	Firm's name ▶ Tapia & Huckabay PC				Firm's EIN ▶
	Firm's address ▶ PO Box 38 Vergennes, VT 05491				Phone no. (802) 870-7086

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Nancy M Sluys	President 1.00	6,000	0	0
c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491				
Elizabeth M Chodorkoff	Ex. Director & Treasurer 30.00	87,000	0	0
c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491				
Diana Maurer Schatz	Trustee 1.00	6,000	0	0
c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491				
Rebecca Robinson	Secretary / Consultant 1.20	7,075	0	0
c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491				
Lisa Chodorkoff	Trustee 1.00	6,000	0	0
c/o Tapia Huckabay PO Box 38 Vergennes, VT 05491				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
350 Colorado2861 Ellison Place Boulder, CO 80304		PC	Movement BuildingCampaign	15,000
350 New Hampshire 4 Park Street Suite 304 Concord, NH 03301		PC	Volunteer Leadership	25,000
350 Vermont 255 South Champlain Street Suite 11 Burlington, VT 05401		PC	VolunteerCapacity Building	20,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Alight22 South Broadway 9912 Denver, CO 80209		PC	General Support(\$15k Accrued in PY)	0
Blue Ridge Discovery Center 6402 Whitetop Road Troutdale, VA 24378		PC	Living LearningGarden Initiative	23,000
Bonnyvale Environmental Education Center PO Box 2318 West Brattleboro, VT 05303		PC	EnvironmentalEducation	18,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Capstone Community Action 20 Gable Place Barre, VT 05641		PC	Community Kitchen Academy	15,000
Capstone Community Action 20 Gable Place Barre, VT 05641		PC	Emergency CrisisResponse	10,000
Center for an Agricultural Economy PO Box 422 Hardwick, VT 05843		PC	Community FoodAccess & Education	12,250
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Central Vermont Adult Basic Education 46 Washington Street Suite 100 Barre, VT 05641		PC	English Language Learning	7,000
Common Ground Center 473 Tatro Road Starksboro, VT 05487		PC	Adventure/Nature Camps	10,000
Community Engagement Lab 41 Summer Street Montpelier, VT 05602		PC	The Watershed Project	10,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Governors Institute of Vermont 20 West Canal Street C Winooski, VT 05404		PC	Current Issues &Youth ActivismInstitute	5,000
Greyston Foundation Inc21 Park Avenue Yonkers, NY 10703		PC	Yonkers CommunityGardens & Environ.Earth Club	15,000
Greyston Foundation Inc21 Park Avenue Yonkers, NY 10703		PC	Emergency Covid-19Response	5,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Hunger Free Vermont 38 Eastwood Drive Suite 100 South Burlington, VT 05403		PC	Regional HungerCouncil & Covid-19Response	5,000
Instepp Inc 3717 University Drive Suite B Durham, NC 27707		PC	ImmigrationLegal Services	10,000
Institute for Social EcologyPO Box 48 Plainfield, VT 05667		PC	General Support	20,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
League of Women Voters Coastal Georgia PO Box 10593 Savannah, GA 31412		PC	General Support	3,000
Migrant Justice 294 North Winooski Avenue Burlington, VT 05401		PC	Human Rights forImmigrant Workers	25,000
Minglewood Farm and Nature Preserve 238 Minglewood Road Westfield, NC 27053		PC	Capacity Building	14,500
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Mountain Roots Food Project 107 East Georgia Street Suite 3B Gunnison, CO 81230		PC	Food Security Projects	7,500
New Community Project 575 Ruby Brace Road Starksboro, VT 05487		PC	Food Justice andFood Security	1,500
New England Grassroots Environmental Fund PO Box 611 Newmarket, NH 03857		PC	Urban FarmingInternship Summer Session	3,211
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Peace and Justice Center 60 Lake Street 1C Burlington, VT 05401		PC	General Support	15,000
Planned Parenthood of NNE 784 Hercules Drive Suite 110 Colchester, VT 05446		PC	Vermont Chapter	45,000
Planned Parenthood of the Rocky Mountains 7155 East 38th Avenue Denver, CO 80207		PC	General Support	25,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Red Wiggler Community Farm PO Box 968 Clarksburg, MD 20871		PC	Grower Program(\$15k Accrued in PY)	0
Refugee and Immigrant Fund 32-13 37th Street Astoria, NY 11103		PC	Emergency CrisisResponse	5,000
Refugee and Immigrant Fund 32-13 37th Street Astoria, NY 11103		PC	Building Bridgesin NYC	15,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Rural Vermont46 East State Street Montpelier, VT 05602		PC	Cultivating Equity,Access &Opportunity	20,000
Sheep Mountain AlliancePO Box 389 Telluride, CO 81435		PC	Latinx OutdoorEngagementProgram	5,000
Shelburne Farms1611 Harbor Road Shelburne, VT 05962		PC	Burlington City and LakeSemester	10,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Sprout City FarmsPO Box 7536 Denver, CO 80207		PC	Food Access andEducationalProgramming	15,000
The North Branch Nature Center 713 Elm Street Montpelier, VT 05602		PC	ECO-EducatingChildren Outdoors	20,000
Tides CenterPO Box 399385 San Francisco, CA 94139		PC	Nobody Leaves Mid-Hudson	25,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Toxic Action Center 141 Main Street Suite 6 Montpelier, VT 05602		PC	CommunityAssistance Program	20,000
Vermont Foodbank33 Parker Road Barre, VT 05641		PC	Covid-19 EmergencyResponse	10,000
Vermont Works for Women 32 A Malletts Bay Avenue Winooski, VT 05404		PC	"Step Into Work"Program	10,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
Vital Communities195 North Main Street White River Junction, VT 05001		PC	Community-Based Solutions to EnergyBurden in Manufactured Homes	7,500
VPIRG Education Fund141 Main Street 6 Montpelier, VT 05602		PC	Vermont YouthLobby	15,000
Walking Mountains Science Center PO Box 9469 Avon, CO 81620		PC	Sowing SeedsProgram	10,000
Total ▶ 3a				552,462

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
			To Balance	1
Total ▶ 3a				552,462

TY 2020 Accounting Fees Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VT CPA Firm Accounting/Tax	5,395	4,316		1,079
Payroll Processor Payroll Services	630	95		535

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Depreciation Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Laptop computer	2009-12-04	2,440	2,440	SL	5.00000000000000	0			
Laptop computer	2010-09-29	962	962	SL	3.00000000000000	0			
Laptop computer	2012-02-04	2,373	2,373	SL	3.00000000000000	0			
Mac computer	2014-07-18	1,580	1,580	SL	3.00000000000000	0			

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Gain/Loss from Sale of Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
Publicly Held Securities & LTCGD		Purchased		Unrelated Third Parties	3,425,929	2,861,975	Cost	0	563,954	0

TY 2020 Investments Corporate Bonds Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Blue Ridge Discovery Center Inc.	200,000	200,000
John Deere	257,427	257,427
Self-Help Federal Credit Union	518,770	518,770
SUN Initiative Financing, LLC II	25,000	25,000
The Skinny Pancake	50,000	50,000
Vermont Community Loan Fund	70,000	70,000
Vermont Natural Coatings, Inc.	50,000	50,000

TY 2020 Investments Corporate Stock Schedule

Name: Harris & Frances Block Foundation Inc
EIN: 31-1784246

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Accell Group	72,481	72,481
Alcon Inc.	131,960	131,960
Amgen Inc.	74,724	74,724
Automatic Data Processing Inc.	264,300	264,300
Biogen Inc.	238,739	238,739
Camden International Corp.	143,120	143,120
Century Bancorp	296,289	296,289
Christian Hansen Holdings	127,900	127,900
Cisco Systems Inc.	100,687	100,687
Colgate-Palmolive Company	171,020	171,020
Dentsply Sirona Inc.	126,973	126,973
Federal Agri 5.75% PFD	87,208	87,208
General Mills Inc.	320,460	320,460
Koninklijke Ahold N.V.	276,266	276,266
Nokia Corp.	109,402	109,402
Nomad Foods Ltd.	118,584	118,584
Quest Diagnostic Inc.	105,465	105,465
Sanofi	284,737	284,737
Sprouts Farmers Markets	120,600	120,600
Suez	196,400	196,400
Telia Company	254,627	254,627
Telus Corporation	300,960	300,960
Unilever	412,862	412,862
United Utilities	87,465	87,465
Vestas Wind Systems	334,433	334,433
Vodafone Group	229,402	229,402
Cooperative Regions of Organic Producer Pools Cl E	100,000	100,000
High Mowing Seed Co. PFD	100,010	100,010
Solar Communities Inc.	297,750	297,750

TY 2020 Investments Government Obligations Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**US Government Securities - End
of Year Book Value:**

1,912,698

**US Government Securities - End
of Year Fair Market Value:**

1,912,698

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2020 Investments - Other Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Hannon Armstrong Sustainable REIT		294,950	294,950
Healthpeak Properties Inc. REIT		232,771	232,771
Healthcare Trust of America REIT		261,630	261,630
Physicians Realty Trust REIT		106,711	106,711
Stag Industrial Inc. REIT		93,960	93,960
Income & Impact Fund, LLC		106,742	106,742
Iroquois Valley Farmland REIT		98,175	98,175
Northeast Kingdom Tasting Center, LLC		35,715	35,715
Patient Capital Collaborative '11, LP		13,318	13,318
Safer Made LP		34,497	34,497
Sunwealth Note Pool 2 LLC		63,120	63,120
The Lyme Forest Fund III TE LP		28,107	28,107
The Lyme Forest Fund IV TE LP		85,510	85,510
The Lyme Forest Fund V TE LP		215,614	215,614
VSJF Flexible Capital Fund L3C		47,202	47,202

TY 2020 Land, Etc. Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Computer Equipment	7,355	7,355	0	

TY 2020 Other Assets Schedule

Name: Harris & Frances Block Foundation Inc

EIN: 31-1784246

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Excise Tax Refund Receivable	18,068	8,026	8,026

TY 2020 Other Expenses Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Memberships	1,950	0		1,950
Office Expenses	1,097	160		937
Other Investment Expenses	6,812	6,807		0
Technology Expenses	39,452	0		39,452

TY 2020 Other Income Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Ordinary Gains from Partnerships	4,165	4,165	
Other Partnership Gains	774	774	
Partnership Rental Losses	-2,607	-2,607	
Passthrough Unrelated Business Losses	-2,923	0	
Tax-Exempt Income	466	0	

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TY 2020 Other Increases Schedule			
Name: Harris & Frances Block Foundation Inc			
EIN: 31-1784246			
Other Increases Schedule			
Description			Amount
Unrealized Gains on Investments			27,858

TY 2020 Other Professional Fees Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
VT Investment Managers Investment Management	67,002	67,002		0
VT Consultants Strategic Planning	20,431	0		20,431

TY 2020 Taxes Schedule**Name:** Harris & Frances Block Foundation Inc**EIN:** 31-1784246**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Foreign Taxes and ADR Fees	16,979	16,979		0
Payroll Taxes	6,656	998		5,658
Excise Tax	10,042	0		0