

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation THE ADDIE FOUNDATION UAD 128011		A Employer identification number 27-5078167	
Number and street (or P.O. box number if mail is not delivered to street address) P O BOX 630858	Room/suite	B Telephone number (see instructions) (513) 534-5310	
City or town, state or province, country, and ZIP or foreign postal code CINCINNATI, OH 452630858		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>5,621,137</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	102,523	102,369		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	132,636			
	b Gross sales price for all assets on line 6a	1,735,072			
	7 Capital gain net income (from Part IV, line 2)		132,636		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	235,159	235,005			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	55,814	55,814		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	11,586	2,600		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings			0	0
	22 Printing and publications			0	0
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	67,400	58,414	0	0
	25 Contributions, gifts, grants paid	251,000			251,000
26 Total expenses and disbursements. Add lines 24 and 25	318,400	58,414	0	251,000	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-83,241				
b Net investment income (if negative, enter -0-)		176,591			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing		1	1
	2 Savings and temporary cash investments	216,897	223,753	223,753
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,732,167		
	c Investments—corporate bonds (attach schedule)	792,583		
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)		4,378,337	5,338,784
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)		54,151	58,599	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	4,741,647	4,656,242	5,621,137	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	4,741,647	4,656,242	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	4,741,647	4,656,242		
30 Total liabilities and net assets/fund balances (see instructions) .	4,741,647	4,656,242		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4,741,647
2 Enter amount from Part I, line 27a	2	-83,241
3 Other increases not included in line 2 (itemize) ▶ _____	3	2
4 Add lines 1, 2, and 3	4	4,658,408
5 Decreases not included in line 2 (itemize) ▶ _____	5	2,166
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	4,656,242

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		2	132,636
	{		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows and 2 columns. Row 1: Exempt operating foundations... 2,455. Row 2: Tax under section 511... 0. Row 3: Add lines 1 and 2... 2,455. Row 4: Subtitle A (income) tax... 0. Row 5: Tax based on investment income... 2,455. Row 6: Credits/Payments: 6a 5,708, 6b 0, 6c 0, 6d. Row 7: Total credits and payments... 5,708. Row 8: Enter any penalty... 0. Row 9: Tax due... 9. Row 10: Overpayment... 3,253. Row 11: Enter the amount of line 10 to be: Credited to 2021 estimated tax 614, Refunded 2,639.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns (Yes/No). Row 1a: During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? No. Row 1b: Did it spend more than \$100 during the year... No. Row 1c: Did the foundation file Form 1120-POL for this year? No. Row 2: Has the foundation engaged in any activities that have not previously been reported to the IRS? No. Row 3: Has the foundation made any changes, not previously reported to the IRS... No. Row 4a: Did the foundation have unrelated business gross income of \$1,000 or more during the year? No. Row 4b: If "Yes," has it filed a tax return on Form 990-T for this year? No. Row 5: Was there a liquidation, termination, dissolution, or substantial contraction during the year? No. Row 6: Are the requirements of section 508(e) satisfied either: By language in the governing instrument, or By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Yes. Row 7: Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes. Row 8a: Enter the states to which the foundation reports or with which it is registered (see instructions) IN. Row 8b: If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. No. Row 9: Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV. No. Row 10: Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question number, Question text, and Yes/No columns. Rows 11, 12, 13.

14 The books are in care of FIFTH THIRD BANK NA Telephone no. (513) 534-2796

Located at 38 FOUNTAIN SQUARE PLAZA MD1090VD CINCINNATI OH ZIP+4 45202

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year. 15

Table with 3 columns: Question number, Question text, and Yes/No columns. Row 16.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question number, Question text, and Yes/No columns. Rows 1a through 4b.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	4,861,462
b	Average of monthly cash balances.	1b	229,461
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,090,923
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,090,923
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	76,364
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,014,559
6	Minimum investment return. Enter 5% of line 5.	6	250,728

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	250,728
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	2,455
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	2,455
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	248,273
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	248,273
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	248,273

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	251,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	251,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	251,000

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				248,273
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			243,053	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>251,000</u>				
a Applied to 2019, but not more than line 2a			243,053	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				7,947
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				240,326
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
 FIFTH THIRD BANK
 PO BOX 719
 EVANSVILLE, IN 477050719
 (812) 456-3425

b The form in which applications should be submitted and information and materials they should include:
 LETTER

c Any submission deadlines:
 NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
 NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				251,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
603.771 ARIEL INTL FD INST		2018-10-17	2020-01-24
3038.432 ARIEL INTL FD INST		2018-10-17	2020-01-24
1449.5 ARIEL INTL FD INST		2020-08-25	2020-12-01
1163.232 ARIEL INTL FD INST		2020-08-25	2020-12-16
140.613 ARIEL INTL FD INST		2020-10-20	2020-12-16
708.704 BARINGS ACTIVE SHORT DURATION BD Y		2020-01-24	2020-03-23
778.039 BARINGS ACTIVE SHORT DURATION BD Y		2019-03-22	2020-03-23
1060.667 BARINGS ACTIVE SHORT DURATION BD Y		2019-01-11	2020-03-23
1610.99 COHEN & STEERS REALTY SHARES I		2019-04-18	2020-05-27
177.315 COHEN & STEERS REALTY SHARES I		2019-12-20	2020-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,260		8,115	145
41,566		40,290	1,276
20,134		20,250	-116
16,727		16,250	477
2,022		1,880	142
6,378		7,052	-674
7,002		7,703	-701
9,546		10,416	-870
90,570		107,882	-17,312
9,969		11,578	-1,609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			145
			1,276
			-116
			477
			142
			-674
			-701
			-870
			-17,312
			-1,609

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
32.243 COHEN & STEERS REALTY SHARES I		2020-03-23	2020-05-27
27.982 COHEN & STEERS REALTY SHARES I		2020-12-01	2020-12-16
896.454 DOUBLELINE TOTAL RETURN BOND I		2020-01-24	2020-03-23
5287.26764 DOUBLELINE TOTAL RETURN BOND I		2017-07-21	2020-03-23
3964.36536 DOUBLELINE TOTAL RETURN BOND I		2017-07-21	2020-03-23
960.436 DOUBLELINE TOTAL RETURN BOND I		2017-07-21	2020-12-16
3727.094 FIDELITY 500 INDEX FD INST PREMIUM		2019-04-18	2020-01-24
623.847 FIDELITY 500 INDEX FD INST PREMIUM		2019-04-18	2020-04-17
179.317 FIDELITY 500 INDEX FD INST PREMIUM		2019-04-18	2020-05-27
142.383 FIDELITY 500 INDEX FD INST PREMIUM		2020-08-25	2020-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,813		1,340	473
1,694		1,689	5
9,251		9,637	-386
54,565		56,732	-2,167
40,912		42,538	-1,626
10,296		10,478	-182
426,305		376,362	49,943
62,198		62,996	-798
18,925		18,107	818
18,132		17,042	1,090

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			473
			5
			-386
			-2,167
			-1,626
			-182
			49,943
			-798
			818
			1,090

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
60.835 FIDELITY 500 INDEX FD INST PREMIUM		2020-10-20	2020-12-01
922.059 FIDELITY 500 INDEX FD INST PREMIUM		2019-04-18	2020-12-01
469.344 FIDELITY 500 INDEX FD INST PREMIUM		2019-04-18	2020-12-16
4629.536 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2020-01-24	2020-03-23
908.819 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2020-01-24	2020-08-25
259.113 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2017-01-23	2020-08-25
598.797 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2017-01-23	2020-10-20
1966.807 SEAFARER OVERSEAS GR AND INCOME INSTITUTIONAL SHARES		2020-12-01	2020-12-16
1379.08 GRANDEUR PEAK INTL STALWARTS INST		2020-01-24	2020-05-27
1162.553 GRANDEUR PEAK INTL STALWARTS INST		2020-01-24	2020-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,747		7,269	478
117,424		93,110	24,314
60,170		47,394	12,776
38,888		57,314	-18,426
11,333		11,251	82
3,231		2,980	251
7,707		6,886	821
28,361		27,496	865
21,486		22,493	-1,007
22,495		18,961	3,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			478
			24,314
			12,776
			-18,426
			82
			251
			821
			865
			-1,007
			3,534

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
682.941 GRANDEUR PEAK INTL STALWARTS INST		2020-01-24	2020-12-16
1626.943 GOLDMAN SACHS ABS RETURN TRACKER		2018-09-18	2020-03-23
158.345 GOLDMAN SACHS ABS RETURN TRACKER		2018-09-18	2020-12-16
1097.775 HARDING LOEVNER INTL EQUITY INST		2019-03-22	2020-01-24
252.305 HARDING LOEVNER INTL EQUITY INST		2019-02-14	2020-01-24
4071.317 HARDING LOEVNER INTL EQUITY INST		2016-04-06	2020-01-24
221.836 HARDING LOEVNER INTL EQUITY INST		2020-08-25	2020-10-20
55.82 HARDING LOEVNER INTL EQUITY INST		2020-08-25	2020-12-01
653.166 HARDING LOEVNER INTL EQUITY INST		2016-04-06	2020-12-01
760.313 HARDING LOEVNER INTL EQUITY INST		2016-04-06	2020-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
14,772		11,139	3,633
13,975		15,928	-1,953
1,574		1,550	24
26,500		23,458	3,042
6,091		5,288	803
98,282		69,013	29,269
5,619		5,506	113
1,523		1,385	138
17,818		11,072	6,746
21,182		12,888	8,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,633
			-1,953
			24
			3,042
			803
			29,269
			113
			138
			6,746
			8,294

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
431.488 HOTCHKIS & WILEY HIGH YIELD I		2019-04-18	2020-03-23
21.305 HOTCHKIS & WILEY HIGH YIELD I		2019-01-11	2020-03-23
338.524 HOTCHKIS & WILEY HIGH YIELD I		2019-01-11	2020-12-16
313. ISHARES TRUST-S&P 400 MIDCAP ETF [RIC]		2017-03-23	2020-01-24
85. ISHARES TRUST-S&P 400 MIDCAP ETF [RIC]		2017-03-23	2020-05-27
48. ISHARES TRUST-S&P 400 MIDCAP ETF [RIC]		2020-12-01	2020-12-16
41. ISHARES TR S&P SMALLCAP 600 INDEX FD ETF		2019-06-24	2020-01-24
715. ISHARES TR S&P SMALLCAP 600 INDEX FD ETF		2017-03-23	2020-01-24
148. ISHARES TR S&P SMALLCAP 600 INDEX FD ETF		2017-03-23	2020-05-27
160. ISHARES TR S&P SMALLCAP 600 INDEX FD ETF		2020-12-01	2020-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,819		5,083	-1,264
189		243	-54
3,788		3,863	-75
64,870		53,221	11,649
15,106		14,453	653
10,863		10,545	318
3,442		3,158	284
60,025		48,762	11,263
9,971		10,093	-122
14,506		13,840	666

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,264
			-54
			-75
			11,649
			653
			318
			284
			11,263
			-122
			666

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
528. ISHARES S&P GLOB INFRAS INDEX FD		2019-03-22	2020-03-23
672. ISHARES S&P GLOB INFRAS INDEX FD		2018-02-15	2020-03-23
286. ISHARES S&P GLOB INFRAS INDEX FD		2018-02-15	2020-04-17
288. ISHARES S&P GLOB INFRAS INDEX FD		2019-02-14	2020-04-17
731. ISHARES S&P GLOB INFRAS INDEX FD		2018-03-22	2020-04-17
284. ISHARES SILVER TR ISHARES [WHFIT]		2020-10-20	2020-12-16
1173.47 JPMORGAN EQUITY INCOME FUND 3128 CLASS I		2020-01-24	2020-04-17
843.126 JPMORGAN EQUITY INCOME FUND 3128 CLASS I		2020-01-24	2020-12-01
1426.217 JPMORGAN EQUITY INCOME FUND 3128 CLASS I		2020-01-24	2020-12-16
218. SPDR GOLD TRUST [WHFIT]		2020-03-23	2020-05-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,358		23,598	-8,240
19,546		29,344	-9,798
10,374		12,489	-2,115
10,447		12,305	-1,858
26,516		31,158	-4,642
6,586		6,498	88
18,846		22,883	-4,037
16,382		16,441	-59
27,854		27,811	43
34,812		31,145	3,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-8,240
			-9,798
			-2,115
			-1,858
			-4,642
			88
			-4,037
			-59
			43
			3,667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
21. SPDR GOLD TRUST [WHFIT]		2019-10-18	2020-05-27
299. SPDR GOLD TRUST [WHFIT]		2019-10-18	2020-10-20
302. SPDR GOLD TRUST [WHFIT]		2019-10-18	2020-12-01
6.967 TCW EMERGING MARKETS INCOME CL I		2017-05-18	2020-12-16
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,353		2,954	399
53,404		42,054	11,350
51,309		42,476	8,833
59		59	
			7,244
			7,244
			7,244
			7,244
			7,244
			7,244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			399
			11,350
			8,833

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMANE SOCIETY OF MISSOURI 1201 MACKLIND AVE ST LOUIS, MO 63110	NONE	PC	PROGRAM SERVICES	24,000
NATIONAL MILL DOG RESCUE 5335 J D JOHNSON RD PEYTON, CO 80831	NONE	PC	PROGRAM SERVICES	94,000
TUNICA HUMANE SOCIETY 4500 FOX ISLAND RD TUNICA, MS 38676	NONE	PC	PROGRAM SERVICE	13,000
Total ▶ 3a				251,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ELMBROOK HUMANE SOCIETY 20950 ENTERPRISE AVENUE BROOKFIELD, WI 53045	NONE	PC	PROGRAM SERVICES	94,000
ANIMAL RESCUE CORPS INC 1380 MONROSE ST NW 326 WASHINGTON, DC 200103452	NONE	PC	PROGRAM SERVICE	13,000
THE PET MATCHMAKER RESCUE MEMPHIS2285 EVELYN AVE MEMPHIS, TN 381045529	NONE	PC	PROGRAM SERVICE	13,000
Total ▶ 3a				251,000

efile GRAPHIC print - DO NOT PROCESS

As Filed Data -

DLN: 93491123024211

TY 2020 Explanation of Non-Filing with Attorney General Statement

Name: THE ADDIE FOUNDATION UAD 128011

EIN: 27-5078167

Statement: INDIANA DOES NOT REQUIRE A COPY OF FORM 990-PF

TY 2020 Investments - Other Schedule**Name:** THE ADDIE FOUNDATION UAD 128011**EIN:** 27-5078167**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
040337875 ARIEL INTL FD INST	AT COST	318,287	345,481
06759W653 BARINGS ACTIVE SHORT	AT COST	134,981	136,614
192476505 COHEN & STEERS REALT	AT COST	93,512	111,714
258620103 DOUBLELINE TOTAL RET	AT COST	493,009	497,074
315911750 FIDELITY 500 INDEX F	AT COST	1,244,572	1,632,372
317609295 SEAFARER OVERSEAS GR	AT COST	368,714	482,633
31761R757 GRANDEUR PEAK INTL S	AT COST	142,699	201,668
38145N220 GOLDMAN SACHS ABS RE	AT COST	133,894	139,587
412295107 HARDING LOEVNER INTL	AT COST	228,359	382,897
44134R735 HOTCHKIS & WILEY HIG	AT COST	111,443	111,863
464287507 ISHARES TRUST-S&P 40	AT COST	124,237	182,715
464287804 ISHARES TR S&P SMALL	AT COST	121,753	182,513
4812C0498 JPMORGAN EQUITY INCO	AT COST	706,711	767,814
665162384 NORTHERN MULTI-MANAG	AT COST	46,982	52,780
87234N765 TCW EMERGING MARKETS	AT COST	109,184	111,059

TY 2020 Other Assets Schedule**Name:** THE ADDIE FOUNDATION UAD 128011**EIN:** 27-5078167**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
46428Q109 ISHARES SILVER TR IS		54,151	58,599

TY 2020 Other Decreases Schedule**Name:** THE ADDIE FOUNDATION UAD 128011**EIN:** 27-5078167

Description	Amount
SALES FOUND UPDATES	27
2020 TRANSACTIONS POSTED IN 2021	2,139

TY 2020 Other Increases Schedule**Name:** THE ADDIE FOUNDATION UAD 128011**EIN:** 27-5078167**Other Increases Schedule**

Description	Amount
ROUNDING	2

TY 2020 Taxes Schedule**Name:** THE ADDIE FOUNDATION UAD 128011**EIN:** 27-5078167**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRIOR YEAR EXCISE TAX PAID	3,278	0		0
EXCISE TAX ESTIMATE	5,708	0		0
FOREIGN TAXES ON QUALIFIED FOR	1,449	1,449		0
FOREIGN TAXES ON NONQUALIFIED	1,151	1,151		0