

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

| | | | |
|--|--|--|--|
| Name of foundation GREEN MOUNTAIN FOUNDATION | | A Employer identification number 27-4175885 | |
| Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 415 CAMILLE CIR UNIT 17 | | B Telephone number (see instructions) (408) 489-9081 | |
| City or town, state or province, country, and ZIP or foreign postal code SAN JOSE, CA 95134 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> | |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>2,536,725</u> | | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.) | |
| | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | 121,100 | | | |
| | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | 1,826 | 1,826 | | |
| | 4 Dividends and interest from securities | 87,821 | 87,821 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 0 | | | |
| | b Gross sales price for all assets on line 6a <u>776,000</u> | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 0 | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | 506 | 0 | 0 | | |
| 12 Total. Add lines 1 through 11 | 211,253 | 89,647 | 0 | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 19,000 | 0 | 0 | 19,000 |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach schedule) | 1,257 | 0 | 0 | 1,257 |
| | c Other professional fees (attach schedule) | | | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule) (see instructions) | 620 | 620 | 0 | 0 |
| | 19 Depreciation (attach schedule) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) | 692 | 0 | 0 | 692 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 21,569 | 620 | 0 | 20,949 |
| | 25 Contributions, gifts, grants paid | 71,756 | | | 71,756 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 93,325 | 620 | 0 | 92,705 | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | 117,928 | | | | |
| b Net investment income (if negative, enter -0-) | | 89,027 | | | |
| c Adjusted net income (if negative, enter -0-) | | | 0 | | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | Beginning of year | End of year | |
|--|--|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 64,294 | 295,967 | 295,967 |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | 1,524,843 | 1,411,133 | 2,240,758 |
| | 14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 1,589,137 | 1,707,100 | 2,536,725 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | 66 | 101 | |
| | 23 Total liabilities (add lines 17 through 22) | 66 | 101 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 954,671 | 1,075,771 | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | 0 | 0 | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | 634,400 | 631,228 | |
| 29 Total net assets or fund balances (see instructions) | 1,589,071 | 1,706,999 | | |
| 30 Total liabilities and net assets/fund balances (see instructions) . | 1,589,137 | 1,707,100 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | | |
|---|--|----------|-----------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | | 1 | 1,589,071 |
| 2 Enter amount from Part I, line 27a | | 2 | 117,928 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | | 3 | 0 |
| 4 Add lines 1, 2, and 3 | | 4 | 1,706,999 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | | 5 | 0 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | | 6 | 1,706,999 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|---|---|-------------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|---|--|---|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---|---|--|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | | | |
|---|---|---|---|----------|---|
| 2 Capital gain net income or (net capital loss) | { | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | } | 2 | 0 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | { | | } | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

| (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|-------------------------------|-----------------|-----------------|-----------------|
| | | | |
| | | | |
| | | | |
| | | | |
| 2 Reserved | | | 2 |
| 3 Reserved | | | 3 |
| 4 Reserved | | | 4 |
| 5 Reserved | | | 5 |
| 6 Reserved | | | 6 |
| 7 Reserved | | | 7 |
| 8 Reserved , | | | 8 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 1,250.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

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15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ▶ | | 0 |

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| | Expenses |
|----------|----------|
| 1 | 0 |
| 2 | |
| | |
| | |
| 3 | |
| | |
| | |
| 4 | |
| | |
| | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| | Amount |
|--|--------|
| 1 | |
| | |
| | |
| 2 | |
| | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| | |
| | |
| Total. Add lines 1 through 3 ▶ | 0 |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--|-----------|-----------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 2,017,242 |
| b | Average of monthly cash balances. | 1b | 118,116 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 2,135,358 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 2,135,358 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 32,030 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,103,328 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 105,166 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--|-----------|---------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 105,166 |
| 2a | Tax on investment income for 2020 from Part VI, line 5. | 2a | 1,237 |
| b | Income tax for 2020. (This does not include the tax from Part VI.). | 2b | |
| c | Add lines 2a and 2b. | 2c | 1,237 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 103,929 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 103,929 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 103,929 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 92,705 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | |
| b | Cash distribution test (attach the required schedule). | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 92,705 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 92,705 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 103,929 |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only. | | | 85,887 | |
| b Total for prior years: 20___, 20___, 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015. | | | | |
| b From 2016. | | | | |
| c From 2017. | | | | |
| d From 2018. | | | | |
| e From 2019. | | | | |
| f Total of lines 3a through e. | 0 | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>92,705</u> | | | | |
| a Applied to 2019, but not more than line 2a | | | 85,887 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2020 distributable amount. | | | | 6,818 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | | 0 | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 97,111 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016. | | | | |
| b Excess from 2017. | | | | |
| c Excess from 2018. | | | | |
| d Excess from 2019. | | | | |
| e Excess from 2020. | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2020 | (b) 2019 | (c) 2018 | (d) 2017 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total ▶ 3a | | | | 71,756 |
| b <i>Approved for future payment</i> | | | | |
| Total ▶ 3b | | | | 0 |

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

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Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| AARP FOUNDATION 1415 L ST SUITE 960 SACRAMENTO, CA 95814 | N/A | PUBLIC CHARITY | DONATION | 300 |
| AASMU-NA6824 N BRENTMEAD AVE ARCADIA, CA 91007 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| ADVOCACY INC 5274 SCOTTS VALLEY DR SCOTTS VALLEY, CA 95066 | N/A | PUBLIC CHARITY | DONATION | 450 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|---------------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ALZHEIMER'S ASSOCIATION MONTEREY 21 LOWER RAGSDALE DR STE B MONTEREY, CA 93940 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| ALZHEIMER'S ASSOCIATION ASIAN COMMUNITY FUND 2290 N FIRST ST SUITE 101 SAN JOSE, CA 95131 | N/A | PUBLIC CHARITY | DONATION | 500 |
| ASIAN PACIFIC ISLANDER AMERICAN PUBLIC AFFAIRS ASSOCIATION (APAPA) 20111 STEVENS CREEK BOULEVARD CUPERTINO, CA 95014 | N/A | PUBLIC CHARITY | DONATION | 1,030 |
| Total | | | | 71,756 |

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| BERKELEY ART MUSEUM AND PACIFIC FILM ARCHIVE 2155 CENTER STREET BERKELEY, CA 94704 | N/A | PUBLIC CHARITY | DONATION | 500 |
| CABRILLO COLLEGE FOUNDATION 6500 SOQUEL DR APTOS, CA 95003 | N/A | PUBLIC CHARITY | DONATION | 300 |
| CALIFORNIA STATE RAILROAD MUSEUM FOUNDATION (CSRMF) 125 I STREET SACRAMENTO, CA 95814 | N/A | PUBLIC CHARITY | DONATION | 258 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| CHINESE AMERICAN COALITION FOR COMPASSIONATE CARE 4070 CACTUS ROAD SHINGLE SPRINGS, CA 95682 | N/A | PUBLIC CHARITY | DONATION | 800 |
| COMMINUTY BRIDGES 236 SANTA CRUZ AVE APTOS, CA 950039983 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| ELDERDAY ADULT DAY HEALTH CARE 100 PIONEER ST SUITE C SANTA CRUZ, CA 95060 | N/A | PUBLIC CHARITY | DONATION | 500 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| FRIENDS OF CHILDREN WITH SPECIAL NEEDS 2300 PERALTA BLVD FREMONT, CA 94536 | N/A | PUBLIC CHARITY | DONATION | 1,049 |
| HEART OF HOPE ASIAN AMERICAN HOSPICE CARE (HEARTOFHOPE) 1922 THE ALAMEDA SAN JOSE, CA 95126 | N/A | PUBLIC CHARITY | DONATION | 500 |
| HOMELESS SERVICE CENTER POBOX 1319 SANTA CRUZ, CA 95061 | N/A | PUBLIC CHARITY | DONATION | 500 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| HOSPICE OF SANTA CRUZ 940 DISK DR SCOTTS VALLEY, CA 95066 | N/A | PUBLIC CHARITY | DONATION | 450 |
| KQED PO BOX 7618 SAN FRANCISCO, CA 94120 | N/A | PUBLIC CHARITY | DONATION | 500 |
| LIFE OAK FAMILY RESOURCES CENTER 1740 17TH AVE SANTA CRUZ, CA 95062 | N/A | PUBLIC CHARITY | DONATION | 300 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| NEW HOPE CHINESE CANCER CARE FOUNDATION 75 SOUTH MILPITAS BLVD SUITE 217 MILPITAS, CA 95035 | N/A | PUBLIC CHARITY | DONATION | 750 |
| NEXTSTAGE PRODUCTIONS 826 BAY AVE PO BOX 1955 CAPITOLA, CA 95010 | N/A | PUBLIC CHARITY | DONATION | 750 |
| ON LOK DAY SERVICES 30TH STREET SENIOR CENTER SAN FRANCISCO, CA 94131 | N/A | PUBLIC CHARITY | DONATION | 258 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SANTA CRUZ COUNTY YOUTH SYMPHONY POBOX 566 SANTA CRUZ, CA 95061 | N/A | PUBLIC CHARITY | DONATION | 500 |
| SANTA CRUZ PARKINSON'S COMMUNITY (EASE PD INC) 150 BRECKENRIDGE LANE SANTA CRUZ, CA 95073 | N/A | PUBLIC CHARITY | DONATION | 350 |
| SANTA CRUZ SPCA (SOCIETY FOR THE PREVENTION OF CRUELTY TO ANIMALS) 2685 CHANTICLEER AVE SANTA CRUZ, CA 95065 | N/A | PUBLIC CHARITY | DONATION | 300 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| SECOND HAVEST FOOD BANK SANTA CRUZ COUNTY 800 ONLONE PARKWAY WATSONVILLE, CA 95076 | N/A | PUBLIC CHARITY | DONATION | 527 |
| SHIN SHIN EDUCATIONAL FOUNDATION 433 CALIFORNIA ST SUITE 810 SAN FRANCISCO, CA 94104 | N/A | PUBLIC CHARITY | DONATION | 10,000 |
| SILICON VALLEY WOMEN ALLIANCE SVWA POBOX 611655 SAN JOSE, CA 951611655 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ST JUDE CHILDREN'S RESEARCH HOSPITAL PO BOX 50 MEMPHIS, TN 38101 | N/A | PUBLIC CHARITY | DONATION | 500 |
| THE FALLEN OFFICER FOUNDATION PO BOX 67281 SCOTTS VALLEY, CA 95067 | N/A | PUBLIC CHARITY | DONATION | 1,200 |
| UNIVERSITY OF FLORIDA FOUNDATION COLLEGE OF ENGINEERING POBOX 14425 GANESVILLE, FL 32604 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| UNIVERSITY OF FLORIDA FOUNDATIONCOLLEGE OF MEDICINE POBOX 14425 GANESVILLE, FL 32604 | N/A | PUBLIC CHARITY | DONATION | 1,000 |
| ALUMNI NETWORK OF SUN YAT-SEN UNIVERSITY NORTHERN CALIFORNIA 8407 CENTRAL AVE SUITE 1696 NEWARK, CA 94560 | N/A | PUBLIC CHARITY | DONATION | 9,500 |
| AMERICAN RED CROSS CENTRAL COAST CHAPTER CORLIFORNIA WILDFIRES 2960 SOQUEL AVE SANTA CRUZ, CA 95062 | N/A | PUBLIC CHARITY | DONATION | 1,500 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|--|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ANDREA BOCELLI FOUNDATION VIA VOLTERRANA 49 LAJATICO 56030 IT | N/A | PUBLIC CHARITY | DONATION | 2,252 |
| BRIDGE ROAD INTERNATIONAL (BRI) FOUNDATION 2090 WARM SPRINGS COURT SUITE 256 FREMONT, CA 94539 | N/A | PUBLIC CHARITY | DONATION | 1,300 |
| CDC FOUNDATION 600 PEACHTREE ST NE 1000 ATLANTA, GA 30308 | N/A | PUBLIC CHARITY | DONATION | 5,000 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| FINE ARTS MUSEUMS OF SAN FRANCISCO (FAMSF) 50 HAGIWARA TEA GARDEN DRIVE SAN FRANCISCO, CA 94118 | N/A | PUBLIC CHARITY | DONATION | 250 |
| GLOBAL GREEN DEVELOPMENT ALLIANCE 1710 S AMPHLETT BLVD SUITE 108 SAN MATEO, CA 94402 | N/A | PUBLIC CHARITY | DONATION | 20,000 |
| LAST CHANCE COMMUNITY CENTER FIRE LOSS PO BOX 127 DAVENPORT, CA 95017 | N/A | PUBLIC CHARITY | DONATION | 382 |
| Total ▶ 3a | | | | 71,756 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| STANFORD UNIVERSITYSTANFORD MEDICINE COVID-19 CLINICAL CARE FUND 326 GALVEZ STREET STANFORD, CA 94305 | N/A | PUBLIC CHARITY | DONATION | 500 |
| STANFORD UNIVERSITYSTANFORD MEDICINE THE HEALTH CARE PARTNERS FUND 326 GALVEZ STREET STANFORD, CA 94305 | N/A | PUBLIC CHARITY | DONATION | 500 |
| TSINGHUA EDUCATION FOUNDATION NORTH AMERICA 2200 SAND HILL ROAD SUITE 160 MENLO PARK, CA 94025 | N/A | PUBLIC CHARITY | DONATION | 1,500 |
| Total ▶ 3a | | | | 71,756 |

TY 2020 Accounting Fees Schedule**Name:** GREEN MOUNTAIN FOUNDATION**EIN:** 27-4175885

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|---------------------|---------------|----------------------------------|--------------------------------|--|
| TAX PREPARATION FEE | 1,257 | 0 | 0 | 1,257 |

TY 2020 Investments - Other Schedule

Name: GREEN MOUNTAIN FOUNDATION

EIN: 27-4175885

Investments Other Schedule 2

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|---|-----------------------|------------|-------------------------------|
| 1454.126 SHARES FIDELITY 500 INDEX | AT COST | 113,023 | 189,284 |
| 2749.456 SHARES FIDELITY TOTAL MKT | AT COST | 133,581 | 296,171 |
| 3880.131 SHARES FIDELITY FOCUSED | AT COST | 82,531 | 120,750 |
| 18959.864 SHARES FIDELITY CONTRAFUND | AT COST | 179,250 | 317,767 |
| 1420.703 SHARES FIDELITY IT SERVICES | AT COST | 59,535 | 133,091 |
| 1420.703 SHARES FIDELITY SELECT BIOTECH | AT COST | 77,539 | 97,127 |
| 4663.978 SHARES OAKMARK INTERNATIONAL | AT COST | 95,294 | 121,683 |
| 4399.394 SHARES FIDELITY NEW MARKETS | AT COST | 70,433 | 66,431 |
| 8534.305 SHARES FIDELITY CAPITAL & INCOME | AT COST | 81,683 | 91,488 |
| 868.026 SHARES POWERSHARES QQQ | AT COST | 91,390 | 272,334 |
| 1896.797 SHARES SPDR PROTFOLIO EMERGING MARKETS | AT COST | 70,994 | 79,969 |
| 3168.196 SHARES FIDELITY INT'L GROWTH FUND | AT COST | 34,745 | 58,960 |
| 5489.075 SHARES MID CAP ENHANCED INDEX | AT COST | 69,670 | 94,193 |
| 1744.165 FIDELITY MSCI HEALTH CARE | AT COST | 69,169 | 100,726 |
| 2446.825 BNY MELLON SMALLCAP | AT COST | 70,781 | 72,083 |
| 1070 ISHARES TR MSCI USA | AT COST | 55,515 | 72,632 |
| 56000 SHARES GOLDMAN SACHS | AT COST | 56,000 | 56,069 |

TY 2020 Other Expenses Schedule**Name:** GREEN MOUNTAIN FOUNDATION**EIN:** 27-4175885**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-------------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| POSTAGE | 551 | 0 | 0 | 551 |
| FILING FEE | 80 | 0 | 0 | 80 |
| FOREIGN TRANSACTION FEE | 61 | 0 | 0 | 61 |

TY 2020 Other Income Schedule**Name:** GREEN MOUNTAIN FOUNDATION**EIN:** 27-4175885**Other Income Schedule**

| Description | Revenue And Expenses Per Books | Net Investment Income | Adjusted Net Income |
|-------------|--------------------------------|-----------------------|---------------------|
| CASH REWARD | 506 | | 506 |

TY 2020 Other Liabilities Schedule**Name:** GREEN MOUNTAIN FOUNDATION**EIN:** 27-4175885

| Description | Beginning of Year - Book Value | End of Year - Book Value |
|--------------------|---|-------------------------------------|
| ADVANCE FROM S/H | 66 | 101 |

TY 2020 Taxes Schedule**Name:** GREEN MOUNTAIN FOUNDATION**EIN:** 27-4175885**Taxes Schedule**

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|-----------------|---------------|----------------------------------|--------------------------------|--|
| IRS TAX | 620 | 620 | 0 | 0 |

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2020

Name of the organization
GREEN MOUNTAIN FOUNDATION

Employer identification number
27-4175885

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
GREEN MOUNTAIN FOUNDATION

Employer identification number
27-4175885

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|------------|--|----------------------------|---|
| 1 | KE WU AND HONG-GEN CHEN 415 CAMILLE CIR UNIT 17 SAN JOSE, CA 95134 | \$ 121,100 | <input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |
| | | \$ | <input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.) |

Name of organization
GREEN MOUNTAIN FOUNDATION

Employer identification number
27-4175885

Part II Noncash Property

| (a) No. from Part I | (b) Description of noncash property given <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small> | (c) FMV (or estimate) <small>(See instructions)</small> | (d) Date received |
|------------------------|---|---|----------------------|
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |
| - | _____ _____ _____ | _____ \$ | _____ |

Name of organization
GREEN MOUNTAIN FOUNDATION

Employer identification number
27-4175885

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------------------|---------------------|--|-------------------------------------|
| | _____ _____ | _____ _____ | _____ _____ |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee | |
| _____ _____ | | _____ _____ | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------------------|---------------------|--|-------------------------------------|
| | _____ _____ | _____ _____ | _____ _____ |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee | |
| _____ _____ | | _____ _____ | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------------------|---------------------|--|-------------------------------------|
| | _____ _____ | _____ _____ | _____ _____ |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee | |
| _____ _____ | | _____ _____ | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---------------------------------------|---------------------|--|-------------------------------------|
| | _____ _____ | _____ _____ | _____ _____ |
| (e) Transfer of gift | | | |
| Transferee's name, address, and ZIP 4 | | Relationship of transferor to transferee | |
| _____ _____ | | _____ _____ | |