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Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation  
CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

Number and street (or P.O. box number if mail is not delivered to street address)  
CO J GROSS 75 LIVINGSTON AVE

City or town, state or province, country, and ZIP or foreign postal code  
ROSELAND, NJ 07068

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶\$ 12,440,893

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

A Employer identification number  
26-2680294

B Telephone number (see instructions)  
(973) 325-7800

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here..... ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ▶ ☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B . . . . .			
	3 Interest on savings and temporary cash investments . . . . .			
	4 Dividends and interest from securities . . . . .	354,826	354,826	
	5a Gross rents . . . . .			
	b Net rental income or (loss) _____			
	6a Net gain or (loss) from sale of assets not on line 10 . . . . .	52,619		
	b Gross sales price for all assets on line 6a _____ 699,851			
	7 Capital gain net income (from Part IV, line 2) . . . . .		89,368	
	8 Net short-term capital gain . . . . .			
	9 Income modifications . . . . .			
	10a Gross sales less returns and allowances _____			
b Less: Cost of goods sold . . . . .				
c Gross profit or (loss) (attach schedule) . . . . .				
11 Other income (attach schedule) . . . . .	2,831	2,831		
12 Total. Add lines 1 through 11 . . . . .	410,276	447,025		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc. . . . .	90,000	9,000	81,000
	14 Other employee salaries and wages . . . . .			
	15 Pension plans, employee benefits . . . . .			
	16a Legal fees (attach schedule) . . . . .	9,659	966	8,693
	b Accounting fees (attach schedule) . . . . .	11,154	10,039	1,115
	c Other professional fees (attach schedule) . . . . .			
	17 Interest . . . . .			
	18 Taxes (attach schedule) (see instructions) . . . . .	3,825	3,825	0
	19 Depreciation (attach schedule) and depletion . . . . .			
	20 Occupancy . . . . .			
	21 Travel, conferences, and meetings . . . . .			
	22 Printing and publications . . . . .			
	23 Other expenses (attach schedule) . . . . .	13	13	0
	24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .	114,651	23,843	90,808
25 Contributions, gifts, grants paid . . . . .	507,000		507,000	
26 Total expenses and disbursements. Add lines 24 and 25 . . . . .	621,651	23,843	597,808	
	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements . . . . .	-211,375		
	b Net investment income (if negative, enter -0-) . . . . .		423,182	
c Adjusted net income (if negative, enter -0-) . . . . .				

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2020)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	<b>1</b> Cash—non-interest-bearing . . . . .	50,376	64,157	64,157
	<b>2</b> Savings and temporary cash investments . . . . .			
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	5,720,931	5,328,176	6,502,349
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	94,338	94,338	106,147
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	5,546,732	5,738,031	5,768,240
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	11,412,377	11,224,702	12,440,893	
Liabilities	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	11,412,377	11,224,702	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	0	0	
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .	11,412,377	11,224,702	
<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) .	11,412,377	11,224,702		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	11,412,377
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-211,375
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	23,700
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	11,224,702
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	0
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	11,224,702

<b>2</b>	Capital gain net income or (net capital loss)	<div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;"> <div style="display: inline-block; vertical-align: middle;">If gain, also enter in Part I, line 7</div> <div style="display: inline-block; vertical-align: middle;">If (loss), enter -0- in Part I, line 7</div> </div> </div>	<b>2</b>	89,368
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

<b>1</b> Reserved			
<b>(a)</b> Reserved	<b>(b)</b> Reserved	<b>(c)</b> Reserved	<b>(d)</b> Reserved
<b>2</b> Reserved . . . . .		<b>2</b>	
<b>3</b> Reserved. . . . .		<b>3</b>	
<b>4</b> Reserved . . . . .		<b>4</b>	
<b>5</b> Reserved . . . . .		<b>5</b>	
<b>6</b> Reserved . . . . .		<b>6</b>	
<b>7</b> Reserved . . . . .		<b>7</b>	
<b>8</b> Reserved , . . . .		<b>8</b>	

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
<b>b</b>	Reserved.	<b>1</b>	5,882
<b>c</b>	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b>	Add lines 1 and 2.	<b>3</b>	5,882
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	<b>5</b>	5,882
<b>6</b>	Credits/Payments:		
<b>a</b>	2020 estimated tax payments and 2019 overpayment credited to 2020	<b>6a</b>	4,375
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	0
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	5,000
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	0
<b>7</b>	Total credits and payments. Add lines 6a through 6d.	<b>7</b>	9,375
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	0
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> .	<b>9</b>	
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> .	<b>10</b>	3,493
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2021 estimated tax</b> 3,493 <b>Refunded</b>	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

<b>1a</b>	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<b>Yes</b>	<b>No</b>
<b>b</b>	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>		<b>No</b>
<b>c</b>	Did the foundation file <b>Form 1120-POL</b> for this year?	<b>1c</b>		<b>No</b>
<b>d</b>	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0 (2) On foundation managers. ► \$ 0			
<b>e</b>	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0			
<b>2</b>	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b>	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<b>3</b>		<b>No</b>
<b>4a</b>	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	<b>4a</b>		<b>No</b>
<b>b</b>	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	<b>4b</b>		
<b>5</b>	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		<b>No</b>
<b>6</b>	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<b>6</b>	<b>Yes</b>	
<b>7</b>	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b>	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NJ			
<b>b</b>	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b>	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	<b>9</b>		<b>No</b>
<b>10</b>	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

**Part VII-A Statements Regarding Activities** (continued)

<b>11</b>	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions. . . . .	<b>11</b>		<b>No</b>
<b>12</b>	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions . . . . .	<b>12</b>		<b>No</b>
<b>13</b>	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	<b>13</b>	<b>Yes</b>	
<b>14</b>	The books are in care of ▶ <u>JONATHAN M GROSS</u> Telephone no. ▶ <u>(973) 325-7800</u>			

Located at ▶ 75 LIVINGSTON AVENUE ROSELAND NJZIP+4 ▶ 07068

<b>15</b>	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here . . . . . ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <b>15</b>			
<b>16</b>	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . .	<b>16</b>	<b>Yes</b>	<b>No</b>
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		<b>Yes</b>	<b>No</b>
<b>1a</b>	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions . . . . . <input type="checkbox"/>	<b>1b</b>	
<b>c</b>	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? . . . . .	<b>1c</b>	<b>No</b>
<b>2</b>	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
<b>a</b>	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
<b>b</b>	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	<b>2b</b>	
<b>c</b>	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
<b>3a</b>	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) . . . . .	<b>3b</b>	
<b>4a</b>	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	<b>4a</b>	<b>No</b>
<b>b</b>	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	<b>4b</b>	<b>No</b>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

		Yes	No
<b>5a</b>	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions . . . . .		<b>5b</b>
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . . If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . . If "Yes" to 6b, file Form 8870.		<b>6b</b> No
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b>	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .		<b>7b</b>
<b>8</b>	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

<b>1 List all officers, directors, trustees, foundation managers and their compensation. See instructions</b>				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JONATHAN M GROSS 348 ORENDA CIRCLE WESTFIELD, NJ 07090	PRESIDENT 5.00	30,000	0	0
ELLIOT BERKOWITZ 110-55 72ND RD APT 410 FOREST HILLS, NY 11375	VICE PRESIDENT 5.00	30,000	0	0
ELAINE WEIDMANN 5005 SANLO PLACE WOODLAND HILLS, CA 91364	SECRETARY/TREASURER 5.00	30,000	0	0
<b>2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."</b>				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
<b>Total number of other employees paid over \$50,000.</b> . . . . .				<b>0</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ►		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ►	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	11,454,338
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	87,886
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	11,542,224
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	11,542,224
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	173,133
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,369,091
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	568,455

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	568,455
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	5,882
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	5,882
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	562,573
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	562,573
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	562,573

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	597,808
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	597,808
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	597,808

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				562,573
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			0	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .				
<b>b</b> From 2016. . . . .				
<b>c</b> From 2017. . . . .				178,829
<b>d</b> From 2018. . . . .				122,291
<b>e</b> From 2019. . . . .				11,839
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	312,959			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ► \$ 597,808				
<b>a</b> Applied to 2019, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				562,573
<b>e</b> Remaining amount distributed out of corpus	35,235			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	348,194			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions. . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions. . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a. . . . .	348,194			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .				
<b>b</b> Excess from 2017. . . . .				178,829
<b>c</b> Excess from 2018. . . . .				122,291
<b>d</b> Excess from 2019. . . . .				11,839
<b>e</b> Excess from 2020. . . . .				35,235

## Part XIV

- Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

## Inform

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

☒

- Form
- 990-PF**
- (2020)

**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total</b> . . . . .			▶ <b>3a</b>	507,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . .			▶ <b>3b</b>	0

Enter gross amounts unless otherwise indicated.

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2020)

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

	Yes	No
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--	--	--

1a(1)		No
1a(2)		No

--	--	--

<b>1b(1)</b>	<b>No</b>
--------------	-----------

<b>1b(2)</b>		<b>No</b>
--------------	--	-----------

<b>1b(3)</b>		<b>No</b>
--------------	--	-----------

<b>1b(4)</b>		<b>No</b>
--------------	--	-----------

<b>1b(5)</b>		<b>No</b>
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<b>1b(6)</b>		<b>No</b>
--------------	--	-----------

1c		No
----	--	----

value  
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

\*\*\*\*\*

2021-07-27

\*\*\*\*\*

Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name  ERIN AVNET	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN  P01399041
Firm's name ▶ CITRIN COOPERMAN & COMPANY LLP				Firm's EIN ▶ 22-2428965
Firm's address ▶ 290 W MT PLEASANT AVENUE 3310  LIVINGSTON, NJ 07039				Phone no. (973) 218-0500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AHEPA SERVICE DOGS FOR WARRIORS INC PO BOX 724 HOLMDEL, NJ 07733	N/A	501C3	GENERAL	10,000
AMERICAN ASSOCIATES BEN-GURION UNIV 1880 CENTURY PARK EAST SUITE 612 LOS ANGELES, CA 90067	N/A	501C3	GENERAL	75,000
AMERICAN BICYCLING EDUCATION ASSOCIATION INC PO BOX 2466 ORLANDO, FL 32802	N/A	501C3	GENERAL	1,400
<b>Total . . . . . ▶ 3a</b>				507,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AMERICAN COMMITTEE FOR SHOARE ZEDEK 55 W 39TH STREET 4TH FLOOR NEW YORK, NY 10018	N/A	501C3	GENERAL	25,000
ASPCAPO BOX 96929 WASHINGTON, DC 200777127	N/A	501C3	GENERAL	2,500
CITY OF HOPE1500 EAST DUARTE ROAD DUARTE, CA 91010	N/A	501C3	GENERAL	2,500
<b>Total . . . . . ▶ 3a</b>				507,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
DEFIANCE COLLEGE701 N CLINTON DEFIANCE, OH 43512	N/A	501C3	GENERAL	100,000
HADASSAH MEDICAL RELIEF ASSOCIATION INC 40 WALL STREET NEW YORK, NY 10005	N/A	501C3	GENERAL	250,000
JAFCO INC4200 UNIVERSITY LANE SUNRISE, FL 33351	N/A	501C3	GENERAL	5,000
<b>Total . . . . . ▶ 3a</b>				507,000



Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JEWISH WORLD WATCH 5551 BALBOA BLVD ENCINO, CA 91316	N/A	501C3	GENERAL	2,500
TEMPLE BETH -EL JERSEY CITY 2419 KENNEDY BLVD JERSEY CITY, NJ 07304	N/A	501C3	GENERAL	12,500
UNITED STATES HOLOCAUST MUSEUM 100 RAOUL WALLENBERG PL SW WASHINGTON, DC 20024	N/A	501C3	GENERAL	10,000
<b>Total . . . . . ▶ 3a</b>				507,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WORLD CENTRAL KITCHEN INC 1342 FLORIDA AVENUE NW WASHINGTON, DC 20009	N/A	501C3	GENERAL	2,500
LITERACY NASSAU INC1 IVY LANE WANTAGH, NY 11793	N/A	501C3	GENERAL	3,600
SAINT BARNABAS MEDICAL CENTER 94 OLD SHORT HILLS ROAD LIVINGSTON, NJ 07039	N/A	501C3	GENERAL	500
<b>Total . . . . . ▶ 3a</b>				507,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
I HAVE A DREAM FOUNDATION NJ 60 PARK PALACE 601 NEWARK, NJ 07102	N/A	501C3	GENERAL	1,000
TEMPLE EMANU-EL 756 EAST BROAD STREET WESTFIELD, NJ 07090	N/A	501C3	GENERAL	1,000
COMMUNITY FOODBANK OF NEW JERSEY 31 EVANS TERMINAL HILLSIDE, NJ 07205	N/A	501C3	GENERAL	1,000
<b>Total . . . . . ▶ 3a</b>				507,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
JEWISH FAMILY SERVICE OF CENTRAL NEW JERSEY 655 WESTFIELD AVENUE ELIZABETH, NJ 07208	N/A	501C3	GENERAL	500
JEWISH FEDERATION OF GREATER METROWEST NJ 901 ROUTE 10 PO BOX 929 WHIPPANY, NJ 07981	N/A	501C3	GENERAL	500
<b>Total . . . . . ▶ 3a</b>				507,000

**TY 2020 Accounting Fees Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND BOOKKEEPING FEES	11,154	10,039		1,115

## TY 2020 Investments Corporate Bonds Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS GRP INC NOTES MEDIUM TERM NOTES CLLB	94,338	106,147

TY 2020 Investments Corporate Stock Schedule

Name: CHARLES K & ESTHER KRIEGER FOUNDATION  
 INC  
 EIN: 26-2680294

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTRIA GROUP INC	130,287	82,000
AMERICAN ELECTRIC POWER INC	66,120	83,270
AMERICAN EXPRESS COMPANY	69,059	101,927
AMERICAN STATES WTR CO	53,868	95,412
AMERIPRISE FINANCIAL INC	171,878	248,742
ANNALY CAPITAL MANAGEMENT INC REIT	10,910	8,450
AT&T INC	76,520	57,520
BERKSHIRE HATHAWAY INC SERIES B NEW	113,463	139,122
BLACKROCK INC	27,847	50,508
BLACKSTONE MORTGAGE TRUST INC	123,258	110,120
BP PLC SPONS ADR	54,768	32,832
CELANESE CORPORATION SERIES A	55,071	79,263
CISCO SYSTEMS INC	49,240	44,750
CITIGROUP INC NEW	61,540	61,660
CMS ENERGY INC	66,195	91,515
COCA COLA COMPANY	45,407	54,840
CONSOLIDATED EDISION INC	72,371	68,657
CSX CORP	73,815	136,125
CUMMINS INC	50,441	74,943
CVS HEALTH CORPORATION	53,288	51,225
DEERE & CO	76,170	134,525
DEVON ENERGY CORP	44,630	15,810
DIAGEO PLC SPONSORED ADR NEW	23,313	32,556
DISNEY WALT COMPANY	55,520	90,590
DOMINION ENERGY INC	76,250	75,200
EATON CORP PLC	78,316	120,140
ENBRIDGE INC	40,511	31,478
EVERGY INC	57,814	66,390
EVERSOURCE ENERGY	60,784	86,510
FIFTH THIRD BANCORP	27,212	27,570

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FIRSTENERGY CORP	94,680	91,830
GENERAL ELECTRIC COMPANY	60,380	21,600
GENERAL MILLS INC	58,780	58,800
GILEAD SCIENCES INC	74,165	58,260
GOLDMAN SACHS GROUP INC	55,134	63,290
HAWAIIAN ELEC INDS INC	33,440	35,390
HSBC HOLDINGS PLC-SPON ADR	28,588	18,137
I SHARES SILVER TR	16,759	24,570
INTEL CORP	97,417	99,640
ISHARES ETF RUSSELL 2000 GROWTH IWO	50,542	88,877
ISHARES ETF PFD & INCOME SECS	57,600	57,765
ISHARES IBOXX & ETF INVESTMENT GRADE CORP BD	188,636	207,195
ISHARES MSCI ETF EMERGING MARKETS	61,882	82,879
JOHNSON & JOHNSON	28,368	31,476
JPMORGAN CHASE & CO	71,216	90,855
MANULIFE FINL CORP	28,272	27,621
MEDTRONIC PLC	98,484	140,568
MERCK & CO INC NEW	24,829	30,675
MICROSOFT CORP	81,250	222,420
MONDELEZ INTL INC	41,805	58,470
NESTLE S A REG ADR	38,295	58,900
NEW JERSEY RES CORP	88,221	79,099
NEXTERA ENERGY INC	65,999	157,386
NORTHROP GRUMMAN CORP NEW	184,448	228,540
PFIZER INCORPORATED	45,108	36,810
PROCTER & GAMBLE CO	131,779	208,710
PUBLIC SVC ENTERPRISE GROUP INC	67,359	87,450
ROYAL DUTCH SHELL PLC ADR CL A	70,977	45,682
SCHLUMBERGER LTD	72,755	21,830
SOUTHERN COMPANY/THE	100,602	122,860



## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SPDR BLOOMBERG ETF BARCLAYS CONVERTIBLE SECURITIES ETF	95,540	165,580
SPDR GOLD TRUST ETF	59,530	89,180
SUNCOR ENERGY INC NEW	58,750	31,546
TARGET CORP	72,864	176,530
TENNECO INC	20,290	5,300
THERMO FISHER SCIENTIFIC INC	37,558	109,458
TJX COS INC NEW	24,319	42,340
TOTAL S.A. SPONS ADR	52,028	41,910
UBS AG JERSEY BRH ETN E-TRACS LKD TO ALERIAN MLP INFRSTRE IDX	59,940	23,740
UNION PACIFIC CORP	68,268	129,096
UNITED PARCEL SERVICE-B	43,930	67,360
UNITEDHEALTH GROUP INC	33,588	70,136
VANGUARD INTERMEDIATE ETF TERM CORP BOND	90,445	97,140
VANGUARD INTL EQUITY ETF INDEX FDS FTSE EMERGING MKTS ETF	61,638	78,573
VERIZON COMMUNICATIONS COM	106,229	117,500
VIAD CORPORATION (NEW)	6,032	4,594
WEC ENERGY GROUP INC	59,832	73,624
XCEL ENERGY INC	68,253	98,005
CONOCOPHILLIPS COP	42,243	27,993
EXXON MOBILE XOM	78,320	41,220
VANGUARD INDEX FDS ETF REAL ESTATE INDEX FD VNQ	46,833	42,465
DOW INC	58,110	55,500
VIATRIS INC	0	2,324

**TY 2020 Investments - Other Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
GNMA II PASS THRU POOL 1884	AT COST	124	98
GNMA II PASS THRU POOL 1922	AT COST	27	20
GNMA II PASS THRU POOL 381322	AT COST	720	660
GNMA II PASS THRU POOL 3458	AT COST	1,284	1,204
AB CAP FD INC RELATIVE VALUE FD	AT COST	75,095	75,358
AQUILA THREE PEAKS OPPORTUNITY GROWTH FUND CLASS A	AT COST	115,278	118,437
CALAMOS INVT TR NEW CONVERTIBLE FD CL A	AT COST	62,099	91,509
COHEN & STEERS PFD SECS & INCOME FD INC CLASS A	AT COST	218,826	224,186
GUGGENHEIM FDS TR MACRO OPPORTUNITIES FD CS A	AT COST	100,098	100,904
DIAMOND HILL LONG SHRT LONG-SHORT FUND CL A	AT COST	57,045	56,498
EATON VANCE ADV SR FLTNG RT ADVANTAGE FUND CLASS A	AT COST	279,983	268,872
JOHN HANCOCK REGIONAL BANK CLASS A	AT COST	149,699	136,944
CALVERT GLOBAL WATER FUND CL A	AT COST	101,361	138,762
LORD ABBETT INVT TR FLOATING RATE FD CLASS A	AT COST	130,007	115,897
LORD ABBETT BD-DEB A	AT COST	254,912	259,792
DAVIS REAL ESTATE FUND CL A	AT COST	154,428	153,671
BLACKROCK FUNDS GLOBAL LONG/SHORT CR FD INSTITUTIONAL	AT COST	226,265	220,442
JPMORGAN DIVERSIFIED FUND CL A JDVAX	AT COST	141,483	144,531
VIRTUS FUNDS	AT COST	53,447	74,937
PIMCO FUNDS PIMCO INCOME FD CLASS A	AT COST	71,065	94,241
PIMCO INTERNATIONAL BOND FUND US DOLLAR HEDGED INSTL	AT COST	106,878	82,173
PIONEER FUND CLASS A SHARES	AT COST	176,359	199,228
PRINCIPAL INV FUND REAL ESTATE SECS FD CL INSTL	AT COST	182,843	203,780
PGIM HIGH YIELD CL A	AT COST	251,524	246,289
PGIM FLOATING RATE INCOME CL A	AT COST	73,783	69,628
PUTNAM FOCUSED EQUITY FD CL A	AT COST	29,161	28,588
PUTNAM FDS TR FLTNG RATE INCOME FD CLASS Y	AT COST	111,119	106,307
PUTNAM FLOATING RATE INCOME FD CL A	AT COST	226,328	216,267
AQUILA THREE PEAKS HIGH INCOME CLASS A	AT COST	180,646	183,303
RMB MENDON FINL LONG/SHORT FD CL A	AT COST	175,021	89,226

**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
PIMCO REAL ESTATE REAL RETURN STRATEGY CL A	AT COST	139,005	134,068
RYDEX SERIES FUNDS BIOTECHNOLOGY FD CL A	AT COST	165,676	187,348
TEMPLETON GLOBAL BOND FUND CL A	AT COST	100,007	75,617
CALAMOS STRATEGIC TOTAL RETURN FUND	AT COST	187,856	236,850
EATON VANCE TAX ADVANTAG GLOBAL DIVIDEND INCOME	AT COST	57,757	67,525
EATON VANCE UNDS TAX MANAGED DIVERSIFIED EQUITY	AT COST	22,180	24,500
KAYNE ANDERSON ENERGY DEVELOPMENT CO	AT COST	40,487	11,046
ALABAMA PWR CO 5% CUM PERP MATURITY CALL 10/01/22 @ 25	AT COST	49,741	51,708
CHARLES SCHWAB 6% CORP SER C PERP MTY PERP CALL 12/01/20 @ 25	AT COST	34,576	33,212
HSBC HOLDINGS PLC 6.2% SERIES A PERP CALL 04/03/17 @25	AT COST	51,240	50,260
JPMORGAN 6.15% PFD PERP NON-CUM SER BB MTY CALLABLE 09/01/20	AT COST	52,900	51,840
MORGAN STANLEY 6.375% PFD SER I FIX/FLTG PERP MTY CALL 10/15/	AT COST	50,040	51,660
AAM GNMA ADVANTAGE INCOME PORT SER 14 CASH	AT COST	9,237	8,337
ADVISORS ASSET MGMT GNMA ADVANTAGE ICOME PORT SER 11 CASH	AT COST	41,719	30,802
FIRST TRUST CORP HIGH INCOME PORT SER 23 CASH	AT COST	93,644	94,246
FIRST TRUST INVESTMENT GRADE MUTLI-ASSET INCM PRT LT 25 C	AT COST	90,819	112,140
BOND FUND AMERICA CL A	AT COST	25,007	24,982
BNY MELLON INTL BOND	AT COST	59,014	58,495
DIAMOND HILL FDS CORPORATE CREDIT FUND CLASS A DSIAX	AT COST	50,007	49,747
HARTFORD MUT FDS INC	AT COST	30,007	29,067
MAINSTAY MACKAY CONVERTIBLE FUND	AT COST	40,007	48,197
NUVEEN INVT TR V NWQ FLEXIBLE INCOME FD CLASS A	AT COST	100,007	97,936
PRINCIPAL INVS FD REAL ESTATE SECS FD	AT COST	75,014	66,855
BANK AMER CORP 5.375% PFD KK NON CUM PERP MTY	AT COST	53,839	54,620
DUKE ENERGY 5.625% PFD JR SUB NOTES 09/15/2078	AT COST	51,956	57,500
GOVT PROP INC TR PFD 5.875%	AT COST	50,422	51,940
KEYCORP NEW 5.625% NON CUM SER G PERP MTY	AT COST	30,185	31,516
NEXTERA ENERGY 5.65%	AT COST	40,371	42,615
PS BUS PARKS 5.25% PFD PERPETUAL SER X CUM	AT COST	26,160	26,120
WEBSTER FINL CRP5.25%PFD NON-CUM PERP MTY SER F	AT COST	26,959	26,900

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
FIRST TRUST DIV & INCOME SLCT CLOSED END PORT SER 74 CASH	AT COST	49,015	46,745
INVESCO ENERGY PORTFOLIO 2019-2	AT COST	9,043	5,262
AT&T INC 5% CALL 12/12/24 @ 25	AT COST	25,167	24,426
WR BERKLEY CO 5.10% PFD SUB NOTES MTY 12/30/59 CALL 12/30/24 @ 25	AT COST	51,374	53,860
WESTERN ASSET FDS INC BD FD CLASS A	AT COST	50,685	48,546

# TY 2020 Legal Fees Schedule

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	9,659	966		8,693

**TY 2020 Other Expenses Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSE	13	13		0

**TY 2020 Other Income Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME FROM BROKERAGE	2,831	2,831	2,831

**TY 2020 Other Increases Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Other Increases Schedule**

Description	Amount
BOOK BASIS ADJUSTMENT	23,700



**TY 2020 Taxes Schedule**

**Name:** CHARLES K & ESTHER KRIEGER FOUNDATION  
INC

**EIN:** 26-2680294

**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	3,825	3,825		0