

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

| | | | |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation KATE SIEBERT TUW FOR CHARITIES | | A Employer identification number 25-6013317 | |
| Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 116 ALLEGHENY CENTER MALL P8YB3502L | | B Telephone number (see instructions) (216) 257-9834 | |
| City or town, state or province, country, and ZIP or foreign postal code PITTSBURGH, PA 15212 | | C If exemption application is pending, check here <input type="checkbox"/> | |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/> | |
| H Check type of organization: <input type="checkbox"/> Section 501(c)(3) exempt private foundation <input checked="" type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> | |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>992,088</u> | | J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i> | |
| | | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> | |

| Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue | 1 Contributions, gifts, grants, etc., received (attach schedule) | | | | |
| | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 21,782 | 21,504 | | |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 6,553 | | | |
| | b Gross sales price for all assets on line 6a <u>233,088</u> | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 6,553 | | |
| | 8 Net short-term capital gain | | | 0 | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) (attach schedule) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| 12 Total. Add lines 1 through 11 | 28,335 | 28,057 | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 11,059 | 5,530 | | 5,529 |
| | 14 Other employee salaries and wages | | 0 | 0 | 0 |
| | 15 Pension plans, employee benefits | | 0 | 0 | |
| | 16a Legal fees (attach schedule) | | | | 0 |
| | b Accounting fees (attach schedule) | | | | 0 |
| | c Other professional fees (attach schedule) | | | | 0 |
| | 17 Interest | | | | 0 |
| | 18 Taxes (attach schedule) (see instructions) | 4,801 | 449 | | 0 |
| | 19 Depreciation (attach schedule) and depletion | 0 | 0 | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | 0 | 0 | |
| | 22 Printing and publications | | 0 | 0 | |
| | 23 Other expenses (attach schedule) | 199 | | | 199 |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 16,059 | 5,979 | 0 | 5,728 |
| | 25 Contributions, gifts, grants paid | 37,015 | | | 37,015 |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 53,074 | 5,979 | 0 | 42,743 | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -24,739 | | | | |
| b Net investment income (if negative, enter -0-) | | 22,078 | | | |
| c Adjusted net income (if negative, enter -0-) | | | 0 | | |

| Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.) | | Beginning of year | End of year | |
|----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash—non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 40,643 | 50,310 | 50,310 |
| | 3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | 0 | 0 |
| | 4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0 | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments—U.S. and state government obligations (attach schedule) | | | |
| | b Investments—corporate stock (attach schedule) | | | |
| | c Investments—corporate bonds (attach schedule) | | | |
| | 11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| | 12 Investments—mortgage loans | | | |
| | 13 Investments—other (attach schedule) | 854,215 | 818,092 | 941,778 |
| | 14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____ | | | |
| 15 Other assets (describe ▶ _____) | | | | |
| 16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I) | 894,858 | 868,402 | 992,088 | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ _____) | | | |
| | 23 Total liabilities (add lines 17 through 22) | | 0 | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30. | | | |
| | 24 Net assets without donor restrictions | | | |
| | 25 Net assets with donor restrictions | | | |
| | Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30. | | | |
| | 26 Capital stock, trust principal, or current funds | 891,718 | 868,402 | |
| | 27 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 28 Retained earnings, accumulated income, endowment, or other funds | 3,140 | | |
| 29 Total net assets or fund balances (see instructions) | 894,858 | 868,402 | | |
| 30 Total liabilities and net assets/fund balances (see instructions) . | 894,858 | 868,402 | | |

| Part III Analysis of Changes in Net Assets or Fund Balances | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------|
| 1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 894,858 |
| 2 Enter amount from Part I, line 27a | 2 | -24,739 |
| 3 Other increases not included in line 2 (itemize) ▶ _____ | 3 | 0 |
| 4 Add lines 1, 2, and 3 | 4 | 870,119 |
| 5 Decreases not included in line 2 (itemize) ▶ _____ | 5 | 1,717 |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . | 6 | 868,402 |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 1a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------------|-----------------------------------------------|----------------------------------------------------|-------------------------------------------------|
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a See Additional Data Table | | | |
| b | | | |
| c | | | |
| d | | | |
| e | | | |

| | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------------------------------------------------------------------------------|----------|-------|
| 2 Capital gain net income or (net capital loss) | { | If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | } | | 2 | 6,553 |
| | { | | 3 | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

| 1 Reserved | (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|-----------------------------|-----------------|-----------------|-----------------|-----------------|
| 2 Reserved | | | | 2 |
| 3 Reserved | | | | 3 |
| 4 Reserved | | | | 4 |
| 5 Reserved | | | | 5 |
| 6 Reserved | | | | 6 |
| 7 Reserved | | | | 7 |
| 8 Reserved | | | | 8 |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows and 2 columns. Row 1: Exempt operating foundations... 307. Row 2: Tax under section 511... 0. Row 3: Add lines 1 and 2... 307. Row 4: Subtitle A (income) tax... 0. Row 5: Tax based on investment income... 307. Row 6: Credits/Payments: 6a 2,492; 6b 0; 6c 0; 6d. Row 7: Total credits and payments... 2,492. Row 8: Enter any penalty... 0. Row 9: Tax due... 9. Row 10: Overpayment... 10 2,185. Row 11: Enter the amount of line 10 to be: Credited to 2021 estimated tax 308; Refunded 11 1,877.

Part VII-A Statements Regarding Activities

Table with 10 rows and 3 columns (Yes/No). Row 1a: During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? No. Row 1b: Did it spend more than \$100 during the year... for political purposes? No. Row 1c: Did the foundation file Form 1120-POL for this year? No. Row 2: Has the foundation engaged in any activities that have not previously been reported to the IRS? No. Row 3: Has the foundation made any changes, not previously reported to the IRS... No. Row 4a: Did the foundation have unrelated business gross income of \$1,000 or more during the year? No. Row 4b: If "Yes," has it filed a tax return on Form 990-T for this year? No. Row 5: Was there a liquidation, termination, dissolution, or substantial contraction during the year? No. Row 6: Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: Yes. Row 7: Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV. Yes. Row 8a: Enter the states to which the foundation reports or with which it is registered (see instructions) PA. Row 8b: If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. Yes. Row 9: Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV. No. Row 10: Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. No.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-16 covering questions about controlled entities, distributions, public inspection requirements, books in care, and nonexempt charitable trusts.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with 3 columns: Question, Yes, No. Rows 1a-4b covering various Form 4720 items such as disqualifying acts, taxes on failure to distribute income, and business holdings.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

| 3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE". | | |
|-------------------------------------------------------------------------------------------------------------------------|---------------------|------------------|
| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| Total number of others receiving over \$50,000 for professional services. ▶ | | 0 |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 | |
| | |
| 2 | |
| | |
| 3 | |
| | |
| 4 | |
| | |

Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1 | |
| | |
| 2 | |
| | |
| All other program-related investments. See instructions. | |
| 3 | |
| | |
| Total. Add lines 1 through 3 ▶ | |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|--------------------------------------------------------------------------------------------------------------------|-----------|---------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities. | 1a | 907,456 |
| b | Average of monthly cash balances. | 1b | 0 |
| c | Fair market value of all other assets (see instructions). | 1c | 0 |
| d | Total (add lines 1a, b, and c). | 1d | 907,456 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). | 1e | 0 |
| 2 | Acquisition indebtedness applicable to line 1 assets. | 2 | 0 |
| 3 | Subtract line 2 from line 1d. | 3 | 907,456 |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). | 4 | 13,612 |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 893,844 |
| 6 | Minimum investment return. Enter 5% of line 5. | 6 | 44,692 |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|--------------------------------------------------------------------------------------------------------------------|-----------|--------|
| 1 | Minimum investment return from Part X, line 6. | 1 | 44,692 |
| 2a | Tax on investment income for 2020 from Part VI, line 5. | 2a | 307 |
| b | Income tax for 2020. (This does not include the tax from Part VI.). | 2b | |
| c | Add lines 2a and 2b. | 2c | 307 |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1. | 3 | 44,385 |
| 4 | Recoveries of amounts treated as qualifying distributions. | 4 | 0 |
| 5 | Add lines 3 and 4. | 5 | 44,385 |
| 6 | Deduction from distributable amount (see instructions). | 6 | 0 |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | 7 | 44,385 |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. | 1a | 42,743 |
| b | Program-related investments—total from Part IX-B. | 1b | 0 |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. | 2 | 0 |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required). | 3a | 0 |
| b | Cash distribution test (attach the required schedule). | 3b | 0 |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 42,743 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. | 5 | 0 |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4. | 6 | 42,743 |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 44,385 |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only. | | | 37,016 | |
| b Total for prior years: 20___, 20___, 20___ | | 0 | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015. | 0 | | | |
| b From 2016. | 0 | | | |
| c From 2017. | 0 | | | |
| d From 2018. | 0 | | | |
| e From 2019. | 0 | | | |
| f Total of lines 3a through e. | 0 | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>42,743</u> | | | | |
| a Applied to 2019, but not more than line 2a | | | 37,016 | |
| b Applied to undistributed income of prior years (Election required—see instructions). | | 0 | | |
| c Treated as distributions out of corpus (Election required—see instructions). | 0 | | | |
| d Applied to 2020 distributable amount. | | | | 5,727 |
| e Remaining amount distributed out of corpus | 0 | | | |
| 5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).) | 0 | | | 0 |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0 | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0 | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. | | 0 | | |
| d Subtract line 6c from line 6b. Taxable amount—see instructions | | 0 | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions | | | 0 | |
| f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 | | | | 38,658 |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). | 0 | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). | 0 | | | |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016. | 0 | | | |
| b Excess from 2017. | 0 | | | |
| c Excess from 2018. | 0 | | | |
| d Excess from 2019. | 0 | | | |
| e Excess from 2020. | 0 | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling, ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

| | Tax year | Prior 3 years | | | (e) Total |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
| | (a) 2020 | (b) 2019 | (c) 2018 | (d) 2017 | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test—enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. | | | | | |
| c "Support" alternative test—enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
 PNC Charitable Trust Grant Review C
 THE TOWERS AT PNC PLAZA 300 FIFTH A
 Pittsburgh, PA 15222
 (412) 762-5157

b The form in which applications should be submitted and information and materials they should include:
 Please reference the name of the trust in all communications See footnote

c Any submission deadlines:
 See website: www.pncsites.com/pncfoundation/charitable_trusts.html for specific information

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
 See website: www.pncsites.com/pncfoundation/charitable_trusts.html for specific information

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> See Additional Data Table | | | | |
| Total ▶ 3a | | | | 37,015 |
| b <i>Approved for future payment</i> | | | | |
| Total ▶ 3b | | | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 479.978 BLACKROCK TOTAL RETURN-I | | 2019-04-04 | 2020-02-28 |
| 84.302 DOUBLELINE FLEXIBLE INC-I | | 2019-04-04 | 2020-02-28 |
| 97.35 DOUBLELINE FLEXIBLE INC-I | | 2019-12-16 | 2020-02-28 |
| 13.64 WCM FOCUSED INTL GROWTH-INS | | 2018-09-26 | 2020-02-28 |
| 86.124 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO | | 2018-09-26 | 2020-02-28 |
| 84.291 MFS EMERGING MKTS DEBT FD-R6 | | 2019-04-04 | 2020-02-28 |
| 2.409 MFS EMERGING MKTS DEBT FD-R6 | | 2019-12-16 | 2020-02-28 |
| 19.405 PRINCIPAL MIDCAP FUND-R6 | | 2019-04-04 | 2020-02-28 |
| 95.513 PGIM HIGH YIELD FUND CLASS R6 | | 2019-04-04 | 2020-02-28 |
| 59.141 PGIM HIGH YIELD FUND CLASS R6 | | 2019-12-16 | 2020-02-28 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 5,899 | | 5,501 | 398 |
| 821 | | 814 | 7 |
| 948 | | 945 | 3 |
| 245 | | 228 | 17 |
| 1,320 | | 1,318 | 2 |
| 1,257 | | 1,211 | 46 |
| 36 | | 36 | |
| 576 | | 533 | 43 |
| 519 | | 519 | |
| 321 | | 328 | -7 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 398 |
| | | | 7 |
| | | | 3 |
| | | | 17 |
| | | | 2 |
| | | | 46 |
| | | | 43 |
| | | | |
| | | | -7 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 87.185 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND | | 2019-12-16 | 2020-02-28 |
| 4.174 T ROWE PRICE INSTITUTIONAL FLOATING RATE FUND | | 2019-04-04 | 2020-02-28 |
| 37.09 TOUCHSTONE SANDS EM GR-INST FUND 565 | | 2019-04-04 | 2020-02-28 |
| 21.695 TOUCHSTONE MID CAP GROW-INST | | 2019-04-04 | 2020-02-28 |
| 335.199 WESTERN ASSET CORE PLUS BOND FD CLASS I FD 287 | | 2018-04-06 | 2020-02-28 |
| 48. SCHWAB US REIT ETF | | 2019-12-16 | 2020-04-24 |
| 952. SCHWAB US REIT ETF | | 2019-04-04 | 2020-04-24 |
| 232.364 BLACKROCK TOTAL RETURN-I | | 2019-04-04 | 2020-07-01 |
| 22.612 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS | | 2019-04-04 | 2020-07-01 |
| 71.11 HARBOR FD VALUE FUND | | 2020-02-28 | 2020-07-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 845 | | 860 | -15 |
| 40 | | 41 | -1 |
| 520 | | 495 | 25 |
| 702 | | 678 | 24 |
| 4,089 | | 3,888 | 201 |
| 1,578 | | 2,111 | -533 |
| 31,301 | | 42,474 | -11,173 |
| 2,881 | | 2,663 | 218 |
| 256 | | 265 | -9 |
| 1,130 | | 1,114 | 16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -15 |
| | | | -1 |
| | | | 25 |
| | | | 24 |
| | | | 201 |
| | | | -533 |
| | | | -11,173 |
| | | | 218 |
| | | | -9 |
| | | | 16 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 238,596 WCM FOCUSED INTL GROWTH-INS | | 2018-09-26 | 2020-07-01 |
| 5. ISHARES TR S&P 500 INDEX FD | | 2020-02-28 | 2020-07-01 |
| 3. ISHARES TR S&P 500 INDEX FD | | 2019-04-04 | 2020-07-01 |
| 55. ISHARES TR S&P SMLCAP 600 | | 2020-02-28 | 2020-07-01 |
| 500. ISHARES TR S&P SMLCAP 600 | | 2019-04-04 | 2020-07-01 |
| 7. ISHARES CORE MSCI EAFE ETF ETF | | 2019-04-04 | 2020-07-01 |
| 58.404 JENSEN QUALITY GROWTH-Y | | 2019-04-04 | 2020-07-01 |
| 217.492 NUANCE MID CAP VALUE-Z | | 2020-02-28 | 2020-07-01 |
| 2945.469 NUANCE MID CAP VALUE-Z | | 2019-04-04 | 2020-07-01 |
| .148 PRINCIPAL MIDCAP FUND-R6 | | 2019-04-04 | 2020-07-01 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 4,760 | | 3,982 | 778 |
| 1,558 | | 1,463 | 95 |
| 935 | | 868 | 67 |
| 3,721 | | 3,970 | -249 |
| 33,830 | | 39,223 | -5,393 |
| 400 | | 434 | -34 |
| 2,930 | | 2,936 | -6 |
| 2,662 | | 2,690 | -28 |
| 36,053 | | 36,759 | -706 |
| 4 | | 4 | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 778 |
| | | | 95 |
| | | | 67 |
| | | | -249 |
| | | | -5,393 |
| | | | -34 |
| | | | -6 |
| | | | -28 |
| | | | -706 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 130.988 TOUCHSTONE SANDS EM GR-INST FUND 565 | | 2019-04-04 | 2020-07-01 |
| 47.437 TOUCHSTONE MID CAP GROW-INST | | 2019-04-04 | 2020-07-01 |
| 380.469 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705 | | 2019-04-04 | 2020-07-01 |
| 21.194 WASATCH INTL OPPORTUNIT-INST | | 2020-02-28 | 2020-07-01 |
| 602.251 WASATCH INTL OPPORTUNIT-INST | | 2019-04-04 | 2020-07-01 |
| 204.989 WESTERN ASSET CORE PLUS BOND FD CLASS I FD 287 | | 2018-04-06 | 2020-07-01 |
| .548 CAMBIAR INTL EQUITY FUND-INS | | 2018-09-26 | 2020-09-02 |
| 56.615 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS | | 2019-04-04 | 2020-09-02 |
| 58.466 HARBOR FD VALUE FUND | | 2020-02-28 | 2020-09-02 |
| 32.859 HARBOR FD VALUE FUND | | 2019-04-04 | 2020-09-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 2,033 | | 1,749 | 284 |
| 1,601 | | 1,482 | 119 |
| 8,424 | | 10,634 | -2,210 |
| 86 | | 75 | 11 |
| 2,445 | | 2,030 | 415 |
| 2,525 | | 2,378 | 147 |
| 14 | | 15 | -1 |
| 714 | | 662 | 52 |
| 1,047 | | 916 | 131 |
| 588 | | 496 | 92 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 284 |
| | | | 119 |
| | | | -2,210 |
| | | | 11 |
| | | | 415 |
| | | | 147 |
| | | | -1 |
| | | | 52 |
| | | | 131 |
| | | | 92 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 61.352 OAKMARK INTL SM CAP-INST 2888 | | 2019-04-04 | 2020-09-02 |
| 22. INVESCO QQQ TRUST ETF | | 2020-07-01 | 2020-09-02 |
| 59.451 WCM FOCUSED INTL GROWTH-INS | | 2018-09-26 | 2020-09-02 |
| 6. ISHARES TR S&P 500 INDEX FD | | 2019-04-04 | 2020-09-02 |
| 3. ISHARES CORE MSCI EAFE ETF ETF | | 2019-04-04 | 2020-09-02 |
| 35.911 JENSEN QUALITY GROWTH-Y | | 2019-04-04 | 2020-09-02 |
| 33.062 PRINCIPAL MIDCAP FUND-R6 | | 2019-04-04 | 2020-09-02 |
| 137.222 TOUCHSTONE SANDS EM GR-INST FUND 565 | | 2019-04-04 | 2020-09-02 |
| 18.424 TOUCHSTONE MID CAP GROW-INST | | 2019-04-04 | 2020-09-02 |
| 122.585 TRANSAMERICA SM CAP GROW-I2 | | 2020-07-01 | 2020-09-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 871 | | 894 | -23 |
| 6,582 | | 5,486 | 1,096 |
| 1,322 | | 992 | 330 |
| 2,137 | | 1,736 | 401 |
| 185 | | 186 | -1 |
| 2,057 | | 1,805 | 252 |
| 1,103 | | 909 | 194 |
| 2,576 | | 1,832 | 744 |
| 687 | | 576 | 111 |
| 932 | | 825 | 107 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | -23 |
| | | | 1,096 |
| | | | 330 |
| | | | 401 |
| | | | -1 |
| | | | 252 |
| | | | 194 |
| | | | 744 |
| | | | 111 |
| | | | 107 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|-----------------------------------------|-------------------------------------|
| 264.665 WASATCH INTL OPPORTUNIT-INST | | 2019-04-04 | 2020-09-02 |
| 24. WISDOMTREE U.S. QUALITY DIVI ETF | | 2020-07-01 | 2020-09-02 |
| 104.114 CAMBIAR INTL EQUITY FUND-INS | | 2018-09-26 | 2020-12-02 |
| 30. FIDELITY REAL ESTATE ETF ETF | | 2020-09-02 | 2020-12-02 |
| 124.899 SEAFARER OVERSEAS GROWTH & INCOME INSTL CLASS | | 2019-04-04 | 2020-12-02 |
| 184.073 HARBOR FD VALUE FUND | | 2019-04-04 | 2020-12-02 |
| 242.819 OAKMARK INTL SM CAP-INST 2888 | | 2019-04-04 | 2020-12-02 |
| 136.76 WCM FOCUSED INTL GROWTH-INS | | 2018-09-26 | 2020-12-02 |
| 1. ISHARES TR S&P 500 INDEX FD | | 2019-04-04 | 2020-12-02 |
| 34. ISHARES CORE MSCI EAFE ETF ETF | | 2019-04-04 | 2020-12-02 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| 1,260 | | 892 | 368 |
| 1,232 | | 1,096 | 136 |
| 2,736 | | 2,892 | -156 |
| 755 | | 736 | 19 |
| 1,752 | | 1,461 | 291 |
| 3,519 | | 2,776 | 743 |
| 4,077 | | 3,538 | 539 |
| 3,285 | | 2,283 | 1,002 |
| 367 | | 289 | 78 |
| 2,304 | | 2,106 | 198 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 368 |
| | | | 136 |
| | | | -156 |
| | | | 19 |
| | | | 291 |
| | | | 743 |
| | | | 539 |
| | | | 1,002 |
| | | | 78 |
| | | | 198 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

| List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P—Purchase D—Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------|--------------------------------------------|
| 24.289 JENSEN QUALITY GROWTH-Y | | 2019-04-04 | 2020-12-02 |
| 97.117 LAZARD GLOBAL LISTED INFRASTRUCTURE PORTFOLIO | | 2018-09-26 | 2020-12-02 |
| 72.5 PRINCIPAL MIDCAP FUND-R6 | | 2019-04-04 | 2020-12-02 |
| 71.967 TOUCHSTONE SANDS EM GR-INST FUND 565 | | 2019-04-04 | 2020-12-02 |
| 77.752 TOUCHSTONE MID CAP GROW-INST | | 2019-04-04 | 2020-12-02 |
| 138.142 TRANSAMERICA SM CAP GROW-I2 | | 2020-07-01 | 2020-12-02 |
| 150.171 TRIBUTARY SMALL COMPANY FD INST PLUS FD 1705 | | 2019-04-04 | 2020-12-02 |
| 283.096 WASATCH INTL OPPORTUNIT-INST | | 2019-04-04 | 2020-12-02 |
| 6. WISDOMTREE U.S. QUALITY DIVI ETF | | 2020-07-01 | 2020-12-02 |
| CAPITAL GAIN DIVIDENDS | P | | |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|------------------------------|---------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------|
| 1,436 | | 1,221 | 215 |
| 1,450 | | 1,479 | -29 |
| 2,552 | | 1,992 | 560 |
| 1,482 | | 961 | 521 |
| 3,193 | | 2,429 | 764 |
| 1,097 | | 930 | 167 |
| 4,265 | | 4,197 | 68 |
| 1,435 | | 954 | 481 |
| 318 | | 274 | 44 |
| | | | 14,507 |

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h)) |
|---------------------------------------------------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------------------|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| | | | 215 |
| | | | -29 |
| | | | 560 |
| | | | 521 |
| | | | 764 |
| | | | 167 |
| | | | 68 |
| | | | 481 |
| | | | 44 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|----------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| ASSOC CHARITIES OF TITUSVILLE ATTN CONNIE MCINTYRE 409 E CENTRAL AVENUE TITUSVILLE, PA 163541908 | NONE | PC | GENERAL SUPPORT | 7,403 |
| TITUSVILLE AREA SCHOOL DISTRICT ATTN BUSINESS MANAGER/KAREN 301 EAST SPRUCE STREET TITUSVILLE, PA 163541948 | NONE | PC | GENERAL SUPPORT | 7,403 |
| SHRINERS HOSPITAL FOR CHILDREN ATTN TRUST/INVESTMENT ACCOUNTING TEAM TAMPA, FL 336313356 | NONE | PC | GENERAL SUPPORT | 7,403 |
| Total ▶ 3a | | | | 37,015 |

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|--------|
| Name and address (home or business) | | | | |
| a <i>Paid during the year</i> | | | | |
| TITUSVILLE REGIONAL LITERACY COUNCIL 330 EAST SPRUCE STREET TITUSVILLE, PA 16354 | NONE | PC | GENERAL SUPPORT | 7,403 |
| AMERICAN RED CROSS ATTN TRUSTS & ESTATES 431 18TH STREET NW WASHINGTON, DC 200065310 | NONE | PC | GENERAL SUPPORT | 7,403 |
| Total ▶ 3a | | | | 37,015 |

TY 2020 Investments - Other Schedule**Name:** KATE SIEBERT TUW FOR CHARITIES**EIN:** 25-6013317**Investments Other Schedule 2**

| Category/ Item | Listed at Cost or FMV | Book Value | End of Year Fair Market Value |
|--------------------------------|------------------------------|-------------------|--------------------------------------|
| MUTUAL FUNDS - FIXED INCOME | | | |
| MUTUAL FUNDS - EQUITY | | | |
| 258620798 DOUBLELINE FLEXIBLE | AT COST | 38,764 | 38,640 |
| 316092857 FIDELITY REAL ESTATE | AT COST | 37,059 | 43,197 |
| 317609295 SEAFARER OVERSEAS GR | AT COST | 15,979 | 20,117 |
| 411511603 HARBOR FD LARGE CAP | AT COST | 43,306 | 55,224 |
| 413838657 OAKMARK INTL SM CAP- | AT COST | 20,384 | 24,026 |
| 461418444 WCM FOCUSED INTL GRO | AT COST | 29,346 | 44,232 |
| 464287200 ISHARES CORE S&P 500 | AT COST | 26,322 | 34,160 |
| 476313408 JENSEN QUALITY GROWT | AT COST | 38,393 | 42,614 |
| 552746364 MFS EMERGING MKTS DE | AT COST | 22,680 | 24,260 |
| 936793686 WASATCH INTL OPPORTU | AT COST | 11,212 | 19,641 |
| 957663503 WESTERN ASSET CORE P | AT COST | 88,156 | 95,138 |
| 46090E103 INVESCO QQQ TRUST ET | AT COST | 43,251 | 54,277 |
| 00769G543 CAMBIAR INTL EQUITY | AT COST | 48,113 | 47,887 |
| 09252M883 BLACKROCK TOTAL RETU | AT COST | 87,426 | 92,639 |
| 46432F842 ISHARES CORE MSCI EA | AT COST | 28,200 | 31,643 |
| 52106N459 LAZARD GLOBAL LISTED | AT COST | 38,828 | 37,696 |
| 74256W584 PRINCIPAL MIDCAP FUN | AT COST | 29,733 | 39,092 |
| 74440Y884 PGIM HIGH YIELD FUND | AT COST | 30,759 | 31,295 |
| 77958B105 T ROWE PRICE INSTITU | AT COST | 31,676 | 31,293 |
| 89154Q562 TOUCHSTONE SANDS EM | AT COST | 12,354 | 20,284 |
| 89154X526 TOUCHSTONE MID CAP G | AT COST | 25,418 | 32,945 |
| 89355J656 TRANSAMERICA SM CAP | AT COST | 20,029 | 24,404 |
| 89609H704 TRIBUTARY SMALL COMP | AT COST | 28,046 | 30,310 |
| 97717X669 WISDOMTREE U.S. QUAL | AT COST | 22,658 | 26,764 |

TY 2020 Other Decreases Schedule**Name:** KATE SIEBERT TUW FOR CHARITIES**EIN:** 25-6013317

| Description | Amount |
|-----------------------------------------|---------------|
| ROUNDING ADJ FOR SALES AND TRANSACTIONS | 9 |
| 2020 TRANSACTIONS POSTED IN 2021 | 1,708 |

TY 2020 Other Expenses Schedule**Name:** KATE SIEBERT TUW FOR CHARITIES**EIN:** 25-6013317**Other Expenses Schedule**

| Description | Revenue and Expenses per Books | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|------------------|--------------------------------|-----------------------|---------------------|---------------------------------------|
| GRANTMAKING FEES | 199 | 0 | | 199 |

TY 2020 Taxes Schedule**Name:** KATE SIEBERT T UW FOR CHARITIES**EIN:** 25-6013317**Taxes Schedule**

| Category | Amount | Net Investment Income | Adjusted Net Income | Disbursements for Charitable Purposes |
|--------------------------------|---------------|----------------------------------|--------------------------------|------------------------------------------------------|
| FEDERAL TAX PAYMENT - PRIOR YE | 1,860 | 0 | | 0 |
| FEDERAL ESTIMATES - PRINCIPAL | 2,492 | 0 | | 0 |
| FOREIGN TAXES ON QUALIFIED FOR | 323 | 323 | | 0 |
| FOREIGN TAXES ON NONQUALIFIED | 126 | 126 | | 0 |