

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052

2020

Open to Public Inspection

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation DAVID BERMANT FOUNDATION		A Employer identification number 20-8918758	
Number and street (or P.O. box number if mail is not delivered to street address) 1104 LA VISTA ROAD	Room/suite	B Telephone number (see instructions) (212) 643-0099	
City or town, state or province, country, and ZIP or foreign postal code SANTA BARBARA, CA 93110		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>8,128,598</u>		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	4,627,993			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	110	110		
	4 Dividends and interest from securities	127,816	127,816		
	5a Gross rents	5,968	5,968		
	b Net rental income or (loss)	-26,166			
	6a Net gain or (loss) from sale of assets not on line 10	361,010			
	b Gross sales price for all assets on line 6a	2,577,856			
	7 Capital gain net income (from Part IV, line 2)		361,010		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	5,122,897	494,904	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	73,800	0	0	73,800
	14 Other employee salaries and wages	96,575	0	0	96,575
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	106,503	53,252	0	53,251
	b Accounting fees (attach schedule)	56,487	28,244	0	28,243
	c Other professional fees (attach schedule)	45,913	0	0	28
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	198	0	0	60
	19 Depreciation (attach schedule) and depletion	32,299	0	0	
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	366,644	21,461	0	345,183
	24 Total operating and administrative expenses. Add lines 13 through 23	778,419	102,957	0	597,140
	25 Contributions, gifts, grants paid	4,804,325			4,804,325
26 Total expenses and disbursements. Add lines 24 and 25	5,582,744	102,957	0	5,401,465	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-459,847				
b Net investment income (if negative, enter -0-)		391,947			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	13,391	76,889	76,889
	2 Savings and temporary cash investments	62,057	549,642	549,642
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	0	1,109,885	1,211,836
	b Investments—corporate stock (attach schedule)	240,171	3,197,734	3,242,186
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____	1,200,000		
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ 2,861 Less: accumulated depreciation (attach schedule) ▶ 2,778	246	83	83
15 Other assets (describe ▶ _____)	1,362,921	1,206,606	3,047,962	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,878,786	6,140,839	8,128,598	
Liabilities	17 Accounts payable and accrued expenses		2,698	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	2,698	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	2,878,786	6,138,141	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
29 Total net assets or fund balances (see instructions)	2,878,786	6,138,141		
30 Total liabilities and net assets/fund balances (see instructions) .	2,878,786	6,140,839		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,878,786
2 Enter amount from Part I, line 27a	2	-459,847
3 Other increases not included in line 2 (itemize) ▶ _____	3	3,719,202
4 Add lines 1, 2, and 3	4	6,138,141
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	6,138,141

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	}		2	361,010
	}		3	3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved				2
3 Reserved				3
4 Reserved				4
5 Reserved				5
6 Reserved				6
7 Reserved				7
8 Reserved				8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes rows for exempt foundations, reserved, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and credited/refunded amounts.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political influence, spending for political purposes, Form 1120-POL filing, tax on political expenditures, reimbursement, unreported activities, incorporation changes, unrelated business income, liquidation, 508(e) requirements, assets, reporting states, and private operating foundation status.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question number, Question text, and Yes/No columns. Rows 11-14.

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Table with 3 columns: Question number, Question text, and Yes/No columns. Rows 15-16.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question number, Question text, and Yes/No columns. Rows 1a-4b.

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 PRODUCTION AND DISPLAY OF ART IN PUBLIC PLACES TO CULTIVATE INTEREST IN KINETIC AND TECHNOLOGICAL ART AS VITAL ART FORMS AND TO ENCOURAGE EXPERIMENTAL VISUAL ART WHICH DRAWS ITS FORM, CONTENT AND WORKING MATERIALS FROM LATE TWENTIETH-CENTURY TECHNOLOGY.	5,401,465
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	0
2	
All other program-related investments. See instructions.	
3	0
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	2,487,660
b	Average of monthly cash balances.	1b	226,692
c	Fair market value of all other assets (see instructions).	1c	4,823
d	Total (add lines 1a, b, and c).	1d	2,719,175
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,719,175
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	40,788
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,678,387
6	Minimum investment return. Enter 5% of line 5.	6	133,919

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	133,919
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	5,448
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	8,288
c	Add lines 2a and 2b.	2c	13,736
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	120,183
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	120,183
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	120,183

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	5,401,465
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,401,465
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	5,401,465

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				120,183
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	392,914			
b From 2016.	423,271			
c From 2017.	399,206			
d From 2018.	362,856			
e From 2019.	324,144			
f Total of lines 3a through e.	1,902,391			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 5,401,465				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				120,183
e Remaining amount distributed out of corpus	5,281,282			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	7,183,673			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).		0		
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	392,914			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	6,790,759			
10 Analysis of line 9:				
a Excess from 2016.	423,271			
b Excess from 2017.	399,206			
c Excess from 2018.	362,856			
d Excess from 2019.	324,144			
e Excess from 2020.	5,281,282			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
See Additional Data Table				
Total ▶ 3a				4,804,325
b <i>Approved for future payment</i>				
Total ▶ 3b				0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SALE OF 3110 LONG CANYON ROAD, SANTA YNEZ, CA 93460	D	2013-06-25	2020-12-21
7.07 SHS PIMCO TOTAL RETURN FUND		2019-12-12	2020-11-25
3447.47 SHS PIMCO LOW DURATION FUND CL A		2013-04-02	2020-11-20
3,392.85 SHS PIMCO TOTAL RETURN FUND		2009-06-03	2020-11-25
78.421 SHS PIMCO TOTAL RETURN FUND		2009-07-01	2020-11-25
83.202 SHS PIMCO TOTAL RETURN FUND		2009-08-03	2020-11-25
81.149 SHS PIMCO TOTAL RETURN FUND		2009-09-01	2020-11-25
75.457 SHS PIMCO TOTAL RETURN FUND		2009-10-01	2020-11-25
68.159 SHS PIMCO TOTAL RETURN FUND		2009-11-02	2020-11-25
54.013 SHS PIMCO TOTAL RETURN FUND		2009-12-01	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,800,000		1,486,975	313,025
77		74	3
34,233		35,902	-1,669
37,152		34,977	2,175
859		808	51
911		872	39
889		862	27
826		813	13
746		735	11
591		588	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			313,025
			3
			-1,669
			2,175
			51
			39
			27
			13
			11
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
39.761 SHS PIMCO TOTAL RETURN FUND		2009-12-10	2020-11-25
142.939 SHS PIMCO TOTAL RETURN FUND		2009-12-10	2020-11-25
50.652 SHS PIMCO TOTAL RETURN FUND		2010-01-04	2020-11-25
37.807 SHS PIMCO TOTAL RETURN FUND		2010-02-01	2020-11-25
37.138 SHS PIMCO TOTAL RETURN FUND		2010-03-01	2020-11-25
38.497 SHS PIMCO TOTAL RETURN FUND		2010-04-01	2020-11-25
39.366 SHS PIMCO TOTAL RETURN FUND		2010-05-03	2020-11-25
36.159 SHS PIMCO TOTAL RETURN FUND		2010-06-01	2020-11-25
40.4 SHS PIMCO TOTAL RETURN FUND		2010-07-01	2020-11-25
42.722 SHS PIMCO TOTAL RETURN FUND		2010-08-02	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
435		427	8
1,565		1,535	30
555		539	16
414		409	5
407		403	4
422		419	3
431		432	-1
396		396	0
442		449	-7
468		481	-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8
			30
			16
			5
			4
			3
			-1
			0
			-7
			-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
39.109 SHS PIMCO TOTAL RETURN FUND		2010-09-01	2020-11-25
40.194 SHS PIMCO TOTAL RETURN FUND		2010-10-01	2020-11-25
44.358 SHS PIMCO TOTAL RETURN FUND		2010-11-01	2020-11-25
46.883 SHS PIMCO TOTAL RETURN FUND		2010-12-01	2020-11-25
291.255 SHS PIMCO TOTAL RETURN FUND		2010-12-09	2020-11-25
636.973 SHS PIMCO TOTAL RETURN FUND		2010-12-09	2020-11-25
54.957 SHS PIMCO TOTAL RETURN FUND		2011-01-03	2020-11-25
45.088 SHS PIMCO TOTAL RETURN FUND		2011-02-01	2020-11-25
47.215 SHS PIMCO TOTAL RETURN FUND		2011-03-01	2020-11-25
48.609 SHS PIMCO TOTAL RETURN FUND		2011-04-01	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
428		445	-17
440		460	-20
486		512	-26
513		532	-19
3,189		3,101	88
6,975		6,783	192
602		588	14
494		482	12
517		507	10
532		521	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-17
			-20
			-26
			-19
			88
			192
			14
			12
			10
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
48.558 SHS PIMCO TOTAL RETURN FUND		2011-05-02	2020-11-25
49.827 SHS PIMCO TOTAL RETURN FUND		2011-06-01	2020-11-25
47.74 SHS PIMCO TOTAL RETURN FUND		2011-07-01	2020-11-25
44.13 SHS PIMCO TOTAL RETURN FUND		2011-08-01	2020-11-25
41.341 SHS PIMCO TOTAL RETURN FUND		2011-09-01	2020-11-25
48.476 SHS PIMCO TOTAL RETURN FUND		2011-10-03	2020-11-25
48.374 SHS PIMCO TOTAL RETURN FUND		2011-11-01	2020-11-25
53.416 SHS PIMCO TOTAL RETURN FUND		2011-12-01	2020-11-25
120.729 SHS PIMCO TOTAL RETURN FUND		2011-12-29	2020-11-25
51.86 SHS PIMCO TOTAL RETURN FUND		2012-01-03	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
532		528	4
546		544	2
523		517	6
483		483	0
453		449	4
531		516	15
530		520	10
585		568	17
1,322		1,289	33
568		556	12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4
			2
			6
			0
			4
			15
			10
			17
			33
			12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
48.56 SHS PIMCO TOTAL RETURN FUND		2012-02-01	2020-11-25
44.958 SHS PIMCO TOTAL RETURN FUND		2012-03-01	2020-11-25
56.537 SHS PIMCO TOTAL RETURN FUND		2012-04-02	2020-11-25
51.93 SHS PIMCO TOTAL RETURN FUND		2012-05-01	2020-11-25
57.651 SHS PIMCO TOTAL RETURN FUND		2012-06-01	2020-11-25
50.732 SHS PIMCO TOTAL RETURN FUND		2012-07-02	2020-11-25
39.498 SHS PIMCO TOTAL RETURN FUND		2012-08-01	2020-11-25
42.15 SHS PIMCO TOTAL RETURN FUND		2012-09-04	2020-11-25
33.962 SHS PIMCO TOTAL RETURN FUND		2012-10-01	2020-11-25
51.757 SHS PIMCO TOTAL RETURN FUND		2012-11-01	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
532		533	-1
492		493	-1
619		618	1
569		575	-6
631		642	-11
556		566	-10
432		447	-15
462		478	-16
372		388	-16
567		592	-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1
			-1
			1
			-6
			-11
			-10
			-15
			-16
			-16
			-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
52.998 SHS PIMCO TOTAL RETURN FUND		2012-12-03	2020-11-25
208.097 SHS PIMCO TOTAL RETURN FUND		2012-12-13	2020-11-25
283.511 SHS PIMCO TOTAL RETURN FUND		2012-12-13	2020-11-25
216.477 SHS PIMCO TOTAL RETURN FUND		2012-12-28	2020-11-25
40.732 SHS PIMCO TOTAL RETURN FUND		2013-01-02	2020-11-25
29.497 SHS PIMCO TOTAL RETURN FUND		2013-02-01	2020-11-25
34.491 SHS PIMCO TOTAL RETURN FUND		2013-03-01	2020-11-25
45.195 SHS PIMCO TOTAL RETURN FUND		2013-04-01	2020-11-25
54.593 SHS PIMCO TOTAL RETURN FUND		2013-05-01	2020-11-25
44.5 SHS PIMCO TOTAL RETURN FUND		2013-06-03	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
580		608	-28
2,279		2,332	-53
3,104		3,178	-74
2,370		2,400	-30
446		452	-6
323		326	-3
378		382	-4
495		501	-6
598		611	-13
487		486	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-28
			-53
			-74
			-30
			-6
			-3
			-4
			-6
			-13
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
30.386 SHS PIMCO TOTAL RETURN FUND		2013-07-01	2020-11-25
38.461 SHS PIMCO TOTAL RETURN FUND		2013-08-01	2020-11-25
41.553 SHS PIMCO TOTAL RETURN FUND		2013-09-03	2020-11-25
31.302 SHS PIMCO TOTAL RETURN FUND		2013-10-01	2020-11-25
35.102 SHS PIMCO TOTAL RETURN FUND		2013-11-01	2020-11-25
33.296 SHS PIMCO TOTAL RETURN FUND		2013-12-02	2020-11-25
23.418 SHS PIMCO TOTAL RETURN FUND		2013-12-12	2020-11-25
122.526 SHS PIMCO TOTAL RETURN FUND		2013-12-12	2020-11-25
22.449 SHS PIMCO TOTAL RETURN FUND		2014-01-02	2020-11-25
22.328 SHS PIMCO TOTAL RETURN FUND		2014-02-03	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
333		322	11
421		409	12
455		436	19
343		334	9
384		377	7
365		357	8
256		248	8
1,342		1,299	43
246		237	9
244		238	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			11
			12
			19
			9
			7
			8
			8
			43
			9
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
25.9 SHS PIMCO TOTAL RETURN FUND		2014-03-03	2020-11-25
31.322 SHS PIMCO TOTAL RETURN FUND		2014-04-01	2020-11-25
33.21 SHS PIMCO TOTAL RETURN FUND		2014-05-01	2020-11-25
40.52 SHS PIMCO TOTAL RETURN FUND		2014-06-02	2020-11-25
30.087 SHS PIMCO TOTAL RETURN FUND		2014-07-01	2020-11-25
33.669 SHS PIMCO TOTAL RETURN FUND		2014-08-01	2020-11-25
31.036 SHS PIMCO TOTAL RETURN FUND		2014-09-02	2020-11-25
23.342 SHS PIMCO TOTAL RETURN FUND		2014-10-01	2020-11-25
74.95 SHS PIMCO TOTAL RETURN FUND		2014-12-11	2020-11-25
350.562 SHS PMICO TOTAL RETURN FUND		2015-12-17	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
284		278	6
343		333	10
364		356	8
444		438	6
329		326	3
369		362	7
340		337	3
256		251	5
821		807	14
3,839		3,487	352

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			6
			10
			8
			6
			3
			7
			3
			5
			14
			352

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
45.70 SHS PGIM TOTAL RETURN BOND FUND		2014-10-13	2020-01-02
35.86 SHS PGIM TOTAL RETURN BOND FUND		2014-10-13	2020-02-04
1.02 SHS PGIM TOTAL RETURN BOND FUND		2014-10-13	2020-02-05
75,000 SHS LOS ANGELES CALIF DEPT		2017-03-10	2020-12-01
100,000 SHS SAN DIEGO CALIF UNI SCH DIST		2015-04-23	2020-12-14
100,000 SHS LOS ANGELES CALIF WASTEWATER		2013-06-12	2020-12-14
50,000 SHS VERMONT MUN BD BK BD BK		2016-06-30	2020-12-14
100,000 SHS LOS ANGELES CALIF UNI SCH DIST		2014-09-24	2020-12-14
60,000 SHS CALIFORNIA HEALTH FACT FING AUTH		2016-11-10	2020-12-14
65,000 SHS MARYLAND ST DEPT TRANSN CONS T		2016-11-23	2020-12-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
656		663	-7
522		520	2
10		15	-5
93,130		82,315	10,815
116,160		109,427	6,733
111,119		104,345	6,774
58,874		56,915	1,959
115,940		108,595	7,345
70,534		67,003	3,531
76,294		71,211	5,083

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-7
			2
			-5
			10,815
			6,733
			6,774
			1,959
			7,345
			3,531
			5,083

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,479			4,479

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4,479

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation					
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances	
BESS M ROCHLITZER	DIRECTOR/PRESIDENT/AS REQ 28.00	63,800	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					
SANDRA HOPMANS	DIRECTOR/SECRETARY/AS REQU 15.00	2,000	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					
ANDREW BERMANT	DIRECTOR/AS REQUIRED 2.00	2,000	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					
VICTORIA VESNA	DIRECTOR/AS REQUIRED 2.00	2,000	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					
JACK COLLISON	DIRECTOR/AS REQUIRED 2.00	2,000	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					
ROBERT MARGOLIES	OFFICER/TREASURER/AS REQ 2.00	2,000	0	0	
C/O PRAGER METIS CPAS LLC 14 PENN PLAZA 18TH FL NEW YORK, NY 10122					

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HARVEST WORKS DIGITAL MEDIA ARTS CENTER 596 BROADWAY SUITE 602 NEW YORK, NY 10012	NONE	PUBLIC CHARITY	IN SUPPORT OF ALIEN STAR DUST PROJECT	5,000
THE BUTLER INSTITUTE OF AMERICAN ART 524 WICK AVENUE YOUNGSTOWN, OH 44515	NONE	PUBLIC CHARITY	KINETIC ART COLLECTION TO BE DISPLAYED TO THE PUBLIC	4,799,325
Total				4,804,325

▶ 3a

TY 2020 Accounting Fees Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING AND TAX PREPARATION	56,487	28,244	0	28,243

TY 2020 All Other Program Related Investments Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**All Other Program Related Investments Schedule**

Category	Amount
NONE	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Depreciation Schedule

Name: DAVID BERMANT FOUNDATION

EIN: 20-8918758

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
EQUIPMENT	2016-07-05	2,861	1,184	200DB	5.000000000000	165	0	572	

TY 2020 Investments Corporate Stock Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES	134,902	140,077
FIXED INCOME MUTUAL FUNDS	3,062,832	3,102,109

TY 2020 Investments Government Obligations Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**US Government Securities - End
of Year Book Value:**

0

**US Government Securities - End
of Year Fair Market Value:**

0

**State & Local Government
Securities - End of Year Book
Value:**

1,109,885

**State & Local Government
Securities - End of Year Fair
Market Value:**

1,211,836

TY 2020 Legal Fees Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	106,503	53,252	0	53,251

TY 2020 Other Assets Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ARTWORK	1,362,467	282,344	580,500
DIVIDENDS RECEIVABLE	454	4,823	4,823
INVESTMENT IN PARTNERSHIPS	0	919,439	2,462,639

TY 2020 Other Expenses Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ART MAINTENANCE	1,555	0	0	1,555
BANK FEES	330	330	0	0
ART TOUR EXPENSES	2,250	0	0	2,250
DUES	3,135	0	0	3,135
EQUIPMENT RENTAL	177	0	0	177
INSURANCE	25,271	0	0	25,271
OFFICE EXPENSES	28,997	0	0	28,997
OFFICE FACILITIES EXPENSE	30,626	0	0	30,626
OUTSIDE SERVICES	3,700	0	0	3,700
PAYROLL TAXES	12,473	0	0	12,473

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE/CABLE	3,451	0	0	3,451
TRUCK EXPENSE	5,963	0	0	5,963
INVESTMENT FEES	21,131	21,131	0	0
APPRAISALS FEES	2,609	0	0	2,609
WEBSITE FEES	600	0	0	600
ART MOVING COSTS	224,376	0	0	224,376

TY 2020 Other Increases Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**Other Increases Schedule**

Description	Amount
THE DIFFERENCE BETWEEN FMV AND THE BV OF THE ART DONATATED TO THE BUTLER	3,719,202

TY 2020 Other Professional Fees Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TRUSTEES FEES	45,885	0	0	0
CONSULTING FEES	28	0	0	28

TY 2020 Taxes Schedule**Name:** DAVID BERMANT FOUNDATION**EIN:** 20-8918758**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FRANCHISE TAX BOARD	10	0	0	10
CALIFORNIA REGISTRATION	50	0	0	50
EXCISE TAX	138	0	0	0

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2020

Name of the organization
DAVID BERMANT FOUNDATION

Employer identification number
20-8918758

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
DAVID BERMANT FOUNDATION

Employer identification number
20-8918758

Part I
Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	DAVID BERMANT REVOCABLE TRUST 14 PENN PLAZA 18TH FL NEW YORK, NY 10122	\$ 333,459	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
2	DAVID BERMANT REVOCABLE TRUST 14 PENN PLAZA 18TH FL NEW YORK, NY 10122	\$ 3,132,660	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
3	DAVID BERMANT REVOCABLE TRUST 14 PENN PLAZA 18TH FL NEW YORK, NY 10122	\$ 1,161,874	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	_____ _____ _____	\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	_____ _____ _____	\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.	_____ _____ _____	\$ _____	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization
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Part II Noncash Property

(a) No. from Part I	(b) Description of noncash property given <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small>	(c) FMV (or estimate) <small>(See instructions)</small>	(d) Date received
2	STOCKS AND BONDS	\$ 3,223,372	2020-05-26
3	INVESTMENT IN PARTNERSHIPS	\$ 2,462,639	2020-01-01
.		\$	
.		\$	
.		\$	
.		\$	
.		\$	
.		\$	

Name of organization
DAVID BERMANT FOUNDATION

Employer identification number
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Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	