

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation  
 or Section 4947(a)(1) Trust Treated as Private Foundation**

OMB No. 1545-0052

**2020**

**Open to Public Inspection**

▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation SPAULDING CHARITABLE FOUNDATION TUA		<b>A Employer identification number</b> 20-0292104	
Number and street (or P.O. box number if mail is not delivered to street address) 6325 S RAINBOW BLVD STE 300	Room/suite	<b>B Telephone number</b> (see instructions) (888) 730-4933	
City or town, state or province, country, and ZIP or foreign postal code LAS VEGAS, NV 89118		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here..... <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>1,160,292</u>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>	
<b>J</b> Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

<b>Part I Analysis of Revenue and Expenses</b> <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	20,925	20,725		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	119,077			
	<b>b</b> Gross sales price for all assets on line 6a	286,516			
	<b>7</b> Capital gain net income (from Part IV, line 2)		119,077		
	<b>8</b> Net short-term capital gain			0	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)	-460	-525			
<b>12 Total.</b> Add lines 1 through 11	139,542	139,277			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	15,578	12,462		3,116
	<b>14</b> Other employee salaries and wages		0	0	0
	<b>15</b> Pension plans, employee benefits		0	0	0
	<b>16a</b> Legal fees (attach schedule)				0
	<b>b</b> Accounting fees (attach schedule)	950	0	0	950
	<b>c</b> Other professional fees (attach schedule)				0
	<b>17</b> Interest				0
	<b>18</b> Taxes (attach schedule) (see instructions)	207	207		0
	<b>19</b> Depreciation (attach schedule) and depletion	0	0		
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings		0	0	
	<b>22</b> Printing and publications		0	0	
	<b>23</b> Other expenses (attach schedule)	36	36		
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	16,771	12,705	0	4,066
	<b>25</b> Contributions, gifts, grants paid	44,000			44,000
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	60,771	12,705	0	48,066	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	78,771				
<b>b Net investment income</b> (if negative, enter -0-)		126,572			
<b>c Adjusted net income</b> (if negative, enter -0-)			0		

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .	1,101	225	225
	<b>2</b> Savings and temporary cash investments . . . . .	38,301	39,262	39,262
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		94,771	297,169
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .			
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .	570,283	554,158	823,636
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	609,685	688,416	1,160,292	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	609,685	688,416	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund			
<b>28</b> Retained earnings, accumulated income, endowment, or other funds				
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	609,685	688,416		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	609,685	688,416		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	609,685
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	78,771
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	67
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	688,523
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	107
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	688,416

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	<b>2</b>	119,077
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved	(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
<b>2</b> Reserved . . . . .				<b>2</b>
<b>3</b> Reserved . . . . .				<b>3</b>
<b>4</b> Reserved . . . . .				<b>4</b>
<b>5</b> Reserved . . . . .				<b>5</b>
<b>6</b> Reserved . . . . .				<b>6</b>
<b>7</b> Reserved . . . . .				<b>7</b>
<b>8</b> Reserved . . . . .				<b>8</b>

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 1,091.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, distributions, public inspection requirements, and books in care.

Located at 100 N MAIN ST MAC D4001-117 WINSTON SALEM NC ZIP+4 27101

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year.

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	996,852
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	38,726
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	1,035,578
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,035,578
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	15,534
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,020,044
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	51,002

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	51,002
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	1,759
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	1,759
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	49,243
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	49,243
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	49,243

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	48,066
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	0
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	0
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	0
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	48,066
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	48,066

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				49,243
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			42,862	
<b>b</b> Total for prior years: 20____, 20____, 20____		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .	0			
<b>b</b> From 2016. . . . .	0			
<b>c</b> From 2017. . . . .	0			
<b>d</b> From 2018. . . . .	0			
<b>e</b> From 2019. . . . .	0			
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>48,066</u>				
<b>a</b> Applied to 2019, but not more than line 2a			42,862	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				5,204
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				44,039
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9</b> Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .	0			
<b>b</b> Excess from 2017. . . . .	0			
<b>c</b> Excess from 2018. . . . .	0			
<b>d</b> Excess from 2019. . . . .	0			
<b>e</b> Excess from 2020. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year	Prior 3 years			<b>(e) Total</b>
	<b>(a) 2020</b>	<b>(b) 2019</b>	<b>(c) 2018</b>	<b>(d) 2017</b>	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)  
NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.  
NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

---

**b** The form in which applications should be submitted and information and materials they should include:

---

**c** Any submission deadlines:

---

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
See Additional Data Table				
<b>Total</b> . . . . . ▶ <b>3a</b>				44,000
<b>b</b> <i>Approved for future payment</i>				
<b>Total</b> . . . . . ▶ <b>3b</b>				





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-01-31
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-02-29
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-03-31
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-04-30
50. APPLE INC		2007-06-29	2020-05-18
80. CHEVRON CORP		2003-11-10	2020-05-18
849.892 EATON VANCE FLOAT RATE-R6 #1053		2019-07-30	2020-05-18
2092.196 EATON VANCE FLOAT RATE-R6 #1053		2011-06-06	2020-05-18
80. EXTRA SPACE STORAGE INC		2012-05-10	2020-05-18
70. EXXON MOBIL CORPORATION		2003-11-10	2020-05-18

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2		1	1
2		1	1
2		1	1
2		1	1
15,742		875	14,867
7,441		2,972	4,469
6,816		7,557	-741
16,779		18,941	-2,162
6,985		2,376	4,609
3,170		2,505	665

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			1
			1
			1
			1
			14,867
			4,469
			-741
			-2,162
			4,609
			665

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
165. GENERAL ELECTRIC CO		2003-11-10	2020-05-18
680. ISHARES MSCI EMERGING MARKETS			2020-05-18
257. JP MORGAN ALERIAN MLP INDEX		2011-03-14	2020-05-18
1717.67 PIMCO FOREIGN BD FD USD H-INST #103		2013-06-06	2020-05-18
500. PIMCO FOREIGN BD FD USD H-INST #103		2019-07-30	2020-05-18
1069.41 PIMCO E/M LCL CUR & BND-INST 332			2020-05-18
3805.497 T ROWE PR SHRT-TRM BND-I #442		2009-05-06	2020-05-18
50. SCHLUMBERGER LTD		2009-10-01	2020-05-18
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-05-31
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-06-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,030		4,656	-3,626
25,407		20,841	4,566
3,588		9,407	-5,819
18,293		18,303	-10
5,325		5,600	-275
6,513		10,911	-4,398
18,000		17,887	113
889		2,972	-2,083
2		1	1
2		1	1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3,626
			4,566
			-5,819
			-10
			-275
			-4,398
			113
			-2,083
			1
			1

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-07-31
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-08-31
490. APPLE INC		2007-06-29	2020-09-09
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-09-30
209.937 FIDELITY NEW MRKTS INC-Z #3323		2013-06-06	2020-10-02
1035.197 T ROWE PR SHRT-TRM BND-I #442		2009-05-06	2020-10-02
175. ISHARES 20+ YEAR TREASURY BOND		2018-06-06	2020-10-05
169. ISHARES MSCI EAFE ETF		2004-03-03	2020-10-05
235. APPLE INC		2007-06-29	2020-10-06
30. JPMORGAN CHASE & CO		2009-05-08	2020-10-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2		1	1
2		1	1
57,738		2,143	55,595
2		1	1
3,000		3,403	-403
5,000		4,866	134
28,060		20,772	7,288
10,904		7,889	3,015
26,757		1,028	25,729
2,945		1,108	1,837

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			1
			55,595
			1
			-403
			134
			7,288
			3,015
			25,729
			1,837

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-10-31
95. APPLE INC		2007-06-29	2020-11-06
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-11-30
600. ISHARES GOLD TRUST ETF		1901-01-01	2020-12-31
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2		1	1
11,236		415	10,821
2		1	1
2		1	1
			4,874
			4,874
			4,874
			4,874
			4,874
			4,874

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			1
			10,821
			1
			1







**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SPAULDING MARINE CENTER 600 GATE 5 RD SAUSALITO, CA 94965	NONE	PC	GENERAL OPERATING	43,000
THE NUCKOLLS FUND FOR LIGHTING 165 DUANE STREET SUITE 8A NEW YORK, NY 10013	NONE	PC	GENERAL OPERATING	1,000
<b>Total . . . . .</b> ▶ <b>3a</b>				44,000

**TY 2020 Accounting Fees Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
TAX PREPARATION FEE (NON-ALLOC	950			950

**TY 2020 Investments Corporate Stock Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
235851102 DANAHER CORP	1,532	13,328
98936J101 ZENDESK INC	2,502	2,862
016255101 ALIGN TECHNOLOGY INC	3,263	5,344
G1151C101 ACCENTURE PLC	2,383	15,673
87918A105 TELADOC HEALTH INC	3,109	2,999
150870103 CELANESE CORP	1,592	4,548
252131107 DEXCOM INC	4,021	3,697
92826C839 VISA INC-CLASS A SHR	6,173	6,562
45866F104 INTERCONTINENTAL EXC	1,253	5,765
278865100 ECOLAB INC	2,235	9,736
92532F100 VERTEX PHARMACEUTICA	3,946	3,545
09857L108 BOOKING HOLDINGS INC	5,216	22,273
92343V104 VERIZON COMMUNICATIO	1,991	4,113
532457108 ELI LILLY & CO COM	2,934	3,377
21036P108 CONSTELLATION BRANDS	3,805	4,381
339041105 FLEETCOR TECHNOLOGIE	2,320	2,728
983793100 XPO LOGISTICS INC	3,071	4,172
037833100 APPLE INC	1,662	50,422
15135B101 CENTENE CORP DEL	3,178	3,302
023135106 AMAZON COM INC COM	4,408	65,139
907818108 UNION PACIFIC CORP	2,297	20,822
34959J108 FORTIVE CORP	407	2,125
34964C106 FORTUNE BRANDS HOME	3,319	3,429
101137107 BOSTON SCIENTIFIC CO	4,597	3,955
896239100 TRIMBLE INC	3,266	4,340
928881101 VONTIER CORP	70	401
46625H100 JPMORGAN CHASE & CO	2,954	10,166
30303M102 FACEBOOK INC	13,724	13,658
90384S303 ULTA BEAUTY INC	3,543	4,307

**TY 2020 Investments - Other Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
464287234 ISHARES TR MSCI EMER			
278865100 ECOLAB INC			
46625H100 JPMORGAN CHASE & CO			
464287499 ISHARES RUSSELL MID-	AT COST	33,737	116,535
464285105 ISHARES GOLD TRUST E	AT COST	6,481	10,878
81369Y803 AMEX TECHNOLOGY SELE	AT COST	2,003	13,002
34959J108 FORTIVE CORP			
03027X100 AMERICAN TOWER CORP	AT COST	4,980	15,712
037833100 APPLE COMPUTER INC C			
235851102 DANAHER CORP			
369604103 GENERAL ELECTRIC CO			
46625H365 JP MORGAN ALERIAN ML			
464287465 ISHARES MSCI EAFE			
166764100 CHEVRON CORP			
936793736 WASATCH EMER MKT SM	AT COST	13,900	17,731
09857L108 BOOKING HOLDINGS INC			
92343V104 VERIZON COMMUNICATIO			
464287804 ISHARES CORE S&P SMA	AT COST	22,892	85,467
464287432 ISHARES 20+ YEAR TRE	AT COST	17,805	23,660
256210105 DODGE & COX INCOME F	AT COST	50,409	53,111
693390841 PIMCO HIGH YIELD FD-	AT COST	24,922	24,021
78462F103 SPDR S & P 500 ETF T	AT COST	6,355	18,694
907818108 UNION PACIFIC CORP			
053484101 AVALONBAY CMNTYS INC	AT COST	6,413	9,626
G1151C101 ACCENTURE PLC			
003021714 ABERDEEN EMERG MARKE	AT COST	18,500	25,028
72201F516 PIMCO EMERGING LOCAL			
30225T102 EXTRA SPACE STORAGE	AT COST	6,533	25,489
09186L100 BLACK CREEK DIVERSIF	AT COST	10,324	10,514
693390882 PIMCO FOREIGN BD FD			

**Investments Other Schedule 2**

<b>Category/ Item</b>	<b>Listed at Cost or FMV</b>	<b>Book Value</b>	<b>End of Year Fair Market Value</b>
806857108 SCHLUMBERGER LTD			
30231G102 EXXON MOBIL CORPORAT			
74256W485 PRINCIPAL PREFERRED	AT COST	23,985	24,638
592905764 METROPOLITAN WEST T/	AT COST	52,447	53,760
023135106 AMAZON COM INC			
46138B103 INVESCO DB COMMODITY	AT COST	4,969	5,145
277911491 EATON VANCE FLOATING			
150870103 CELANESE CORP			
921943858 VANGUARD FTSE DEVELO	AT COST	20,082	26,060
64128R608 NEUBERGER BERMAN LON	AT COST	10,602	14,032
45866F104 INTERCONTINENTALEXCH			
04314H402 ARTISAN INTERNATIONAL	AT COST	24,855	34,844
77957P402 T ROWE PR SHRT-TRM B	AT COST	14,631	15,097
31641Q755 FIDELITY NEW MRKTS I	AT COST	17,597	18,228
921910501 VANGUARD INTL GRTH F	AT COST	16,000	18,847
922031760 VANGUARD HIGH YIELD	AT COST	28,000	30,237
87234N765 TCW EMRG MKTS INCM-I	AT COST	24,000	28,249
921937819 VANGUARD INTERMEDIAT	AT COST	22,367	22,284
00143W859 INV OPP DEVELOP MRKT	AT COST	20,000	28,087
464287242 ISHARES IBOXX \$ INVE	AT COST	19,356	20,720
46429B697 ISHARES EDGE MSCI MI	AT COST	30,013	33,940

**TY 2020 Other Decreases Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104

<b>Description</b>	<b>Amount</b>
PY RETURN OF CAPITAL ADJ	107

**TY 2020 Other Expenses Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FROM PARTNERSHIP/S-CORP	36	36		0

**TY 2020 Other Income Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	65	0	
OTHER INCOME	111	111	
FROM PARTNERSHIP/S-CORP	-636	-636	

**TY 2020 Other Increases Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Other Increases Schedule**

Description	Amount
MUTUAL FUND TIMING ADJ	23
COST BASIS ADJUSTMENT	44

**TY 2020 Taxes Schedule****Name:** SPAULDING CHARITABLE FOUNDATION TUA**EIN:** 20-0292104**Taxes Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	163	163		0
FOREIGN TAXES ON QUALIFIED FOR	35	35		0
FOREIGN TAXES ON NONQUALIFIED	9	9		0