

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation RIP VAN WINKLE FOUNDATION DBA THE LOU GEHRIG SOCIETY		A Employer identification number 14-1365186
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 455	Room/suite	B Telephone number (see instructions) (518) 325-4443
City or town, state or province, country, and ZIP or foreign postal code HILLSDALE, NY 12529		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>607,054</u>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	25			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	714	714		
	4 Dividends and interest from securities	25,651	25,651		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-53,012			
	b Gross sales price for all assets on line 6a <u>1,229,728</u>				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	41,170	41,170			
12 Total. Add lines 1 through 11	14,548	67,535			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	24,482	12,241		12,241
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	6,616	6,616		
	b Accounting fees (attach schedule)	2,160	1,080		1,080
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,110	1,297		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	369	185		184
	22 Printing and publications				
	23 Other expenses (attach schedule)	21,454	2,814		1,975
	24 Total operating and administrative expenses. Add lines 13 through 23	60,191	24,233		15,480
	25 Contributions, gifts, grants paid	580,313			580,313
26 Total expenses and disbursements. Add lines 24 and 25	640,504	24,233		595,793	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-625,956				
b Net investment income (if negative, enter -0-)		43,302			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	10,320	93,111	93,111
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	99,765		
	b Investments—corporate stock (attach schedule)	1,047,586	498,858	498,858
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ 2,072 Less: accumulated depreciation (attach schedule) ▶ _____	2,072	2,072	2,072
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	41,376		
	14 Land, buildings, and equipment: basis ▶ _____ 258,529 Less: accumulated depreciation (attach schedule) ▶ _____ 255,516	19,679	3,013	3,013
15 Other assets (describe ▶ _____)	10,000	10,000	10,000	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,230,798	607,054	607,054	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	1,230,798	607,054	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	1,230,798	607,054		
30 Total liabilities and net assets/fund balances (see instructions) .	1,230,798	607,054		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,230,798
2 Enter amount from Part I, line 27a	2	-625,956
3 Other increases not included in line 2 (itemize) ▶ _____	3	2,212
4 Add lines 1, 2, and 3	4	607,054
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	607,054

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	-34,053
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	11,381

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved ,			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total amount owed is 1,398 and overpayment is 598.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>THELOUGEHRIGSOCIETY.ORG</u>	Yes	
14	The books are in care of ► <u>JULIA BRANDI</u> Telephone no. ► <u>(518) 325-4443</u>		

Located at ► PO BOX 455 HILLSDALE NY ZIP+4 ► 12529

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	15	

16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b		No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 TO EDUCATE AND STIMULATE CONSTRUCTIVE CHANGE AND IMPROVEMENTS TO BENEFIT THE HEALTH OF THE GENERAL PUBLIC.	595,793
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities.	1a 895,820
b	Average of monthly cash balances.	1b 100,936
c	Fair market value of all other assets (see instructions).	1c 23,418
d	Total (add lines 1a, b, and c).	1d 1,020,174
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e
2	Acquisition indebtedness applicable to line 1 assets.	2
3	Subtract line 2 from line 1d.	3 1,020,174
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4 15,303
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 1,004,871
6	Minimum investment return. Enter 5% of line 5.	6 50,244

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here <input type="checkbox"/> and do not complete this part.)		
1	Minimum investment return from Part X, line 6.	1 50,244
2a	Tax on investment income for 2020 from Part VI, line 5.	2a 602
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b
c	Add lines 2a and 2b.	2c 602
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3 49,642
4	Recoveries of amounts treated as qualifying distributions.	4
5	Add lines 3 and 4.	5 49,642
6	Deduction from distributable amount (see instructions).	6
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7 49,642

Part XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a 595,793
b	Program-related investments—total from Part IX-B.	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required).	3a
b	Cash distribution test (attach the required schedule).	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 595,793
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6 595,793

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				49,642
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			40,558	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2020:				
a From 2015.				
b From 2016.				
c From 2017.				
d From 2018.				
e From 2019.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 595,793				
a Applied to 2019, but not more than line 2a			40,558	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2020 distributable amount.				49,642
e Remaining amount distributed out of corpus	505,593			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	505,593			
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	505,593			
10 Analysis of line 9:				
a Excess from 2016.				
b Excess from 2017.				
c Excess from 2018.				
d Excess from 2019.				
e Excess from 2020.	505,593			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
THE RIP VAN WINKLE FOUNDATION
PO BOX 455
HILLSDALE, NY 12529
(518) 325-4443

b The form in which applications should be submitted and information and materials they should include:
IN WRITING OR E-MAIL, INCLUDING CONTACT NAME, ORGANIZATION, CONTACT INFORMATION, PURPOSE OF THE GRANT AND PROJECT DESCRIPTION.

c Any submission deadlines:
LETTER OF INTENT BY APRIL 1, PROPOSAL BY JULY 1.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
PRIMARILY FOR START-UP PROJECTS, PROGRAMS THAT ENHANCE THE HEALTH STATUS OF CHILDREN, THOSE WITH CHRONIC ILLNESSES, OR THAT STIMULATE THE THINKING OF LEADERS OF THE HEALTH CARE INDUSTRY. THE FOUNDATION ONLY AWARDS GRANTS TO ORGANIZATIONS AND DOES NOT SUPPORT GENERAL OPERATIONS, ONGOING ACTIVITIES OR EXISTING DEFICITS, ENDOWMENT OR CAPITAL COSTS, CHURCHES OR OTHER RELIGIOUS ORGANIZATIONS, OR WORK FOR ACHIEVEMENTS WHICH CANNOT BE MEASURED.

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total ▶ 3a				580,313
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BANCO SANTANDER CENT HISPANO SPON AD	P	2019-11-01	2020-10-26
TAPESTRY INC.	P	2019-03-26	2020-10-19
CVS HEALTH CORPORATION	P	2020-02-24	2020-08-13
LAZARD LTD	P	2019-10-11	2020-11-20
GILEAD SCIENCES INC	P	2019-06-28	2020-04-30
U.S. TREASURY BILL DUE 11/3/20	P	2020-06-02	2020-11-03
GLOBAL X FDS GLOBAL X LITHIUM	P	2019-11-01	2020-08-18
U.S. TREASURY BILL DUE 5/28/20	P	2019-11-29	2020-05-28
INTEL CORP	P	2020-08-13	2020-10-19
U.S. TREASURY BILL DUE 2/27/20	P	2019-02-28	2020-02-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
30,671		60,607	-29,936
40,322		64,462	-24,140
64,083		69,033	-4,950
55,065		55,584	-519
40,617		34,005	6,612
100,000		99,951	49
48,192		32,257	15,935
100,000		99,708	292
54,803		49,531	5,272
100,000		99,639	361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-29,936
			-24,140
			-4,950
			-519
			6,612
			49
			15,935
			292
			5,272
			361

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
KERING S A	P	2019-10-11	2020-02-10
OCCIDENTAL PETE CORP	P	2019-09-19	2020-02-03
SOCIEDAD QUIMICA MINERAL DE CHILE S	P	2020-02-03	2020-10-26
TAPESTRY INC	P	2020-02-10	2020-10-26
VIRTU FINANCIAL INC CL A	P	2019-12-27	2020-02-24
LAZARD LTD.	P	2020-05-20	2020-11-20
AT&T INC	P	2018-06-15	2020-10-19
BANCO SANTANDER CENT HISPANO SPON AD	P	2019-04-11	2020-10-26
FREEPORT-MCMORAN INC	P	2019-02-13	2020-11-27
KRAFT HEINZ CO	P	2019-04-23	2020-08-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
60,837		52,503	8,334
99,828		115,420	-15,592
91,366		71,707	19,659
41,552		56,540	-14,988
65,830		56,220	9,610
36,710		25,987	10,723
26,747		33,379	-6,632
30,671		75,382	-44,711
58,994		31,000	27,994
52,392		49,818	2,574

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8,334
			-15,592
			19,659
			-14,988
			9,610
			10,723
			-6,632
			-44,711
			27,994
			2,574

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
JULIA BRANDI PO BOX 455 HILLSDALE, NY 12529	EXEC VP/TREA 12.00	24,482	0	0
R RODNEY HOWELL MD PO BOX 455 HILLSDALE, NY 12529	CHAIRMAN BOA 1.50	0	0	0
CALDWELL B ESSELSTYN PO BOX 455 HILLSDALE, NY 12529	CEO 1.50	0	0	0
JOHN E HOWELL PO BOX 455 HILLSDALE, NY 12529	PRESIDENT 1.50	0	0	0
GRACE MEYER HOWELL PO BOX 455 HILLSDALE, NY 12529	DIRECTOR 1.50	0	0	0
ELIZABETH HOWELL PO BOX 455 HILLSDALE, NY 12529	DIRECTOR 1.50	0	0	0

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COLUMBIA CO HEALTHCARE CONSORTIUM 325 COLUMBIA ST HUDSON, NY 12534			GENERAL OPERATING EXPENSES	30,000
RJ HISTORICAL SOCIETY 8 MILES ROAD COPAKE FALLS, NY 12517			HILLSDALE ORAL HISTORY PROJECT	313
UCLA 10889 WILSHIRE BOULEVARD LOS ANGELES, CA 90024			COVID 19 IMPACT RESEARCH	50,000
Total				580,313

▶ 3a

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DAF-PLANT BASED NUTRITION 2 PEPPER RIDGE ROAD CLEVELAND, OH 44124			PLANT BASED NUTRITION RESEARCH	500,000
Total ▶ 3a				580,313

TY 2020 Accounting Fees Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSACK AND COMPANY CPAS LLC	2,160	1,080		1,080

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Amortization Schedule

Name: RIP VAN WINKLE FOUNDATION
 DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Amortization Schedule

Description of Amortized Expenses	Date Acquired, Completed, or Expended	Amount Amortized	Deduction for Prior Years	Amortization Method	Current Year Amortization	Net Investment Income	Adjusted Net Income	Total Amount of Amortization
GOODWILL - LEGAL	2005-05-30	14,333	13,948	15.0000	385			14,333

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Gain/Loss from Sale of Other Assets Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
RYDEX SER FDS	2018-03	PURCHASE	2020-09		31,048	50,007			-18,959	

TY 2020 Investments Corporate Stock Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AXA INVESTMENTS, 798.6699 SHS	19,128	19,128
AT&T INC., 1,000 SHS		
BANCO SANTANDER CENT, 30,000 SHS		
FREEMPORT-MCMORAN INC., 2,500 SHS		
GILEAD SCIENCES INC., 750 SHARES	43,695	43,695
GLAXOSMITHKLINE PLC 2,000 SHARES	73,600	73,600
GLOBAL X FDS URANIUM 5,000 SHS	76,650	76,650
GLOBAL X FDS LITHIUM 1,250 SHS		
KERING S A 1,000 SHS		
KRAFT HEINZ CO 1,500 SHS	51,990	51,990
LAZARD LTD 1,500 SHS		
MERCK & CO. INC., 1,000 SHS	81,800	81,800
OCCIDENTAL PETE CORP 2,500 SHS		
SAN JUAN BASIN RTY TR, 5,000 SHS	13,350	13,350
SANOFI ADR SNY 1,500 SHS	72,885	72,885
TAPESTRY INC. 2,000 SHS		
VIRTU FINANCIAL INC., 3,500 SHS		
ROCHE HOLDINGS LTD 1,500 SHS	65,760	65,760

TY 2020 Investments - Land Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Category/ Item	Cost/Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
LAND	2,072		2,072	2,072

TY 2020 Investments - Other Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUND - 1658.375 RYDEX SER FDS	FMV		

**TY 2020 Land, Etc.
Schedule**

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY
EIN: 14-1365186

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
GOODWILL	258,254	255,307	2,947	2,947
TRADEMARK	275	209	66	66

TY 2020 Legal Fees Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MCLAUGHLIN & STERN	6,616	6,616		

TY 2020 LiquidationExplanationStmnt

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Statement: THE FOUNDATION MADE A CASH DISTRIBUTION OF 500,000 TO THE PLANT-BASED NUTRITION DONOR ADVISED FUND, IN ACCORDANCE WITH ITS ONGOING MISSION TO EDUCATE AND STIMULATE COSNTRUCTIVE CHANGE AND IMPROVEMENTS TO BENEFIT THE HEALTH OF THE GENERAL PUBLIC. SEE PART XV FOR ADDRESS OF RECIPIENT.

TY 2020 Other Assets Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY
EIN: 14-1365186

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
COLLECTIBLES - STATUE	10,000	10,000	10,000

TY 2020 Other Expenses Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
FILING FEES	275	138		137
INSURANCE	2,399	1,199		1,200
INVESTMENT EXPENSES	838	838		
POSTAGE	55	28		27
SUPPLIES	593	296		297
WEBSITE	97	49		48
PO BOX RENTAL	92	46		46
BANK FEES	30	15		15
DUES AND SUBSCRIPTIONS	45	23		22

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OUTSIDE CONTRACT SERVICES	305	152		153
MEALS AND ENTERTAINMENT	60	30		30

TY 2020 Other Income Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	41,170	41,170	

TY 2020 Other Increases Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Other Increases Schedule

Description	Amount
UNREALIZED GAIN ON INVESTMENTS	2,212

TY 2020 Taxes Schedule

Name: RIP VAN WINKLE FOUNDATION
DBA THE LOU GEHRIG SOCIETY

EIN: 14-1365186

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX ON INVESTMENT INCOME	2,073			
FOREIGN TAX	1,740			
PROPERTY TAX	1,297		1,297	