Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2020

Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation
JOSEPHINE LAWRENCE HOPKINS FOUNDATION

A Employer identification number
13-6277593

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
PO BOX 0634

B Telephone number (see instructions)
(513) 629-8111

City or town, state or province, country, and ZIP or foreign postal code
MILWAUKEE, WI 532010634

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 6,810,241

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	58,550	54,214		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	-188,042			
	b	Gross sales price for all assets on line 6a 2,604,786				
	7	Capital gain net income (from Part IV, line 2)		0		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	269,824	269,824		
	12	**Total.** Add lines 1 through 11	140,332	324,038		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	0	0		0
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	1,500	0	0	1,500
	c	Other professional fees (attach schedule)	25,314	25,314		0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	17,633	1,089		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	2,643	353		2,285
	24	**Total operating and administrative expenses.** Add lines 13 through 23	47,090	26,756	0	3,785
	25	Contributions, gifts, grants paid	295,000			295,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	342,090	26,756	0	298,785
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-201,758			
	b	**Net investment income** (if negative, enter -0-)		297,282		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	378		
	2	Savings and temporary cash investments	1,236,246	75,720	75,720
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶		0	0
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	626,616		
	c	Investments—corporate bonds (attach schedule)	450,045	300,028	187,460
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	2,060,659	4,842,367	6,546,695
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	1,092,570	366	366
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,466,514	5,218,481	6,810,241
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds	5,466,514	5,218,481	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	5,466,514	5,218,481	
	30	**Total liabilities and net assets/fund balances** (see instructions)	5,466,514	5,218,481	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,466,514
2	Enter amount from Part I, line 27a	2	-201,758
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	5,264,756
5	Decreases not included in line 2 (itemize) ▶	5	46,275
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	5,218,481

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-188,042
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

1 Reserved

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved

2 Reserved	2	
3 Reserved.	3	
4 Reserved	4	
5 Reserved	5	
6 Reserved	6	
7 Reserved	7	
8 Reserved	8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**				
b	Reserved.			1	4,132
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2.			3	4,132
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,132
6	Credits/Payments:				
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	10,535		
b	Exempt foreign organizations—tax withheld at source	6b	0		
c	Tax paid with application for extension of time to file (Form 8868) . . .	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d.			7	10,535
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid**. . . ▶			10	6,403
11	Enter the amount of line 10 to be: **Credited to 2021 estimated tax** ▶ 4,132	**Refunded** ▶		11	2,271

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). . . . . *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		No
c	Did the foundation file **Form 1120-POL** for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year?*If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation* .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶US BANK NA Telephone no. ▶(513) 629-8111

Located at ▶PO BOX 0634 MILWAUKEE WI ZIP+4 ▶532010634

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

		Line	Yes	No
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020?. If "Yes," list the years ▶ 20___, 20___, 20___, 20___	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___, 20___, 20___, 20___				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2020 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	3,708,692
b	Average of monthly cash balances.	1b	1,272,389
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	4,981,081
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e 0		
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,981,081
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	74,716
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,906,365
6	**Minimum investment return.** Enter 5% of line 5.	6	245,318

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	245,318
2a	Tax on investment income for 2020 from Part VI, line 5. 2a 4,132		
b	Income tax for 2020. (This does not include the tax from Part VI.). 2b		
c	Add lines 2a and 2b.	2c	4,132
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	241,186
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	241,186
6	Deduction from distributable amount (see instructions).	6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	241,186

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	298,785
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	298,785
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	298,785

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7					241,186
2 Undistributed income, if any, as of the end of 2020:					
a Enter amount for 2019 only.				0	
b Total for prior years: 20____ , 20____ , 20____			0		
3 Excess distributions carryover, if any, to 2020:					
a From 2015.	81,805				
b From 2016.	46,321				
c From 2017.	0				
d From 2018.	0				
e From 2019.	72,212				
f **Total** of lines 3a through e.		200,338			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ $ 298,785					
a Applied to 2019, but not more than line 2a				0	
b Applied to undistributed income of prior years (Election required—see instructions).			0		
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2020 distributable amount.					241,186
e Remaining amount distributed out of corpus		57,599			
5 Excess distributions carryover applied to 2020. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0			0
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		257,937			
b Prior years' undistributed income. Subtract line 4b from line 2b			0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			0		
d Subtract line 6c from line 6b. Taxable amount —see instructions			0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions				0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)		0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions) . . .		81,805			
9 **Excess distributions carryover to 2021.** Subtract lines 7 and 8 from line 6a		176,132			
10 Analysis of line 9:					
a Excess from 2016. . . .	46,321				
b Excess from 2017. . . .	0				
c Excess from 2018. . . .	0				
d Excess from 2019. . . .	72,212				
e Excess from 2020. . . .	57,599				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	**(b)** 2019	**(c)** 2018	**(d)** 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				295,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	58,550	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	-188,042	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a OTHER INCOME			1	9,788	
b ROYALTY INCOME			1	260,036	
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				140,332	

13 Total. Add line 12, columns (b), (d), and (e). 13 140,332

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	**1a(1)**		No
	(2) Other assets.	**1a(2)**		No
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		No
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		No
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		No
	(4) Reimbursement arrangements.	**1b(4)**		No
	(5) Loans or loan guarantees.	**1b(5)**		No
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-08-24	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
ALLISON J VALENTY		2021-08-24		P00963824
Firm's name ▶ PRICEWATERHOUSECOOPERS LLP				Firm's EIN ▶13-4008324
Firm's address ▶ 600 GRANT STREET PITTSBURGH, PA 15219				Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
100000. JPMORGAN CHASE MTN 12/21/23		2018-12-18	2020-01-02
500. SPDR GOLD TRUST		1901-01-01	2020-01-10
194.1727 FT U I T SER 7622		2019-02-25	2020-01-21
28743.8273 FT U I T SER 7622		2018-10-17	2020-01-21
50000. JPMORGAN CHASE MTN 0.00001% 10/20/21		2018-10-15	2020-01-21
6654.0366 GUGGENHEIM DEFINED U I T SER 9		2018-03-09	2020-01-29
91.0826 GUGGENHEIM DEFINED U I T SER 9		2019-02-25	2020-01-29
69. GUGGENHEIM DEFINED U I T SER 9		2019-09-25	2020-01-29
7.8808 GUGGENHEIM DEFINED U I T SER 9		2019-08-26	2020-01-29
230.3916 GUGGENHEIM DEFINED U I T SER 11		2019-02-25	2020-01-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
111,850		100,005	11,845
24		28	-4
2,122		1,940	182
314,115		288,498	25,617
50,000		50,006	-6
67,546		65,136	2,410
925		889	36
700		694	6
80		78	2
2,245		2,151	94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			11,845
			-4
			182
			25,617
			-6
			2,410
			36
			6
			2
			94

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
152. GUGGENHEIM DEFINED U I T SER 11		2019-09-25	2020-01-29
37.8209 GUGGENHEIM DEFINED U I T SER 11		2019-08-26	2020-01-29
12793.7875 GUGGENHEIM DEFINED U I T SER 11		2018-01-26	2020-01-29
500. SPDR GOLD TRUST		1901-01-01	2020-02-05
.645 FT U I T SER 73		2020-01-25	2020-02-11
.984 FT U I T SER 73		2020-01-25	2020-02-11
1185.8154 FT U I T SER 73		2019-03-25	2020-03-04
1367. FT U I T SER 73		2019-09-25	2020-03-04
32491.1846 FT U I T SER 73		2018-03-06	2020-03-04
500. SPDR GOLD TRUST		1901-01-01	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,481		1,451	30
369		353	16
124,692		127,451	-2,759
24		28	-4
6		6	
9		9	
10,723		10,547	176
12,362		12,701	-339
293,821		306,051	-12,230
24		27	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			30
			16
			-2,759
			-4
			176
			-339
			-12,230
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1354.285 FIDELITY ADVISOR MATERIAL-C		2014-11-06	2020-03-20
143.39 FIDELITY ADVISOR MATERIAL-C		2016-12-19	2020-03-20
74.297 FIDELITY ADVISOR MATERIAL-C		2018-12-17	2020-03-20
250000. FIRST MINING GOLD CORP		2015-11-18	2020-03-20
2000. HANCOCK JOHN TAX-ADVANTAGED		2005-12-19	2020-03-20
1211.387 HENNESSY BP ENERGY INVESTOR		2015-11-02	2020-03-20
188.99 MAINSTAY CUSHING MLP PREMIER C		2016-07-25	2020-03-20
159.287 MAINSTAY CUSHING MLP PREMIER C		2017-07-03	2020-03-20
193.558 MAINSTAY CUSHING MLP PREMIER C		2016-01-25	2020-03-20
1287.83 MAINSTAY CUSHING MLP PREMIER C		2015-11-02	2020-03-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58,275		107,271	-48,996
6,170		11,537	-5,367
3,197		4,456	-1,259
30,499		70,225	-39,726
27,217		34,555	-7,338
6,917		20,000	-13,083
709		1,747	-1,038
597		1,365	-768
726		1,384	-658
4,829		12,958	-8,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-48,996
			-5,367
			-1,259
			-39,726
			-7,338
			-13,083
			-1,038
			-768
			-658
			-8,129

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
46.527 MAINSTAY CUSHING MLP PREMIER C		2017-01-03	2020-03-20
73.534 MAINSTAY CUSHING MLP PREMIER C		2019-04-01	2020-03-20
45000. URANIUM ENERGY CORP		2007-01-17	2020-03-20
51200. URANIUM ENERGY CORP		1911-11-11	2020-03-20
450.552 INVESCO OPPENHEIMER GLOBAL		2018-01-03	2020-03-26
1500. CONOCOPHILLIPS COM		1999-07-12	2020-03-26
11497.693 FEDERATED CAPITAL INCOME C		2016-04-15	2020-03-26
345.613 FEDERATED CAPITAL INCOME C		2016-07-26	2020-03-26
333.781 FEDERATED CAPITAL INCOME C		2017-02-27	2020-03-26
28.38 FEDERATED CAPITAL INCOME C		2018-12-31	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
174		480	-306
276		654	-378
24,596		234,311	-209,715
27,985			27,985
18,009		27,231	-9,222
46,280		38,822	7,458
79,219		87,036	-7,817
2,381		2,688	-307
2,300		2,656	-356
196		205	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-306
			-378
			-209,715
			27,985
			-9,222
			7,458
			-7,817
			-307
			-356
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
151.774 FEDERATED CAPITAL INCOME C		2017-10-25	2020-03-26
150.397 FEDERATED CAPITAL INCOME C		2019-03-26	2020-03-26
4332.467 GABELLI EQUITY INCOME-C		2016-04-15	2020-03-26
947.764 GABELLI EQUITY INCOME-C		2016-10-28	2020-03-26
1875.037 GABELLI EQUITY INCOME-C		2017-10-30	2020-03-26
56.424 GABELLI EQUITY INCOME-C		2018-12-28	2020-03-26
267.764 GABELLI EQUITY INCOME-C		2019-03-28	2020-03-26
81.854 GABELLI EQUITY INCOME-C		2016-04-28	2020-03-26
2888.962 GABELLI UTILITIES FD-CL C		2016-04-28	2020-03-26
2511.467 GABELLI UTILITIES FD-CL C		2016-05-27	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,046		1,235	-189
1,036		1,172	-136
31,540		85,173	-53,633
6,900		16,847	-9,947
13,650		26,643	-12,993
411		660	-249
1,949		3,472	-1,523
596		1,662	-1,066
13,260		12,813	447
11,528		11,579	-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-189
			-136
			-53,633
			-9,947
			-12,993
			-249
			-1,523
			-1,066
			447
			-51

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2507.978 GABELLI UTILITIES FD-CL C		2016-06-29	2020-03-26
8853.116 GABELLI UTILITIES FD-CL C		2016-04-15	2020-03-26
1270.234 GABELLI UTILITIES FD-CL C		2019-03-28	2020-03-26
694.112 GABELLI UTILITIES FD-CL C		2016-07-28	2020-03-26
3189.422 GABELLI UTILITIES FD-CL C		2014-11-06	2020-03-26
258.829 PIMCO REALESTATEREALRET ST-C		2013-12-12	2020-03-26
1259.262 PIMCO REALESTATEREALRET ST-C		2014-12-30	2020-03-26
122.821 PIMCO REALESTATEREALRET ST-C		2015-09-18	2020-03-26
174.054 PIMCO REALESTATEREALRET ST-C		2015-12-30	2020-03-26
126.003 PIMCO REALESTATEREALRET ST-C		2014-06-20	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,512		11,891	-379
40,636		36,995	3,641
5,830		6,603	-773
3,186		3,403	-217
14,639		16,362	-1,723
1,294		1,725	-431
6,296		8,162	-1,866
614		722	-108
870		1,062	-192
630		980	-350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-379
			3,641
			-773
			-217
			-1,723
			-431
			-1,866
			-108
			-192
			-350

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
3095.421 PIMCO REALESTATEREALRET ST-C		2013-05-30	2020-03-26
84.679 PIMCO REALESTATEREALRET ST-C		2019-06-14	2020-03-26
8306.038 PIONEER MULTI ASSET INCOME C		2016-04-15	2020-03-26
312.991 PIONEER MULTI ASSET INCOME C		2016-06-01	2020-03-26
313.663 PIONEER MULTI ASSET INCOME C		2017-03-01	2020-03-26
306.967 PIONEER MULTI ASSET INCOME C		2017-07-03	2020-03-26
188.466 PIONEER MULTI ASSET INCOME C		2019-04-01	2020-03-26
223.941 PIONEER MULTI ASSET INCOME C		2017-11-01	2020-03-26
11.18 PIONEER FLEXIBLE OPPORTUNITIES FUND		2015-12-29	2020-03-26
210.084 PIONEER FLEXIBLE OPPORTUNITIES FUND		2016-03-28	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
15,477		26,640	-11,163
423		594	-171
74,671		85,467	-10,796
2,814		3,307	-493
2,820		3,517	-697
2,760		3,579	-819
1,694		2,046	-352
2,013		2,663	-650
109		129	-20
2,046		2,372	-326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-11,163
			-171
			-10,796
			-493
			-697
			-819
			-352
			-650
			-20
			-326

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
7.834 PIONEER FLEXIBLE OPPORTUNITIES FUND		2019-06-21	2020-03-26
1669.677 PIONEER FLEXIBLE OPPORTUNITIES FUND		2015-11-02	2020-03-26
154.627 PIONEER FLEXIBLE OPPORTUNITIES FUND		2016-06-24	2020-03-26
34.389 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2016-03-18	2020-03-26
81.442 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2015-12-18	2020-03-26
1391.788 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2015-11-02	2020-03-26
91.951 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2017-06-19	2020-03-26
5.146 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2019-06-19	2020-03-26
115.973 PUTNAM DYNAMIC ASSET ALLOCATION BAL		2018-12-19	2020-03-26
2000. SUNCOR ENERGY INC		2004-06-23	2020-03-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
76		92	-16
16,263		20,898	-4,635
1,506		2,038	-532
434		469	-35
1,028		1,076	-48
17,564		20,000	-2,436
1,160		1,371	-211
65		72	-7
1,464		1,484	-20
28,159		45,276	-17,117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-16
			-4,635
			-532
			-35
			-48
			-2,436
			-211
			-7
			-20
			-17,117

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500. SPDR GOLD TRUST		1901-01-01	2020-04-07
200. BOEING CO		2014-09-19	2020-04-16
600. CITIGROUP INC		2017-10-18	2020-04-16
1857.194 FIRST EAGLE GLOBAL CL C		2016-04-15	2020-04-16
1105.889 JHANCOCK BALANCED C		2015-11-02	2020-04-16
86.37 JHANCOCK BALANCED C		2016-03-29	2020-04-16
2.366 JHANCOCK BALANCED C		2019-06-25	2020-04-16
47.672 JHANCOCK BALANCED C		2017-03-28	2020-04-16
500. PHILLIPS 66		1999-07-12	2020-04-16
1236.9618 TEKLA HEALTHCARE INVESTORS COMMON		2013-05-30	2020-04-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
24		26	-2
27,657		26,052	1,605
24,475		43,858	-19,383
88,291		97,254	-8,963
22,339		20,614	1,725
1,745		1,581	164
48		48	
963		967	-4
27,044		8,140	18,904
24,058		28,337	-4,279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2
			1,605
			-19,383
			-8,963
			1,725
			164
			-4
			18,904
			-4,279

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
71.9013 TEKLA HEALTHCARE INVESTORS COMMON		2018-12-31	2020-04-16
33.8681 TEKLA HEALTHCARE INVESTORS COMMON		2019-06-28	2020-04-16
196.2688 TEKLA HEALTHCARE INVESTORS COMMON		2014-06-30	2020-04-16
1375.009 TRANSAMERICA CAPITAL GROWTH C		2018-01-03	2020-04-16
1311.443 COLUMBIA SELIG COMM&INF-C		2016-12-12	2020-04-21
2797.39 COLUMBIA SELIG COMM&INF-C		2014-11-06	2020-04-21
65.429 COLUMBIA SELIG COMM&INF-C		2018-12-10	2020-04-21
500. SPDR GOLD TRUST		1901-01-01	2020-05-12
500. SPDR GOLD TRUST		1901-01-01	2020-06-10
500. AMERICAN WATER WORKS CO INC		2011-01-19	2020-06-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,398		1,384	14
659		678	-19
3,817		5,858	-2,041
29,398		26,517	2,881
53,115		57,189	-4,074
113,297		127,450	-14,153
2,650		2,567	83
24		26	-2
27		28	-1
64,377		12,935	51,442

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			14
			-19
			-2,041
			2,881
			-4,074
			-14,153
			83
			-2
			-1
			51,442

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1500. ROYAL GOLD INC		2010-08-12	2020-06-24
500. SPDR GOLD TRUST		1901-01-01	2020-07-10
8000. AKOUSTIS TECHNOLOGIES INC		2017-10-18	2020-07-23
500. SPDR GOLD TRUST		1901-01-01	2020-08-11
300. PEPSICO INC		2013-09-03	2020-08-17
500. SPDR GOLD TRUST		1901-01-01	2020-09-15
500. SPDR GOLD TRUST		1901-01-01	2020-10-15
500. SPDR GOLD TRUST		2011-08-09	2020-11-11
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
177,978		70,349	107,629
25		25	
63,780		52,157	11,623
28		26	2
41,555		24,491	17,064
31		29	2
29		28	1
87,354		84,333	3,021
			74,961
			74,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			107,629
			11,623
			2
			17,064
			2
			1
			3,021

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
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CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
			74,961
			74,961
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			74,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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CAPITAL GAIN DIVIDENDS	P		
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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

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CAPITAL GAIN DIVIDENDS	P		
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			74,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
			74,961
			74,961
			74,961
			74,961
			74,961
			74,961

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
IVAN OBOLENSKY 425 EAST 79TH STREET NEW YORK, NY 10075	DIRECTOR/PRESIDENT PART TIME 0	0		
MS ALISON A F CHASE 311 EAST 72ND STREET NEW YORK, NY 10021	DIRECTOR/ASSISTANT SECRETARY/ ASSISTANT TREASURER 0	0		
LEE HARRISON CORBIN ONE NORTH BROADWAY WHITE PLAINS, NY 10601	DIRECTOR/VICE PRESIDENT 0	0		
VALERIE C SPENCER 180 LAKEVIEW LANE WAYZATA, MN 55391	DIRECTOR/VICE PRESIDENT PART TIME 0	0		
ALISON M SPENCER 602 N 1ST STREET APT 713 MINNEAPOLIS, MN 55401	DIRECTOR/VICE PRESIDENT 0	0		
THOMAS C MACKASEK 148 ALBERTSON PARKWAY ALBERTSON, NY 11507	ASSISTANT SECRETARY/ASSISTANT TREASURER PART TIME 0	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONSTELLATION FUND 323 N WASHINGTON AVE 2ND FL MINNEAPOLIS, MN 55401	NONE	PC	GENERAL OPERATING	5,000
EQUAL JUSTICE INITIATIVE 122 COMMERCE ST MONTGOMERY, AL 36104	NONE	PC	GENERAL OPERATING	5,000
FRIENDS OF THE COUNSELING CENTER INC 234 STANLEY AVE MAMARONECK, NY 10543	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HISTORIC WEST PALM BEACH INC 2834 E RD LOXAHATCHEE, FL 33470	NONE	PC	GENERAL OPERATING	30,000
ATHLETES COMMITTED TO EDUCATING 1115 EAST HENNEPIN AVENUE MINNEAPOLIS, MN 55414	NONE	PC	GENERAL OPERATING	5,000
INNOCENCE PROJECT INC 40 WORTH STREET SUITE 701 NEW YORK, NY 10013	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
OPEN ARMS OF MINNESOTA 2500 BLOOMINGTON AVENUE SOUTH MINNEAPOLIS, MN 55404	NONE	PC	GENERAL OPERATING	5,000
TWIN CITIES RISE1301 BRYANT AVE N MINNEAPOLIS, MN 55411	NONE	PC	GENERAL OPERATING	5,000
TRUDEAU INSTITUTE INCPO BOX 59 SARANAC LAKE, NY 12983	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ZACAHPO BOX 21231 EAGAN, MN 55121	NONE	PC	GENERAL OPERATING	5,000
PROJECT SUCCESS ONE GROVELAND TERRACE - SUITE 300 MINNEAPOLIS, MN 55403	NONE	PC	GENERAL OPERATING	5,000
RURAL TIER DEFENSE FUND2834 E RD LOXAHATCHEE, FL 33470	NONE	PC	GENERAL OPERATING	30,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AJIRI FOUNDATIONPO BOX 162 UPPER BLACK EDDY, PA 18972	NONE	PC	GENERAL OPERATING	5,000
TIDES FOUNDATION 4081 LB MCLEOD RD SUITE C ORLANDO, FL 32811	NONE	PC	GENERAL OPERATING	10,000
CHILDRENS HEALTH FUND 215 W 125TH ST SUITE 301 NEW YORK, NY 10027	NONE	PC	GENERAL OPERATING	5,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHRISTOPHER REEVE FOUNDATION 636 MORRIS TURNPIKE STE 3A SHORT HILLS, NJ 07078	NONE	PC	GENERAL OPERATING	5,000
CANCER SUPPORT TEAM 2900 WESTCHESTER AVE STE 103 PURCHASE, NY 10577	NONE	PC	GENERAL OPERATING	10,000
THE SCHUYLER FOUNDATION FOR CAREER 81 PONDFIELD RD BRONXVILLE, NY 10708	NONE	PC	GENERAL OPERATING	25,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTER FOR VICTIMS OF TORTURE 2356 UNIVERSITY AVE W STE 430 ST PAUL, MN 55114	NONE	PC	GENERAL OPERATING	10,000
WOMEN'S FOUNDATION OF MINNESOTA 105 FIFTH AVENUE SOUTH SUITE 300 MINNEAPOLIS, MN 55401	NONE	PC	GENERAL OPERATING	25,000
OPPORTUNITY PARTNERS INC 5500 OPPORTUNITY COURT MINNETONKA, MN 55343	NONE	PC	GENERAL OPERATING	10,000
Total . . . . ▶ **3a**				295,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COMMONBOND COMMUNITIES 1080 MONTREAL AVE ST PAUL, MN 55116	NONE	PC	GENERAL OPERATING	10,000
SOLDIERS SAILORS MARINES AND AIRMENS 283 LEXINGTON AVE NEW YORK, NY 10016	NONE	PC	GENERAL OPERATING	10,000
CHILDREN'S CANCER & BLOOD FOUNDATION 466 LEXINGTON AVE 16TH FLOOR NEW YORK, NY 10017	NONE	PC	GENERAL OPERATING	60,000
Total . . . . ▶ **3a**				295,000

TY 2020 Accounting Fees Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,500			1,500

TY 2020 Investments Corporate Bonds Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CREDIT SUISSE MTN 0.00001% 8		
CREDIT SUISSE MTN 10.384% 11		
CREDIT SUISSE MTN 0.00001% 12		
CREDIT SUISSE MTN 0.00001% 1		
JPMORGAN CHASE MTN 6.250% 10		
JPMORGAN CHASE MTN 0.00001% 9		
JPMORGAN CHASE MTN 0.00001% 12		
JPMORGAN CHASE MTN 12		
22550BVL4 CREDIT SUISSE MTN 0	50,001	48,900
22551LSM3 CREDIT SUISSE MTN 0	50,004	27,345
22551LNP1 CREDIT SUISSE MTN 0	50,002	33,495
48130WDB4 JPMORGAN CHASE MTN 0	50,004	27,085
22551LHP8 CREDIT SUISSE MTN 0	50,011	23,385
48130UEE1 JPMORGAN CHASE MTN 0	50,006	27,250

TY 2020 Investments Corporate Stock Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AKOUSTIS TECHNOLOGIES INC		
AMERICAN WATER WORKS CO INC		
BOEING CO		
CITIGROUP INC		
CONOCOPHILLIPS		
FIRST MINING GOLD CORP		
PEPSICO INC		
PHILLIPS 66		
ROYAL GOLD INC		
SUNCOR ENERGY INC		
URANIUM ENERGY CORP		

TY 2020 Investments - Other Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COLUMBIA SELIGMAN COMMUNICATIO			
FEDERATED CAPITAL INCOME C			
FIDELITY ADVISOR MATERIALS C			
FIRST EAGLE GLOBAL CL C			
FT U I T SER 73			
FT U I T SER 7622			
GABELLI EQUITY INCOME C			
GABELLI UTILS FD CL C			
GUGGENHEIM DEFINED U I T SER 1			
GUGGENHEIM DEFINED U I T SER 9			
HANCOCK JOHN TAX ADVANTAGED DV			
HENNESSY BP ENERGY INVESTOR			
INVESCO OPPENHEIMER GLOBAL			
JHANCOCK BALANCED C			
MAINSTAY CUSHING MLP PREMIER C			
PIMCO REAL ESTATE REAL RETURN			
PIONEER FLEXIBLE OPPORTUNITIES			
PIONEER MULTI ASSET INCOME C			
PUTNAM DYNAMIC ASSET ALLOCATIO			
SPDR GOLD SHARES ETF			
TEKLA HEALTHCARE INVESTORS COM			
TRANSAMERICA CAPITAL GROWTH C			
701769408 PARNASSUS CORE EQUIT	AT COST	1,030,000	1,209,033
13161Y301 CALVERT US MID CAP C	AT COST	380,000	498,614
46435G516 ISHARES MSCI EAFE ES	AT COST	293,620	353,733
921910717 VANGUARD FTSE SOCIAL	AT COST	1,030,000	1,285,192
96MSCBG41 LISTERINE ROYALTY 1%	AT COST	1,090,833	2,000,000
46434G863 ISHARES MSCI EM ESG	AT COST	299,914	382,018
70422T109 PAX ELLEVATE GLOBAL	AT COST	295,000	356,412
101156602 BOSTON TRUST SMALL C	AT COST	148,000	183,858

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
87245P635 TIAA CREF SOCIAL CHO	AT COST	275,000	277,835

TY 2020 Other Assets Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
1% INTEREST IN WARNER LAMBERT	1,090,833	0	0
ACCRUED INCOME	1,737	0	0
000000000 MISCELLANEOUS ENTRY		366	366

TY 2020 Other Decreases Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Description	Amount
PY ROC ADJ	43,754
COST BASIS ADJ	2,521

TY 2020 Other Expenses Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	250	0		250
ADR FEES	92	92		0
INVESTMENT EXPENSES	266	261		0
INSURANCE EXPENSE	2,035	0		2,035

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TY 2020 Other Income Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME	9,788	9,788	
ROYALTY INCOME	260,036	260,036	

TY 2020 Other Professional Fees Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	25,314	25,314		

TY 2020 Taxes Schedule

Name: JOSEPHINE LAWRENCE HOPKINS FOUNDATION

EIN: 13-6277593

Taxes Schedule

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	902	902		0
FEDERAL TAX PAYMENT - PRIOR YE	8,489	0		0
FEDERAL ESTIMATES - PRINCIPAL	8,055	0		0
FOREIGN TAXES ON NONQUALIFIED	187	187		0