

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation UD SETH SPRAGUE EDUC & CHAR FDN		A Employer identification number 13-6071886	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 1802		Room/suite	B Telephone number (see instructions) (888) 866-3275
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 029011802		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 104,061,694		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	1,424,430	1,424,413		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,561,952			
	b Gross sales price for all assets on line 6a 19,023,813				
	7 Capital gain net income (from Part IV, line 2) . . .		1,561,952		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,986,382	2,986,365		
	13 Compensation of officers, directors, trustees, etc.	342,159	132,730		209,429
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	4,502	2,701		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	25,986	3,886		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	10,175			10,175
	24 Total operating and administrative expenses. Add lines 13 through 23	382,822	139,317	0	219,604
	25 Contributions, gifts, grants paid	4,380,000			4,380,000
	26 Total expenses and disbursements. Add lines 24 and 25	4,762,822	139,317	0	4,599,604
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-1,776,440			
	b Net investment income (if negative, enter -0-)		2,847,048		
c Adjusted net income (if negative, enter -0-)				0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	6,548,803	6,603,739	6,603,739
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,572,185		
	b Investments—corporate stock (attach schedule)	30,625,246	33,925,673	87,490,088
	c Investments—corporate bonds (attach schedule)	12,935,599	2,507,464	2,519,450
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)		6,859,398	7,448,417
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	51,681,833	49,896,274	104,061,694	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	51,681,833	49,896,274	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
	29 Total net assets or fund balances (see instructions)	51,681,833	49,896,274	
30 Total liabilities and net assets/fund balances (see instructions) .	51,681,833	49,896,274		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	51,681,833
2 Enter amount from Part I, line 27a	2	-1,776,440
3 Other increases not included in line 2 (itemize) ▶ _____	3	7,987
4 Add lines 1, 2, and 3	4	49,913,380
5 Decreases not included in line 2 (itemize) ▶ _____	5	17,106
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	49,896,274

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) $\left\{ \begin{array}{l} \text{If gain, also enter in Part I, line 7} \\ \text{If (loss), enter -0- in Part I, line 7} \end{array} \right\}$	2	1,561,952
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE**

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved	2		
3 Reserved.	3		
4 Reserved	4		
5 Reserved	5		
6 Reserved	6		
7 Reserved	7		
8 Reserved ,	8		

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	39,574
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	39,574
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	39,574
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	34,029
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	34,029
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	5,545
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 0 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► <u>BANK OF AMERICA NA</u> Telephone no. ► <u>(888) 866-3275</u>			
	Located at ► <u>PO BOX 1802 PROVIDENCE RI</u> ZIP+4 ► <u>029011802</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year 15 <input type="checkbox"/>			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____	16	Yes No	No No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here. <input type="checkbox"/>	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b
	If "Yes" to 6b, file Form 8870.		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BANK OF AMERICA N A 114 W 47TH STREET NY8-114-07-07 NEW YORK, NY 10036	CO-TRUSTEE 1	100,272		
PATRICIA M DUNNINGTON 14 SUTTON PL S APT 9A NEW YORK, NY 100223071	CO-TRUSTEE 16	80,629		
IRENE de WATTEVILLE 749 N GRANADOS AVE SOLANA BEACH, CA 927051220	CO-TRUSTEE 18	80,629		
REBECCA CLAPP 5 ROCKPORT WOODS ROCKPORT, ME 048565715	CO-TRUSTEE 15	80,629		
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	86,836,990
b	Average of monthly cash balances.	1b	6,726,865
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	93,563,855
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	93,563,855
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	1,403,458
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	92,160,397
6	Minimum investment return. Enter 5% of line 5.	6	4,608,020

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	4,608,020
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	39,574
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	39,574
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	4,568,446
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	4,568,446
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	4,568,446

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	4,599,604
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,599,604
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	4,599,604

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				4,568,446
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			691,232	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	0			
b From 2016.	0			
c From 2017.	0			
d From 2018.	0			
e From 2019.	0			
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2020 from Part XII, line 4: ► \$ 4,599,604				
a Applied to 2019, but not more than line 2a			691,232	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				3,908,372
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2020.	0			0
(If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				660,074
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	0			
10 Analysis of line 9:				
a Excess from 2016.	0			
b Excess from 2017.	0			
c Excess from 2018.	0			
d Excess from 2019.	0			
e Excess from 2020.	0			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NA

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Christine O'Donnell
c/o BAC 114W 47th St
New York, NY 10036
(646) 855-1011
christine.l.o'donnell@bofat.com

b The form in which applications should be submitted and information and materials they should include:

None

c Any submission deadlines:

April 1 and September 1

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

None

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> VARIOUS CHARITABLE ORGS SEE ATTACHED SCHEDULE BANK OF AMERICA NA NEW YORK, NY 10036	N/A	PC	CONTRIBUTION TO TAX EXEMPT	4,380,000
Total ▶ 3a				4,380,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	1,424,430	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	1,561,952	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). .				2,986,382	
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		2,986,382

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
--	--	-----	----

--	--	--

1a(1)	No
--------------	-----------

1a(2)		No
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--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
-----------	--	-----------

value
ue[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign
Here**

2021-04-15

Signature of officer or trustee

Date _____

Title

May the IRS discuss this
return
with the preparer shown
below

(see instr.) ☐ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no.

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19000. AES CORP UNSECD SR NT CALL 04/15/20 @102.750		2020-10-28	2020-12-07
47. ALABAMA PWR CO PFD 5.00% SER A		2020-08-28	2020-11-13
40. ALABAMA PWR CO PFD 5.00% SER A		2020-08-19	2020-11-13
48. ALABAMA PWR CO PFD 5.00% SER A		2020-08-19	2020-12-29
13. ALABAMA PWR CO PFD 5.00% SER A		2020-08-19	2020-12-30
78. ALABAMA PWR CO PFD 5.00% SER A		2020-08-03	2020-12-30
55. ALABAMA PWR CO PFD 5.00% SER A		2020-08-03	2020-12-31
61. ALLSTATE CORP PFD SER G 5.625%		2020-08-28	2020-11-13
60. AMAZON COM INC		2014-08-19	2020-08-31
40. AMAZON COM INC		2014-08-19	2020-09-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
19,580		19,520	60
1,309		1,313	-4
1,114		1,101	13
1,365		1,322	43
369		358	11
2,211		2,088	123
1,550		1,473	77
1,701		1,727	-26
208,566		20,123	188,443
139,618		13,415	126,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			60
			-4
			13
			43
			11
			123
			77
			-26
			188,443
			126,203

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
85. AMAZON COM INC		2014-08-19	2020-09-09
1000000. AMERICAN EXPRESS CR CORPSUER SR UNSECD MTN CALL 4/25/20 @100		2018-03-26	2020-04-27
1110. APPLE INC COM		2014-08-19	2020-09-01
2865. APPLE INC COM		2014-08-19	2020-09-09
1000000. APPLE INC SR UNSECD NT		2016-12-08	2020-05-06
1000000. BP CAP MKTS P L C UNSECD SR GLBL NT UNITED KINGDOM		2018-05-17	2020-01-15
190. CAPITAL ONE FINL CORP PFRD DEPOSITARY SHS REPSTG		2020-08-03	2020-12-01
49. CAPITAL ONE FINL CORP PFRD DEPOSITARY SHS REPSTG		2020-08-28	2020-12-01
94. CAPITAL ONE FINL CORP PFRD DEPOSITARY SHS REPSTG		2020-08-19	2020-12-01
3000. CENTENE CORP DEL UNSECD SR NT C1/15/20 @103.563		2020-10-30	2020-11-09

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
279,688		28,508	251,180
1,000,000		985,210	14,790
148,134		27,921	120,213
338,695		72,067	266,628
1,000,000		1,000,000	
1,000,000		994,410	5,590
4,750		4,867	-117
1,225		1,249	-24
2,350		2,389	-39
3,102		3,086	16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			251,180
			14,790
			120,213
			266,628
			5,590
			-117
			-24
			-39
			16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1000000. CITIGROUP INC SR NT UNSECD		2016-12-08	2020-02-18
14. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-13
22. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-16
7. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-17
6. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-18
15. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-19
14. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-20
7. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-23
7. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-24
2. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,000,000		999,890	110
371		383	-12
584		602	-18
187		191	-4
159		164	-5
398		410	-12
370		383	-13
185		191	-6
185		191	-6
53		55	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	Adjusted basis (j) as of 12/31/69	Excess of col. (i) (k) over col. (j), if any	
			110
			-12
			-18
			-4
			-5
			-12
			-13
			-6
			-6
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-27
3. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-28	2020-11-30
14. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-19	2020-11-30
8. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-19	2020-12-01
36. ENTERGY MISS LLC PFD 1ST MTG BD 4.900%		2020-08-19	2020-12-02
28. ENTERGY NEW ORLEANS LLC PFD 5.500%		2020-08-28	2020-12-31
19000. GENERAL MTRS FINL CO INC UNSECD SR NT C9/7/24 @100		2020-10-30	2020-12-15
1000000. GOLDMAN SACHS GROUP INC UNSECD SR NT CALL 12/27/19 @100		2018-03-26	2020-12-27
89. GOLDMAN SACHS GROUP INC PFD 6.300% SER N		2020-08-19	2020-11-13
1500000. HSBC USA INC NEW SR UNSECD NT		2018-09-21	2020-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
52		55	-3
79		82	-3
367		373	-6
210		213	-3
946		960	-14
718		766	-48
20,457		20,124	333
1,000,000		985,890	14,110
2,325		2,348	-23
1,500,000		1,487,715	12,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-3
			-3
			-6
			-3
			-14
			-48
			333
			14,110
			-23
			12,285

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
64. HARTFORD FINL SVCS GROUP INC DEL JR SUB DEB FIXED TO FLTG PFD		2020-08-03	2020-11-13
2000. HILTON WORLDWIDE FIN LLC / HILTON WORLDWIDE FIN CORP UNSECD		2020-11-03	2020-12-02
10000. HILTON WORLDWIDE FIN LLC / HILTON WORLDWIDE FIN CORP UNSECD		2020-10-26	2020-12-02
9000. HUNTSMAN INTL LLC UNSECD SR NT C2/1/29 @100		2020-10-22	2020-12-09
1000000. JPMORGAN CHASE & CO SR UNSCED NT		2010-11-16	2020-10-15
1000000. JPMORGAN CHASE & CO SR UNSECD NT CALL 9/29/20 @100		2016-12-08	2020-09-29
1000000. JP MORGAN CHASE BK NA UNSECD GLOBAL SR BK NT C4/26/20 @100		2020-01-31	2020-04-27
2000000. JP MORGAN CHASE BK NA UNSECD GLOBAL SR BK NT C4/26/20 @100		2020-02-05	2020-04-27
72. JPMORGAN CHASE & CO PFD 6.10% REPSTG A 1/400TH		2020-08-19	2020-11-13
40. JPMORGAN CHASE & CO PFD 6.10% REPSTG A 1/400TH		2020-08-28	2020-11-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,767		1,822	-55
2,046		2,030	16
10,231		10,147	84
10,370		10,211	159
1,000,000		984,720	15,280
1,000,000		1,000,085	-85
1,000,000		1,002,510	-2,510
2,000,000		2,004,846	-4,846
1,833		1,857	-24
1,018		1,024	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-55
			16
			84
			159
			15,280
			-85
			-2,510
			-4,846
			-24
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
148. JPMORGAN CHASE & CO PFD 6.10% REPSTG A 1/400TH		2020-08-03	2020-11-13
3000. LAS VEGAS SANDS CORP UNSECD SR NT CALL 7/8/24 @100		2020-11-25	2020-12-03
3000. LAS VEGAS SANDS CORP UNSECD SR NT CALL 7/8/24 @100		2020-11-25	2020-12-04
10000. LAS VEGAS SANDS CORP UNSECD SR NT CALL 7/8/24 @100		2020-11-25	2020-12-07
9000. LEAR CORP UNSECD SR NT C11/15/48 @100		2020-11-19	2020-12-07
8000. LEAR CORP UNSECD SR NT C11/15/48 @100		2020-11-19	2020-12-08
11000. LENNAR CORP SR UNSECD NT CALL 02/28/25 @100		2020-11-18	2020-12-11
7000. LENNAR CORP SR UNSECD NT CALL 02/28/25 @100		2020-10-27	2020-12-11
9000. LENNAR CORP UNSECS SR NT C05/15/24 @100		2020-10-21	2020-12-14
480. MASTERCARD INC CL A COM		2009-07-29	2020-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,768		3,756	12
3,143		3,127	16
3,143		3,126	17
10,480		10,421	59
10,935		10,820	115
9,760		9,618	142
12,513		12,468	45
7,963		7,716	247
10,395		10,205	190
171,885		8,930	162,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			12
			16
			17
			59
			115
			142
			45
			247
			190
			162,955

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
195. MASTERCARD INC CL A COM		2009-07-29	2020-09-01
555. MASTERCARD INC CL A COM		2009-07-29	2020-09-09
11. NEXTERA ENERGY CAP HLDGS INC JR SUB DEB SER K PFD 5.250%		2020-08-03	2020-11-13
31. NEXTERA ENERGY CAP HLDGS INC JR SUB DEB SER K PFD 5.250%		2020-08-03	2020-11-16
12. NEXTERA ENERGY CAP HLDGS INC JR SUB DEB SER K PFD 5.250%		2020-08-03	2020-11-17
5. NEXTERA ENERGY CAP HLDGS INC JR SUB DEB SER K PFD 5.250%		2020-08-03	2020-11-18
8. NEXTERA ENERGY CAP HLDGS INC JR SUB DEB SER K PFD 5.250%		2020-08-03	2020-11-19
1000000. PEPSICO INC SR UNSECD NT		2018-10-15	2020-11-01
18000. PULTEGROUP INC UNSECD SR NT CALL 12/1/25 @100		2020-11-04	2020-12-10
4000. PULTEGROUP INC UNSECD SR NT CALL 10/15/26 @100		2020-11-10	2020-12-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69,045		3,628	65,417
188,809		10,325	178,484
288		289	-1
809		815	-6
312		315	-3
130		131	-1
208		210	-2
1,000,000		1,000,000	
21,400		20,878	522
4,715		4,634	81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			65,417
			178,484
			-1
			-6
			-3
			-1
			-2
			522
			81

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5000. PULTEGROUP INC UNSECD SR NT CALL 10/15/26 @100		2020-11-10	2020-12-03
8000. PULTEGROUP INC UNSECD SR NT CALL 10/15/26 @100		2020-11-10	2020-12-04
1044000. RAYTHEON CO SR UNSECD NT		2018-05-23	2020-10-15
456000. RAYTHEON CO SR UNSECD NT		2018-05-24	2020-10-15
.45 RAYTHEON TECHNOLOGIES CORP COM		2017-02-13	2020-05-29
43. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-04
52. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-05
74. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-06
71. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-09
182. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,875		5,792	83
9,380		9,267	113
1,044,000		1,044,000	
456,000		456,000	
31		29	2
1,093		1,108	-15
1,322		1,340	-18
1,879		1,907	-28
1,805		1,830	-25
4,624		4,691	-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			83
			113
			2
			-15
			-18
			-28
			-25
			-67

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
79. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-11
234. SCHWAB CHARLES CORP NEW PRFD 6.0% SER C		2020-08-03	2020-11-13
8. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-21
19. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-24
25. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-25
28. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-26
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-27
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-26	2020-08-28
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-08-28
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-01	2020-08-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,007		2,036	-29
5,910		6,031	-121
204		204	
484		485	-1
638		639	-1
713		715	-2
458		460	-2
456		460	-4
456		460	-4
455		462	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-29
			-121
			-1
			-1
			-2
			-2
			-4
			-4
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-25	2020-08-31
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-06-03	2020-09-01
15. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-06-27	2020-09-01
3. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-25	2020-09-01
48. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-09-01
18. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-05-05	2020-11-04
7. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-05-29	2020-11-05
8. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-05-29	2020-11-06
3. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-06-26	2020-11-06
48. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-21	2020-11-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
379		385	-6
456		465	-9
380		387	-7
76		77	-1
1,217		1,226	-9
460		467	-7
179		182	-3
204		208	-4
77		77	
1,224		1,231	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6
			-9
			-7
			-1
			-9
			-7
			-3
			-4
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
93. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-11-09
74. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-11-10
32. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-11-13
33. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-19	2020-11-16
28. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-27	2020-11-16
19. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-29	2020-11-16
8. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-01	2020-11-16
25. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-07-28	2020-11-16
26. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-03	2020-11-16
58. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-03	2020-11-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,368		2,375	-7
1,884		1,890	-6
811		817	-6
837		843	-6
711		715	-4
482		485	-3
203		204	-1
634		637	-3
660		662	-2
1,470		1,477	-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-7
			-6
			-6
			-6
			-4
			-3
			-1
			-3
			-2
			-7

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
15. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-03	2020-11-18
70. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-03	2020-11-19
21. TRUIST FINL CORP PFD 5.200% SER G DEP SHS		2020-08-03	2020-11-20
621000. UNITEDHEALTH GROUP INC SR UNSECD NT		2018-03-26	2020-07-15
379000. UNITEDHEALTH GROUP INC SR UNSECD NT		2018-03-26	2020-07-15
57. WELLS FARGO & CO NEW PFD 6.625%		2020-08-19	2020-11-13
87. WELLS FARGO & CO NEW PFD DEP SHS REPSTG 1/1000TH INT		2020-08-19	2020-11-13
1000000. WELLS FARGO & CO NEW SR UNSECD MEDIUM TERM SR NT		2016-12-09	2020-01-30
1065. ZOETIS INC CL A COM		2014-11-21	2020-09-09
4610. LYONDELLBASELL INDUSTRIES NV CL A COM		2013-07-10	2020-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
380		382	-2
1,772		1,783	-11
532		535	-3
621,000		617,876	3,124
379,000		377,169	1,831
1,610		1,584	26
2,262		2,263	-1
1,000,000		997,670	2,330
170,356		46,457	123,899
175,036		319,791	-144,755

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2
			-11
			-3
			3,124
			1,831
			26
			-1
			2,330
			123,899
			-144,755

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

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[illegible][illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

[illegible][illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

[illegible][illegible]

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

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Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	Depreciation allowed (f) (or allowable)	Cost or other basis (g) plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			491,098
			491,098

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

TY 2020 General Explanation Attachment**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	FEDERAL FOOTNOTE	PART VIII LIST OF OFFICERS AND DIRECTORS	THE COMPENSATION SHOWN ON THE RETURN THAT IS PAID TO BANK OF AMERICA, N.A. AS CORPORATE TRUSTEE IS NOT CALCULATED BASED UPON AN HOURLY RATE FOR THE TIME SPENT BY THE TRUSTEE; RATHER, BANK OF AMERICA'S COMPENSATION AS CORPORATE TRUSTEE IS CALCULATED USING A MARKET VALUE FEE SCHEDULE. THE TRUST OFFICER'S TIME SPENT PERFORMING ADMINISTRATIVE RESPONSIBILITIES FOR THIS FOUNDATION AVERAGES ONE HOUR PER WEEK. IN ADDITION, TIME IS SPENT BY OTHER STAFF MEMBERS FOR RECORDKEEPING, INVESTMENT MANAGEMENT, INCOME COLLECTION, RENDERING STATEMENTS AND ACCOUNTINGS, REGULATORY REPORTING, REGULATORY COMPLIANCE, AND TAX SERVICES.

TY 2020 Investments Corporate Bonds Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
010392DZ8 ALABAMA PWR CO SR NT		
149123BQ3 CATERPILLAR INC NT		
209111EK5 CONSOLIDATED EDISON		
428236AS2 HEWLETT PACKARD CO S		
438516AS5 HONEYWELL INTL INC S		
46625HHU7 JPMORGAN CHASE & CO		
617446HR3 MORGAN STANLEY NT		
87612EAN6 TARGET CORP NT		
913017BH1 UNITED TECHNOLOGIES		
931142CT8 WAL-MART STORES INC		
36203K7M0 GOVERNMENT NATL MTG		
36206PSU5 GOVERNMENT NATL MTG		
362152DM9 GOVERNMENT NATL MTG		
0258M0DB2 AMERICAN EXPRESS CR		
084670BA5 BERKSHIRE HATHAWAY I		
46623EJH3 J P MORGAN CHASE & C		
035242AG1 ANHEUSER-BUSCH INBEV		
037833BD1 APPLE INC SR UNSECD		
14912L6B2 CATERPILLAR FINL SVC		
172967JJ1 CITIGROUP INC SR NT		

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
46625HNX4 JPMORGAN CHASE & CO		
94974BGF1 WELLS FARGO & CO NEW		
0258M0DT3 AMERICAN EXPRESS CR		
035242AJ5 ANHEUSER-BUSCH INBEV		
36962G7M0 GENERAL ELEC CAP COR		
38141GWG5 GOLDMAN SACHS GROUP		
40428HPV8 HSBC USA INC NEW SR		
713448BR8 PEPSICO INC SR UNSEC		
755111BT7 RAYTHEON CO SR UNSEC		
91324PCM2 UNITEDHEALTH GROUP I		
05565QCT3 BP CAP MKTS P L C UN		
172967KV2 CITIGROUP INC SR UNS	1,003,649	1,011,650
38141GVU5 GOLDMAN SACHS GROUP	1,503,815	1,507,800

TY 2020 Investments Corporate Stock Schedule

Name: UD SETH SPRAGUE EDUC & CHAR FDN
 EIN: 13-6071886

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
464286103 ISHARES INC MSCI AUS		
464287234 ISHARES MSCI EMERGIN	909,074	1,587,819
78462F103 SPDR S&P 500 ETF TR		
46625H365 JPMORGAN ALERIAN MLP		
03027X100 AMERICAN TOWER CORP		
81369Y605 SELECT SECTOR SPDR T	652,460	1,189,665
20825C104 CONOCOPHILLIPS COM		
29250X103 ENBRIDGE ENERGY MGMT		
30231G102 EXXON MOBIL CORP COM	15,705	242,580
74005P104 PRAXAIR INC COM		
149123101 CATERPILLAR INC COM		
244199105 DEERE & CO COM		
384802104 GRAINGER W W INC COM		
445658107 HUNT J B TRANS SVCS		
858912108 STERICYCLE INC COM		
25470F104 DISCOVERY COMMUNICAT		
437076102 HOME DEPOT INC COM	574,957	2,646,903
716768106 PETSMART INC COM		
824348106 SHERWIN WILLIAMS CO	454,504	2,241,476
872540109 TJX COS INC NEW COM	185,937	808,554
171340102 CHURCH & DWIGHT INC	650,248	2,657,898
25243Q205 DIAGEO PLC SPONSORED		
427866108 HERSHEY CO COM	866,946	2,126,527
718172109 PHILIP MORRIS INTL I		
015351109 ALEXION PHARMACEUTIC		
071813109 BAXTER INTL INC COM		
156782104 CERNER CORP COM		
58155Q103 MCKESSON CORP COM		
670100205 NOVO-NORDISK A S ADR		
717081103 PFIZER INC COM		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
91324P102 UNITEDHEALTH GROUP I		
91911K102 VALEANT PHARMACEUTIC		
H0023R105 ACE LTD COM		
902973304 US BANCORP DEL COM N		
G1151C101 ACCENTURE PLC CL A C		
037833100 APPLE INC COM	415,380	7,012,003
278642103 EBAY INC COM		
38259P508 GOOGLE INC CL A COM		
57636Q104 MASTERCARD INC CL A	277,285	5,320,191
68389X105 ORACLE CORP COM		
693390700 PIMCO TOTAL RETURN F		
693390841 PIMCO HIGH YIELD FD		
N53745100 LYONDELLBASELL INDUS		
34354P105 FLOWSERVE CORP COM		
731068102 POLARIS INDS INC COM		
741503403 PRICELINE GROUP INC		
892356106 TRACTOR SUPPLY CO CO		
90130A101 TWENTY-FIRST CENTY F		
22160K105 COSTCO WHSL CORP NEW	672,899	2,411,392
966837106 WHOLE FOODS MKT INC		
375558103 GILEAD SCIENCES INC		
75886F107 REGENERON PHARMACEUT	286,029	632,874
025816109 AMERICAN EXPRESS CO		
617446448 MORGAN STANLEY COM		
675746309 OCWEN FINL CORP NEW		
018581108 ALLIANCE DATA SYS CO		
032095101 AMPHENOL CORP NEW CL	528,687	1,660,125
79466L302 SALESFORCE COM INC C		
277902698 EATON VANCE ATLANTA		
032511107 ANADARKO PETE CORP C		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
26875P101 EOG RES INC COM	1,725,460	863,000
235851102 DANAHER CORP DEL COM	780,326	2,904,481
88579Y101 3M CO COM		
907818108 UNION PAC CORP COM		
911312106 UNITED PARCEL SVC IN	825,860	1,289,102
911363109 UNITED RENTALS INC C		
023135106 AMAZON COM INC COM	590,280	5,732,197
053332102 AUTOZONE INC COM		
855244109 STARBUCKS CORP COM	308,615	888,469
98978V103 ZOETIS INC CL A COM	1,247,355	4,773,020
01609W102 ALIBABA GROUP HLDG L		
30303M102 FACEBOOK INC CL A CO	561,948	2,462,537
31816Q101 FIREEYE INC COM		
38259P706 GOOGLE INC COM CL C		
594918104 MICROSOFT CORP COM	686,155	4,158,142
92343V104 VERIZON COMMUNICATIO		
258620103 DOUBLELINE TOTAL RET		
922908751 VANGUARD SMALL-CAP E	2,215,830	3,314,427
254687106 DISNEY WALT CO COM D		
654106103 NIKE INC CL B		
518439104 LAUDER ESTEE COS INC		
G0177J108 ALLERGAN PLC COM		
054937107 BB&T CORP COM		
02079K107 ALPHABET INC CL C CO	603,735	4,672,264
02079K305 ALPHABET INC CL A CO		
464288513 ISHARES IBOXX \$ HIGH		
60871R209 MOLSON COORS BREWING		
151020104 CELGENE CORP COM		
45866F104 INTERCONTINENTAL EXC	1,374,875	2,838,440
808513105 SCHWAB CHARLES CORP	1,150,014	2,104,892

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
17275R102 CISCO SYS INC COM	834,432	1,245,840
258620301 DOUBLELINE CORE FIXE		
81369Y704 SELECT SECTOR SPDR T	574,082	781,897
469814107 JACOBS ENGR GROUP IN	1,085,933	2,051,172
755111507 RAYTHEON CO COM NEW		
09857L108 BOOKING HLDGS INC CO	158,455	489,999
478160104 JOHNSON & JOHNSON CO	1,367,530	1,709,934
87918A105 TELADOC HEALTH INC C	602,299	2,252,549
172967424 CITIGROUP INC NEW CO	783,110	701,074
89832Q109 TRUIST FINL CORP COM	1,081,679	1,097,837
92206C409 VANGUARD SHORT-TERM	4,528,329	4,562,100
75513E101 RAYTHEON TECHNOLOGIE	1,078,095	1,189,569
277902235 EATON VANCE ATLANTA	3,271,165	4,869,139

TY 2020 Investments Government Obligations Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**US Government Securities - End
of Year Book Value:****US Government Securities - End
of Year Fair Market Value:****State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2020 Investments - Other Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED	AT COST	6,859,398	7,448,417

TY 2020 Other Decreases Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886

Description	Amount
ROUNDING	12
ACCD INTEREST	16,778
TYE SALES ADJUSTMENT	163
COST ADJUSTMENT	153

TY 2020 Other Expenses Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	1,500	0		1,500
OTHER CHARITABLE EXPENSES	8,675	0		8,675

TY 2020 Other Increases Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**Other Increases Schedule**

Description	Amount
PRIOR YEAR INCOME ADJUSTMENT	7,987

TY 2020 Other Professional Fees Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	4,502	2,701		

TY 2020 Taxes Schedule**Name:** UD SETH SPRAGUE EDUC & CHAR FDN**EIN:** 13-6071886**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX ESTIMATES	22,100	0		0
FOREIGN TAXES ON QUALIFIED FOR	2,804	2,804		0
FOREIGN TAXES ON NONQUALIFIED	1,082	1,082		0