

Form **990-PF**  
 Department of the Treasury  
 Internal Revenue Service

**Return of Private Foundation**  
**or Section 4947(a)(1) Trust Treated as Private Foundation**  
 ▶ Do not enter social security numbers on this form as it may be made public.  
 ▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

OMB No. 1545-0052  
**2020**  
**Open to Public Inspection**

**For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020**

Name of foundation SHERLOCK CHARITABLE FOUNDATION		A Employer identification number 13-3837377	
Number and street (or P.O. box number if mail is not delivered to street address) Room/suite 7 BRADLEY ROAD		B Telephone number (see instructions) (203) 341-0171	
City or town, state or province, country, and ZIP or foreign postal code WESTON, CT 06883		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ..... <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 2,050,067	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... <input type="checkbox"/>

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)				
	<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments				
	<b>4</b> Dividends and interest from securities	28,836	28,836		
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	41,019			
	<b>b</b> Gross sales price for all assets on line 6a 199,605				
	<b>7</b> Capital gain net income (from Part IV, line 2)		41,019		
	<b>8</b> Net short-term capital gain				
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	69,855	69,855			
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.	0	0		0
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule)	4,000	4,000		0
	<b>c</b> Other professional fees (attach schedule)	16,816	16,816		0
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	291	291		0
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy				
	<b>21</b> Travel, conferences, and meetings				
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule)	168	168		0
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	21,275	21,275		0
	<b>25</b> Contributions, gifts, grants paid	80,100			80,100
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	101,375	21,275		80,100	
<b>27</b> Subtract line 26 from line 12:					
<b>a Excess of revenue over expenses and disbursements</b>	-31,520				
<b>b Net investment income</b> (if negative, enter -0-)		48,580			
<b>c Adjusted net income</b> (if negative, enter -0-)					

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	<b>1</b> Cash—non-interest-bearing . . . . .			
	<b>2</b> Savings and temporary cash investments . . . . .	92,897	48,420	48,420
	<b>3</b> Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>4</b> Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>5</b> Grants receivable . . . . .			
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	<b>7</b> Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	<b>8</b> Inventories for sale or use . . . . .			
	<b>9</b> Prepaid expenses and deferred charges . . . . .			
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)			
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .	610,065	639,652	1,765,807
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .	248,398	224,139	235,840
	<b>11</b> Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	<b>12</b> Investments—mortgage loans . . . . .			
	<b>13</b> Investments—other (attach schedule) . . . . .			
	<b>14</b> Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
<b>15</b> Other assets (describe ▶ _____)				
<b>16 Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	951,360	912,211	2,050,067	
<b>Liabilities</b>	<b>17</b> Accounts payable and accrued expenses . . . . .			
	<b>18</b> Grants payable . . . . .			
	<b>19</b> Deferred revenue . . . . .			
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons			
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .			
	<b>22</b> Other liabilities (describe ▶ _____)			
	<b>23 Total liabilities</b> (add lines 17 through 22) . . . . .	0	0	
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow FASB ASC 958, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24, 25, 29 and 30.</b>			
	<b>24</b> Net assets without donor restrictions . . . . .			
	<b>25</b> Net assets with donor restrictions . . . . .			
	<b>Foundations that do not follow FASB ASC 958, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 26 through 30.</b>			
	<b>26</b> Capital stock, trust principal, or current funds . . . . .	1,004,295	1,004,295	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds	-52,935	-92,084	
<b>29 Total net assets or fund balances</b> (see instructions) . . . . .	951,360	912,211		
<b>30 Total liabilities and net assets/fund balances</b> (see instructions) .	951,360	912,211		

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	951,360
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	-31,520
<b>3</b> Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	0
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	919,840
<b>5</b> Decreases not included in line 2 (itemize) ▶ _____	<b>5</b>	7,629
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	<b>6</b>	912,211



Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 689.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

Table with 3 columns: Question, Yes, No. Rows 11-14 regarding controlled entities, donor advised funds, public inspection requirements, and books in care.

Located at 7 BRADLEY RD WESTON CT ZIP+4 06883

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here and enter the amount of tax-exempt interest received or accrued during the year 15

Table with 3 columns: Question, Yes, No. Row 16 regarding interest in foreign countries.

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Main table for Part VII-B with 3 columns: Question, Yes, No. Rows 1a-4b regarding Form 4720 exceptions and requirements.



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

<b>3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".</b>		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
<b>Total</b> number of others receiving over \$50,000 for professional services. . . . . ▶		0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b>	
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
<b>1</b>	
<b>2</b>	
All other program-related investments. See instructions.	
<b>3</b>	
<b>Total.</b> Add lines 1 through 3 . . . . . ▶	0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities. . . . .	<b>1a</b>	1,771,105
<b>b</b>	Average of monthly cash balances. . . . .	<b>1b</b>	55,366
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	0
<b>d</b>	<b>Total</b> (add lines 1a, b, and c). . . . .	<b>1d</b>	1,826,471
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	<b>1e</b>	0
<b>2</b>	Acquisition indebtedness applicable to line 1 assets. . . . .	<b>2</b>	0
<b>3</b>	Subtract line 2 from line 1d. . . . .	<b>3</b>	1,826,471
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	27,397
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,799,074
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	<b>6</b>	89,954

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6. . . . .	<b>1</b>	89,954
<b>2a</b>	Tax on investment income for 2020 from Part VI, line 5. . . . .	<b>2a</b>	675
<b>b</b>	Income tax for 2020. (This does not include the tax from Part VI.). . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b. . . . .	<b>2c</b>	675
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	<b>3</b>	89,279
<b>4</b>	Recoveries of amounts treated as qualifying distributions. . . . .	<b>4</b>	0
<b>5</b>	Add lines 3 and 4. . . . .	<b>5</b>	89,279
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	0
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	<b>7</b>	89,279

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	<b>1a</b>	80,100
<b>b</b>	Program-related investments—total from Part IX-B. . . . .	<b>1b</b>	0
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required). . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule). . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	80,100
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	<b>5</b>	0
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	<b>6</b>	80,100

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
<b>1</b> Distributable amount for 2020 from Part XI, line 7				89,279
<b>2</b> Undistributed income, if any, as of the end of 2020:				
<b>a</b> Enter amount for 2019 only. . . . .			76,063	
<b>b</b> Total for prior years: 20___, 20___, 20___		0		
<b>3</b> Excess distributions carryover, if any, to 2020:				
<b>a</b> From 2015. . . . .				
<b>b</b> From 2016. . . . .				
<b>c</b> From 2017. . . . .				
<b>d</b> From 2018. . . . .				
<b>e</b> From 2019. . . . .				
<b>f</b> Total of lines 3a through e. . . . .	0			
<b>4</b> Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ <u>80,100</u>				
<b>a</b> Applied to 2019, but not more than line 2a			76,063	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2020 distributable amount. . . . .				4,037
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2020.	0			0
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
<b>6 Enter the net total of each column as indicated below:</b>				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .			0	
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020 . . . . .				85,242
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions). . . . .	0			
<b>8</b> Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . . .	0			
<b>9 Excess distributions carryover to 2021.</b> Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2016. . . . .				
<b>b</b> Excess from 2017. . . . .				
<b>c</b> Excess from 2018. . . . .				
<b>d</b> Excess from 2019. . . . .				
<b>e</b> Excess from 2020. . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling. . . . . ▶

**b** Check box to indicate whether the organization is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

**2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .

	Tax year				(e) Total
	(a) 2020	(b) 2019	Prior 3 years		
			(c) 2018	(d) 2017	
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					

**3** Complete 3a, b, or c for the alternative test relied upon:

**a** "Assets" alternative test—enter:

(1) Value of all assets . . . . .

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

**c** "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

(3) Largest amount of support from an exempt organization

(4) Gross investment income

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed:

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**b** The form in which applications should be submitted and information and materials they should include:

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**c** Any submission deadlines:

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**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i> See Additional Data Table				
<b>Total . . . . .</b> ▶ <b>3a</b>				80,100
<b>b</b> <i>Approved for future payment</i>				
<b>Total . . . . .</b> ▶ <b>3b</b>				0





**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
50 SHS CHEVRON CORP	P	2019-10-30	2020-07-07
325 SHS COMCAST CORP	P	2019-10-24	2020-07-07
70 SHS ECOLAB INC	P	2020-07-07	2020-08-06
40 SHS ILLUMINA INC	P	2020-07-07	2020-10-30
100 SHS CHUBB LTD	P	2019-10-24	2020-08-06
100 SHS BOEING COMPANY	P	2011-02-09	2020-08-06
50 SHS CHEVRON CORP	P	2011-02-09	2020-07-07
145 SHS DOW INC	P	2011-02-09	2020-03-13
145 SHS DUPONT DE NEMOURS INC	P	2011-02-09	2020-03-13
8000 SHS HSBC HOLDINGS PLC	P	2019-11-05	2020-11-30

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
4,377		5,856	-1,479
13,070		14,936	-1,866
13,601		14,159	-558
12,149		15,071	-2,922
12,640		15,020	-2,380
17,182		7,248	9,934
4,377		4,794	-417
3,699		5,088	-1,389
5,105		7,466	-2,361
8,400		8,203	197

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-1,479
			-1,866
			-558
			-2,922
			-2,380
			9,934
			-417
			-1,389
			-2,361
			197

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
66 SHS 3M CO	P	2011-02-09	2020-04-23
7 SHS AMAZON.COM INC	P	2010-10-29	2020-12-08
50 SHS CHEVRON CORP	P	2010-10-29	2020-07-07
21 SHS DOW INC	P	2010-10-29	2020-03-13
21 SHS DUPONT DE NEMOURS INC	P	2010-10-29	2020-03-13
11000 SHS GENL ELEC CAP CORP	P	2010-10-29	2020-01-08
50 SHS MICROSOFT CORP	P	2010-10-29	2020-12-08
15 SHS THERMO FISHER SCIENTIFIC INC	P	2010-10-29	2020-12-08
34 SHS 3M CO	P	2010-10-29	2020-04-23
11000 SHS US TSY NOTE	P	2010-11-01	2020-08-15

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,710		5,983	3,727
21,520		227	21,293
4,377		3,864	513
536		801	-265
739		1,175	-436
11,000		11,000	0
10,657		1,282	9,375
7,145		577	6,568
5,002		2,880	2,122
11,000		11,000	0

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			3,727
			21,293
			513
			-265
			-436
			0
			9,375
			6,568
			2,122
			0

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

List and describe the kind(s) of property sold (e.g., real estate, <b>(a)</b> 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
59 SHS AMN HEALTHCARE SERVICES INC	P	2019-10-31	2020-06-22
8 SHS ASPEN TECHNOLOGY INC	P	2019-10-31	2020-03-10
34 SHS DOCUSIGN INC	P	2019-10-31	2020-09-10
46 SHS EXPEDITORS INTL WASH INC	P	2019-10-31	2020-03-10
5 SHS MSCI INC	P	2019-10-31	2020-06-02
21 SHS PRIMERICA INC	P	2019-10-31	2020-08-28
22 SHS RBC BEARINGS INC	P	2019-10-31	2020-09-30
13 SHS WINMARK CORP	P	2019-10-31	2020-05-28
100 SHS NVENT ELEC PLC	P	2019-10-31	2020-09-02

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
2,665		3,453	-788
753		942	-189
6,099		2,234	3,865
3,173		3,363	-190
1,522		1,167	355
2,682		2,632	50
2,712		3,510	-798
1,769		2,365	-596
1,944		2,290	-346

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l)</b> Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
<b>(i)</b> F.M.V. as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col. (i) over col. (j), if any	
			-788
			-189
			3,865
			-190
			355
			50
			-798
			-596
			-346

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
AL'S ANGELS342 GREENS FARMS ROAD WESTPORT, CT 06880	NONE	N/A	GENERAL CHARITABLE PURPOSES	2,000
ASPETUCK LAND TRUST 22 GREEN ACRE LN WESTPORT, CT 06880	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,000
BIKETEXAS1902 EAST 6TH AUSTIN, TX 78702	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,000
<b>Total . . . . . ▶ 3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
BUILDERS BEYOND BORDERS 66 FORT POINT ST NORWALK, CT 06855	NONE	N/A	GENERAL CHARITABLE PURPOSES	2,000
CALVARY FUND 1740 EASTCHESTER ROAD BRONX, NY 10461	NONE	N/A	GENERAL CHARITABLE PURPOSES	10,000
INTERNATIONAL MOUNTAIN BIKING ASSOCIATION PO BOX 7578 BOULDER, CO 80306	NONE	N/A	GENERAL CHARITABLE PURPOSES	2,500
<b>Total . . . . .</b> ▶ <b>3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
LCHAT TOWN FARM106 GODFREY RD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	500
PERSON TO PERSON1864 POST RD DARIEN, CT 06820	NONE	N/A	GENERAL CHARITABLE PURPOSES	7,000
ST ANDREWS SCHOOL 5901 SOUTHWEST PARKWAY AUSTIN, TX 78735	NONE	N/A	GENERAL CHARITABLE PURPOSES	5,000
<b>Total . . . . .</b> ▶ <b>3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ST BALDRICK'S FOUNDATION 1333 SOUTH MAYFLOWER AVENUE MONROVIA, CA 91016	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,500
THE GHISALLO FOUNDATION 1000 BRAZOS STREET SUITE 100 AUSTIN, TX 78701	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,000
WE ARE BLOOD 4300 N LAMAR BLVD AUSTIN, TX 78756	NONE	N/A	GENERAL CHARITABLE PURPOSES	10,000
<b>Total . . . . . ▶ 3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WESTON EDUCATION FOUNDATION PO BOX 1093 WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,350
WESTON FOOD PANTRY 52 NORFIELD ROAD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,000
WESTON HIGH SCHOOL 115 SCHOOL ROAD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	500
<b>Total . . . . .</b> ▶ <b>3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
WESTON PBA56 NORFIELD ROAD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	250
WESTON WARM-UP FUNDPO BOX 1254 WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,100
WESTPORT POLICE FOUNDATION 50 JESUP RD WESTPORT, CT 06880	NONE	N/A	GENERAL CHARITABLE PURPOSES	5,000
<b>Total . . . . . ▶ 3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
FOOD RESCUE US 165 WATER STREET 204 NORWALK, CT 06854	NONE	N/A	GENERAL CHARITABLE PURPOSES	5,000
WESTON MIDDLE SCHOOL PTO 9 SCHOOL ROAD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	500
NORWALK HOSPITAL 34 MAPLE STREET NORWALK, CT 06856	NONE	N/A	GENERAL CHARITABLE PURPOSES	5,000
<b>Total . . . . . ▶ 3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
VETERANS OF FOREIGN WARS 465 RIVERSIDE AVE WESTPORT, CT 06880	NONE	N/A	GENERAL CHARITABLE PURPOSES	50
WESTON HISTORICAL SOCIETY 104 WESTON ROAD WESTON, CT 06883	NONE	N/A	GENERAL CHARITABLE PURPOSES	250
FILLING IN THE BLANKS 346 MAIN AVENUE 3A NORWALK, CT 06851	NONE	N/A	GENERAL CHARITABLE PURPOSES	1,000
<b>Total . . . . . ▶ 3a</b>				80,100

**Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
MANKIND PROJECT PO BOX 383 KAYSVILLE, UT 84037	NONE	N/A	GENERAL CHARITABLE PURPOSES	5,000
CHILDREN'S CANCER RESEARCH FUND 7301 OHMS LANE SUITE 355 MINNEAPOLIS, MN 55439	NONE	N/A	GENERAL CHARITABLE PURPOSES	600
CENTRAL TEXAS FOOD BANK 6500 METROPOLIS DR AUSTIN, TX 78744	NONE	N/A	GENERAL CHARITABLE PURPOSES	10,000
<b>Total . . . . . ▶ 3a</b>				80,100

**TY 2020 Accounting Fees Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
ACCOUNTING FEES	4,000	4,000		0

**TY 2020 Investments Corporate Bonds Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
8000 SHS GOLDMAN SACHS GROUP INC	8,236	8,537
8000 SHS ACE INA HOLDINGS INC NTS	8,140	8,339
8000 SHS AMGEN INC NTS	8,362	8,781
8000 SHS BAKER HUGHES LLC	8,192	8,962
8000 SHS BANK OF AMER CORP	8,176	8,482
8000 SHS BK OF NOVA SCOTIA NTS	8,039	8,110
8000 SHS BK OF NY MELLON CORP	8,189	8,818
8000 SHS BP CPTL MARK AMER INC	8,718	9,392
8000 SHS CATERPILLAR INC	8,341	8,722
8000 SHS CITIGROUP INC	8,429	9,091
8000 SHS CME GROUP INC	8,297	8,771
8000 SHS COMCAST CORP	8,341	8,932
8000 SHS INTEL CORP	8,540	9,052
8000 SHS JP MORGAN CHASE & CO	8,641	9,352
8000 SHS LOCKHEED MARTIN CORP	8,499	9,087
8000 SHS MERCK & CO INC NTS	8,054	8,183
8000 SHS MICROSOFT CORP NTS	8,406	8,944
8000 SHS MORGAN STANLEY NTS	8,506	9,177
8000 SHS ORACLE CORP NTS	8,004	8,081
8000 SHS PNC FINL SERV GRP INC	8,499	9,222

**Investments Corporate Bonds Schedule**

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
8000 SHS PRUDENTIAL FINANCIAL INC	8,370	8,806
8000 SHS STARBUCKS CORP NTS	8,556	9,310
8000 SHS STATOIL ASA	8,078	8,348
8000 SHS TARGET CORP	8,095	8,221
8000 SHS TOTAL CAPITAL INTL SA	8,035	8,093
8000 SHS VISA INC NTS	8,411	8,975
8000 SHS TRUIST FINL CORP	7,985	8,052

**TY 2020 Investments Corporate Stock Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
10 SHS ANSYS INC	2,226	3,638
100 SHS DANAHER CORP	13,697	22,214
15 SHS COOPER COMPANIES INC	4,495	5,450
120 SHS HONEYWELL INTL INC	11,105	25,524
125 SHS DIAGEO PLC	9,696	19,851
18 SHS ZEBRA TECHNOLOGIES CORP	4,106	6,918
180 SHS MEDTRONIC PLC	18,269	21,085
15 SHS LENNOX INTL INC	3,691	4,110
150 SHS BECTON DICKINSON & CO	29,858	37,533
150 SHS BERKSHIRE HATHAWAY INC	10,057	34,780
160 SHS MOODYS CORP	17,315	46,438
150 SHS THERMO FISHER SCIENTIFIC	23,534	69,867
17 SHS POOL CORP	3,545	6,332
175 SHS HOME DEPOT INC	3,899	46,483
175 SHS WALT DISNEY COMPANY	4,247	31,706
19 SHS HENRY JACK & ASSOC INC	2,685	3,078
200 SHS MCDONALDS CORP	14,370	42,916
200 SHS PROCTER & GAMBLE CO	8,586	27,828
17 SHS MSCI INC	3,968	7,591
25 SHS CHARLES RIVER LABORATORIES	3,244	6,246
250 SHS JOHNSON & JOHNSON	14,083	39,345
250 SHS UNION PACIFIC CORP	27,254	52,055
26 SHS NORDSON CORP	4,084	5,225
275 SHS PAYPAL HOLDINGS INC	4,533	64,405
300 SHS JPMORGAN CHASE & CO	8,832	38,121
300 SHS NESTLE S A	13,503	35,340
300 SHS VISA INC	5,665	65,619
300 SHS WAL MART STORES INC	15,813	43,245
330 SHS VERIZON COMMUNICATIONS INC	14,102	19,387
36 SHS CDW CORP	4,667	4,744

## Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
30 SHS ASPEN TECHNOLOGY INC	3,534	3,907
42 SHS COPART INC	3,522	5,344
43 SHS SITEONE LANDSCAPE SUPPLY INC	3,798	6,821
44 SHS INTERACTIVE BROKERS GROUP INC	2,082	2,680
425 SHS MICROSOFT CORP	11,110	94,528
49 SHS SCOTTS MIRACLE GRO CO	4,810	9,758
50 SHS ALPHABET INC	12,708	87,613
50 SHS BLACKROCK INC	8,187	36,077
500 SHS MERCK & CO	16,840	40,900
69 SHS BERKLEY W R CORP	4,698	4,583
52 SHS THOR INDUSTRIES INC	3,293	4,835
520 SHS INTEL CORP	11,548	25,906
55 SHS ADOBE INC	14,655	27,507
58 SHS AMAZON COM	4,743	188,902
87 SHS ROLLINS INC	2,205	3,399
6 SHS FAIR ISAAC CORP	1,814	3,066
600 SHS COCA COLA CO	16,828	32,904
29 SHS DOCUSIGN INC	1,905	6,447
65 SHS AMERICAN TOWER CORP REIT	14,640	14,590
260 SHS NEXTERA ENERGY INC	15,296	20,059
700 SHS APPLE INC	14,180	92,883
71 SHS FLIR SYSTEMS INC	3,601	3,112
73 SHS TERADYNE INC	4,433	8,752
80 SHS ACCENTURE PLC	14,851	20,897
122 SHS ELANCO ANIMAL HEALTH INC	3,420	3,742
800 SHS CISCO SYSTEMS INC	14,981	35,800
35 SHS BROADCOM INC	10,951	15,325
260 SHS FIDELITY NATL INFORMATION SVCS	34,522	36,780
40 SHS ILLUMINA INC	13,409	14,800
105 SHS ROCKWELL AUTOMATION INC	21,566	26,335

**Investments Corporation Stock Schedule**

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
85 SHS SPOTIFY TECHNOLOGY	20,426	26,746
38 SHS ALLEGION PLC	4,117	4,422
103 SHS BENTLEY SYSTEMS INC	3,480	4,173
20 SHS BRIGHT HORIZONS FAMILY SOLUTIONS INC	2,638	3,460
8 SHS CHEMED CORP	3,661	4,261
24 SHS EQUIFAX INC	3,697	4,628
31 SHS EXPONENT INC	2,374	2,791

**TY 2020 Other Decreases Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377

<b>Description</b>	<b>Amount</b>
MARKET VALUATION ADJUSTMENT	7,629

**TY 2020 Other Expenses Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
POST OFFICE BOX	168	168		0

**TY 2020 Other Professional Fees Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
INVESTMENT MANAGEMENT FEES	16,816	16,816		0

**TY 2020 Taxes Schedule****Name:** SHERLOCK CHARITABLE FOUNDATION**EIN:** 13-3837377**Taxes Schedule**

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	291	291		0