

For calendar year 2020, or tax year beginning 01-01-2020, and ending 12-31-2020

Name of foundation THE ETTINGER FOUNDATION INC		A Employer identification number 06-6038938	
% C/O ROCKEFELLER TRUST CO NA			
Number and street (or P.O. box number if mail is not delivered to street address) C/O RTC NA PO BOX 5016	Room/suite	B Telephone number (see instructions) (212) 549-5544	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 101855016		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 15,976,125	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	139,512	139,512		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	437,088			
	b Gross sales price for all assets on line 6a 2,857,576				
	7 Capital gain net income (from Part IV, line 2) . . .		437,088		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	576,600	576,600		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	145,175	26,741		118,434
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	6,057	6,057		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	11,106	10,807		299
	24 Total operating and administrative expenses. Add lines 13 through 23	162,338	43,605		118,733
	25 Contributions, gifts, grants paid	607,000			607,000
	26 Total expenses and disbursements. Add lines 24 and 25	769,338	43,605		725,733
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-192,738			
	b Net investment income (if negative, enter -0-)		532,995		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	140,891	189,155	189,155
	3 Accounts receivable ▶ <u>3,443</u>			
	Less: allowance for doubtful accounts ▶ _____	4,767	3,443	3,452
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	2,687,844	2,892,517	4,039,615
	c Investments—corporate bonds (attach schedule)	4,210,184	3,790,043	4,515,848
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)	5,041,434	5,010,953	7,228,055	
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	12,085,120	11,886,111	15,976,125	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/>		
and complete lines 24, 25, 29 and 30.				
24 Net assets without donor restrictions				
25 Net assets with donor restrictions				
Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/>				
and complete lines 26 through 30.				
26 Capital stock, trust principal, or current funds				
27 Paid-in or capital surplus, or land, bldg., and equipment fund				
28 Retained earnings, accumulated income, endowment, or other funds		12,085,120	11,886,110	
29 Total net assets or fund balances (see instructions)		12,085,120	11,886,110	
30 Total liabilities and net assets/fund balances (see instructions) .	12,085,120	11,886,110		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	12,085,120
2 Enter amount from Part I, line 27a	2	-192,738
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	11,892,382
5 Decreases not included in line 2 (itemize) ▶ _____	5	6,272
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	11,886,110

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a See Additional Data Table				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	437,088
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{	3	

1 Reserved			
(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved		2	
3 Reserved.		3	
4 Reserved		4	
5 Reserved		5	
6 Reserved		6	
7 Reserved		7	
8 Reserved ,		8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Reserved.	1	7,409
c	All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	7,409
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-.	5	7,409
6	Credits/Payments:		
a	2020 estimated tax payments and 2019 overpayment credited to 2020	6a	27,683
b	Exempt foreign organizations—tax withheld at source	6b	0
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	27,683
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed .	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid .	10	20,274
11	Enter the amount of line 10 to be: Credited to 2021 estimated tax 20,274 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. (2) On foundation managers.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the taxable year beginning in 2020? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	Yes	
14	The books are in care of ► CO ROCKEFELLER TRUST CO NA Telephone no. ► (212) 549-5544			

Located at **►** 45 ROCKEFELLER PLAZA 5TH FLOOR NEW YORK NYZIP+4 **►** 10111

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ► <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions 1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? 1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) 2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.) 3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? 4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	<input type="checkbox"/> Yes <input type="checkbox"/> No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BARBARA PRENTICE HUSEBY C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	SECRETARY/MEMBER/TRUSTEE 1.0	0	0	0
HEIDI ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	VICE PRESIDENT/MEMBER/TRUSTEE 1.0	0	0	0
WENDY ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	PRESIDENT/MEMBER/TRUSTEE 1.0	0	0	0
CHRISTIAN ETTINGER C/O RTC NA PO BOX 5016 NEW YORK, NY 101855016	TREASURER 1.0	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Rockefeller Trust Company NA 45 Rockefeller Plaza 5th Floor NEW YORK, NY 10111	Admin/Tax/Investment	140,803
Total number of others receiving over \$50,000 for professional services. ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	13,387,092
b	Average of monthly cash balances.	1b	206,073
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	13,593,165
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	13,593,165
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	203,897
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,389,268
6	Minimum investment return. Enter 5% of line 5.	6	669,463

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	669,463
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	7,409
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	7,409
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	662,054
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	662,054
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	662,054

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	725,733
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	725,733
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	725,733

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1	Distributable amount for 2020 from Part XI, line 7				662,054
2	Undistributed income, if any, as of the end of 2020:				
a	Enter amount for 2019 only.			0	
b	Total for prior years: 2018, 2017, 2016		0		
3	Excess distributions carryover, if any, to 2020:				
a	From 2015.				
b	From 2016. 81,087				
c	From 2017. 42,608				
d	From 2018. 145,066				
e	From 2019. 59,626				
f	Total of lines 3a through e.	328,387			
4	Qualifying distributions for 2020 from Part XII, line 4: ► \$ 725,733				
a	Applied to 2019, but not more than line 2a			0	
b	Applied to undistributed income of prior years (Election required—see instructions).				
c	Treated as distributions out of corpus (Election required—see instructions).				
d	Applied to 2020 distributable amount.				662,054
e	Remaining amount distributed out of corpus	63,679			
5	Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	392,066			
b	Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d	Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e	Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f	Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8	Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions). . . .				
9	Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a.	392,066			
10	Analysis of line 9:				
a	Excess from 2016. 81,087				
b	Excess from 2017. 42,608				
c	Excess from 2018. 145,066				
d	Excess from 2019. 59,626				
e	Excess from 2020. 63,679				

Part XIV

- Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

Inform

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

☒

- Form
- 990-PF**
- (2020)

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

<div>Recipient</div> <div>Name and address (home or business)</div>	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED GRANT LIST SEE ATTACHED NEW YORK, NY 10111		PC	SEE ATTACHED	607,000
Total ▶ 3a				607,000
b <i>Approved for future payment</i>				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2020)

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of:				
(1) Cash.		1a(1)		No
(2) Other assets.		1a(2)		No
b Other transactions:				
(1) Sales of assets to a noncharitable exempt organization.		1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.		1b(2)		No
(3) Rental of facilities, equipment, or other assets.		1b(3)		No
(4) Reimbursement arrangements.		1b(4)		No
(5) Loans or loan guarantees.		1b(5)		No
(6) Performance of services or membership or fundraising solicitations.		1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.		1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.				

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.		
	*****	2021-10-02	*****
	_____ Signature of officer or trustee	_____ Date	_____ Title

May the IRS discuss this return with the preparer shown below
 (see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00297499
	Susan E Leitner				
	Firm's name ▶ Rockefeller Trust Co NA				Firm's EIN ▶
	Firm's address ▶ PO Box 5016 New York, NY 101855016				Phone no. (212) 549-5544

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
LONG TERM CAPITAL GAIN DIVIDENDS			
GAIN ON LITIGATION SETTLEMENTS			
S/T COVERED - A (SEE ATTACHED)	P		
S/T NOT REPORTED - A (SEE ATTACHED)	P		
L/T COVERED - A (SEE ATTACHED)	D		
L/T NOT REPORTED - A (SEE ATTACHED)	P		
S/T COVERED - F (SEE ATTACHED)	P		
L/T COVERED - F (SEE ATTACHED)	P		
S/T ROCK S&I FUND	P		
L/T ROCK S&I FUND	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
304			304
471			471
774,017		774,384	14,321
4,764		7,151	-2,387
1,488,015		1,368,367	136,799
1,842		2,498	-656
48,563		47,627	936
196,832		152,036	45,625
		1,668	-1,668
		5,216	-5,216

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			304
			471
			-367
			-2,387
			119,648
			-656
			936
			44,796
			-1,668
			-5,216

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
S/T GEN IM GLOBAL EQUITY FUND	P		
L/T GEN IM GLOBAL EQUITY FUND	P		
L/T NORTH SKY	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
76,721			76,721
266,047			266,047
		94,209	-94,209

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			76,721
			266,047
			-94,209

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2020 Depreciation Schedule

Name: THE ETTINGER FOUNDATION INC

EIN: 06-6038938

TY 2020 Investments Corporate Bonds Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	3,790,043	4,515,848

TY 2020 Investments Corporate Stock Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Corporation Stock Schedule**

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHED	2,892,517	4,039,615

TY 2020 Investments - Other Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED	AT COST	5,010,953	7,228,055

TY 2020 Other Decreases Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Description	Amount
WASH SALES	5,596
BOOK TAX TIMING DIFFERENCES	676

TY 2020 Other Expenses Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DELAWARE FILING FEE	299			299
BANK CHARGES	44	44		
NORTH SKY	2,407	2,407		
ROCK S&I FUND	6,732	6,732		
GENERATION IM GLOBAL EQ FUND	1,624	1,624		

TY 2020 Other Income Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NONDIVIDEND DISTRIBUTIONS			
GENERATION IM GLOBAL EQUITY FUND, LLC			
US Treasury			

TY 2020 Other Professional Fees Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	4,372	4,372		
RTC FEES	140,803	22,369		118,434

TY 2020 Taxes Schedule**Name:** THE ETTINGER FOUNDATION INC**EIN:** 06-6038938**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2019 EXCISE TAXES				
FOREIGN TAXES	6,057	6,057		

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
Group 2				
1	Amalgamated Charitable Foundation Inc (AMACHRFU) 275 Seventh Ave New York, NY, 10001	ConPC- in support of Way to Rise Philanthropic Fund	01/28/2020	5,000.00
2	American Academy in Rome (357)	Contribution - PC, general operating support	04/07/2020	7,500.00
		Contribution, PC - for the Tuskegee U. Affiliated Fellowship	10/27/2020	19,500.00
		Contribution, PC - for the Tuskegee U. Affiliated Fellowship	10/27/2020	5,500.00
		American Academy in Rome (357) Sub Total:		32,500.00
3	American Documentary, Inc. (AMERICDO) 20 Jay Street, Suite 940 Brooklyn, NY, 11201	Contribution- PC, General Purpose	12/09/2020	2,500.00
4	Bard College (894) Annandale on Hudson, NY,	Contrib PC - Fisher Center	02/12/2020	10,000.00
5	Basilica Arts Inc. (BASCARTI) 110 South Front Street Hudson, NY, 12534	Contribution - PC, General Support	11/11/2020	10,000.00
6	Berkshire Taconic Community Foundation (BRKTCCMF) Ms. Jill Cancellieri, Direc. of Philanthropic Serv 800 N. Main Street, PO Box 400 Sheffield, MA, 01257-0400	Contribution - PC, for COVID-19 local community relief	03/31/2020	5,000.00
		Contribution - PC, to support the Fund for Columbia County	12/09/2020	11,000.00
		Berkshire Taconic Community Foundation (BRKTCCMF) Sub Total:		16,000.00
7	Big Brothers Big Sisters of Greater LA (BIGBROTH) 3150 North San Fernando Road Suite C Los Angeles, CA, 90065	Con - PC, For September 17 benefit	10/01/2020	1,000.00
8	Chicken & Egg Pictures (CHKEGGPC) 45 Main Street, Suite #506 Brooklyn, NY, 11201	Contribution - PC, General Support	01/29/2020	5,000.00
		Contribution - PC, General Support	02/26/2020	5,500.00
		Contribution - PC, Support benefit	03/09/2020	5,500.00
		Contribution - PC, Support benefit	03/09/2020	5,000.00
		Contribution - PC, general support	06/01/2020	18,000.00
		Contribution - PC, Alumnest Relief Fund	12/09/2020	1,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
		Chicken & Egg Pictures (CHKEGGPC) Sub Total:		40,000.00
9	City Harvest, Inc. (2084) 6 East 32nd Street, 5th Floor New York, NY, 10016			
		Contribution - PC, general support	04/21/2020	7,500.00
10	Citymeals-on-Wheels (CITYWHEL) 355 Lexington Avenue New York, NY, 10017-6603			
		Contribution - PC, general operating support	04/07/2020	7,500.00
11	Columbia Opportunities, Inc. (COLUMOPP) 540 Columbia Street Hudson, NY, 12534			
		Contribution - PC, COVID-19 local community relief	04/06/2020	10,000.00
12	Community Foundations of the Hudson Valley (CFHV) 80 Washington Street, Suite 201 Poughkeepsie, NY, 12601			
		Contribution - PC, COVID-19 local community emergency relief	03/31/2020	5,000.00
13	Cushing Academy (CRSHACAD) 39 School St. Ashburnham, MA, 01430			
		Contribution - PC, Arts Department	02/12/2020	2,000.00
14	Dramatists Guild Fund (DRAMATIS) c/o 111 Lexington Avenue New York, NY, 10016			
		Contribution - PC, indigent playwrights fund	04/07/2020	7,500.00
15	Edible Schoolyard New York (EDIBLE) 55 Washington Street, Suite 257 Brooklyn, NY, 1121			
		Contribution - PC, general support	04/21/2020	5,000.00
16	Educational Foundation of Orinda (EDU FOO) 21 'C' Orinda Way, #123 Orinda, CA, 94563			
		Contribution, PC - General Support	02/12/2020	2,000.00
17	Environmental Health Coalition (ENVIRONE) 2727 Hoover Avenue Suite 202 National City, CA, 91950			
		Con - PC, for California Environmental Justice Alliance	09/18/2020	4,000.00
18	Fountain Valley School of Colorado (FVSCHCO) 6155 Fountain Valley School Rd Colorado Springs, CO, 80911			
		Annual Fund	06/26/2020	2,500.00
		Capital Campaign	06/26/2020	10,000.00
		Fountain Valley School of Colorado (FVSCHCO) Sub Total:		12,500.00
19	Friends Committee on National Legislation (FCNL)			
		Contribution, PC- for work on carbon tax campaign	03/09/2020	5,000.00

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
20	Friends of Hudson Youth Inc. (FRNDHY) PO Box 52 Hudson, NY, 12534	Contribution - PC, COVID-19 local community emergency relief	03/31/2020	10,000.00
21	Friends of San Martin Animal Shelter (FRSNMRTA) PO Box 71 Morgan Hill, CA, 95038	Contribution - PC, General Support	10/23/2020	10,000.00
22	Gary Sinise Foundation (GSINISEF) PO Box 368 Woodland Hills, CA, 91365	Contribution PC - General Support	10/23/2020	10,000.00
23	Habitat for Humanity International (4438) 121 Habitat Street P O Box 6439 Americus, GA, 31709-6439	Contribution PC - for Honduras	10/23/2020	10,000.00
24	Hall, Hudson (HUDSON H) 327 Warren Street Hudson, NY, 12534	Con - PC, for the Gary Shiro Fund	09/18/2020	5,000.00
25	Homeless Children's Foundation (HOMELDEE) 6001 Hwy A1a - Pmb 8071 Indian River Shores, FL, 32963	Con, PC - General Purpose	02/12/2020	10,000.00
26	Hopewell Fund (HOPEWELL) 1201 Connecticut Ave. NW, Suite 300 Washington, DC, 20036	Contribution - PC, for digital outreach	12/09/2020	1,500.00
27	Hudson Valley Shakespeare Festival (HUDSONV) 140 Main St. Cold Spring, NY, 10516	Contribution - PC, general operating support	04/07/2020	7,500.00
		Contribution, PC - Capital Campaign	10/27/2020	19,500.00
		Hudson Valley Shakespeare Festival (HUDSONV) Sub Total:		27,000.00
28	Imagine Science Films (IMAGESF) New Lab, Building 128 Suite 201 Brooklyn, NY, 11205	Contribution - PC, General Support	12/18/2020	10,000.00
29	INFACI dba Corporate Accountability International (IDBACOI) 10 Milk Street, Suite 610 Boston, MA, 02108	Contribution - PC, for climate change work	03/31/2020	5,000.00
30	Judicial Watch, Inc. (JUDWA) 425 Third Street, SW, Suite 800 Washington, DC, 20024	Contribution PC - General support	10/23/2020	5,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
31	Lesbian, Gay, Bisexual & Transgender Community Center (LESBIAN) 208 West 13th Street New York, NY, 10011	Contribution-PC, support of Derek and Romaine Internship Prog	09/18/2020	1,000.00
32	MAPS (Multidisciplinary Association For Psychedelic Studies) (MAPS1) 309 Cedar Street #2323 Santa Cruz, CA, 95060	Contribution, PC - General Support	02/12/2020	5,000.00
33	Media Art Xploration, Inc (MEDIAAXP) 132 Perry Street Apt #5 New York, NY, 10014	Contribution - PC, Funds directed to Development Director	10/02/2020	8,000.00
34	Media Matters For America (MDAMATRS) 455 Massachusetts Ave NW Floor 6 Washington, DC, 20001	Contribution, PC - General Purposes	03/09/2020	10,000.00
35	National Vote at Home Institute (NVAT INS) PO BOX 65752 WASHINGTON, DC, 20035-5752	Contribution - PC, general support	04/21/2020	10,000.00
36	Navajo Nation (NAVAJONA) P.O. Box 3150 Window Rock, AZ, 86515	Contribution - PC, for COVID-19 Response	05/29/2020	5,000.00
37	NDH Foundation (NDHFOUND) 1351 Route 55, Suite 200 Lagrangeville, NY, 12540	Contribution - PC, general support	04/21/2020	7,500.00
38	New Mexico Community Foundation - Native American Education (NEWMEX) 135 W. Palace Ave. #301 SANTA FE, NM, 87501	Contribution - PC, in support of Native American Education	06/29/2020	5,500.00
39	New Venture Fund (NEWVEN) 1201 Connecticut Ave Suite 300 Washington, DC, 20036	Contribution - PC, for work in Florida and North Carolina	03/31/2020	15,000.00
		Contribution - PC, general support for The Women Effect Fund	06/01/2020	10,000.00
		New Venture Fund (NEWVEN) Sub Total:		25,000.00

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
40	New York Restoration Project (7206) 254 West 31st Street New York, NY, 10001	Contribution, PC - To expand urban agricultural program	10/23/2020	5,000.00
41	Northern Dutchess Hospital Foundation (NORTHERF) 6531 Springbrook Avenue Rhinebeck, NY, 12572	Contribution PC - for Covid care	12/03/2020	10,000.00
42	Oceana, Inc. (OCEANA) Attn: Membership 1350 Connecticut Ave NW 5th Floor Washington, DC, 20036-1722	Contribution- PC, Sailors for the Sea program	09/18/2020	10,000.00
43	Open Mind School (OPENMIND) 1335 Guerrero St San Francisco, CA, 94110	Contribution PC - Project for edu & development of children	05/15/2020	3,000.00
44	Our Family Coalition (OURFMCOL) 1385 Mission St., Ste 340 San Francisco, CA, 94103	Contribution - PC, General Support	02/12/2020	5,000.00
45	Palo Alto Medical Foundation (PALO ALT) P.O. Box 3757 Sunnyvale, CA, 94086-3757	Con.PC Sutter Health	11/02/2020	10,000.00
46	Peace Action Education Fund (PAEF) 1819 H Street, NW Suite 425 Washington, DC, 20006-3603	Contribution - PC, General operating support	04/01/2020	5,000.00
47	People for the American Way Foundation (PAWF) 1460 Broadway, 8th Fl. New York, NY, 10036	Contribuiton - PC, for work with North Carolina churches	06/02/2020	10,000.00
48	Philipstown Depot Theatre (PHLPDTHT) PO Box 221 Garrison, NY, 10524	Contribution - PC, General Support	02/26/2020	7,500.00
		Contribution - PC, General Support	07/02/2020	5,000.00
		Philipstown Depot Theatre (PHLPDTHT) Sub Total:		12,500.00
49	Proteus Fund (PROTEUS) 256 North Pleasant St., Suite 2 Amherst, MA, 01002	Contribution - PC, in support of the Piper Fund Project	08/12/2020	3,000.00

Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
Group 2 (cont'd)				
50	RefugePoint (RFGPOINT) 689 Massachusetts Avenue 2nd Floor Cambridge, MA, 02139	Contribution - PC, general support	04/21/2020	7,500.00
51	RepresentUs Education Fund (REPRESEN) PO Box 60008 Florence, MA, 01062	Con - PC, for the RepresentUs Education Fund	09/18/2020	10,000.00
52	Sag Harbor Cinema Arts Center, Inc. (SAG HARB) PO Box 152 Sag Harbor, NY, 11963	PC: General Support - Cinema Fund	02/26/2020	5,000.00
53	San Francisco Friends School (SFRNDSCH) 250 Valencia Street San Francisco, CA, 94103	Contribution, PC - General Purpose	02/12/2020	1,000.00
54	Sisters in Cinema (SISTERCI) 7140 S. Yates Blvd Chicago, IL, 60649	Con - PC, General support	10/01/2020	10,000.00
55	The American Independent Foundation (TAIFOUN) 455 Massachusetts Ave NW Ste 600 Washington DC, DC, 20001	Contribution PC	05/18/2020	5,000.00
56	The Foundation of Hudson Valley Hospital Center, Inc. (HUDVALFO) 850 Third Avenue 12th Floor New York, NY, 10022	Con - PC, Covid19 Funds for healthcare workers	04/07/2020	7,500.00
		Con - PC, Patient care fund	04/07/2020	7,500.00
	The Foundation of Hudson Valley Hospital Center, Inc. (HUDVALFO) Sub Total:			15,000.00
57	The Heritage Foundation (THEHERIT) 214 Massachusetts Avenue NW Washington, DC, 20002	Contribution, PC - General support	11/02/2020	20,000.00
58	The Prentice School (PRNTCSCH) 18341 Lassen Drive North Tustin, CA, 92705	Contribution - PC, General Support	06/26/2020	2,000.00
59	The Voter Participation Center (THEVOTER) 1707 L St., NW Suite 300W Washington, DC, 20036	Contribution - PC, for work in Florida and North Carolina	02/12/2020	10,000.00
		Contribution - PC, for work in North Carolina and Florida	08/12/2020	5,000.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Ettinger
Foundation

Tax ID: 06-6038938

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From: 01/01/2020 To: 12/31/2020

Item No.	Donee Name/Address	Description	Date	Amount
		The Voter Participation Center (THEVOTER) Sub Total:		15,000.00
60	Tides Center (10139) 1012 Torney Avenue San Francisco, CA, 94129-1755	Con PC, - Voices for Progress Education Fund (1439)	05/19/2020	10,000.00
61	UnionDocs Inc (UNIONDOC) 322 Union Avenue Brooklyn, NY, 11211	Con - PC, in support of The Statehouse Turnout Project	10/01/2020	10,000.00
62	Upstate Films Limited (UPSTFILM) PO Box 324 Rhinebeck, NY, 12572	Contribution, PC - General Support	01/29/2020	10,000.00
63	Walking Iris Media (WALKINGI) 2263 15th Street San Francisco, CA, 94114	Contribution, PC - for Brown Girls Doc mafia	10/29/2020	2,500.00
64	WAMC Northeast Public Radio (WAMC-FM) PO Box 66600 Albany, NY, 12206	Contribution - PC, General Support	02/12/2020	5,000.00
65	Women In Need, Inc. (11269) One State Street Plaza 18th Floor New York, NY, 10004	Contribution PC- Broadband initiative for kids by Ruth Cohen	12/03/2020	5,000.00
66	Woodstock Film Festival Inc. (WOODSTFF) P.O. Box 1406 Woodstock, NY, 12498	Con - PC, General support	10/01/2020	2,500.00
67	Working Films (WORKFILM) 624 South 7th Street Wilmington, NC, 28401	Contribution - PC, general support	04/21/2020	2,500.00
68	Workingfilms, Inc. (WRKNGFLM) 624 1/2 South 7th St. Wilmington, NC, 28401	PC, For Spring Benefit	02/26/2020	2,500.00
69	World Central Kitchen, Inc. (WCKINC) 1342 Florida Ave, NW Washington D.C., DC, 20009	Contribution - PC, general support	04/21/2020	10,000.00
70	World Monuments Fund, Inc. (11345) New York, NY	Contribution, PC - general support	06/30/2020	7,500.00

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Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Ettinger Foundation
Tax ID: 06-6038938

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From: 01/01/2020 To: 12/31/2020

Group 2 (cont'd)

71 Yale University (C9040)
1302 Yale Station
New Haven, CT, 06520

Con-PC, Yale School of Drama-Ming Cho Lee	02/26/2020	10,000.00
Scholarship		

Group 2 Sub Total:	607,000.00
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Grand Total :	607,000.00
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Rockefeller Trust Company, N.A.
Charitable Contributions Summary Report
Ettinger Foundation
Tax ID: 06-6038938

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From: 01/01/2020 To: 12/31/2020

SUMMARY

Account Number	Description	Total
Group 2		
6610	Contributions - Cash 50% Char	607,000.00
	Sub-Total :	<u>607,000.00</u>
	Grand Total :	<u>607,000.00</u>

Run Date: 10/19/2021 5:27 PM