

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
 ▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052
2020
Open to Public Inspection

For calendar year 2020, or tax year beginning 01-01-2020 , and ending 12-31-2020

Name of foundation EDWIN SOFORENKO FOUNDATION		A Employer identification number 05-6019803	
Number and street (or P.O. box number if mail is not delivered to street address) ONE CITIZENS BANK WAY JCB115		B Telephone number (see instructions) (401) 282-4743	
City or town, state or province, country, and ZIP or foreign postal code JOHNSTON, RI 02919		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>4,036,451</u>		J Accounting method: <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	81,283	81,283		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	84,864			
	b Gross sales price for all assets on line 6a <u>283,605</u>				
	7 Capital gain net income (from Part IV, line 2)		84,864		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	166,147	166,147			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	14,763	7,382		7,381
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	725	0	0	725
	c Other professional fees (attach schedule)	7,964	7,964		0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	6,295	1,136		0
	19 Depreciation (attach schedule) and depletion	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	50			50
	24 Total operating and administrative expenses. Add lines 13 through 23	29,797	16,482	0	8,156
	25 Contributions, gifts, grants paid	200,600			200,600
26 Total expenses and disbursements. Add lines 24 and 25	230,397	16,482	0	208,756	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-64,250				
b Net investment income (if negative, enter -0-)		149,665			
c Adjusted net income (if negative, enter -0-)			0		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	35,995	83,672	83,672
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____		0	0
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____ 0			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	2,679,345	2,570,017	3,952,779
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,715,340	2,653,689	4,036,451	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	2,715,340	2,653,689	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	2,715,340	2,653,689		
30 Total liabilities and net assets/fund balances (see instructions) .	2,715,340	2,653,689		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	2,715,340
2 Enter amount from Part I, line 27a	2	-64,250
3 Other increases not included in line 2 (itemize) ▶ _____	3	4,099
4 Add lines 1, 2, and 3	4	2,655,189
5 Decreases not included in line 2 (itemize) ▶ _____	5	1,500
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	2,653,689

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	}	2	84,864
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	{		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE

(a) Reserved	(b) Reserved	(c) Reserved	(d) Reserved
2 Reserved			2
3 Reserved			3
4 Reserved			4
5 Reserved			5
6 Reserved			6
7 Reserved			7
8 Reserved			8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total tax due is 3,048 and overpayment is 968.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, tax on political expenditures, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address
14 The books are in care of CITIZENS BANK NA Telephone no. (401) 282-4743
Located at ONE CITIZENS PLAZA PROVIDENCE RI ZIP+4 02903
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 -check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.
1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2020, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2020? If "Yes," list the years 20, 20, 20, 20
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20, 20, 20, 20
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2020.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions			5b		
Organizations relying on a current notice regarding disaster assistance check here. ▶		<input type="checkbox"/>			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No			
If "Yes," attach the statement required by Regulations section 53.4945–5(d).					
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b		No
If "Yes" to 6b, file Form 8870.					
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			
b If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			7b		
8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE SOFORENKO 209 ANGELL STREET PROVIDENCE, RI 02906	CO-TRUSTEE 2	0		
MAX KOHLENBERG 2500 HOSPITAL TRUST PLAZA PROVIDENCE, RI 02903	CO-TRUSTEE 2	609		
CITIZENS BANK N A ONE CITIZENS PLAZA PROVIDENCE, RI 02903	TRUSTEE 2	14,154		
ANDREW KOHLENBERG PO BOX 235 WESTPORT PT, MA 02791	CO-TRUSTEE 2	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	3,702,565
b	Average of monthly cash balances.	1b	57,753
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,760,318
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	3,760,318
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	56,405
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,703,913
6	Minimum investment return. Enter 5% of line 5.	6	185,196

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	185,196
2a	Tax on investment income for 2020 from Part VI, line 5.	2a	2,080
b	Income tax for 2020. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	2,080
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	183,116
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	183,116
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	183,116

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	208,756
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	208,756
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	208,756

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2019	(c) 2019	(d) 2020
1 Distributable amount for 2020 from Part XI, line 7				183,116
2 Undistributed income, if any, as of the end of 2020:				
a Enter amount for 2019 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2020:				
a From 2015.	17,352			
b From 2016.	16,280			
c From 2017.	19,996			
d From 2018.	30,737			
e From 2019.	8,589			
f Total of lines 3a through e.	92,954			
4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ _____ 208,756				
a Applied to 2019, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2020 distributable amount.				183,116
e Remaining amount distributed out of corpus	25,640			
5 Excess distributions carryover applied to 2020. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	118,594			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions		0		
e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2021. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2015 not applied on line 5 or line 7 (see instructions).	17,352			
9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a	101,242			
10 Analysis of line 9:				
a Excess from 2016.	16,280			
b Excess from 2017.	19,996			
c Excess from 2018.	30,737			
d Excess from 2019.	8,589			
e Excess from 2020.	25,640			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling, ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2020	(b) 2019	(c) 2018	(d) 2017	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).) NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest. NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
CITIZENS NA
ONE CITIZENS PLAZA
PROVIDENCE, RI 02903
(401) 456-7301

b The form in which applications should be submitted and information and materials they should include:
NAME OF ORGANIZATION AND USE OF FUNDS

c Any submission deadlines:
NO DEADLINE: NOTICE OF APPROVAL OR REJECTION WITHIN ONE MONTH OF SUBMISSION

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
SHOULD BE A CHARITABLE ORGANIZATION

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE ATTACHED STATEMENT SEE ATTACHED STATEMENT JOHNSTON, RI 02919	NONE	N/A	UNLESS SPECIFIED ON	200,600
Total ▶ 3a				200,600
b <i>Approved for future payment</i>				
Total ▶ 3b				

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
500. GENERAL MILLS INC		1974-10-18	2020-07-20
80. ISHARES INC CORE MSCI EMKT		2020-03-11	2020-07-20
250. JP MORGAN CHASE & CO		1977-05-17	2020-07-20
200. MICROSOFT CORP		2000-05-04	2020-07-20
6218.905 PIMCO INFLATION RESPONSE MULTIASST INSTL		2019-04-05	2020-07-20
2307.099 T ROWE PRICE INSTL EMERGING MKTS FD		2018-02-08	2020-03-11
147.783 T ROWE PRICE INSTL EMERGING MKTS FD		2018-02-08	2020-07-20
1577.287 VANGUARD INTERM-TERM BOND INDEX ADM ADM		2011-06-06	2020-09-09
824.402 VANGUARD INTERM-TERM TREASURY ADM		2019-04-05	2020-06-02
208. VIACOMCBS INC COM CL B		2019-07-05	2020-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
31,804		440	31,364
4,122		3,611	511
24,403		406	23,997
42,041		6,994	35,047
50,000		49,813	187
83,379		93,343	-9,964
6,071		5,979	92
20,000		18,107	1,893
9,992		9,101	891
4,528		10,943	-6,415

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			31,364
			511
			23,997
			35,047
			187
			-9,964
			92
			1,893
			891
			-6,415

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
.2605 VIATRIS INC		2015-10-20	2020-11-23
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4		4	
			7,261
			7,261
			7,261
			7,261
			7,261
			7,261
			7,261
			7,261
			7,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
CAPITAL GAIN DIVIDENDS	P		
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	Gain or (loss) (h) (e) plus (f) minus (g)
			7,261
			7,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	

TY 2020 Accounting Fees Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	725			725

TY 2020 Investments - Other Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SEE ATTACHED STATEMENT	AT COST	2,570,017	3,952,779

TY 2020 Other Decreases Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803

Description	Amount
2020 GRANT REVERSED IN 2021	1,500

TY 2020 Other Expenses Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2019 RI AG FILING FEE	50	0		50

TY 2020 Other Increases Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803**Other Increases Schedule**

Description	Amount
MUTUAL FUND TIMING DIFFERENCE	610
2019 GRANT REVERSED IN 2020	3,400
ROC ADJUSTMENTS	89

TY 2020 Other Professional Fees Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMNT MNGMNT FEES (NON-DED)	7,964	7,964		

TY 2020 Taxes Schedule**Name:** EDWIN SOFORENKO FOUNDATION**EIN:** 05-6019803**Taxes Schedule**

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	17	17		0
FEDERAL TAX PAYMENT - PRIOR YE	31	0		0
FEDERAL ESTIMATES - PRINCIPAL	5,128	0		0
FOREIGN TAXES ON QUALIFIED FOR	977	977		0
FOREIGN TAXES ON NONQUALIFIED	142	142		0

EDWIN S SOFORENKO FOUNDATION
FORM 990PF, PART XV, LINE 3 - CONTRIBUTIONS, GIFTS, GRANTS PAID

DATE	AMOUNT	RECIPIENT NAME	RELATIONSHIP	FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT
7/27/2020	(2,000.00)	AMERICAN FRIENDS OF ZWEHIL	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	AMOS HOUSE	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(6,000.00)	ANTI-DEFAMATION LEAGUE NEW ENGLAND	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(5,000.00)	AUDUBON SOCIETY OF RHODE ISLAND	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	BOSTON UNIVERSITY HILLEL	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	BOSTON UNIVERSITY METROPOLITAN	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(5,000.00)	CAMP JORI ON WORDEN'S POND	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(5,500.00)	CHABAD CENTER OF MILFORD, MA	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,000.00)	CHABAD OF BARRINGTON	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	COMMUNITY PREPARATORY SCHOOL	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	CONGREGATION BETH SHOLOM	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(1,200.00)	CROSSROADS RHODE ISLAND	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	DIASPORA YESHIVA TORAS YISRAEL	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	DIASPORA YESHIVA TORAS YISRAEL	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(3,000.00)	DORCAS INTERNATIONAL INSTITUTE OF RI	NONE	PC	UNRESTRICTED GIFT
12/31/2020	(5,000.00)	ENVIRONMENTAL DEFENSE FUND	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	FRIENDS OF THE ISRAEL DEFENSE FORCES	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(1,000.00)	GENESIS INSTITUTE	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	HASBRO CHILDREN'S HOSPITAL	NONE	N/A	UNRESTRICTED GIFT
12/31/2020	(2,000.00)	INSPIRING MINDS	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	INVESTIGATIVE PROJECT ON TERRORISM	NONE	PC	UNRESTRICTED GIFT
2/14/2020	(1,200.00)	JEWISH ADVOCATE	NONE	N/A	UNRESTRICTED GIFT
4/24/2020	(5,000.00)	JEWISH ALLIANCE OF GREATER RI	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(27,500.00)	JEWISH ALLIANCE OF GREATER RI	NONE	PC	UNRESTRICTED GIFT
12/31/2020	(2,000.00)	JEWISH COMMUNITY CENTER ON HUDSON	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(1,500.00)	JEWISH COLLABORATIVE SERVICES	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(5,500.00)	JEWISH COMMUNITY CENTER ON THE PALISADES	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	JEWISH COMMUNITY DAY SCHOOL OF RI	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	KENNEDY DONOVAN CENTER, INC.	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(1,500.00)	LEADERSHIP OF RHODE ISLAND	NONE	PC	UNRESTRICTED GIFT
12/31/2020	(5,000.00)	MIDDLE EAST RESEARCH CTR., LTD.	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(1,000.00)	MIRIAM HOSPITAL FOUNDATION	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,000.00)	MITCHELL COLLEGE	NONE	PC	UNRESTRICTED GIFT
12/29/2020	(2,500.00)	NARRAGANSETT COUNCIL BSA	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(5,500.00)	NATURE CONSERVANCY	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(3,000.00)	NEW ENGLAND RABBINICAL COLLEGE	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	ONE ISRAEL FUND	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(4,000.00)	PROVIDENCE HEBREW DAY SCHOOL	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	PROVIDENCE PUBLIC LIBRARY	NONE	PC	UNRESTRICTED GIFT
9/24/2020	(2,500.00)	REACH OUT AND READ RHODE ISLAND	NONE	PC	UNRESTRICTED GIFT
2/14/2020	(2,200.00)	RHODE ISLAND FOR COMMUNITY & JUSTICE	NONE	N/A	UNRESTRICTED GIFT
12/29/2020	(2,500.00)	RHODE ISLAND FOR COMMUNITY & JUSTICE	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	RHODE ISLAND COMMUNITY FOOD BANK	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	RHODE ISLAND JEWISH HISTORICAL ASSOC	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(3,500.00)	ROGER WILLIAMS UNIVERSITY	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	SALVATION ARMY	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(5,000.00)	SANDRA BORNSTEIN HOLOCAUST EDUCATION	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	SAVE THE BAY	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,500.00)	SCHOOL ONE	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	SHALOM HOUSING INC.	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	SOUNDWATERS	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(5,000.00)	TEMPLE EMANU-EL	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	THE HELP BUS	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(4,500.00)	TILTON SCHOOL	NONE	PC	UNRESTRICTED GIFT
12/31/2020	(3,500.00)	TORAT MIFALEI TORAH VACHESSED	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	TOURO SYNAGOGUE FOUNDATION	NONE	PC	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	UNIVERSITY OF RHODE ISLAND - HILLEL	NONE	N/A	UNRESTRICTED GIFT
5/22/2020	(1,000.00)	VALLEY OF THE SUN JCC	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(1,000.00)	VALLEY OF THE SUN JCC	NONE	N/A	UNRESTRICTED GIFT
7/27/2020	(2,000.00)	UFO MUSEUM & RESEARCH CENTER	NONE	N/A	UNRESTRICTED GIFT

(200,600.00)