Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 12-01-2019 , and ending 11-30-2020

Name of foundation
Tocker Foundation

A Employer identification number
75-6037871

Number and street (or P.O. box number if mail is not delivered to street address)
5806 Mesa Dr

Room/suite

B Telephone number (see instructions)
(512) 452-1044

City or town, state or province, country, and ZIP or foreign postal code
Austin, TX 78731

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 36,751,288

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	557,409	557,409		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	940,943			
	b	Gross sales price for all assets on line 6a 7,523,833				
	7	Capital gain net income (from Part IV, line 2)		940,943		
	8	Net short-term capital gain				
	9	Income modifications			51,041	
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	9,000			
	12	**Total.** Add lines 1 through 11	1,507,352	1,498,352	-51,041	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages	500,348	500,348		
	15	Pension plans, employee benefits	37,788	37,788		
	16a	Legal fees (attach schedule)	225	225		
	b	Accounting fees (attach schedule)	21,803	21,803		
	c	Other professional fees (attach schedule)	184,408	184,408		
	17	Interest	209	209		
	18	Taxes (attach schedule) (see instructions)	7,590	-5,090		
	19	Depreciation (attach schedule) and depletion	7,913	7,913		
	20	Occupancy	63,854	63,854		
	21	Travel, conferences, and meetings	27,481	27,481		
	22	Printing and publications	1,543	1,543		
	23	Other expenses (attach schedule)	23,876	23,876		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	877,038	864,358		0
	25	Contributions, gifts, grants paid	1,427,004			1,251,911
	26	**Total expenses and disbursements.** Add lines 24 and 25	2,304,042	864,358		1,251,911
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-796,690			
	b	**Net investment income** (if negative, enter -0-)		633,994		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	80,763	18,532	18,532
2	Savings and temporary cash investments	844,218	1,140,183	1,140,183
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	17,808,550	16,977,784	35,583,329
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment: basis ▶ 51,756 Less: accumulated depreciation (attach schedule) ▶ 47,141	12,528	4,615	4,615
15	Other assets (describe ▶)	13,999	4,630	4,629
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	18,760,058	18,145,744	36,751,288
Liabilities 17	Accounts payable and accrued expenses	1,657	7,903	
18	Grants payable	1,543,724	1,719,858	
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)	4		
23	**Total liabilities**(add lines 17 through 22)	1,545,385	1,727,761	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶** ☑ **and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions	17,214,673		
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☐ **and complete lines 26 through 30.**			
26	Capital stock, trust principal, or current funds			
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	17,214,673	16,417,983	
30	**Total liabilities and net assets/fund balances** (see instructions)	18,760,058	18,145,744	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	17,214,673
2	Enter amount from Part I, line 27a	2	-796,690
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	16,417,983
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	16,417,983

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	Portfolio Gains/Losses	P	2000-01-01	2019-12-31
b	Securities Litigation	P	2000-01-01	2019-12-31
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	7,522,852		6,582,890	939,962
b	981			981
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				939,962
b				981
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	940,943
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,876,570	31,166,559	0.06021
2017	2,080,607	33,168,051	0.06273
2016	1,840,961	31,334,393	0.05875
2015	1,566,236	29,479,461	0.05313
2014	1,649,767	31,482,060	0.05240

2	**Total** of line 1, column (d)	2	0.287225
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.057445
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	32,438,979
5	Multiply line 4 by line 3	5	1,863,457
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	6,340
7	Add lines 5 and 6	7	1,869,797
8	Enter qualifying distributions from Part XII, line 4 , ...	8	1,251,911

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI — Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	12,680
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	
3	Add lines 1 and 2			3	12,680
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	12,680
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	12,680		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	12,680
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A — Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ TX			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶www.tocker.org

14 The books are in care of ▶Darryl Tocker Telephone no. ▶(512) 452-1044

Located at ▶5806 Mesa Dr Ste 375 Austin TX ZIP+4 ▶787313770

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No
If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____ , 20____ , 20____ , 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

				Yes	No
5a	During the year did the foundation pay or incur any amount to:				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
	If "Yes" to 6b, file Form 8870.				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Darryl Tocker 5806 Mesa Dr 375 Austin, TX 78731	Executive Director 60.00	314,412	16,036	
Karin Gerstenhaber 5806 Mesa Dr Ste 375 Austin, TX 787313770	Associate Director 40.00	174,386	9,489	
Total number of other employees paid over $50,000 ▶				

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Luther King Capital Management 301 Commerce St 1600 Fort Worth, TX 76102	Portfolio Management	102,139
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	31,851,751
b	Average of monthly cash balances		1b	1,071,979
c	Fair market value of all other assets (see instructions)		1c	9,244
d	**Total** (add lines 1a, b, and c)		1d	32,932,974
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	
3	Subtract line 2 from line 1d		3	32,932,974
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	493,995
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	32,438,979
6	**Minimum investment return.** Enter 5% of line 5		6	1,621,949

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	1,621,949
2a	Tax on investment income for 2019 from Part VI, line 5	2a 12,680		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	12,680
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	1,609,269
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	1,609,269
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	1,609,269

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	1,251,911
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,251,911
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,251,911

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					1,609,269
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.					
b Total for prior years: 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2019:					
a From 2014.					
b From 2015.					
c From 2016.					
d From 2017.	281,618				
e From 2018.	321,622				
f **Total** of lines 3a through e.		603,240			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 1,251,911					
a Applied to 2018, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					1,251,911
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		357,358			357,358
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		245,882			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b. Taxable amount —see instructions					
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions					
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . .					
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		245,882			
10 Analysis of line 9:					
a Excess from 2015. . . .					
b Excess from 2016. . . .					
c Excess from 2017. . . .					
d Excess from 2018. . . .	245,882				
e Excess from 2019. . . .					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Tocker Foundation
5806 Mesa Dr 375
Austin, TX 787313770
(512) 452-1044
grants@tocker.org

b The form in which applications should be submitted and information and materials they should include:

Tocker Foundation Application

c Any submission deadlines:

January 15th & June 1st

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Board Review

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Attached Schedule 14 Various Organizations Various, TX 78731	N/A	public	See Attached Schedule	1,250,870
Total . . . . ▶ **3a**				1,250,870
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			1	557,409	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.			3	9,000	
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	940,943	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a Securities Litigation			14		
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				1,507,352	

13 Total. Add line 12, columns (b), (d), and (e). 13 1,507,352

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
13	Investment Income of the organization corpus

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash.	**1a(1)**		**No**
	(2) Other assets.	**1a(2)**		**No**
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
	(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
	(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
	(4) Reimbursement arrangements.	**1b(4)**		**No**
	(5) Loans or loan guarantees.	**1b(5)**		**No**
	(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-04-19	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☐ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Firm's name ▶				Firm's EIN ▶
Firm's address ▶				Phone no.

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Barbara Tocker 5806 Mesa Dr 375 Austin, TX 787313770	President 10.00	0		
Terry Tocker 5806 Mesa Dr 375 Austin, TX 787313770	Vice President 10.00	0		
Natalie Tocker 5806 Mesa Dr 375 Austin, TX 787313770	Director 10.00	0		
Darryl Tocker 5806 Mesa Dr 375 Austin, TX 787313770	Executive Dir. 10.00	0		
Donna Tocker 5806 Mesa Dr 375 Austin, TX 787564002	Director 10.00	0		
Susan Mann 5806 Mesa Dr 375 Austin, TX 787313770	Director 10.00	0		
Gretchen Pruett 5806 Mesa Dr 375 Austin, TX 78731	Director 10.00	0		
Eddy Smith 5806 Mesa Dr 375 Austin, TX 78731	Director 10.00	0		

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TY 2019 Accounting Fees Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Audit Fees	13,000	13,000	0	0
Bank Service Charges	200	200	0	0
Brokerage Account Fees	8,603	8,603	0	0

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Depreciation Schedule

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
Space Finishout	2016-07-01	15,610	10,667	SL	5.0000	3,122			
Office Furniture	2016-07-01	23,954	16,369	SL	5.0000	4,791			

TY 2019 General Explanation Attachment

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

General Explanation Attachment

Identifier	Return Reference	Explanation	
1		General Explanation Supplemental Information for Form 990-PF	Part VII-A, Line 8.b.Confirmation: Filing w ith Texas Attorney GeneralRE: Tocker Foundation990PFFILINGS990pffilings@oag.texas.gov4/17/2018 10:59 PMTo Darryl Tocker Thank you for submitting your 990-PF form to the Texas Attorney General. Your submission has been received and no further action is required. PLEASE DO NOT SEND A PAPER COPY OF THE 990-PF FORM TO THE TEXAS ATTORNEY GENERAL VIA U.S.MAIL OR FAX. Please note this is an automated response and this mailbox is for submitting the IRS Form 990-PF (Private Foundation) only. Please do not send other forms or other correspondence to this address

TY 2019 Land, Etc. Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
Furniture and Fixtures	27,752	24,958	2,794	2,794
Machinery and Equipment	8,394	8,394		
Improvements	15,610	13,789	1,821	1,821

TY 2019 Legal Fees Schedule

Name: Tocker Foundation
EIN: 75-6037871
Software ID: 19009920
Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Filing Fees	225	225	0	0

TY 2019 Other Assets Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
Rental Deposit	4,629	4,629	4,629
Rounding		1	

TY 2019 Other Expenses Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Insurance	6,659	6,659		
Other	17,217	17,217		

TY 2019 Other Income Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Rental Income - Noninvestment Property	9,000		

TY 2019 Other Professional Fees Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Portfolio Fees	184,408	184,408	0	0

TY 2019 Taxes Schedule

Name: Tocker Foundation

EIN: 75-6037871

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Current Excise Tax	12,680			
Foreign Tax (Recovered)	5,090	-5,090		

Tocker Foundation

Form 990 PF Line 10; Year End Investments

As of November 30, 2020

On Hand	Item Description	Asset Value	Retail Value
Inventory			
Other Investment Assets			
Net Lease Private REIT			
	Net Lease Private REIT XI	166,676	166,676
	AIC Income Fund QP REIT	738,538	738,538
Total Other	-	905,215	905,215
Equity Funds (Equity Mutual Funds)			
Wells Fargo Adv (Wells Fargo Advisors-Funds)			
1,800	Cohen & Steers Infrastructure Fund	32,454	46,656
Total Wells Fargo Adv (Wells Fargo Advisors-Funds)		32,454	46,656
Total Equity Funds (Equity Mutual Funds)		32,454	46,656
Stocks (Common Stocks)			
#1 RBC Managed (UBS Managed Acct. #1)			
1,373	Adecco SA Spon. ADR	42,041	41,738
1,095	AIA Group Ltd	34,211	47,995
1,229	Air Liquide ADR	38,495	40,472
1,605	Airbus Group	27,091	42,109
167	Alibaba Group Hldg	32,268	43,981
4,647	Anglo American PLC	58,704	68,664
105	ASML Hldg. NV	21,004	45,962
1,351	BAE Systems PLC	42,747	36,362
2,431	Brambles Ltd	37,073	39,266
1,693	Capgemini SE	40,114	47,106
1,567	Carlsberg AS	28,669	46,759
4,841	Compagnie De Saint Gobain	40,158	46,120
3,026	Danone	46,860	38,993
666	DBS Group Hldgs. Ltd.	32,969	50,111
2,169	Deutsche Boerse AG	23,998	36,259
1,001	Deutsche Post AG	40,407	48,494
2,426	DNB Group Holdings	35,675	44,144
4,557	Enel Societa per Azioni	30,530	45,625
2,285	Fanuc Corporation	44,081	55,583
579	Hitachi Ltd ADR	42,591	44,043
4,055	Industria De Diseno Textile	59,315	67,544
4,653	Julius Baer Group Ltd	42,291	53,905
1,148	KBC Group SA	36,905	40,098
1,392	Koninklijke Ahold Delhaize	30,621	39,946
797	Koninklijke Philips NV	38,909	41,061
1,895	Merck & Co.	44,427	60,682
3,104	Murata Manufacturing Co	39,783	67,937
5,186	Nordea BK AB SWED	52,805	44,444
753	Novozymes A/S	32,711	43,189
1,697	Pernoc Ricard SA	50,324	64,958
2,891	Prosus NV	46,039	62,830
2,143	Reckitt Benckiser PLC	34,595	37,674
918	Roche Holding Ltd	30,123	37,906
1,052	RWE AG Spon ADR	29,969	43,755
895	Sands China Ltd	42,497	36,712
825	Sanofi-Aventis SA Spon ADR	41,531	41,415
382	SAP AG Spon. ADR	42,388	46,283
948	Siemens AG	64,991	63,504
973	Smith & Nephew PLC	33,925	38,288

	On Hand	Item Description	Asset Value	Retail Value
	449	Taiwan Semiconductor Mfg Co	23,406	43,562
	256	Temenos AG	41,848	32,463
	713	UCB Holdings Inc.	44,957	38,193
	712	YUM China Holdings	41,850	40,143
	1,064	Zurich Ins. Group Ltd	40,325	43,357
Total #	77,714		1,726,221	2,039,634
#2 RBC (Royal Bank of Canada Acct. #2)				
	188	Alibaba Group Holding Ltd	34,112	49,512
	28	Alphabet Inc Class A	15,281	49,123
	33	Alphabet Inc Class C	17,878	58,104
	29	Amazon.com Inc.	10,882	91,873
	561	American Express Co	42,464	66,529
	1,481	Applied Materials Inc	54,361	122,153
	1,545	Bank of New York Mellon Corp	44,191	60,440
	397	Berkshire Hathaway Inc.	60,278	90,877
	1,219	Capital One Financial Corp	79,407	104,395
	1,863	Carrier Global Corporation	33,981	70,924
	146	Chubb Ltd	14,688	21,583
	314	Facebook Inc.	44,213	86,969
	1,097	Intel Corp	47,891	53,040
	190	Interactive Corp	25,148	26,978
	652	JP Morgan Chase & Co	55,797	76,858
	218	Lowes Companies Inc.	7,025	9,136
	90	MicroSoft Corp	4,174	19,266
	324	New Oriental Education & Technology Group	36,978	53,411
	331	Quest Diagnostics Inc	30,309	41,037
	914	Raytheon Co	49,623	65,552
	393	Texas Instruments Inc	32,111	63,371
	1,481	US Bancorp	50,644	63,994
	3,317	Wells Fargo & Co	132,866	90,720
Total #2 RBC (Royal Bank of Canada Acct. #2)			924,301	1,435,848
Bahl & Gaynor (Bahl & Gaynor Investment Mgmt)				
	306	Abbott Labs	12,768	33,115
	398	Abbvie Inc.	32,287	41,623
	172	Air Products & Chemicals Inc	24,479	48,184
	73	Amgen Inc.	12,933	16,209
	203	Automatic Data Processing	25,314	35,298
	73	Blackrock Inc	21,218	50,980
	109	Broadcom LTD	30,847	43,772
	325	Chevron Corporation	36,842	28,334
	899	Cisco Systems Inc.	19,374	38,675
	266	Coca Cola Co.	13,251	13,726
	457	Comcast Corp.	17,317	22,960
	315	Crown Castle International Corp	26,208	52,785
	152	Eaton Corp	15,274	18,409
	178	Eli Lilly & Co	19,677	25,926
	74	Eversource Energy	5,358	6,476
	225	Home Depot Inc.	25,954	62,417
	219	Honeywell International	27,740	44,658
	140	Illinois Tool Works	17,066	29,553
	468	J P Morgan Chase & Co.	29,768	55,168
	147	Johnson & Johnson	12,265	21,268

On Hand	Item Description	Asset Value	Retail Value
131	Lockheed Martin Corp.	19,895	47,815
79	LyondellBasell Ind	6,348	6,723
280	Marsh and Mc Lennan Co.	22,097	32,099
169	McDonalds Corp.	27,185	36,747
315	Medtronic PLC	25,820	35,816
586	Merck & Co.	46,372	47,109
245	MicroSoft Corp.	7,813	52,447
731	Mondelez Int'l Inc	37,132	41,996
269	Nextera Energy Inc.	24,352	79,183
430	Paychex Inc.	18,663	40,055
365	Pepsico Inc.	36,953	52,644
164	Phillips 66	17,120	9,935
199	PNC Financial Services Group	28,709	27,476
124	Procter & Gamble Co.	14,074	17,220
254	Prologis Inc	22,052	25,413
280	Qualcomm Inc	33,892	41,208
207	Reality Income Corp. REIT	12,845	12,414
225	Sempra Energy	25,705	28,683
456	Starbucks Corp	23,426	44,697
428	Texas Instruments Inc.	28,867	69,015
1,015	Truist Financial Corp	39,181	47,116
490	US Bancorp	25,640	21,173
496	Verizon Communications	26,443	29,963
330	Wisconsin Energy Corp	14,861	31,334
Total Bahl & Gaynor (Bahl & Gaynor Investment Mgmt)		1,011,384	1,567,812
Wells Fargo			
500	3M Co	100,677	86,365
225	Accenture PLC	51,396	56,045
500	Agilent Tech Inc	40,344	58,450
200	Amazon.com Inc.	65,797	633,608
225	American Tower Corp REIT	52,313	52,020
22,400	Apple Inc.	200,883	2,666,720
1,000	Axon Enterprise Inc	53,423	125,690
500	Boeing Co.	47,988	105,355
125	Broadcom Corp.	43,876	50,198
750	Caterpillar Inc.	37,348	130,193
2,400	Cisco Systems Inc	83,909	103,248
575	Cummins Inc.	82,947	132,923
300	Deere & Company	42,290	78,486
775	Dominion Resources Inc.	50,008	60,830
525	Duke Energy Corp.	47,525	48,647
458	Facebook Inc	73,513	126,852
460	Home Depot Inc.	98,293	127,609
650	Honeywell Int'l Inc.	67,554	132,548
350	Hubbell Inc	42,913	56,557
575	International Business Machine Corp	73,303	71,024
130	Intuitive Surgical Inc	49,654	94,387
500	Johnson & Johnson	66,815	72,340
400	L3Harris Technologies Inc	74,011	76,796
600	Lamar Advertising Co	46,130	47,766
775	Leidos Holdings Inc	75,300	78,043
500	Lockheed Martin Corp.	39,707	182,500

On Hand	Item Description	Asset Value	Retail Value
925	Mantech Int'l Corp	49,788	71,197
600	Medtronic Inc.	38,985	68,220
825	MicroSoft Corp.	123,158	176,608
600	Novartis AG	44,361	54,498
800	Pepsico Inc.	49,254	115,384
1,500	Philip Morris Int'l	36,778	113,625
550	Rapid7 Inc	36,127	41,217
840	Raytheon Co.	75,780	60,245
900	Seagate Technology	49,905	52,929
850	Southern Company	48,574	50,873
1,000	Target Corp.	54,168	179,530
492	Teladoc Health Inc	86,819	97,795
675	Wal-Mart Stores	80,114	103,133
2,000	Waste Management Inc.	74,214	238,260
Total Wells Fargo		2,555,940	6,878,710
LKCM (Luther King Capital Mgmt)			
12,000	A T & T Inc	361,153	345,000
2,500	Abbott Laboratories	85,162	270,550
2,600	Adobe Systems Inc.	81,846	1,244,022
7,500	Akamai Technologies	158,784	776,325
7,000	Alcon Inc	417,540	449,540
500	Amazon.com Inc.	100,994	1,584,020
12,000	Apple Inc.	36,805	1,428,600
14,000	Bank of America	203,064	394,240
3,500	Barnes Group Inc	135,475	161,035
6,300	Black Knight Inc	380,085	577,206
3,500	Charles River Labs	483,629	820,820
2,500	Chevron Corporation	270,858	217,950
4,000	Colgate Palmolive Co.	38,843	342,560
6,500	Comerica Incorporate	290,568	319,800
10,500	Corteva Inc	318,133	402,360
2,000	Cullen Frost Bankers Inc.	24,125	167,820
4,000	Danaher Corp.	84,863	898,520
2,500	Ecolab Inc	311,429	555,375
1,446	Estee Lauder Cos Cl A	231,739	354,733
2,000	Exxon Mobil Corp	37,764	76,260
8,400	Flir Systems Inc	327,974	321,216
5,000	Fortive Corporation	330,147	350,650
3,400	Home Depot Inc.	52,856	943,194
2,800	Illinois Tool Works	263,716	591,052
3,036	J P Morgan Chase & Co.	92,644	357,884
10,730	Keurig Dr Pepper Inc	249,432	326,729
1,800	Kimberly-Clark Corp.	70,877	250,758
5,400	Merck & Co.	238,879	434,106
6,000	Mondelez Int'l Inc.	165,870	344,700
8,000	Oracle Corporation	359,153	461,760
6,500	Paypal Holdings Inc	158,527	1,391,780
2,500	Pepsico Inc.	142,362	360,575
2,437	Proctor & Gamble Co.	89,495	338,426
7,800	Realpage Inc. Com	329,496	538,122
6,500	U.S. Bancorp Del New	148,139	280,865
2,700	Union Pacific Corp	370,041	551,016

On Hand	Item Description	Asset Value	Retail Value
2,600	Verisk Analytics Inc	205,994	515,606
6,130	Verizon Communications	313,397	370,313
4,200	VF Corp.	373,993	350,280
9,500	Zions Bancorp	195,013	366,605
5,000	Zoetis Inc	313,994	801,900
Total LKCM (Luther King Capital Mgmt)		8,844,857	21,634,272
RBC (Royal Bank of Canada)			
4,382	Kayne Anderson Energy Dev Co	72,056	25,810
Total RBC (Royal Bank of Canada)		72,056	25,810
Merrill Lynch (Merrill Lynch Securities)			
19	A N S Y S Inc.	5,927	6,423
4	Abiomed Inc	1,074	1,096
47	Advanced Micro Devices Inc	3,737	4,355
12	Air Products & Chemical	3,554	3,362
59	Akamai Technologies Inc	6,198	6,107
91	Albany Int'l Corp	5,841	6,236
50	Albemarle Corp	4,688	6,799
88	Alcon SA Act	4,888	5,651
15	Alibaba Group Hldgs	4,208	3,950
7	Align Technology Inc.	3,177	3,369
29	Allstate Corp	2,754	2,968
3	Alphabet Inc	4,623	5,282
181	Altria Group Inc	7,815	7,209
5	Amazon Com Inc	15,843	15,840
41	American Express Company	4,280	4,862
248	American International	7,208	9,533
24	Amphenol Corp	2,627	3,139
30	Anthem Inc	8,045	9,346
198	Apple Inc	22,547	23,572
49	Applied Material Inc	2,870	4,042
855	Archrock Inc	5,120	6,643
55	Arrow Electronics	4,302	5,041
11	ASML Hldg	3,841	4,815
111	Astrazeneca PLC	6,078	5,876
29	Berkshire Hathaway Inc Cl B	6,348	6,638
14	Bio Rad Labs Cl A	6,741	7,539
6	Biogen Inc	1,582	1,441
18	Biomarin Pharmaceuticals	1,344	1,417
103	Black Knight Hldgs Corp	8,251	9,437
40	Blackstone Group Inc	2,080	2,382
2	Booking Hldgs inc	3,803	4,057
94	Borg Warner Inc	3,940	3,652
3	Boston Beer Company	2,376	2,793
25	Boston PPTYS Inc	2,175	2,454
160	BP Amoco PLC	3,231	3,130
94	Cabot Corp	3,499	3,893
12	Carvana Co	2,166	3,003
123	CBRE Group Inc	5,898	7,520
78	Centene Corp	4,480	4,809
181	CF Inds Holdings Inc	5,823	6,751
5	Chipotle Mexican Grill	6,506	6,447
69	Cirrus Logic Inc	4,003	5,527

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Form 990 PF Line 10; Year End Investments

As of November 30, 2020

On Hand	Item Description	Asset Value	Retail Value
155	Cisco Systems Inc	4,568	6,668
279	Citigroup Inc.	14,328	15,365
14	CME Group Inc	2,354	2,450
136	Coca Cola	6,837	7,018
176	Cognizant Tech Solutions	11,924	13,751
46	Coherent Inc	5,660	5,602
174	Comcast Corp New	7,638	8,742
96	Conoco Phillips	3,292	3,798
38	Constellation Brands Inc	7,156	7,822
252	Corp Office PPTYS Trust	6,233	6,711
193	Corteva Inc	5,490	7,396
2	Costar Group Inc	1,596	1,821
49	Cracker Barel Old Country	5,991	6,839
46	CRH PLC	1,669	1,806
96	CVS Health Corp	5,736	6,508
78	D R Horton Inc	5,341	5,811
39	Darden Restaurants Inc	3,487	4,211
12	Deere Co.	3,101	3,139
12	Dexcom Inc	4,696	3,836
128	Diodes Inc	5,996	8,699
10	Dollar General Corp	1,936	2,186
87	DuPont De Nemours Inc	4,962	5,519
34	Eastman Chemical Co	2,645	3,312
77	Eaton Vance Corp	2,977	5,157
60	Edison International	3,089	3,682
14	Enphase Energy Inc	1,515	1,912
3	Equinix Inc	2,239	2,093
249	Equinor ASA	3,716	3,820
126	Equitable Holdings Inc	2,542	3,198
23	Exact Sciences Corp	2,441	2,784
50	Exelon Corporation	2,104	2,054
37	Facebook Inc	10,094	10,248
24	Federal Express	5,982	6,878
60	Fid National Financial Inc	1,976	2,159
22	First America Financial Corp	1,115	1,066
99	First Cash Inc	6,091	6,360
202	Form Factor Inc	7,239	8,282
118	Fox Corp Reg Shs	3,287	3,403
70	Gallagher Arthur J & Co	7,193	8,079
90	GATX Corporation	6,041	7,178
451	General Electric Co.	2,787	4,591
181	General Motors Co	5,914	7,935
8	Grub Hub Inc	549	563
20	HCA Healthcare Inc	3,091	3,002
127	Hexcel Corp New	4,673	6,289
103	Hologic Inc	5,942	7,120
16	Home Depot Inc	4,311	4,439
129	Horace Mann Educators New	4,761	5,150
119	Houlihan Lokey Inc	6,931	7,709
199	Hudson Pac PPTYS Inc	4,532	5,174
10	Humana Inc	4,081	4,005
30	Huntington Ingalls Inds	4,295	4,806

Form 990 PF Line 10; Year End Investments

As of November 30, 2020

On Hand	Item Description	Asset Value	Retail Value
5	Intuitive Surgical Inc	3,489	3,630
97	JP Morgan Chase & Co	5,807	11,434
210	KeyCorp Com	2,648	3,247
203	Kinder Morgan Inc.	3,417	2,919
160	Koninklijke Philips NV	7,548	8,243
8	Lam Research Corp	2,458	3,621
12	Lauder Estee Cos Inc	2,783	2,944
22	Leidos Holdings Inc	2,234	2,215
30	Lowe's Companies Inc	4,702	4,675
10	Lululemon Athletica Inc	3,525	3,702
199	Marathon Petroleum Corp	6,622	7,737
9	MarketTaxess Holdings Inc	4,069	4,853
13	MasterCard Inc	4,317	4,375
31	Match Group Inc	3,224	4,316
45	McKesson Corporation Com	7,274	8,096
174	Medical PPTYS Tr Inc	3,184	3,376
87	Medtronic PLC	9,148	9,892
3	Mercadolibre Inc	3,078	4,660
176	MetLife Inc	6,712	8,126
101	MicroSoft Corp	5,607	21,621
13	Moderna Inc	711	1,986
49	Mondelez Int'l Inc.	2,770	2,815
22	Monolithic Pwr Systems	5,387	7,039
47	Monster Beverage	3,774	3,985
67	Moog Inc Cl A	4,220	5,183
233	Morgan Stanley	11,997	14,406
30	Motorola Solutions Inc	4,616	5,146
4	MSCI Inc	1,371	1,638
326	Myriad Genetics Inc	4,193	5,718
6	Netflix Com Inc	3,058	2,944
116	Newell Brands Inc	1,915	2,466
89	Nisource Inc	2,101	2,154
14	Norfolk Southern Corp	2,957	3,318
9	NVIDIA	4,353	4,825
30	NXP Semiconductors	3,626	4,753
333	On Semiconductor CRP Com	7,039	9,574
521	Orasure Techs Inc	7,975	6,252
53	Paypal Holdings Inc	9,940	11,348
170	Penn National Gaming Corp	9,463	11,900
73	Pfizer Inc	1,979	2,797
24	Pinterest Inc	1,344	1,680
44	Pioneer Natural Res Co	4,189	4,426
24	PPG Industries Inc	3,035	3,522
70	PPL Corporation	2,408	1,989
108	Public Svc Enterprise Group	5,619	6,294
40	QualComm Inc	4,207	5,887
95	Raymond James Financial Inc	7,230	8,640
64	Raytheon Technologies	4,137	4,590
7	Regeneron Pharmactcls	3,962	3,612
46	Reinsurance Group America	4,589	5,303
46	Republic Services Inc	4,305	4,449
17	Rockwell Automation Inc	3,779	4,345

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Form 990 PF Line 10; Year End Investments

As of November 30, 2020

On Hand	Item Description	Asset Value	Retail Value
5	Roper Technologies Inc	1,982	2,135
138	Ryder System Inc	5,755	8,172
8	S&P Global Inc	2,746	2,814
15	Salesforce.com Inc	3,638	3,687
162	Sanofi ADR	8,204	8,132
18	SBA Communications Corp	5,542	5,169
252	Schwab Charles Corp	9,359	12,293
35	Seagen Inc (Seattle Genetics Inc)	5,140	5,961
3	Service Now Inc	1,334	1,604
4	Sherwin Williams	2,690	2,991
2	Shopify Inc	1,853	2,181
89	Smith A O Corp	4,667	5,012
151	Snap Inc	4,635	6,707
35	Snap On Inc	5,196	6,155
68	Sonoco Products Co.	3,598	3,948
30	Sony Corporation	2,288	2,799
11	Spotify Tech SA	2,590	3,205
23	Square Inc	3,376	4,852
27	SS and C Technologies	1,645	1,860
17	Stanley Black & Decker	3,073	3,133
88	Stericycle Inc	5,441	6,199
292	Sterling Bancorp	3,365	4,666
109	Syneos Health Inc	6,620	7,177
20	Synopsys Inc	4,013	4,550
26	Teledyne Tech Inc	8,188	9,826
22	Tesla Inc	7,385	12,487
31	Texas Instruments	4,240	4,999
5	Thermo Fisher Scientific Inc.	2,029	2,325
109	TJX Companies	6,042	6,923
3	Trade Desk Inc	1,273	2,703
24	Twitter Inc	1,022	1,116
192	Unilever	11,252	11,724
16	United Health Grooup Inc	4,936	5,381
22	United Pacific Corp	4,180	4,490
3	Veeva Sys Inc	796	831
257	Verizon Communications	15,465	15,525
9	Viatris Inc	108	151
31	VISA Inc	6,244	6,521
7	Wayfair Inc	1,797	1,781
48	WEC Energy Group Inc	4,609	4,558
420	Wells Fargo & Co	10,184	11,487
220	Williams Companies	4,523	4,616
9	Willis Towers Watson PLC	1,846	1,874
165	Wolverine World Wide	4,397	4,762
54	Woodward Inc	4,477	6,039
10	Workday Inc Cl A	2,094	2,248
33	Zillow Group Inc	3,025	3,558
19	Zimmer Biomet Holdings	2,770	2,833
Total Merrill Lynch (Merrill Lynch Securities)		905,357	1,049,372
		16,977,784	**35,583,329**