

For calendar year 2019, or tax year beginning 12-01-2019, and ending 11-30-2020

Name of foundation L & N ANDREAS FOUNDATION		A Employer identification number 36-3382956	
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 3084 ANDREAS OFFICE		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code MANKATO, MN 560023084		B Telephone number (see instructions) (507) 345-1233	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 39,920,445		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	24,210	24,210		
	4 Dividends and interest from securities	1,043,523	1,043,523		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,475,901			
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV, line 2)		1,475,901		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances _____				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,543,634	2,543,634		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	49,851	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	51,070	51,070		0
	24 Total operating and administrative expenses. Add lines 13 through 23	100,921	51,070		0
	25 Contributions, gifts, grants paid	2,511,270			2,511,270
	26 Total expenses and disbursements. Add lines 24 and 25	2,612,191	51,070		2,511,270
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-68,557			
	b Net investment income (if negative, enter -0-)		2,492,564		
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	139,993	31,941	31,941
	2 Savings and temporary cash investments	6,099,684	8,742,577	8,742,577
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	155,155	155,155	155,958
	b Investments—corporate stock (attach schedule)	23,687,539	22,552,119	29,198,013
	c Investments—corporate bonds (attach schedule)	3,035,056	1,610,519	1,791,956
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	33,117,427	33,092,311	39,920,445	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	-5,474	37,967	
	23 Total liabilities (add lines 17 through 22)	-5,474	37,967	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	33,122,901	33,054,344	
29 Total net assets or fund balances (see instructions)	33,122,901	33,054,344		
30 Total liabilities and net assets/fund balances (see instructions) .	33,117,427	33,092,311		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	33,122,901
2 Enter amount from Part I, line 27a	2	-68,557
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	33,054,344
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	33,054,344

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,475,901
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	4,200,115	37,916,915	0.110772
2017	2,382,929	38,101,676	0.062541
2016	2,611,299	37,148,138	0.070294
2015	2,579,110	35,188,213	0.073295
2014	2,242,500	37,050,116	0.060526
2 Total of line 1, column (d)			2 0.377428
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.075486
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 36,541,066
5 Multiply line 4 by line 3			5 2,758,339
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 24,926
7 Add lines 5 and 6			7 2,783,265
8 Enter qualifying distributions from Part XII, line 4			8 2,511,270

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	49,851
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	49,851
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	49,851
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	57,000
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	57,000
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	7,149
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 7,149 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>DEAN WUEBKER ANDREAS OFFICE</u> Telephone no. ► <u>(507) 345-1233</u>			

Located at ► 40 GOOD COUNSEL DRIVE SUITE 10 MANKATO MNZIP+4 ► 56001

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b	
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b	Yes
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREAS LEE 2155 EMERALD ROAD BOULDER, CO 80304	PRESIDENT & SECRETARY 0.00	0	0	0
JACOB ANDREAS 5036 NW 24TH CIRCLE BOCA RATON, FL 33431	VICE PRESIDENT, TREASURER 0.00	0	0	0
CAYMAN CAMPBELL 707 KALMIA AVE BOULDER, CO 80304	TRUSTEE 0.00	0	0	0
JASON LEE 3801 EUREKA DRIVE STUDIO CITY, CA 91604	TRUSTEE 0.00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	Expenses

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ►	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	30,600,371
b	Average of monthly cash balances.	1b	6,497,158
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	37,097,529
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	37,097,529
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	556,463
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	36,541,066
6	Minimum investment return. Enter 5% of line 5.	6	1,827,053

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,827,053
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	49,851
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	49,851
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	1,777,202
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	1,777,202
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	1,777,202

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	2,511,270
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,511,270
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,511,270

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				1,777,202
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				
b From 2015.				
c From 2016.				
d From 2017.				
e From 2018.				856,366
f Total of lines 3a through e.	856,366			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 2,511,270				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				1,777,202
e Remaining amount distributed out of corpus	734,068			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,590,434			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	0			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	1,590,434			
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				856,366
e Excess from 2019.				734,068

Part XIV

1a If the foundation has received a ruling or decision from the IRS regarding the tax treatment of the foundation, and the ruling is effective for 2014, enter the date of the ruling or decision.

b Check box to indicate whether the organization is a private foundation or a public charity.

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

b 85% of line 2a.

c Qualifying distributions from Part XII, line 4 for each year listed.

d Amounts included in line 2c not used directly for active conduct of exempt activities.

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets.

(2) Value of assets qualifying under section 4942(j)(3)(B)(i).

b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization.

(4) Gross investment income.

[illegible]

Part XV

1 Information Regarding Foundation Mamm

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

See Additional Data Table

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	2,511,270
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

[illegible]

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | |
|--|--|-----|----|
| | | Yes | No |
|--|--|-----|----|

--	--	--

- | | | |
|-------|--|----|
| 1a(1) | | No |
| 1a(2) | | No |

--	--	--

- | | | |
|--------------|--|-----------|
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |

1c		No
----	--	----

value
ue

[illegible]

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Title

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name DEAN WUEBKER	Preparer's Signature	Date	Check if self-employed ▶ <input checked="" type="checkbox"/>	PTIN P00009643
Firm's name ▶ ANDREAS OFFICE				Firm's EIN ▶ 02-0708750
Firm's address ▶ 40 GOOD COUNSEL DRIVE MANKATO, MN 56001				Phone no. (507) 345-1233

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2016-04-29
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2016-04-28
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2019-01-03
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2020-02-26
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2019-03-12
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2015-05-20
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2018-10-09
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2015-05-22
AMERICAN AIRLS GROUP INCORPORATED (AAL)	P	2020-11-30	2015-05-20
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-14	2009-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,120		17,243	-10,123
5,696		14,486	-8,790
4,272		8,850	-4,578
7,120		11,160	-4,040
6,408		14,017	-7,609
5,696		18,206	-12,510
4,272		10,890	-6,618
11,392		33,686	-22,294
8,544		26,998	-18,454
399,916		243,355	156,561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-10,123
			-8,790
			-4,578
			-4,040
			-7,609
			-12,510
			-6,618
			-22,294
			-18,454
			156,561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-03	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-02	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-15	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-10-09	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-11-17	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-18	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2019-12-12	2019-01-15
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2019-12-09	2019-01-15
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-10-19	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-09-02	2009-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
324,023		200,410	123,613
136,497		85,890	50,607
478,989		286,300	192,689
368,992		214,725	154,267
307,931		183,232	124,699
604,987		357,875	247,112
671,686		648,075	23,611
440,991		432,050	8,941
510,989		286,300	224,689
226,445		143,150	83,295

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			123,613
			50,607
			192,689
			154,267
			124,699
			247,112
			23,611
			8,941
			224,689
			83,295

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-08-10	2009-04-04
ARCHER DANIELS MIDLAND COMPANY (ADM)	D	2020-08-10	2009-04-04
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-08-02
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-08-16
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-08-09
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-06-14
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-06-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-07-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-09-13
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-09-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
168,470		108,365	60,105
9,570		6,155	3,415
10,621		15,000	-4,379
10,787		15,000	-4,213
10,739		15,000	-4,261
17,264		25,000	-7,736
17,528		25,000	-7,472
10,574		15,000	-4,426
10,860		15,000	-4,140
10,763		15,000	-4,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			60,105
			3,415
			-4,379
			-4,213
			-4,261
			-7,736
			-7,472
			-4,426
			-4,140
			-4,237

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-09-27
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-08-23
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-08-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-09-06
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-01-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-01-30
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-02-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2012-12-14
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2012-11-30
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2012-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,835		15,000	-4,165
10,799		15,000	-4,201
10,860		15,000	-4,140
10,896		15,000	-4,104
16,831		25,000	-8,169
16,622		25,000	-8,378
16,657		25,000	-8,343
16,726		25,000	-8,274
156,340		231,492	-75,152
16,813		25,000	-8,187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,165
			-4,201
			-4,140
			-4,104
			-8,169
			-8,378
			-8,343
			-8,274
			-75,152
			-8,187

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-04-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-05-01
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-05-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-02-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-03-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-03-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2015-08-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2015-12-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2014-12-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,385		25,000	-8,615
16,385		25,000	-8,615
16,402		25,000	-8,598
16,709		25,000	-8,291
16,639		25,000	-8,361
16,691		25,000	-8,309
6,847		10,006	-3,159
981		1,280	-299
65,151		92,723	-27,572
1,393		1,989	-596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-8,615
			-8,615
			-8,598
			-8,291
			-8,361
			-8,309
			-3,159
			-299
			-27,572
			-596

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2014-12-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2015-12-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2019-12-20
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-05-31
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2016-12-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2016-12-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2016-12-28
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-10-25
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-11-01
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-11-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,379		4,809	-1,430
91,558		119,495	-27,937
113,102		136,867	-23,765
16,761		25,000	-8,239
22,558		29,966	-7,408
3,783		5,025	-1,242
9		12	-3
10,644		15,000	-4,356
10,703		15,000	-4,297
10,739		15,000	-4,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,430
			-27,937
			-23,765
			-8,239
			-7,408
			-1,242
			-3
			-4,356
			-4,297
			-4,261

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-10-04
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-10-14
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-10-21
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-12-06
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-12-13
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-11-29
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-11-15
AXS MANAGED FUTURES STRATEGY FUND CLASS I N/L (MHFIX)	P	2020-08-19	2013-11-22
BANK OF AMERICA CORPORATION MTN FULL CALL OID STEPPED CPN ISIN US06048WUP30	P	2020-08-28	2017-08-30
BANK OF MONTREAL MTN FULL CALL STEPPED CPN ISIN US06367TX215 4.0000% DUE 01/	P	2020-01-27	2019-04-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,884		15,000	-4,116
10,872		15,000	-4,128
10,691		15,000	-4,309
10,540		15,000	-4,460
10,668		15,000	-4,332
10,370		15,000	-4,630
10,563		15,000	-4,437
10,505		15,000	-4,495
100,000		99,356	644
95,000		94,756	244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-4,116
			-4,128
			-4,309
			-4,460
			-4,332
			-4,630
			-4,437
			-4,495
			644
			244

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-07-31
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-06-30
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-05-29
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-08-31
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-16	2020-10-30
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-10-30
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-09-30
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-04-07	2020-03-12
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-03-31	2020-03-11
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
325		310	15
328		308	20
323		306	17
318		312	6
12		11	1
321		306	15
325		314	11
40,239		43,080	-2,841
49,099		55,250	-6,151
335		304	31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			15
			20
			17
			6
			1
			15
			11
			-2,841
			-6,151
			31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-04-07	2020-03-16
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-03-31
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-03-18
BLACKROCK FLOATING RATE INCOME (BGT)	P	2020-11-13	2020-03-16
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-04-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-03-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-06-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-05-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-12-24
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-12-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,120		19,420	700
848		688	160
22,076		16,672	5,404
23,225		19,420	3,805
183		181	2
170		167	3
170		168	2
166		164	2
330		325	5
169		168	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			700
			160
			5,404
			3,805
			2
			3
			2
			2
			5
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-02-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-01-04
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-11-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-10-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-01-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-12-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-07-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-06-30
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-09-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2016-08-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
190		188	2
189		186	3
331		327	4
269		266	3
369		364	5
336		331	5
178		176	2
100,726		99,765	961
292		289	3
300		297	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2
			3
			4
			3
			5
			5
			2
			961
			3
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-11-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-12-23
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-12-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-02-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-01-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-09-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-08-19
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-11-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2014-10-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-08-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
163		162	1
272		270	2
162		162	0
135		134	1
161		160	1
84		84	0
82,257		82,659	-402
173		173	0
208		208	0
170		170	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1
			2
			0
			1
			1
			0
			-402
			0
			0
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-07-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-10-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-09-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-04-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-03-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-06-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2015-05-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-05-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-04-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-06-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
168		168	0
167		166	1
173		172	1
164		164	0
136		135	1
172		172	0
160		160	0
660		650	10
710		698	12
676		667	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			1
			1
			0
			1
			0
			0
			10
			12
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-08-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-07-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-03-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-11-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-10-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-12-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-02-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-01-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-09-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
641		634	7
640		635	5
655		641	14
729		709	20
696		679	17
738		717	21
682		667	15
771		750	21
625		622	3
567		553	14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7
			5
			14
			20
			17
			21
			15
			21
			3
			14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-04-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-06-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-08-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-07-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-03-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-11-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-10-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2019-12-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-02-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2020-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
603		577	26
564		557	7
509		509	0
511		508	3
532		531	1
580		576	4
587		583	4
555		550	5
540		538	2
586		581	5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			26
			7
			0
			3
			1
			4
			4
			5
			2
			5

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-08-30
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-08-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-09-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-10-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-09-05
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-07-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-03-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-02-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-04-03
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-06-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
81,252		80,560	692
436		433	3
448		444	4
579		573	6
252		250	2
396		392	4
380		376	4
375		370	5
386		381	5
402		398	4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			692
			3
			4
			6
			2
			4
			4
			5
			5
			4

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-05-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-11-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-07-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-06-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-05-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-09-04
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-08-02
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-08-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-02-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-01-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
378		374	4
605		598	7
631		615	16
665		650	15
622		608	14
751		733	18
492		479	13
194		189	5
535		526	9
561		552	9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			4
			7
			16
			15
			14
			18
			13
			5
			9
			9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-03-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2017-12-01
BLACKROCK LOW DURATION BOND PORT INSTITUTIONAL CLASS N/L (BFMSX)	P	2020-08-19	2018-04-02
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-05-08	2020-03-17
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-05-08	2020-03-16
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-05-07	2020-03-16
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-04-14	2020-03-16
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-05-19	2020-03-18
BLACKSTONE / GSO SR FLOATING R (BSL)	P	2020-05-19	2020-03-17
BMO HARRIS BANK, NA CHICAGO, IL FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY MU	P	2020-07-30	2020-01-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
532		521	11
552		544	8
584		570	14
29,135		29,784	-649
7,284		7,560	-276
23,939		25,200	-1,261
4,932		5,040	-108
23,730		21,679	2,051
19,984		19,856	128
70,000		70,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			11
			8
			14
			-649
			-276
			-1,261
			-108
			2,051
			128
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BMO HARRIS BANK, NA FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CAL	P	2020-03-18	2017-08-31
BMO HARRIS BANK, NA FDIC # 16571 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP CAL	P	2020-03-30	2017-06-21
BRISTOL-MYERS SQUIBB COMPANY (BMY)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY (BMY)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY (BMY)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY (BMY)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY CONTNGNT VAL RIGHT (BMY.RT)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY CONTNGNT VAL RIGHT (BMY.RT)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY CONTNGNT VAL RIGHT (BMY.RT)	P	2020-02-10	2019-11-21
BRISTOL-MYERS SQUIBB COMPANY CONTNGNT VAL RIGHT (BMY.RT)	P	2020-02-10	2019-11-21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
75,000		75,000	0
100,000		100,000	0
20,122		16,923	3,199
10,061		8,462	1,599
11,738		9,872	1,866
23,476		19,744	3,732
587		403	184
1,006		690	316
1,173		805	368
503		345	158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			0
			0
			3,199
			1,599
			1,866
			3,732
			184
			316
			368
			158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
BROADCOM INCORPORATED (AVGO)	P	2020-05-21	2020-03-31
BROADCOM INCORPORATED (AVGO)	P	2020-05-20	2020-03-31
BROADCOM INCORPORATED (AVGO)	P	2020-05-20	2019-05-23
CALL: APPLE INCORPORATED MAR 300 EXP 03/20/20 REPRESENTS 100.000000 AAPL (AA	P	2019-12-30	2020-03-23
CALL: APPLE INCORPORATED OCT 118.75 EXP 10/16/20 REPRESENTS 100.000000 AAPL	P	2020-08-03	2020-10-16
CALL: BROADCOM INCORPORATED MAY 270 EXP 05/15/20 REPRESENTS 100.000000 AVGO	P	2020-04-06	2020-05-18
CALL: INTEL CORPORATION JUL 62.50 EXP 07/17/20 REPRESENTS 100.000000 INTC (I	P	2020-05-07	2020-07-20
CANTERBURY PARK HOLDING CORPORATION (CPHC)	D	2020-04-14	2009-04-04
CARRIER GLOBAL CORPORATION (CARR)	P	2020-04-14	2011-08-18
CARRIER GLOBAL CORPORATION (CARR)	D	2020-04-14	2009-04-04

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
227		192	35
1,115		945	170
97,585		91,315	6,270
5,225			5,225
7,968		3,504	4,464
2,550			2,550
2,260			2,260
30		18	12
5,528		5,106	422
13,820		8,516	5,304

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			35
			170
			6,270
			5,225
			4,464
			2,550
			2,260
			12
			422
			5,304

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-12-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-12-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-01-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-01-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-12-16
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-12-16
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-08-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-09-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-08-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-07-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,409		2,802	-393
226		263	-37
265		301	-36
2,957		3,359	-402
5,643		6,184	-541
4,451		4,878	-427
250		241	9
2,314		2,504	-190
2,617		2,514	103
2,568		2,490	78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-393
			-37
			-36
			-402
			-541
			-427
			9
			-190
			103
			78

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-07-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-11-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-11-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-10-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-09-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-10-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-02-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-10-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-10-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-09-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
245		237	8
2,570		2,952	-382
234		269	-35
291		309	-18
216		234	-18
2,627		2,788	-161
2,601		3,116	-515
2,021		2,203	-182
289		315	-26
1,795		2,235	-440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			8
			-382
			-35
			-18
			-18
			-161
			-515
			-182
			-26
			-440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-08-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-09-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-10-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-12-16
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-12-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-12-16
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-11-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-11-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-08-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-04-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
207		287	-80
241		301	-60
6,594		7,500	-906
16,702		17,733	-1,031
2,035		2,451	-416
387		411	-24
1,947		2,249	-302
275		317	-42
1,571		2,179	-608
2,174		2,825	-651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-80
			-60
			-906
			-1,031
			-416
			-24
			-302
			-42
			-608
			-651

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-04-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-03-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-02-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-03-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-05-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-07-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-07-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-06-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-05-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-06-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
220		286	-66
241		305	-64
230		276	-46
2,294		2,900	-606
1,918		2,356	-438
1,768		2,402	-634
228		310	-82
197		266	-69
234		287	-53
1,603		2,165	-562

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-66
			-64
			-46
			-606
			-438
			-634
			-82
			-69
			-53
			-562

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-06-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-07-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-07-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-06-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-06-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-08-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-09-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-10-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-08-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-09-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
276		247	29
1,802		1,313	489
475		346	129
2,114		1,557	557
506		373	133
1,814		1,532	282
430		357	73
1,594		1,452	142
470		397	73
1,710		1,419	291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			29
			489
			129
			557
			133
			282
			73
			142
			73
			291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-05-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-02-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-02-07
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-01-09
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-02-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-03-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-04-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-05-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-03-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-04-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
597		426	171
266		162	104
778		478	300
470		277	193
2,626		1,600	1,026
2,453		1,526	927
510		341	169
2,166		1,546	620
441		274	167
2,002		1,339	663

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			171
			104
			300
			193
			1,026
			927
			169
			620
			167
			663

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-10-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2015-12-02
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-04-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-03-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-03-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-04-03
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-05-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-06-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-04-14
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-05-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
405		369	36
295		355	-60
2,550		2,402	148
2,043		2,153	-110
316		333	-17
323		304	19
339		295	44
2,743		2,457	286
12,028		10,000	2,028
2,675		2,329	346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			36
			-60
			148
			-110
			-17
			19
			44
			286
			2,028
			346

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-02-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-12-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-12-04
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-11-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-11-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-12-17
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-01-06
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-02-05
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2013-12-17
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L (FTDZX)	P	2020-01-29	2014-01-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
325		319	6
1,731		1,583	148
353		322	31
1,678		1,437	241
372		319	53
12,828		10,781	2,047
383		338	45
2,075		2,038	37
2,818		2,369	449
2,562		2,261	301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			6
			148
			31
			241
			53
			2,047
			45
			37
			449
			301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
GOLDMAN SACHS BANK 5Y MOMENTUM BUILDER INDEX MARKET LINKED CD SV 107 FDIC #	P	2020-09-04	2015-08-28
GS FINANCE CORP 3.25Y GLOBAL EQUITY ACCELERATED RETURN NOTE NTS ISIN US40054	P	2020-03-16	2016-12-09
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-11-25	2018-02-28
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-02-10	2018-02-28
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-10-08	2018-02-28
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-02-10	2018-02-23
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-11-09	2018-02-28
HANNON ARMSTRONG SUST INFR CAP REIT (HASI)	P	2020-11-25	2018-04-12
HSBC BANK USA FDIC # 57890 CERTIFICATE OF DEPOSIT MONTHLY MULTI STEP CALLABL	P	2020-09-25	2017-07-21
HSBC BANK USA FDIC # 57890 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP CAL	P	2020-11-17	2017-08-14

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
130,015		111,839	18,176
30,000		30,006	-6
52,616		14,965	37,651
7,337		3,154	4,183
18,236		6,308	11,928
11,005		5,048	5,957
34,961		11,055	23,906
2,828		901	1,927
100,000		100,238	-238
110,000		110,000	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			18,176
			-6
			37,651
			4,183
			11,928
			5,957
			23,906
			1,927
			-238
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
INTEL CORPORATION (INTC)	D	2020-01-17	2009-04-04
INVESCO GOLD & SPECIAL MINERALS FD CL Y N/L (OGMYX)	P	2020-08-10	2012-07-18
ISHARES TR CORE S&P MCP ETF (IJH)	D	2020-04-14	2009-04-04
ISHARES TR CORE S&P500 ETF (IVV)	P	2019-12-13	2016-01-20
ISHARES TR CORE S&P500 ETF (IVV)	P	2019-12-13	2016-01-20
ISHARES TR CORE S&P500 ETF (IVV)	P	2019-12-13	2018-02-09
ISHARES TR S&P 500 GRWT ETF (IVW)	P	2020-08-26	2013-06-10
ISHARES TR S&P 500 GRWT ETF (IVW)	P	2020-08-26	2014-10-27
ISHARES TR S&P 500 GRWT ETF (IVW)	P	2020-08-26	2020-06-19
ISHARES TR S&P 500 GRWT ETF (IVW)	P	2020-08-27	2020-06-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
57,369		15,658	41,711
100,000		91,985	8,015
31,323		10,306	21,017
23,640		13,885	9,755
63,039		36,520	26,519
70,919		57,510	13,409
36,279		12,996	23,283
96,744		42,622	54,122
81		69	12
222		189	33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			41,711
			8,015
			21,017
			9,755
			26,519
			13,409
			23,283
			54,122
			12
			33

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ISHARES TR S&P 500 GRWT ETF (IVW)	P	2020-08-26	2020-03-31
ISHARES TR S&P MC 400GR ETF (IJK)	P	2020-04-14	2011-10-03
JP MORGAN CHASE BANK NA 5Y ETF EFFICIENTE DS INDEX MARKET LINKED CD FDIC # 6	P	2020-06-24	2015-06-19
JP MORGAN CHASE BANK, NA COLUMBUS, OH FDIC # 628 CERTIFICATE OF DEPOSIT SEMI	P	2020-10-30	2019-10-28
JPMORGAN CHASE & CO. NTS FULL CALL ISIN US46625HRT94 2.4000% DUE 06/07/2021	P	2020-10-14	2018-03-22
JPMORGAN CHASE BANK NA COLUMBUS, GA FDIC # 628 CERTIFICATE OF DEPOSIT QTRLY	P	2020-03-30	2017-07-17
LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS Y N/L - (LSWWX)	P	2020-09-02	2017-03-24
MFS GLOBAL EQUITY FUND CLASS I N/L (MWEIX)	P	2020-09-02	2012-03-27
MICROSOFT CORPORATION (MSFT)	P	2020-10-08	2011-02-10
MICROSOFT CORPORATION (MSFT)	P	2020-08-26	2010-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
403		277	126
38,775		17,087	21,688
20,287		21,646	-1,359
100,000		100,000	0
101,344		99,525	1,819
100,000		99,750	250
75,000		53,570	21,430
75,000		40,063	34,937
105,188		13,972	91,216
110,330		13,885	96,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			126
			21,688
			-1,359
			0
			1,819
			250
			21,430
			34,937
			91,216
			96,445

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2020-08-10
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2017-08-04
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2020-02-26
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2018-07-02
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2019-05-08
MYLAN NV SHS EURO (NETHERLANDS) (MYLOLDD)	P	2020-11-17	2017-08-09
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2014-07-14
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2014-09-19
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2015-12-31
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2014-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
39,388		40,800	-1,412
23,633		49,572	-25,939
11,029		13,689	-2,660
6,302		14,496	-8,194
22,057		30,447	-8,390
15,755		29,900	-14,145
133,655		199,501	-65,846
3,782		5,471	-1,689
16,088		17,699	-1,611
2,785		4,158	-1,373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,412
			-25,939
			-2,660
			-8,194
			-8,390
			-14,145
			-65,846
			-1,689
			-1,611
			-1,373

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-03-23
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2014-12-31
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-06-21
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2011-12-29
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2011-09-30
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2014-03-21
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-12-28
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-01-02
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-09-21
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-05-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
304		460	-156
19,118		25,116	-5,998
2,795		4,116	-1,321
1,859		2,654	-795
34,546		49,871	-15,325
2,153		3,055	-902
10,534		16,894	-6,360
2,087		3,326	-1,239
2,531		4,045	-1,514
64,827		99,758	-34,931

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-156
			-5,998
			-1,321
			-795
			-15,325
			-902
			-6,360
			-1,239
			-1,514
			-34,931

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-06-22
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-09-20
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-12-31
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2012-03-27
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-03-06
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2013-03-22
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2015-03-20
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2019-06-17
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2017-12-29
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2017-09-15

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,645		2,437	-792
2,866		4,258	-1,392
12,484		17,823	-5,339
261,263		399,024	-137,761
15,707		24,941	-9,234
2,241		3,525	-1,284
1,341		1,742	-401
2,870		3,379	-509
17,219		22,052	-4,833
9,518		12,397	-2,879

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-792
			-1,392
			-5,339
			-137,761
			-9,234
			-1,284
			-401
			-509
			-4,833
			-2,879

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2019-09-13
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2017-06-16
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2018-12-28
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2019-03-15
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2018-09-14
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2018-03-16
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2018-06-15
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2017-05-24
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2016-03-18
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2016-06-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,785		4,446	-661
7,552		9,552	-2,000
27,053		30,708	-3,655
3,730		4,398	-668
5,281		6,283	-1,002
2,278		2,904	-626
4,551		5,652	-1,101
38,845		49,918	-11,073
2,052		2,358	-306
2,044		2,381	-337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-661
			-2,000
			-3,655
			-668
			-1,002
			-626
			-1,101
			-11,073
			-306
			-337

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2019-12-31
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2015-06-19
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2020-03-13
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2016-09-16
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2016-12-30
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2017-03-17
PIMCO ALL ASSET ALL AUTHORITY FUND CLASS I2 N/L (PAUPX)	P	2020-05-07	2015-09-18
PIMCO LOW DURATION INCOME FUND CLASS I2 N/L (PFTPX)	P	2020-09-03	2019-01-31
PIMCO LOW DURATION INCOME FUND CLASS I2 N/L (PFTPX)	P	2020-09-03	2019-02-25
PIMCO LOW DURATION INCOME FUND CLASS I2 N/L (PFTPX)	P	2020-09-03	2019-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,595		12,689	-2,094
3,975		5,153	-1,178
1,696		1,721	-25
2,666		3,263	-597
14,082		16,955	-2,873
817		1,022	-205
6,434		7,590	-1,156
398,124		400,000	-1,876
3,047		3,068	-21
198,829		200,000	-1,171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-2,094
			-1,178
			-25
			-597
			-2,873
			-205
			-1,156
			-1,876
			-21
			-1,171

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
SUNTRUST BANK ATLANTA, GA FDIC # 867 CERTIFICATE OF DEPOSIT QTRLY MULTI STEP	P	2020-08-24	2018-02-13
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2014-12-11
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2016-12-12
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2018-12-24
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2015-12-11
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2013-05-15
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2013-12-11
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2018-12-24
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2019-12-23
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2019-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
100,000		98,984	1,016
30		39	-9
342		420	-78
23		29	-6
54		63	-9
19,492		25,000	-5,508
133		169	-36
4		5	-1
18		24	-6
366		490	-124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,016
			-9
			-78
			-6
			-9
			-5,508
			-36
			-1
			-6
			-124

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2019-12-23
TEMPLETON EMERGING MARKETS SMALL CAP FD ADVISOR CLS N/L (TEMZX)	P	2020-04-14	2018-12-24
THORNBURG GLOBAL OPPORTUNITIES FUND CLASS I N/L (THOIX)	P	2020-08-10	2016-02-03
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-24	2020-04-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2019-12-12
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2019-10-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2020-04-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2020-01-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2019-07-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-02	2019-01-03

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
58		78	-20
555		721	-166
100,000		73,489	26,511
6		6	0
15,080		16,471	-1,391
1,243		1,326	-83
2,052		1,853	199
1,551		1,815	-264
1,074		1,216	-142
21,390		23,444	-2,054

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-20
			-166
			26,511
			0
			-1,391
			-83
			199
			-264
			-142
			-2,054

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-05	2019-01-03
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2019-04-15
VOYA ASIA PAC HIGH DIV EQT INM (IAE)	P	2020-06-23	2019-01-03
WELLS FARGO & COMPANY MTN FULL CALL STEPPED CPN ISIN US94986RZW41 3.5000% DU	P	2020-05-20	2017-06-21
WELLS FARGO BK NA FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP	P	2020-03-20	2017-09-15
WELLS FARGO BK NA FDIC # 3511 CERTIFICATE OF DEPOSIT SEMI-ANNUAL MULTI STEP	P	2020-03-30	2019-04-17
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND (DLS)	P	2020-05-07	2010-08-24
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,179		2,258	-79
1,009		1,173	-164
20,440		21,185	-745
100,000		100,004	-4
100,000		100,000	0
100,000		99,168	832
51,526		42,649	8,877
249,861			249,861

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-79
			-164
			-745
			-4
			0
			832
			8,877
			249,861

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ANDREAS LEE
JASON LEE

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADOLPH AND ROSE LEVIS JEWISH COMM CENTER 9801 DONNA KLEIN BLVD BOCA RATON, FL 33428	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
AH OF MONROE COUNTY INC 1434 KENNEDY DRIVE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
AM CIVIL LIBERTIES UNION OF MN FNDT PO BOX 14720 MINNEAPOLIS, MN 55414	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARRAY ALLIANCE INC 180 GLENDALE BLVD LOS ANGELES, CA 90026	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS LAW ENFORCEMENT ACCOUNTABILITY PROJECT	25,000
ATTENTION INC 1440 PINE STREET SUTE B BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
BETHANY LUTHERAN COLLEGE 700 LUTHER DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PROMUSICA MINNESOTA FUND	2,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BETHLEHEM LUTHERAN CHURCH 720 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CONNECTIONS SHELTER FUND	15,000
BIG BROTHERS BIG SISTERS 545 DUNNELL DRIVE OWATONNA, MN 55060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	13,000
BLUE SKY BRIDGEPO BOX 19122 BOULDER, CO 80308	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOULDER VALLEY WOMEN'S HEALTH CENTER 2855 VALMONT RD BOULDER, CO 80301	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
BRIDGE HOUSE5345 ARAPAHOE AVE 5 BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
CAMP PATTERSON INCPO BOX 4334 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	10,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CAMP SWEET LIFE ADVENTURE INC 26486 SIOUX TRAIL MADISON LAKE, MN 56063	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
CENTER FOR VICTIMS OF TORTURE 2356 UNIVERSITY AVE W ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	40,000
CHILD AND FAMILY ADVOCACY PO BOX 4176 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	51,200
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S HEARTLINK 5075 ARCADIA AVE MINNEAPOLIS, MN 55436	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
CHILDREN'S LAW CENTER OF CALIFORNIA 101 CENTRE PLAZA DRIVE MONTEREY PARK, CA 91754	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
CHILDREN'S MUSEUM OF SO MN 224 LAMM STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S MUSEUM OF SO MN 224 LAMM STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19 FUND	30,000
CHILDREN'S THEATRE COMPANY 2400 THIRD AVENUE S MINNEAPOLIS, MN 55494	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
CLASSICAL THEATRE OF HARLEN 8 WEST 126TH ST NEW YORK, NY 10027	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMMONBOND COMMUNITIES 1080 MONTREAL AVE ST PAUL, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS DUBIN CROSSING	10,000
CONNECTING KIDS2485 COMO AVE ST PAUL, MN 55108	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCHOLARSHIPS FUND	15,000
DAIRY CENTER FOR THE ARTS 2590 WALNUT STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ECHO FOOD SHELF1014 S FRONT ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	41,000
ECHO FOOD SHELF1014 S FRONT ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	31,250
EDUCARE FOUNDATIONPO BOX 241 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EMERGENCY FAMILY ASSISTANCE ASSOC 1575 YAMOUTH AVE BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
FEEDING OUR COMMUNITY PO BOX 5275 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS BACKPACK PROGRAM	20,000
FEEDING OUR COMMUNITY PO BOX 5275 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	55,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FEEDING OUR COMMUNITY PO BOX 5275 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS EQUIPMENT FUND	3,000
FILM INDEPENDENT INC 209 W HOUSTON ST NEW YORK, NY 10014	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
FL KEYS HISTORY AND DIVING MUSEUM INC 82990 OVERSEAS HWY ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FL KEYS WILD BIRD REHABILITATION CENTER 92080 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
FLORIDA KEYS CHILDRENS SHELTER 73 HIGH POINT ROAD TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
FLORIDA KEYS CHILDRENS SHELTER 73 HIGH POINT ROAD TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	2,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FLORIDA KEYS HISTORY & DISCOVERY CENTER PO BOX 1124 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	4,000
FRACTURED ATLAS PRODUCTIONS INC 228 PARK AVENUE SO 56651 NEW YORK, NY 10003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS HAPPYMESSAGER ART BUS FUND	10,000
FRIENDS OF WLRN INC 172 NE 15 ST 2ND FLOOR MIAMI, FL 33132	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER MANKATO UNITED WAY 127 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ANNUAL FUND	25,000
GREATER MPLS CRISIS NURSERY 4544 4TH AVENUE SOUTH MINNEAPOLIS, MN 55419	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
GREATER TWIN CITIES UNITED WAY 404 S EIGHTH STREET MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREENWOOD WILDLIFE REHABILITATION CENTER PO BOX 18987 BOULDER, CO 80306	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
GUTHRIE THEATRE 818 SOUTH 2ND STREET MINNEAPOLIS, MN 55415	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
HABITAT FOR HUMANITY 1730 BASSETT DR MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	20,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HAVERFORD COLLEGE 370 LANCASTER AVE HAVERFORD, PA 19041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
HIDDEN HARBOR MARINE ENVIRONMENTAL PROJECT 2396 OVERSEAS HWY MARATHON, FL 33050	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
HOLY ROSARY SANTO ROSARIO CHURCH 2424 18TH AVE S MINNEAPOLIS, MN 55406	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOSPITALITY HOUSE YOUTH DIRECTIONS PO BOX 11008 MINNEAPOLIS, MN 55411	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
HUNGER SOLUTIONS MINNESOTA 555 PARK STREET SUITE 420 ST PAUL, MN 55103	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,500
HUNGER SOLUTIONS MINNESOTA 555 PARK STREET SUITE 420 ST PAUL, MN 55103	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	3,500
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ILLUSION THEATER 528 HENNEPIN AVE STE 704 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
ISLAMORADA FOUNDATION INC PO BOX 1315 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,500
JUNIOR ACHIEVEMENT UPPER MIDWEST 1745 UNIVERISTY AVE W ST PAUL, MN 55104	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS JABIZ TOWN FUND	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JUNIOR LEAGUE MINNEAPOLIS 4500 PARK GLENN ROAD STE 18 ST LOUIS PARK, MN 55416	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
KEY WEST WOMAN'S CLUB 319 DUVAL STREET KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
LAKE FOREST ACADEMY 1500 W KENNEDY ROAD LAKE FOREST, IL 60045	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LAWS OF LIFE - MANKATO 1704 LINDA LANE N MANKATO, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,400
LEISURE EDUCATION FOR EXCEPTIONAL PEOPLE 929 NORTH 4TH STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
LIFE WORK PLANNING CENTER BOARD INC 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	11,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LUTHERAN SOCIAL SVE OF MN 110 FULTON STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS REACH DROP-IN CENTER FUND	10,000
LYNN UNIVERSITY 3801 N MILITARY TRAIL BOCA RATON, FL 33437	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
MACKINTOSH ACADEMY 6717 SOUTH BOULDER ROAD BOULDER, CO 80303	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	45,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANKATO AREA FOUNDATION 128 S 2ND STREET MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS VISION VESTOR FUND	10,000
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MY PLACE	20,000
MANKATO AREA FOUNDATION 127 SOUTH SECOND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SHARED SPACES CAPITAL CAMPAIGN	50,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANKATO BALLETPO BOX 114 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TUITION ASSISTANCE FUND	5,000
MANKATO BALLETPO BOX 114 MANKATO, MN 56002	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CAPITAL CAMPAIGN FUND	15,000
MANKATO CENTRAL HIGH SCHOOL 110 FULTON STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS TAPP PROGRAM	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MANKATO SYMPHONY ORCHESTRA PO BOX 645 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SPONSORSHIP PETER AND THE WOLF	5,000
MANKATO YOUTH PLACE INC 709 S BROAD STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	8,320
MARINERS HOSPITAL INC 91500 OVERSEAS HWY TAVERNIER, FL 33070	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MICROGRANTS 1035 EAST FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
MINNEAPOLIS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
MINNEAPOLIS COLLEGE OF ART & DESIGN 2501 STEVENS AVENUE SOUTH MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS REDESIGN PROJECT FUND	200,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINNESOTA ASSISTANCE COUNCIL FOR VETERANS 2700 E LAKE STREET MINNEAPOLIS, MN 55406	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PERSONNEL FUND	15,000
MINNESOTA PUBLIC RADIO 480 CEDAR STREET ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,500
MINNESOTA YOUTH SYMPHONIES 790 CLEVELAND AVE S STE 203 ST PAUL, MN 55116	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MINNPOSTCOM 900 6TH AVENUE SE STE 220 MINNEAPOLIS, MN 55414	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
MN FILM & TELEVISION BOARD 401 NORTH 3RD ST STE 440 MINNEAPOLIS, MN 55409	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,500
MN LANDSCAPE ARBORETUM FOUNDATION 3675 ARBORETUM DR CHASKA, MN 55318	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS KMSU FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS UNIVERSITY ADVANCEMENT FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS AM INDIGENOUS STUDIES FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS ANTHROPOLOGY ARTIFACTS DATABASE FUND	35,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS PRESIDENTIAL SCHOLARSHIP FUND	5,000
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COLLEGE OF BUSINESS FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MN STATE UNIVERSITY MANKATO FOUNDATION 121 ALUMNI FOUNDATION CENTER MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COLLEGE/SOCIAL & BEHAVIORAL FUND	5,000
MONROE ASSOC FOR REMARCABLE CITIZENS PO BOX 428 KEY WEST, FL 33041	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
MOTHER HOUSEPO BOX 19589 BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NAT'L CTRMISSING & EXPLOITED CHILDREN 699 PRINCE STREET ALEXANDRA, VA 22314	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
NCVO90 ANTHONY DRIVE GRAND CAYMAN, GRAND CAYMAN CJ	N/A	FOREIGN CHARITY	SEE STATEMENT 10	150,000
NCVO90 ANTHONY DRIVE GRAND CAYMAN, GRAND CAYMAN CJ	N/A	FOREIGN CHARITY	SEE STATEMENT 10	250,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NCVO90 ANTHONY DRIVE GRAND CAYMAN, GRAND CAYMAN CJ	N/A	FOREIGN CHARITY	SEE STATEMENT 10	150,000
NORTHEAST COMMUNITY DEVELOPMENT CORP PO BOX 18398 MINNEAPOLIS, MN 55418	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS NE SCULPTURE FUND	60,000
PACT MINISTRIES105 W LIND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS FOOD PROGRAM FUND	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PACT MINISTRIES105 W LIND ST MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19	12,000
PAGE EDUCATION FOUNDATION PO BOX 581254 MINNEAPOLIS, MN 55458	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	6,000
PLANNED PARENTHOOD MNNDSD 671 VANDALIA STREET ST PAUL, MN 55114	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROJECT FOR PRIDE IN LIVING INC 1035 E FRANKLIN AVE MINNEAPOLIS, MN 55404	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SAFEHOUSE PROGRESSIVE ALLIANCE 835 NORTH STREET BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SAFESUN INC2427 NW 49TH LANE BOCA RATON, FL 33431	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SCHOLARSHIP AMERICA INC 7900 INTL DRIVE SUITE 500 MINNEAPOLIS, MN 55425	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MANKATO AREA DOLLAR FOR SCHOLARS FUND	3,600
SCHOOL FOR FIELD STUDIES INC 100 CUMMINGS CTR SUITE 534-G BEVERLY, MA 01915	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SCIENCE MUSEUM OF MINNESOTA 120 WEST KELLOGG BLVD ST PAUL, MN 55102	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SECOND HARVEST HEARTLAND 1140 GERVAIS AVENUE ST PAUL, MN 55109	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	1,000
SECOND HEART HOMESPO BOX 3886 SARASOTA, FL 34230	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SHARING AND CARING HANDS 525 N 7TH STREET MINNEAPOLIS, MN 55405	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHATTUCK-ST MARY'S SCHOOL 1000 SHUMWAY AVENUE FARIBAULT, MN 55021	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
SHERBURNE NATIONAL WILDLIFE REGUFE INC 17076 293RD AVE ZIMMERMAN, MN 55398	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	7,000
SMILE NETWORK INT'LPO BOX 3986 MINNEAPOLIS, MN 55403	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SMILES CENTER FOR IND LIVING 709 S FRONT ST SUITE 7 MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
SOUTH CENTRAL COLLEGE 1920 LEE BOULEVARD N MANKATO, MN 56003	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS SCHOLARSHIPS FUND	10,000
SOUTHERN MN INITIATIVE FNDT 525 FLORENCE AVENUE OWATONNA, MN 55060	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS YOUTH TRUST FUND	30,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SPECIAL OLYMPICS MICHIGAN N5404 S FERGUSON RD MANKATO, MI 49885	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
SPECIAL OLYMPICS MINNESOTA INC 900 2ND AVE SOUTH STE 300 MINNEAPOLIS, MN 55402	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
ST DAVID'S RELIEF 13355 NOEL ROAD STE 1100 DALLAS, TX 75240	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
STUDIO ARTS BOULDER 1010 AURORA AVE BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	100,000
SUNDANCE INSTITUTEPO BOX 684429 PARK CITY, UT 84068	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	25,000
TAKE STOCK IN CHILDREN INC 3000 NE 30TH PLACE STE 409 FT LAUDERDALE, FL 33306	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THERE WITH CARE 2825 WILDERNESS PLACE ST 100 BOULDER, CO 80301	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	30,000
TWIN CITIES PUBLIC TELEVISION 172 EAST FOURTH ST ST PAUL, MN 55101	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	3,000
TWIN CITIES RISE1301 BRYANT AVE N MINNEAPOLIS, MN 55411	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS EMPOWERMENT CAMPAIGN	5,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TWIN RIVER COUNCIL FOR THE ARTS 523 S 2ND STREET MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS CITY ART WALK FUND	10,000
UNITED WAY INC 1150 S OLIVE STREET SUITE T500 LOS ANGELES, CA 90015	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
UPPER KEYS HUMANE SOCIETY 101617 OVERSEAS HWY KEY LARGO, FL 33037	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total ▶ 3a				2,511,270


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
URBAN VENTURES FOUNDATION 2094 4TH AVE S MINNEAPOLIS, MN 55408	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	40,000
USO INC 2111 WILSON BLVD SUITE 1200 ARLINGTON, VA 22201	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
VICTORY JUNCTION GANG CAMP 4500 ADAMS WAY RANDLEMAN, NC 27317	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	20,000
Total ► 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
VOICEFLORIDA KEYS CHILDREN INC PO BOX 2018 ISLAMORADA, FL 33036	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
VOLUNTEERS OF AMERICA - MN 7625 METRO BLVD MINNEAPOLIS, MN 55439	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	5,000
WATERSHED SCHOOL 1661 ALPINE AVENUE BOULDER, CO 80304	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	15,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WESLEY HOUSE FAMILY SERVICE 1304 TRUMAN AVENUE KEY WEST, FL 33040	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	2,000
YMCA - MANKATO 1401 S RIVERFRONT DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS COVID-19 FUND	5,000
YMCA - MANKATO 1401 S RIVERFRONT DRIVE MANKATO, MN 56001	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS MEMBERSHIP ASSISTANCE FOR KIDS	10,000
Total ▶ 3a				2,511,270

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
YWCA - BOULDER COUNTY 2222 14ST STREET BOULDER, CO 80302	N/A	SEE GENERAL EXPLANAT	GRANT IS FOR RECIPIENTS GENERAL FUND	10,000
Total  3a				2,511,270

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Expenditure Responsibility Statement

Name: L & N ANDREAS FOUNDATION

EIN: 36-3382956

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
NCVO (NATIONAL COUNCIL OF VOLUNTARY ORGANISATIONS)	PO BOX 1140 GT CAYMAN ISLANDS CJ	2020-06-19	150,000	TO ASSIST NCVO WITH THE NADINE ANDREAS RESIDENTIAL FOSTER HOME AND PRESCHOOL GENERAL FUND AND SPECIAL PROJECTS.	150,000	TO THE KNOWLEDGE OF THE GRANTOR NO PART HAS BEEN DIVERTED FROM ITS PURPOSE.	FINAL REPORT 12/16/20	2020-12-16	L & N ANDREAS FOUNDATION REVIEWED THE GRANT REPORT ON 12/16/20 BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS AS THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5 (C)).
NCVO (NATIONAL COUNCIL OF VOLUNTARY ORGANISATIONS)	PO BOX 1140 GT CAYMAN ISLANDS CJ	2019-12-04	250,000	TO ASSIST NCVO WITH THE NADINE ANDREAS RESIDENTIAL FOSTER HOME AND PRESCHOOL BUILDING RENOVATION FUND.	75,488	TO THE KNOWLEDGE OF THE GRANTOR NO PART HAS BEEN DIVERTED FROM ITS PURPOSE.	INTERIM 12/16/20	2020-12-16	L & N ANDREAS FOUNDATION REVIEWED THE GRANT REPORT ON 12/16/20 BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS AS THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5 (C)).
NCVO (NATIONAL COUNCIL OF VOLUNTARY ORGANISATIONS)	PO BOX 1140 GT CAYMAN ISLANDS CJ	2019-12-04	150,000	TO ASSIST NCVO WITH THE NADINE ANDREAS RESIDENTIAL FOSTER HOME AND PRESCHOOL STAFF SALARY ENHANCEMENT PROJECT	150,000	TO THE KNOWLEDGE OF THE GRANTOR NO PART HAS BEEN DIVERTED FROM ITS PURPOSE.	FINAL REPORT 12/16/20	2020-12-16	L & N ANDREAS FOUNDATION REVIEWED THE GRANT REPORT ON 12/16/20 BUT DID NOT UNDERTAKE ANY VERIFICATION OF THE GRANTEE'S REPORTS AS THERE HAS NOT BEEN ANY REASON TO DOUBT THEIR ACCURACY OR RELIABILITY (REG. 53.4945-5 (C)).

TY 2019 General Explanation Attachment**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**General Explanation Attachment**

Identifier	Return Reference	Explanation	
1	GRANTS AND CONTRIBUTIONS FOUNDATION STATUS	FORM 990 PF PART XV ITEM 3	990 PF PART XV ITEM 3 GRANTS AND CONTRIBUTIONS FOUNDATION STATUS ALL RECIPIENTS OF GRANTS ARE PUBLIC CHARITIES, ORGANIZATIONS AS DESCRIBED IN SECTION 509 (A) (1), (2) OR (3). NONE ARE TYPE III ORGANIZATIONS. ALL DISTRIBUTIONS ARE QUALIFIED.

TY 2019 Investments Corporate Bonds Schedule

Name: L & N ANDREAS FOUNDATION
EIN: 36-3382956

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BANK OF AMERICA CORPORATION MTN STEPPED CPN ISIN US06048WWJ51 4% DUE 05/25/	99,406	100,541
GOLDMAN SACHS BANK 6.5Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 3312	28,600	30,140
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX ANNUAL INCOME CD FDIC # 33124	30,000	30,916
GOLDMAN SACHS BANK 7Y MOMENTUM BUILDER INDEX MARKET LINKED CD FDIC # 33124	23,011	25,520
GS FINANCE CORP 5Y S&P 500 COUPON BUFFERED RETURN NOTE SV 2170.06 NTS PAYS	100,006	119,683
GS FINANCE CORP. 5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US40056EAE8	100,000	119,439
HSBC USA INC 3Y EURO STOXX 50 INDEX BUFFERED RETURN NOTE NTS ISIN US40435FU	50,000	52,835
HSBC USA INC. 2Y SPDR S&P OIL & GAS EXPLORATION & PRODUCTION ETF BUFFERED R	50,006	29,635
HSBC USA INC. 5Y ISHARES MSCI EMERGING MARKETS ETF BUFFERED RETURN NOTE NTS	50,000	58,990
HSBC USA, INC. 5Y EURO STOXX 50 INDEX BUFFERED RETURN NOTE NTS ISIN US40435	75,000	87,060
HSBC USA, INC.5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN US40435UJU97 D	75,000	87,938
JP MORGAN CHASE FINANCIAL COMPANY LLC 5Y U.S. EQUITY DIGITAL BARRIER NOTE S	50,006	65,270
JPMORGAN CHASE & CO. MTN ISIN US48128GT752 2% DUE 12/09/2027 CALLABLE 06/09	200,006	199,462
JPMORGAN CHASE FINANCIAL COMPANY LLC EUROSTOXX 50 INDEX BUFFERED RETURN NOT	100,000	105,320
MORGAN STANLEY BANK, NA 7Y S&P 500 INDEX MARKET-LINKED CD FDIC # 32992 CERT	160,799	202,883
MORGAN STANLEY FINANCE LLC 5Y S&P 500 INDEX BUFFERED RETURN NOTE NTS ISIN U	80,000	133,020
NATIONAL AUSTRALIA BANK LIMITED NEW YORK BRANCH MTN ISIN US63254AAX63 2.5%	246,438	250,618
RAYMOND JAMES BANK, NA ST.PETERSBURG FL MEMBER FDIC DAILY COMPOUNDED INTERE	42,560	42,560
WELLS FARGO & COMPANY MTN STEPPED CPN ISIN US95000N2E85 3% DUE 03/22/2029 C	49,681	50,126

TY 2019 Investments Corporate Stock Schedule

Name: L & N ANDREAS FOUNDATION
EIN: 36-3382956

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERDEEN GLOBAL DYNAMIC DIVID	60,285	62,720
ACAP STRATEGIC FUND CLASS W N/L	210,800	206,077
APPLE INCORPORATED	51,767	287,486
ARCHER DANIELS MIDLAND COMPANY	1,722,750	2,405,849
AT&T INCORPORATED	139,084	119,813
ATLANTICA SUSTAINABLE INFR PLC SHS (UNITED KINGDOM)	33,850	70,893
BAXTER INTERNATIONAL INCORPORATED	44,039	110,942
BK OF AMERICA CORPORATION	25,218	86,450
BLACKROCK ENHANCED EQUITY DIVI	257,765	289,768
BLACKROCK ENHANCED GLOBAL DIVI	709,590	686,100
BLACKROCK HEALTH SCIENCES TRUST II	150,006	205,125
BLACKROCK HIGH YIELD BOND FUND INSTITUTIONAL CLASS N/L	298,978	307,792
BLACKROCK LATIN AMERICA FUND INST CLASS N/L	181,540	129,367
BLACKROCK RES & COMMODITIES ST SHS	42,004	37,775
BLACKROCK SCIENCE & TECH TR II SHS BEN INT	48,756	82,050
BLACKSTONE GSO FLTING RTE FUND	81,052	101,093
CANTERBURY PARK HOLDING CORPORATION	10,782	21,366
CISCO SYSTEMS INCORPORATED	172,099	307,489
CITIGROUP INCORPORATED COM NEW	116,076	144,710
COLUMBIA ACORN FUND INST CL N/L	409,712	409,390
COLUMBIA ACORN INTERNATIONAL FUND INST CL N/L	101,544	109,314
COLUMBIA SELIGMAN COMM & INFORMATION FD INST CL N/L	135,919	324,125
CONSOLIDATED COMM HLDGS INCORPORATED	5,907	12,432
DELAWARE SMALL CAP CORE FUND INSTITUTIONAL CLASS N/L	130,000	147,833
DELTA AIR LINES INCORPORATED DEL COM NEW	114,370	120,750
EATON VANCE ATLANTA CAPITAL SMID CAP FUND CLASS I N/L	136,537	137,841
EATON VANCE SHORT DURATION GOVT INCOME FUND CLASS I N/L	567,137	559,170
EATON VANCE WORLDWIDE HEALTH SCIENCES FUND CLASS I N/L	160,347	199,789
FIRST TRUST RICHARD BERNSTEIN TS AM INDST REN 2019-1 TERM 06/11/2021 REINV	60,349	71,074
FLIR SYSTEMS INCORPORATED	306,736	316,475

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FRANKLIN BIOTECHNOLOGY DISCOVERY FD ADV CLASS N/L	180,615	254,658
FRANKLIN GOLD & PRECIOUS METALS FUND ADVISOR CLASS N/L	21,281	22,202
FRANKLIN MUTUAL GLOBAL DISCOVERY FUND CLASS Z N/L	661,470	630,136
GABELLI DIVID & INCOME TR	204,622	210,490
GENERAL ELECTRIC COMPANY	140,451	84,347
GUGGENHEIM ENHANCED EQUITY INCORPORATED	162,843	154,226
HANNON ARMSTRONG SUST INFR CAP REIT	36,372	109,354
INTEL CORPORATION	45,561	97,840
INTERNATIONAL BUSINESS MACHINES	235,650	214,601
INVESCO BALANCED RISK ALLOCATION FUND CLASS Y N/L	1,088,325	1,026,856
INVESCO DEVELOPING MARKETS FUND CLASS Y N/L	876,391	1,534,757
INVESCO GLOBAL ALLOCATION FUND CLASS Y N/L	205,714	236,563
INVESCO GOLD & SPECIAL MINERALS FD CL Y N/L	210,465	274,959
INVESCO INTERNATIONAL SMALL-MID COMPANY FD CL Y N/L	114,151	128,136
INVESCO S&P 500 EQUAL WEIGHT CONSUMER DISCRETIONARY ETF	84,039	118,298
ISHARES TR CORE S&P MCP ETF	337,895	1,087,960
ISHARES TR CORE S&P500 ETF	6,498	9,215
ISHARES TR RUS MD CP GR ETF	70	325
ISHARES TR S&P MC 400GR ETF	92,968	219,428
ISHARES TR SP SMCP600VL ETF	185,938	184,159
JPMORGAN CHASE & COMPANY	79,008	175,698
LAZARD GLOBAL TOTAL RETURN & I	39,961	55,648
LOOMIS SAYLES GLOBAL ALLOCATION FUND CLASS Y N/L -	224,972	312,950
MATTHEWS PACIFIC TIGER FUND INVESTOR CLASS N/L	220,108	258,695
MFS GLOBAL EQUITY FUND CLASS I N/L	877,520	1,551,824
MICRON TECHNOLOGY INCORPORATED	114,375	314,041
MICROSOFT CORPORATION	103,848	807,909
NOKIA CORPORATION SPONSORED ADR (FINLAND)	29,249	36,270
NORFOLK SOUTHN CORPORATION	61,979	239,245
OLD REP INTERNATIONAL CORPORATION	92,494	109,910

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OTIS WORLDWIDE CORPORATION	19,333	47,166
PCM FD INCORPORATED	115,425	167,004
PEPSICO INCORPORATED	418,024	1,106,513
PIMCO GLOBAL CORE ASSET ALLOCATION FUND CLASS I2 N/L	700,197	790,513
PIMCO INCOME FUND CLASS I2 N/L	720,051	716,027
PIMCO LOW DURATION INCOME FUND CLASS I2 N/L	617,040	618,821
PIMCO MORTGAGE OPPORTUNITIES AND BOND FUND CLASS I2 N/L	377,893	379,279
PIMCO SHORT TERM FUND CLASS I2 N/L	2,239,063	2,255,503
QUALCOMM INCORPORATED	46,484	112,919
RAYTHEON TECHNOLOGIES CORPORATION	41,545	101,915
SIEMENS A G SPONSORED ADR (GERMANY)	49,454	58,505
SPDR GOLD SHARES	127,285	141,670
SPDR S&P DIVIDEND ETF	74,197	159,475
SPIRIT AIRLS INCORPORATED	53,400	33,945
TEMPLETON FOREIGN FUND ADVISOR CLASS N/L	175,078	160,517
TEMPLETON GLOBAL SMALLER COMPANIES FD ADVISOR CLASS N/L	235,638	315,849
TEVA PHARMACEUTICAL INDUSTRIES LIMITED SPONSORED ADS	62,888	71,325
THORNBURG DEVELOPING WORLD FUND CLASS I N/L	132,699	191,018
THORNBURG GLOBAL OPPORTUNITIES FUND CLASS I N/L	341,105	450,154
THORNBURG LIMITED TERM INCOME FUND CLASS I N/L	563,225	604,283
UNILEVER PLC SPON ADR NEW (UNITED KINGDOM)	36,504	56,256
VERIZON COMMUNICATIONS INCORPORATED	59,321	74,626
VIATRIS INCORPORATED	118,163	126,150
VODAFONE GROUP PLC NEW SPONSORED ADR	334,435	191,299
WELLS FARGO ADJUSTABLE RATE GOVERNMENT FUND CLASS A M/F	801,821	805,061
WELLS FARGO SPECIAL SMALL CAP VALUE FD CLASS I N/L	130,000	143,488
WELLS FARGO ULTRA SHORT TERM INCOME FD CL A M/F	300,117	300,460
WILLIAM BLAIR MACRO ALLOCATION FUND CLASS I N/L	162,510	147,593
WISDOMTREE INTERNATIONAL SMALLCAP DIVIDEND FUND	279,392	338,921

TY 2019 Investments Government Obligations Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**US Government Securities - End
of Year Book Value:**

155,155

**US Government Securities - End
of Year Fair Market Value:**

155,958

**State & Local Government
Securities - End of Year Book
Value:**

0

**State & Local Government
Securities - End of Year Fair
Market Value:**

0

TY 2019 Other Expenses Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	51,045	51,045		0
MISCELLANEOUS	25	25		0

TY 2019 Other Liabilities Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Description	Beginning of Year - Book Value	End of Year - Book Value
EXCISE TAX PAYABLE	-9,944	-7,149
CALLS ON STOCKS	4,470	45,116

TY 2019 Taxes Schedule**Name:** L & N ANDREAS FOUNDATION**EIN:** 36-3382956

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAX	49,851	0		0