

Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation****or Section 4947(a)(1) Trust Treated as Private Foundation**

- Do not enter social security numbers on this form as it may be made public.
- Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

**2019****Open to Public  
Inspection****For calendar year 2019, or tax year beginning 12-01-2019 , and ending 11-30-2020**

Name of foundation Woodcock Foundation % WENDY GOLDNER		A Employer identification number 34-1606085	
Number and street (or P.O. box number if mail is not delivered to street address) RTC NA PO Box 5016		B Telephone number (see instructions) (212) 549-5370	
C If exemption application is pending, check here ► <input type="checkbox"/>			
D 1. Foreign organizations, check here..... ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... ► <input type="checkbox"/>			
E If private foundation status was terminated under section 507(b)(1)(A), check here ..... ► <input type="checkbox"/>			
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ..... ► <input type="checkbox"/>			
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change			
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation			
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) ►\$ <u>81,570,993</u>		<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	

<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)	6,393,886			
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	245,428	194,499		
	4 Dividends and interest from securities	1,160,620	1,160,620		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	11,703,535			
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		11,703,535		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	146,558			
	<b>12 Total.</b> Add lines 1 through 11	19,650,027	13,058,654		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	181,276			
	14 Other employee salaries and wages	242,721		242,721	
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	86,088	0	0	86,088
	b Accounting fees (attach schedule)	23,400	23,400	0	0
	c Other professional fees (attach schedule)	285,377	285,377		
	17 Interest	33,358	33,358		
	18 Taxes (attach schedule) (see instructions)	254,331	36,377		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy	28,290	28,290		
	21 Travel, conferences, and meetings	22,978	22,978		
	22 Printing and publications				
	23 Other expenses (attach schedule)	1,144,385	926,415		
	<b>24 Total operating and administrative expenses.</b>	2,302,204	1,356,195	0	328,809
	Add lines 13 through 23	6,288,946			6,288,946
	<b>25 Contributions, gifts, grants paid</b>	8,591,150	1,356,195	0	6,617,755
	<b>27 Subtract line 26 from line 12:</b>				
	a <b>Excess of revenue over expenses and disbursements</b>	11,058,877			
	b <b>Net investment income</b> (if negative, enter -0-)		11,702,459		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

	<b>Part II Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	<b>1</b> Cash—non-interest-bearing . . . . .		1,481,904	1,697,823	1,697,823
	<b>2</b> Savings and temporary cash investments . . . . .				
	<b>3</b> Accounts receivable ► 60,373				
	Less: allowance for doubtful accounts ►		77,733	60,373	60,373
	<b>4</b> Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	<b>5</b> Grants receivable . . . . .				
	<b>6</b> Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .				
	<b>7</b> Other notes and loans receivable (attach schedule) ► 2,056,335				
	Less: allowance for doubtful accounts ►		2,396,201	2,056,335	2,054,460
	<b>8</b> Inventories for sale or use . . . . .				
	<b>9</b> Prepaid expenses and deferred charges . . . . .				
	<b>10a</b> Investments—U.S. and state government obligations (attach schedule)				
	<b>b</b> Investments—corporate stock (attach schedule) . . . . .		2,220,291	28,943,910	33,913,910
	<b>c</b> Investments—corporate bonds (attach schedule) . . . . .		0	12,355,165	12,393,613
	<b>11</b> Investments—land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
	<b>12</b> Investments—mortgage loans . . . . .				
	<b>13</b> Investments—other (attach schedule) . . . . .				
	<b>14</b> Land, buildings, and equipment: basis ►				
	Less: accumulated depreciation (attach schedule) ►				
	<b>15</b> Other assets (describe ► )		57,004,290	28,040,896	31,450,814
	<b>16</b> <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item 1)		63,180,419	73,154,502	81,570,993
	<b>17</b> Accounts payable and accrued expenses . . . . .				
	<b>18</b> Grants payable . . . . .				
	<b>19</b> Deferred revenue . . . . .				
	<b>20</b> Loans from officers, directors, trustees, and other disqualified persons				
	<b>21</b> Mortgages and other notes payable (attach schedule) . . . . .				
	<b>22</b> Other liabilities (describe ► )				
	<b>23</b> <b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	0	
	<b>Foundations that follow FASB ASC 958, check here ► <input type="checkbox"/></b> <b>and complete lines 24, 25, 29 and 30.</b>				
	<b>24</b> Net assets without donor restrictions . . . . .				
	<b>25</b> Net assets with donor restrictions . . . . .				
	<b>Foundations that do not follow FASB ASC 958, check here ► <input checked="" type="checkbox"/></b> <b>and complete lines 26 through 30.</b>				
	<b>26</b> Capital stock, trust principal, or current funds . . . . .		61,577,106	61,577,106	
	<b>27</b> Paid-in or capital surplus, or land, bldg., and equipment fund				
	<b>28</b> Retained earnings, accumulated income, endowment, or other funds		1,603,313	12,125,187	
	<b>29</b> <b>Total net assets or fund balances</b> (see instructions) . . . . .		63,180,419	73,702,293	
	<b>30</b> <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		63,180,419	73,702,293	

**Part III Analysis of Changes in Net Assets or Fund Balances**

<b>1</b> Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	63,180,419
<b>2</b> Enter amount from Part I, line 27a . . . . .	<b>2</b>	11,058,877
<b>3</b> Other increases not included in line 2 (itemize) ►	<b>3</b>	
<b>4</b> Add lines 1, 2, and 3 . . . . .	<b>4</b>	74,239,296
<b>5</b> Decreases not included in line 2 (itemize) ►	<b>5</b>	537,003
<b>6</b> Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 . . . . .	<b>6</b>	73,702,293

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a THRU PARTNERSHIPS - ST		P		
b THRU PARTNERSHIPS - LT		P		
c SEE STMT - ST		P		
d SEE STMT - LT		P		
e GAIN ON LITIGATION SETTLEMENTS		P		
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				479,518
b				6,244,059
c				-1,026
d				4,980,653
e				331
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	11,703,535
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	7,027,959	69,854,881	0.100608
2017	8,488,335	73,958,335	0.114772
2016	5,329,739	59,742,881	0.089211
2015	2,693,547	46,859,920	0.057481
2014	3,471,790	37,131,170	0.093501
<b>2</b> Total of line 1, column (d)			0.455573
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			0.091115
<b>4</b> Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			88,461,804
<b>5</b> Multiply line 4 by line 3			8,060,197
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			117,025
<b>7</b> Add lines 5 and 6			8,177,222
<b>8</b> Enter qualifying distributions from Part XII, line 4			7,117,755

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	234,049
	Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b	1	234,049
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	234,049
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-.	5	234,049
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	147,986
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	150,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	297,986
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	63,937
11	Enter the amount of line 10 to be: <b>Credited to 2020 estimated tax</b> ►	63,937	<b>Refunded</b> ►
		11	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	Yes	No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year?	Yes	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:		
(1)	On the foundation. ► \$ _____	(2)	On foundation managers. ► \$ _____
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	Yes	No
	<i>If "Yes," attach a detailed description of the activities.</i>		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> 	Yes	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	Yes	No
	<i>If "Yes," attach the statement required by General Instruction T.</i> 		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:	Yes	
	• By language in the governing instrument, or		
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions)		
	► NY		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	Yes	No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> 	Yes	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11	Yes
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions.	12	Yes
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <a href="http://www.woodcockfdn.org">www.woodcockfdn.org</a>	13	Yes
14	The books are in care of ► <u>WENDY GOLDNER</u>	Telephone no. ► (212) 549-5370	
Located at ► <u>C/O ROCK CO - 45 ROCKEFELLER PLAZ NEW YORK NY</u>			ZIP+4 ► <u>10111</u>
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —check here. . . . .	►	<input type="checkbox"/> 15
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►	16	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. . . . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ►	1b	No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? . . . . .	1c	No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? . . . . . If "Yes," list the years ► 20____, 20____, 20____, 20____	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) . . . . .	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b	No

Form 990-PF (2019)

Page 6

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:			Yes	No
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.			5b	No
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? <i>If "Yes," attach the statement required by Regulations section 53.4945–5(d).</i>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? <i>If "Yes" to 6b, file Form 8870.</i>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		7b	No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input type="checkbox"/> No			

### Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
STACEY FAELLA C/O RTC NA NEW YORK, NY 10185	EXECUTIVE DIRECTOR 40.0	130,729		
KAVANNAUGH WADDELL C/O RTC NA NEW YORK, NY 10185	PROGRAM MANAGER 40.0	58,733		
<b>Total</b> number of other employees paid over \$50,000.				

Form 990-PF (2019)

**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

**Part IX-A      Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

---

**Amount**

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities. . . . .	1a	29,203,268
b	Average of monthly cash balances. . . . .	1b	4,960,754
c	Fair market value of all other assets (see instructions). . . . .	1c	55,644,916
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	89,808,938
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	89,808,938
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	1,347,134
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	88,461,804
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	4,423,090

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign**Part XI** organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	4,423,090
2a	Tax on investment income for 2019 from Part VI, line 5. . . . .	2a	234,049
b	Income tax for 2019. (This does not include the tax from Part VI.). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	234,049
3	Distributable amount before adjustments. Subtract line 2c from line 1. . . . .	3	4,189,041
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	4,189,041
6	Deduction from distributable amount (see instructions). . . . .	6	
7	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. . . . .	7	4,189,041

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26. . . . .	1a	6,617,755
b	Program-related investments—total from Part IX-B. . . . .	1b	500,000
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,117,755
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions. . . . .	5	
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	7,117,755
<b>Note:</b> The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.			

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
<b>1</b> Distributable amount for 2019 from Part XI, line 7				4,189,041
<b>2</b> Undistributed income, if any, as of the end of 2019:			0	
<b>a</b> Enter amount for 2018 only. . . . .			0	
<b>b</b> Total for prior years: 2017, 2016, 2015		0		
<b>3</b> Excess distributions carryover, if any, to 2019:				
<b>a</b> From 2014. . . . .	1,655,021			
<b>b</b> From 2015. . . . .	401,407			
<b>c</b> From 2016. . . . .	2,394,441			
<b>d</b> From 2017. . . . .	4,790,624			
<b>e</b> From 2018. . . . .	3,697,243			
<b>f</b> <b>Total</b> of lines 3a through e. . . . .	12,938,736			
<b>4</b> Qualifying distributions for 2019 from Part XII, line 4: ► \$ 7,117,755			0	
<b>a</b> Applied to 2018, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .				
<b>d</b> Applied to 2019 distributable amount. . . . .				4,189,041
<b>e</b> Remaining amount distributed out of corpus	2,928,714			
<b>5</b> Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> <b>Enter the net total of each column as indicated below:</b>	15,867,450			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5			0	
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .			0	
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .			0	
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .			0	
<b>e</b> Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020. . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions) . . . . .	1,655,021			
<b>9</b> <b>Excess distributions carryover to 2020.</b> Subtract lines 7 and 8 from line 6a. . . . .	14,212,429			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2015. . . . .	401,407			
<b>b</b> Excess from 2016. . . . .	2,394,441			
<b>c</b> Excess from 2017. . . . .	4,790,624			
<b>d</b> Excess from 2018. . . . .	3,697,243			
<b>e</b> Excess from 2019. . . . .	2,928,714			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ►			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon:			
a	"Assets" alternative test—enter:			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter:			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).			
(3)	Largest amount of support from an exempt organization.			
(4)	Gross investment income.			

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
See Additional Data Table				
<b>Total . . . . .</b>			► 3a	6,288,946
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
a					
b					
c					
d					
e					
f					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments. . . . .					
<b>3</b> Interest on savings and temporary cash investments . . . . .			14	245,428	
<b>4</b> Dividends and interest from securities. . . . .			14	1,160,620	
<b>5</b> Net rental income or (loss) from real estate:					
a Debt-financed property. . . . .					
b Not debt-financed property. . . . .					
<b>6</b> Net rental income or (loss) from personal property					
<b>7</b> Other investment income. . . . .			14	146,558	
<b>8</b> Gain or (loss) from sales of assets other than inventory . . . . .			18	11,703,535	
<b>9</b> Net income or (loss) from special events:					
<b>10</b> Gross profit or (loss) from sales of inventory					
<b>11</b> Other revenue: a					
b					
c					
d					
e					
<b>12</b> Subtotal. Add columns (b), (d), and (e). . . . .				13,256,141	
<b>13</b> Total. Add line 12, columns (b), (d), and (e). . . . .					13,256,141
(See worksheet in line 13 instructions to verify calculations.)					

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



## Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JEREMY GUTH	TRUSTEE 0	0	0	0
RTC NA PO Box 5016 New York, NY 101855016				
LINDSAY D SHEA	TRUSTEE 0	0	0	0
RTC NA PO Box 5016 New York, NY 101855016				
OLGA M DAVIDSON	TRUSTEE 0	0	0	0
RTC NA PO Box 5016 New York, NY 101855016				
STUART DAVIDSON	TRUSTEE 0	0	0	0
RTC NA PO Box 5016 New York, NY 101855016				
WINTHROP RUTHERFURD ATTN RHD	TRUSTEE 0	88,591	0	0
C O KOZUSKO HARRIS DUNCAN 25201 CHAGRIN BLVD SUITE 370 BEACHWOOD, OH 44122				
MARGOT BRANDENBURG	TRUSTEE 0	92,685	0	0
RTC NA P O Box 5016 New York, NY 101855016				
SABRINA GUTH	TRUSTEE 0	0	0	0
RTC NA P O Box 5016 New York, NY 101855016				

## Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SEE STATEMENT ATTACHED P O BOX 5016 NEW YORK, NY 10185	NONE	PC	GENERAL	6,288,325
Foundation Administered Program P O BOX 5016 New York, NY 10185	NONE	PC	GENERAL	528
CHARITABLE CONTRIBUTIONS - K-1 45 Rockefeller Plaza 5th Floor New York, NY 10111	NONE	PC	GENERAL	93
<b>Total . . . . .</b>			► 3a	6,288,946

## TY 2019 Accounting Fees Schedule

**Name:** Woodcock Foundation**EIN:** 34-1606085

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ROCK & CO - TAX & ACCOUNTING F	23,400	23,400		

**Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.**

## **TY 2019 Depreciation Schedule**

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

## TY 2019 Expenditure Responsibility Statement

**Name:** Woodcock Foundation

**EIN:** 34-1606085

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
Root Capital	955 Massachusetts Avenue 5th Floor Cambridge, MA 02139	2010-05-06		Provide financing to international agricultural entrepreneurs who fall is the missing middle		NO	September 30, 2016		The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Lumni Inc	251 Crandon Blvd Suite 327 Key Biscayne, FL 33149	2011-05-24		To give students the opportunity to invest in their education using the value of future earnings		NO	September 30, 2016		The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
CLEARBON INC	60 29th Street 131 San Francisco, CA 94110	2013-02-08		To raise resident awareness about supporting local businesses		NO	09302016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Farmland Renewal	1649 County Road 7A Copake, NY 12516	2015-07-08		Conserving farmland, making it accessible to farmers at an affordable rate		NO	09302016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
ACUMEN CAPITAL MARKETS	74 TRINITY PLACE 9TH FLOOR NEW YORK, NY 10006	2009-09-01		To change the way the world tackles poverty		NO	09/30/2016	2016-09-30	The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
California Harvesters Inc	16350 Driver Road Bakersfield, CA 93308	2018-06-13		Financing		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
The Calvert Foundation	7315 Wisconsin Avenue Suite 1000W Bethesda, MD 20814			Support Women Investing in Women Initiative		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Rural Community Assistance Corporation	3120 Freeboard Drive Suite 201 West Sacramento, CA 95691	2018-09-27				NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Sixup Community Fund I LLC	77 Van Ness Avenue Ssuite 101 San Francisco, CA 94102			Financing		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
Vineyard Fish & Fleet				Support Martha's Vineyard Preservation Trust		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.
The Meloy Fund	1310 North Courthouse Road Suite 1 Arlington, VA 22201			Invest in fish-related enterprises		NO			The foundation has no reason to doubt the accuracy or reliability of the reports from the investee and has not independently verified the reports.

## TY 2019 Investments Corporate Bonds Schedule

**Name:** Woodcock Foundation

**EIN:** 34-1606085

### Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS	12,355,165	12,393,613

## TY 2019 Investments Corporate Stock Schedule

**Name:** Woodcock Foundation

**EIN:** 34-1606085

### Investments Corporation Stock Schedule

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
EQUITIES	28,923,855	33,913,288
APERIO	0	0
CYMABAY THERAPEUTICS INC	20,055	622

## TY 2019 Legal Fees Schedule

**Name:** Woodcock Foundation

**EIN:** 34-1606085

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
LEGAL FEES	86,088			86,088

**TY 2019 Other Assets Schedule****Name:** Woodcock Foundation**EIN:** 34-1606085**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACUMEN CAPITAL MARKETS I LP		127,783	208,072
ACUMEN L.A. EARLY GROWTH FD LP	94,789	112,392	103,667
CHARCO NO. 4 HOLDING LLC	2,818,295	1,015,407	626,304
CHARCO NO. 5 HOLDING LLC	5,484,235	6,964,019	10,111,822
FARALLON CAPITAL PARTNERS LP	-130,288	-547,791	555,779
FARMLAND RENEWAL LLC	76,794	73,219	100,000
GENERATION IM GLBL EQ FD LLC	7,333,977	8,107,535	10,104,170
IMPACT VENTURES III LP	204,979	266,491	251,125
THE MELOY FUND I, LP		68,542	45,902
MICROVEST II LP	253,656	215,510	105,909
MICROVEST SHORT DURATION FUND	944,025	979,542	922,735
OASIS FUND SCA SICAV-FIS LP	2,000,000	2,000,000	1,081,100
ROCKEFELLER SUSTAINABILITY	1,371,975	1,489,378	3,347,880
WIF #6	12,280,717	1,814,534	1,040,200
WIF #7	9,467,906	3,472,671	929,370
WIF #8	14,803,230	1,881,664	1,916,779

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<b>TY 2019 Other Decreases Schedule</b>		
<b>Name:</b> Woodcock Foundation		
<b>EIN:</b> 34-1606085		
Description	Amount	
PRIOR PERIOD ADJUSTMENT	537,003	

## TY 2019 Other Expenses Schedule

**Name:** Woodcock Foundation**EIN:** 34-1606085**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ANNUAL FILING FEES	1,500	1,500		
OFFICE EXPENSES	11,568	11,568		
MEMBERSHIPS	14,917	14,917		
OTHER MISCELLANEOUS EXPENSES	24,358	24,358		
INSURANCE EXPENSE	1,124	1,124		
COMPUTER EXPENSE	6,250	6,250		
THRU PARTNERSHIPS - PORT EXPNS	866,698	866,698		
NONDEDUCTIBLE EXPENSES	5,599			
THRU PARTNERSHIPS	212,371			

## TY 2019 Other Income Schedule

**Name:** Woodcock Foundation

**EIN:** 34-1606085

### Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL REFUND	55,000		
OTHER INCOME	91,558		

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2019 Other**
**Notes/Loans Receivable**  
**Long Schedule**
**Name:** Woodcock Foundation**EIN:** 34-1606085

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
ACUMEN FUND		482,935	0				0 %		PROVIDE CAPITAL FOR SOCIAL INNOVATION	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
ROOT CAPITAL INC		100,000	0	2010-05	2017-09	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	175 %		FINANCING INTERNATIONAL AGRICULTURAL ENTREPRENEURS	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
LUMNI INC		50,000	56,271	2011-05	2016-12	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	6 %		GIVE STUDENTS THE ABILITY TO INVEST IN THEIR EDUCA	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
CLEARBON INC		50,000	50,000		2020-12	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	6 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
CALIFORNIA HARVESTERS INC		250,000	250,000	2018-06	2025-06	MONTHLY PAYMENTS OF ACCRUED INTEREST AND PRINCIPAL	7 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
CALVERT FOUNDATION		250,000	0		2019-12	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	6 %			SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
RURAL COMMUNITY ASSISTANCE CORPORATION		250,000	250,000	2018-09	2028-09		2 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
SIXUP COMMUNITIY FUND I LLC		250,000	250,000		2022-09	ACCRUED INTEREST PLUS OUTSTANDING PRINCIPAL QTRLY	250 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
CATCH INVEST VINEYARD FISH & FLEET		242,232	200,064		2024-04	PRINCIPAL AMOUNT DUE WITH SIMPLE INTEREST	3 %		SUPPORT MARTHA'S VINEYARD PRESERVATION TRUST	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
THE MELOY FUND		17,500	0				0 %			SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	

Borrower's Name	Relationship to Insider	Original Amount of Loan	Balance Due	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Security Provided by Borrower	Purpose of Loan	Description of Lender Consideration	Consideration FMV
LOCAL INITIATIVES SUPPORT CORP		250,000	250,000		2024-03		275 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
NESS SOCIAL ENTERPRISE LOAN FUND LLC		250,000	250,000		2023-02		0 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
NH COMMUNITY LOAN		250,000	250,000				150 %		FINANCING	SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	
REDF IMPACT INVESTING		250,000	250,000				300 %			SUPPORT WOMEN INVESTING IN WOMEN INITIATIVE INVEST IN FISH-RELATED ENTERPRISES	

## TY 2019 Other Professional Fees Schedule

**Name:** Woodcock Foundation**EIN:** 34-1606085

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
CUSTODY FEES	8,139	8,139		
OTHER PROFESSIONAL FEES	211,081	211,081		
MANAGEMENT & ADVISORY FEES	66,157	66,157		

# TY 2019 Substantial Contributors

## Schedule

**Name:** Woodcock Foundation

**EIN:** 34-1606085

<b>Name</b>	<b>Address</b>
PWG JHJ GUTH CLT 17	45 ROCKEFELLER PLAZA 5TH FL NEW YORK, NY 10111

## TY 2019 Taxes Schedule

**Name:** Woodcock Foundation**EIN:** 34-1606085

<b>Category</b>	<b>Amount</b>	<b>Net Investment Income</b>	<b>Adjusted Net Income</b>	<b>Disbursements for Charitable Purposes</b>
FOREIGN TAXES	36,377	36,377		
FEDERAL EXCISE TAXES	207,000			
OTHER TAXES	194			
STATE TAXES	10,760			

**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

OMB No. 1545-0047

► Attach to Form 990, 990-EZ, or 990-PF.  
► Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

**2019**Name of the organization  
Woodcock FoundationEmployer identification number  
34-1606085**Organization type** (check one):**Filers of:****Section:**

Form 990 or 990-EZ

501(c)( ) (enter number) organization  
 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation  
 527 political organization

Form 990-PF

501(c)(3) exempt private foundation  
 4947(a)(1) nonexempt charitable trust treated as a private foundation  
 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub> support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ► \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization  
Woodcock FoundationEmployer identification number  
34-1606085

<b>Part I</b>		<b>Contributors</b> (see instructions). Use duplicate copies of Part I if additional space is needed.	
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	PWG JHJ GUTH CLT 17 45 ROCKEFELLER PLAZA 5TH FL  NEW YORK, NY 10111	\$ 5,967,192	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
2	GIFTS RECEIVED 45 ROCKEFELLER PLAZA 5TH FL  NEW YORK, NY 10111	\$ 426,694	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)
		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash  (Complete Part II for noncash contributions.)





**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 1

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

**From: 12/01/2019 To: 11/30/2020**

<b>Item No.</b>	<b>Donee Name/Address</b>	<b>Description</b>	<b>Date</b>	<b>Amount</b>
<b>Group 2</b>				
1	Accountability Counsel (ACTBLYCN) 244 Kearny Street, Floor 6 San Francisco, CA, 94108	(6670) Grants Paid	10/02/2020	50,000.00
2	Acta Non Verba (ACTA NON) 1001 83rd Avenue Mailbox #1 Oakland, CA, 94621	(6670) Grants Paid	10/02/2020	5,000.00
3	Acumen Fund, Inc. (ACUMEN) 74 Trinity Place 9th Floor New York, NY, 10006	(6670) Grants Paid (6670) Grants Paid	09/29/2020 10/02/2020	10,000.00 167,000.00
		Acumen Fund, Inc. (ACUMEN) Sub Total:		177,000.00
4	Alianza Americas (ALIANZA) 1638 S. Blue Island Avenue Chicago, IL, 60608	(6670) Grants Paid	12/19/2019	50,000.00
5	American Friends of Canadian Conservation (AFOCC) Attn: Gail Kruk 336 36th Street, #717 Bellingham, WA, 98225	(6670) Grants Paid	01/28/2020	5,000.00
6	American Friends of Canadian Nature Inc (AMERICAD) 245 Eglinton Ave E, Suite 410 Toronto Ontario M4P 3J1,	(6670) Grants Paid (6670) Grants Paid	03/24/2020 04/20/2020	50,000.00 35,435.00
		American Friends of Canadian Nature Inc (AMERICAD) Sub Total:		85,435.00
7	Auburn Theological Seminary (AUBURNTS) 475 Riverside Drive Suite 1800 New York, NY, 10115	(6670) Grants Paid	05/27/2020	10,000.00
8	Beats, Rhymes, and Life (BEATS) 450 Santa Clara Oakland, CA, 94610	(6670) Grants Paid (6670) Grants Paid	12/19/2019 04/23/2020	15,000.00 25,000.00
		Beats, Rhymes, and Life (BEATS) Sub Total:		40,000.00
9	Center for Large Landscape Conservation (CNTRLRGE) PO Box 1587 Bozeman, MT, 59771	(6670) Grants Paid	12/19/2019	70,000.00

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 2

(Gifts were made in cash unless otherwise stated. Securities given, unless otherwise stated, were regularly traded on a national securities exchange or over the counter market and were valued at the average of the high and low transactions on the date of gift.)

**From: 12/01/2019 To: 11/30/2020**

Item No.	Donee Name/Address	Description	Date	Amount
<b>Group 2 (cont'd)</b>				
9	Center for Large Landscape Conservation (CNTRLRGE) (cont'd)			
		(6670) Grants Paid	01/28/2020	81,500.00
		(6670) Grants Paid	10/02/2020	15,000.00
		Center for Large Landscape Conservation (CNTRLRGE) Sub Total:		166,500.00
10	Chase Card Services (CCSVCS) Cardmember Services PO Box 15153 Wilmington, DE, 19886-5153			
		(6610) Contributions - Cash 50% Char	04/13/2020	190.00
		(6610) Contributions - Cash 50% Char	05/11/2020	56.70
		(6610) Contributions - Cash 50% Char	06/12/2020	78.99
		(6610) Contributions - Cash 50% Char	07/10/2020	25.00
		Chase Card Services (CCSVCS) Sub Total:		350.69
11	Columbia Land Conservancy (2242) Attn. Judy Anderson, Director P.O. Box 299 - 49 Ma in Street Chatham, NY, 12037			
		(6670) Grants Paid	12/19/2019	20,000.00
		(6670) Grants Paid	10/02/2020	20,000.00
		Columbia Land Conservancy (2242) Sub Total:		40,000.00
12	Confluence Philanthropy (CONPHILA) 475 Riverside Drive, Suite 900 New York, NY, 10115			
		(6670) Grants Paid	03/02/2020	10,000.00
13	Cornell University (2451) Box 223623 Pittsburgh, PA, 15251-2623			
		(6670) Grants Paid	01/28/2020	20,000.00
14	Eastern Maine Medical Center (EASTERN1) 43 Whiting Hill Road Suite 300 Brewer, ME, 04412-1006			
		(6670) Grants Paid	12/19/2019	250,000.00
15	Fair Food Network (FAIR FO) 112-B Main Street Ann Arbor, MI, 48104			
		(6670) Grants Paid	01/28/2020	100,000.00
		(6670) Grants Paid	10/30/2020	6,300.00
		Fair Food Network (FAIR FO) Sub Total:		106,300.00
16	Family Values at Work A Multi-State Consortium (FAMVAL) 207 E Buffalo St. Ste 211 Milwaukee, WI, 53202			
		(6670) Grants Paid	06/24/2020	150,000.00

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 3

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**From: 12/01/2019 To: 11/30/2020**

Item No.	Donee Name/Address	Description	Date	Amount
<b>Group 2 (cont'd)</b>				
17	Farm Generations Cooperative (FARM GEN) Attn: Lindsey Shute 1830 Route 9 Germantown, NY, 12526	(6670) Grants Paid	01/28/2020	15,000.00
18	Food & Environment Reporting Network (FERN) Tom Laskawy 725 Westview Street Philadelphia, PA, 19119	(6670) Grants Paid	12/19/2019	100,000.00
19	FoodCorps (FOODCORP) 514 E 12th Str. Suite 11 New York, NY, 10009	(6670) Grants Paid (6610) Contributions - Cash 50% Char	12/19/2019 09/29/2020	100,000.00 349.00
		FoodCorps (FOODCORP) Sub Total:		100,349.00
20	Forum for Youth Investment (FORUMFYI) 7064 Eastern Ave NW Washington, DC, 20012	(6670) Grants Paid	12/19/2019	15,000.00
21	Free Press (FRPR) 100 Main Street Po Box 28 Northhampton, MA, 01061	(6670) Grants Paid	10/02/2020	250,000.00
22	FRRC Education Fund (FRRC) 4081 L.B. McLeod Road Suite C Orlando, FL, 32811	(6610) Contributions - Cash 50% Char (6610) Contributions - Cash 50% Char	10/14/2020 11/13/2020	50.00 100.00
		FRRC Education Fund (FRRC) Sub Total:		150.00
23	Glynwood (GLYNWOOD) P.O. Box 157 Cold Spring, NY, 10516	(6670) Grants Paid	12/19/2019	10,000.00
24	Good Nation Foundation Inc. (GOOD NAT) 601 W 26th St, Ste 325-26 New York, NY, 10001	(6670) Grants Paid	07/15/2020	350,000.00
25	Hopewell Fund (HOPEWELL) 1201 Connecticut Ave. NW, Suite 300 Washington, DC, 20036	(6670) Grants Paid	06/24/2020	150,000.00
26	IDEO.org (IDORG) 100 Forest Ave. Palo Alto, CA, 94301	(6670) Grants Paid	10/02/2020	75,000.00

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 4

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**From: 12/01/2019 To: 11/30/2020**

Item No.	Donee Name/Address	Description	Date	Amount
<b>Group 2 (cont'd)</b>				
27	Institute for Agriculture and Trade Policy (4902) 2105 First Ave. S. Minneapolis, MN, 55404	(6620) Contributions - Cash 60% Char (6620) Contributions - Cash 60% Char	01/28/2020 03/25/2020	1,000.00 35,000.00 36,000.00
		Institute for Agriculture and Trade Policy (4902) Sub Total:		
28	Kenyon College (KENYONC) Accounting Office 209 Chase Avenue Gambier, OH, 43022	(6670) Grants Paid	05/27/2020	100,000.00
29	LGBTQ Freedom Fund (LGBTQ) 213 SW 2nd St., Suite J Fort Lauderdale, FL, 33301	(6610) Contributions - Cash 50% Char	07/10/2020	25.00
30	Life Pieces to Masterpieces, Inc. (LIFEPTM) c/o Dr. Charles R. Drew Elementary School 5600 Eads Street, NE Washington, DC, 20019	(6670) Grants Paid (6670) Grants Paid	12/19/2019 04/23/2020	15,000.00 25,000.00 40,000.00
		Life Pieces to Masterpieces, Inc. (LIFEPTM) Sub Total:		
31	Maine Coast Heritage Trust (C7755) One Bowdoin Mill Island, Suite 201 Topsham, ME, 04086	(6670) Grants Paid	03/25/2020	750,000.00
32	Maine Organic Farmers and Gardeners Association (MAINEORG) P O Box 170 294 Crosby Brook Road Unity, ME, 04988	(6670) Grants Paid	10/02/2020	750,000.00
33	Meridian Institute (MERIDINS) P.O. Box 1829 105 Village Place Dillon, CO, 80435	(6670) Grants Paid	03/02/2020	1,000.00
34	Miistakis Institute for the Rockies (MIISTAKI) c/o Environmental Design 2500 University Drive, NW Calgary, Alberta T2N 1N4T,	(6670) Grants Paid	12/19/2019	20,000.00
35	National Young Farmers Coalition (NYNGFRMC) P.O. Box 1074 Hudson, NY, 12534	(6670) Grants Paid (6670) Grants Paid	08/03/2020 10/05/2020	5,000.00 50,000.00 55,000.00
		National Young Farmers Coalition (NYNGFRMC) Sub Total:		

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 5

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**From: 12/01/2019 To: 11/30/2020**

Item No.	Donee Name/Address	Description	Date	Amount
<b>Group 2 (cont'd)</b>				
36	NEO Philanthropy (NEOPHILA) Attn: Crystal Tsoi 45 West 36th Street, 6th Floor New York, NY, 10018	(6670) Grants Paid	01/28/2020	20,000.00
37	New Venture Fund (NEWVEN) 1201 Connecticut Ave Suite 300 Washington, DC, 20036	(6670) Grants Paid	06/26/2020	100,000.00
38	Northeast Farm Access (NORTHFAR) 29 Center St. Keene, NH, 03431	(6670) Grants Paid	06/24/2020	10,000.00
39	Paraprofessional Healthcare Institute (A BARIOS) Attn: Abigail Barrios, Senior Accountant 400 East Fordham Road, 11th Floor Bronx, NY, 10458	(6670) Grants Paid	10/02/2020	166,000.00
40	Peace Is Loud (PEACE IS) 25 East 21st Street 7th Floor New York, NY, 10010	(6670) Grants Paid	10/05/2020	5,000.00
41	REDF (REDF) 631 Howard St. Suite 320 San Francisco, CA, 94105	(6670) Grants Paid	10/02/2020	166,000.00
42	Rockefeller Philanthropy Advisors (C8049) Rockefeller & Co., Inc, 30 Rockefeller Plaza New York, NY, 10112	(6670) Grants Paid (6670) Grants Paid (6670) Grants Paid (6670) Grants Paid	03/02/2020 06/17/2020 06/17/2020 10/02/2020	10,000.00 250,000.00 162,000.00 3,000.00
		Rockefeller Philanthropy Advisors (C8049) Sub Total:		425,000.00
43	Roots of Empathy (ROOTSOFE) 5916 SW Stevens Street Seattle, WA, 98116	(6670) Grants Paid	12/19/2019	15,000.00
44	Scenic Hudson Inc (8766) One Civic Center Plaza Suite 200 Poughkeepsie, NY, 12601	(6670) Grants Paid (6670) Grants Paid	12/19/2019 10/02/2020	20,000.00 20,000.00
		Scenic Hudson Inc (8766) Sub Total:		40,000.00

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 6

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**From: 12/01/2019 To: 11/30/2020**

Item No.	Donee Name/Address	Description	Date	Amount
<b>Group 2 (cont'd)</b>				
45	Soapbox The Feminist Foundation (SOAPBOXF) 106 Suffolk Street, Suite 2A New York, NY, 10018	(6670) Grants Paid	10/02/2020	5,000.00
46	Spark MicroGrants (SPKMCGRN) c/o Knotel 116 West Houston Street New York, NY, 10012	(6670) Grants Paid (6610) Contributions - Cash 50% Char	12/19/2019 10/14/2020	200,000.00 515.00
		Spark MicroGrants (SPKMCGRN) Sub Total:		200,515.00
47	SURJ Education Fund (SURJ) P.O. Box 1053 Buffalo, NY, 14205	(6670) Grants Paid	10/27/2020	2,700.00
48	Sustainable Agriculture and Food Systems Funders (SAAFSF) 911 W. Pedregosa Street Santa Barbara, CA, 93101	(6670) Grants Paid	10/28/2020	5,000.00
49	The 1833 Society (PTRBLIB) 2 Concord Street Peterborough, NH, 03458	(6670) Grants Paid	06/03/2020	250,000.00
50	The Yellowstone to Yukon Conservation Initiative (YELL) 710 9th Street, Studio B Canmore, Alberta T1W2V7,	(6670) Grants Paid (6670) Grants Paid	12/19/2019 04/23/2020	205,000.00 20,000.00
		The Yellowstone to Yukon Conservation Initiative (YELL) Sub Total:		225,000.00
51	Tides Foundation (10273) PO BOX 29903 San Francisco, CA, 94129	(6670) Grants Paid (6670) Grants Paid (6670) Grants Paid	05/28/2020 05/28/2020 07/15/2020	30,000.00 100,000.00 350,000.00
		Tides Foundation (10273) Sub Total:		480,000.00
52	UltraViolet Education Fund (UVEDFUND) P.O. Box 34756 Washington, DC, 20043	(6670) Grants Paid (6670) Grants Paid	12/19/2019 03/25/2020	30,000.00 50,000.00
		UltraViolet Education Fund (UVEDFUND) Sub Total:		80,000.00

Run Date: 04/14/2021 7:56 PM

**Rockefeller Capital Management**  
**Charitable Contributions**  
**Woodcock Foundation (0180)**

Page 7

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**From: 12/01/2019 To: 11/30/2020**

<b>Item No.</b>	<b>Donee Name/Address</b>	<b>Description</b>	<b>Date</b>	<b>Amount</b>
<b>Group 2 (cont'd)</b>				
53	Urban Growers Collective (URBANGRO) 1200 West 35th Street Box 118 Chicago, IL, 60609	(6670) Grants Paid	10/02/2020	5,000.00
54	Village of Wisdom (VILLAGEW) 600 E. Umstead Ave Durham, NC, 27703	(6670) Grants Paid	12/19/2019	15,000.00
55	Waterwell Productions, Inc. (WATPRO) 330 W. 42nd Street, Ste 2301 New York, NY, 10036	(6670) Grants Paid	05/27/2020	80,000.00
56	Women Moving Millions (WOMEN MO) 19 Fulton Street #301 New York, NY, 10038	(6670) Grants Paid	06/24/2020	10,000.00
<b>Group 2 Sub Total:</b>				<b>6,288,324.69</b>
<b>Grand Total :</b>				<b>6,288,324.69</b>