

Form **990-PF**
 Department of the Treasury
 Internal Revenue Service

**Return of Private Foundation
 or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter social security numbers on this form as it may be made public.
 ▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 12-01-2019 , and ending 11-30-2020

Name of foundation THE NEUBERGER BERMAN FOUNDATION		A Employer identification number 31-1736689	
% MARIA ANGELOV PRESIDENT			
Number and street (or P.O. box number if mail is not delivered to street address) 1290 AVENUE OF THE AMERICAS Suite 2	Room/suite	B Telephone number (see instructions) (212) 476-5570	
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10104		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <u>9,134,861</u>		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	916,430			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	185,360	185,120		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	260,894			
	b Gross sales price for all assets on line 6a	8,615,506			
	7 Capital gain net income (from Part IV, line 2)		312,387		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	3,240	1,177			
12 Total. Add lines 1 through 11	1,365,924	498,684			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	170	0	0	170
	b Accounting fees (attach schedule)	85,945	42,973	0	50,473
	c Other professional fees (attach schedule)				
	17 Interest	1,225	1,025		
	18 Taxes (attach schedule) (see instructions)	1,909	2		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,785	3,342		443
	24 Total operating and administrative expenses. Add lines 13 through 23	93,034	47,342	0	51,086
	25 Contributions, gifts, grants paid	1,545,006			1,545,006
26 Total expenses and disbursements. Add lines 24 and 25	1,638,040	47,342	0	1,596,092	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-272,116				
b Net investment income (if negative, enter -0-)		451,342			
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	49,820	49,814	49,814
	2 Savings and temporary cash investments	398,618	136,770	136,770
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	755,276	628,970	628,970
	b Investments—corporate stock (attach schedule)	1,001,590	1,754,278	1,754,278
	c Investments—corporate bonds (attach schedule)	2,373,583	2,322,704	2,322,704
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	4,066,665	4,167,015	4,167,015
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	7,320	75,310	75,310	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	8,652,872	9,134,861	9,134,861	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	32,985	98,162	
	23 Total liabilities (add lines 17 through 22)	32,985	98,162	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions	8,619,887	9,036,699	
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds			
29 Total net assets or fund balances (see instructions)	8,619,887	9,036,699		
30 Total liabilities and net assets/fund balances (see instructions) .	8,652,872	9,134,861		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	8,619,887
2 Enter amount from Part I, line 27a	2	-272,116
3 Other increases not included in line 2 (itemize) ▶ _____	3	692,822
4 Add lines 1, 2, and 3	4	9,040,593
5 Decreases not included in line 2 (itemize) ▶ _____	5	3,894
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	9,036,699

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
1a See Additional Data Table					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a See Additional Data Table					
b					
c					
d					
e					
(i) F.M.V. as of 12/31/69			(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
a See Additional Data Table					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					2 312,387
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	1,335,230	8,657,551	0.154227
2017	1,343,792	9,458,436	0.142073
2016	1,134,755	9,506,221	0.11937
2015	1,068,748	9,384,631	0.113883
2014	1,131,909	10,220,400	0.11075
2 Total of line 1, column (d)			2 0.640303
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.128061
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 8,734,069
5 Multiply line 4 by line 3			5 1,118,494
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,513
7 Add lines 5 and 6			7 1,123,007
8 Enter qualifying distributions from Part XII, line 4			8 1,596,092

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

Table with 11 rows for excise tax calculation. Includes fields for exempt foundations, tax under section 511, tax based on investment income, and credits/payments. Total amount owed is 1,987.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, Yes, and No. Questions cover political activities, unrelated business income, and asset requirements.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address
14 The books are in care of MARIA ANGELOV PRESIDENT Telephone no. (212) 476-5570

Located at 1290 AVENUE OF THE AMERICAS 29TH F NEW YORK NY ZIP+4 10104

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year. 15
16 At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official?
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions. Organizations relying on a current notice regarding disaster assistance check here.
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement-see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period?
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
MARCUM LLP 10 MELVILLE PARK RD MELVILLE, NY 11747	ACCOUNTING SERVICES	58,695
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	8,306,538
b	Average of monthly cash balances.	1b	450,824
c	Fair market value of all other assets (see instructions).	1c	109,713
d	Total (add lines 1a, b, and c).	1d	8,867,075
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	8,867,075
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	133,006
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,734,069
6	Minimum investment return. Enter 5% of line 5.	6	436,703

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	436,703
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	4,513
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	4,513
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	432,190
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	432,190
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	432,190

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	1,596,092
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,596,092
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	4,513
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,591,579

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				432,190
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 2017, 2016, 2015		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	629,092			
b From 2015.	607,214			
c From 2016.	668,956			
d From 2017.	880,848			
e From 2018.	914,922			
f Total of lines 3a through e.	3,701,032			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ \$ <u>1,596,092</u>				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				432,190
e Remaining amount distributed out of corpus	1,163,902			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,864,934			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	629,092			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	4,235,842			
10 Analysis of line 9:				
a Excess from 2015.	607,214			
b Excess from 2016.	668,956			
c Excess from 2017.	880,848			
d Excess from 2018.	914,922			
e Excess from 2019.	1,163,902			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year				(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test—enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .

c "Support" alternative test—enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
See Additional Data Table				
Total			▶ 3a	1,545,006
<i>b Approved for future payment</i>				
Total			▶ 3b	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
PASS THROUGH PARTNERSHIP K-1- LT	P		
PASS THROUGH PARTNERSHIP K-1- ST	P		
PASS THROUGH PARTNERSHIP K-1- LT	P		
PASS THROUGH K-1- SECTION 1231	P		
PUBLICLY TRADED SECURITIES- 1400	P		
PUBLICLY TRADED SECURITIES- DONATED STOCK 3755	P		
PUBLICLY TRADED SECURITIES- 4159	P		
PUBLICLY TRADED SECURITIES- 6832	P		
PUBLICLY TRADED SECURITIES- 6831	P		
PUBLICLY TRADED SECURITIES- 6989	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5			5
		33,405	-33,405
47,491			47,491
		1,364	-1,364
4,591,005		4,493,002	98,003
66,782		14,911	51,871
2,323,562		2,318,182	5,380
192,507		175,563	16,944
1,181,634		1,156,898	24,736
105,000		108,431	-3,431

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5
			-33,405
			47,491
			-1,364
			98,003
			51,871
			5,380
			16,944
			24,736
			-3,431

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation				
(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
AISHA HAQUE resigned 412020	DIRECTOR	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			
DAVID PEDOWITZ	TREASURER	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	2.0			
SANDY M POMEROY resigned 412020	DIRECTOR	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			
ALLISON SALOY	DIRECTOR	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			
MARIA ANGELOV	president	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	20.0			
ANTHONY TUTRONE	Director	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			
GORKY URQUIETA	DIRECTOR	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			
HEATHER ZUCKERMAN	DIRECTOR	0	0	0
1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	1.0			

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SEE STATEMENT E SEE STATEMENT E NEW YORK, NY 10104	none	PC	SEE STATEMENT E	1,545,000
PASS THROUGH K-1 CONTRIBUTIONS PASS THROUGH K-1 NEW YORK, NY 10104	NONE	PC	PASS THROUGH K-1: 50% CONTRIBUTIONS	6
Total ▶ 3a				1,545,006

Form 990PF Part XVI-A Line 11 - Other revenue:

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions.)
11 Other revenue:	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a PASS THRU K-1: ORDINARY INCOME		2,065	18	37	
b PASS THRU K-1: RENTAL INCOME			18	3	
c PASS THRU K-1: ROYALTY INCOME			18	32	
d PASS THRU K-1: OTHER INCOME		-2	18	1,108	
e PASS THRU K-1: OTHER LOSS			18	-3	
f 3					

TY 2019 Accounting Fees Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	85,945	42,973		50,473

TY 2019 Contractor Compensation Explanation**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Contractor	Explanation
MARCUM LLP	ACCOUNTING SERVICES

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: THE NEUBERGER BERMAN FOUNDATION

EIN: 31-1736689

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Gain/Loss from Sale of Other Assets Schedule

Name: THE NEUBERGER BERMAN FOUNDATION

EIN: 31-1736689

Gain Loss Sale Other Assets Schedule

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
PASS THROUGH PARTNERSHIP K-1- ST (UBT)		P			32				32	
PASS THROUGH PARTNERSHIP K-1- LT (UBT)		P			1,331				1,331	

TY 2019 Investments Corporate Bonds Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME- SEE STATEMENT C	2,322,704	2,322,704

TY 2019 Investments Corporate Stock Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MARKETABLE SECURITIES:STMT B	1,754,278	1,754,278

TY 2019 Investments Government Obligations Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**US Government Securities - End
of Year Book Value:**

628,970

**US Government Securities - End
of Year Fair Market Value:**

628,970

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2019 Investments - Other Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**Investments Other Schedule 2**

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS- SEE STATEMENT D	FMV	4,015,660	4,015,660
INV IN PUBL TRADED PARTNERSHI	FMV	0	0
MASTER LIMITED PARTNERSHIP	FMV	2,442	2,442
INV IN TRINITY FUND	FMV	148,913	148,913

TY 2019 Legal Fees Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	170			170

TY 2019 Other Assets Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**Other Assets Schedule**

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST & DIV REC	7,320	6,823	6,823
DUE FROM BROKER	0	68,487	68,487

TY 2019 Other Decreases Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Description	Amount
DEFERRED FEDERAL EXCISE TAX	3,894

TY 2019 Other Expenses Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	424	424		
NYS FILING FEES	275			275
DE REGISTERED AGENT	168			168
PASS THRU K-1: OTHER DED	91	91		
PASS THRU K-1: ROYALTIES	15	15		
PASS THRU K-1: SECTION 59(E)(2)	105	105		
PASS THRU K-1: PORTFOLIO DED	2,704	2,704		
PASS THRU K-1: OTHER DEDUCTION	3	3		

TY 2019 Other Income Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
PASS THRU K-1: OTHER INCOME	-3	-3	
PASS THRU K-1: ORDINARY INCOME	2,102	37	
PASS THRU K-1: RENTAL INCOME	3	3	
PASS THRU K-1: ROYALTY INCOME	32	32	
PASS THRU K-1: OTHER INCOME	1,106	1,108	

TY 2019 Other Increases Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Description	Amount
UNREALIZED GAINS/LOSSES ON INVESTMENTS	636,914
PARTNERSHIP BOOK TO TAX ADJUSTMENT	55,908

TY 2019 Other Liabilities Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED FED EXCISE TAX	18,317	22,211
FEDERAL EXCISE TAX PAYABLE	8,443	2,576
DUE TO BROKER	6,225	73,375

TY 2019 Taxes Schedule**Name:** THE NEUBERGER BERMAN FOUNDATION**EIN:** 31-1736689

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	1,907			
FOREIGN TAX WITHHOLDING EXP	2	2		

Schedule B
(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors
▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Go to www.irs.gov/Form990 for the latest information.

OMB No. 1545-0047
2019

Name of the organization
THE NEUBERGER BERMAN FOUNDATION

Employer identification number
31-1736689

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note: Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution: An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
THE NEUBERGER BERMAN FOUNDATION

Employer identification number
31-1736689

Part I

Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEUBERGER BERMAN GROUP 1290 Avenue of the Americas- 41ST F NEW YORK, NY 10104	\$ 594,832	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
2	DONNA AND MARVIN SCHWARTZ FOUNDATIO 1290 AVENUE OF THE AMERICAS- 40TH F NEW YORK, NY 10104	\$ 250,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
3	JAMES BAKER 1290 AVENUE OF THE AMERICAS NEW YORK, NY 10104	\$ 15,000	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
4	JUDITH M VALE 1290 AVENUES OF THE AMERICAS NEW YORK, NY 10104	\$ 25,286	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
5	SANDY POMEROY 1290 Avenue of the Americas NEW YORK, NY 10104	\$ 24,970	<input checked="" type="checkbox"/> Person <input type="checkbox"/> Payroll <input checked="" type="checkbox"/> Noncash (Complete Part II for noncash contributions.)
.		\$	<input type="checkbox"/> Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash (Complete Part II for noncash contributions.)

Name of organization THE NEUBERGER BERMAN FOUNDATION	Employer identification number 31-1736689
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Part II Noncash Property			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions)	(d) Date received
<u>3</u>	56 SHS APPLE INC <small>(see instructions). Use duplicate copies of Part II if additional space is needed.</small>	\$ 15,000	2019-12-09
<u>4</u>	81 SHS IDEXX LABORATORIES INC	\$ 25,286	2020-06-05
<u>5</u>	329 SHS KIRKLAND LAKE GOLD LTD	\$ 14,667	2020-01-16
<u>5</u>	150 SHS ARCH RESOURCES INC	\$ 10,303	2020-01-16
.	_____ _____ _____	_____ \$ _____	_____
.	_____ _____ _____	_____ \$ _____	_____

Name of organization
 THE NEUBERGER BERMAN FOUNDATION

Employer identification number
 31-1736689

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$ _____
 Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	_____ _____	_____ _____	_____ _____
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____ _____		_____ _____	

Detail of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
FIXED INCOME									
US GOVERNMENTS AND AGENCIES									
UNITED STATES TREASURY NOTE 1.375% 04/30/2021 912828Q78	14,000	96.74	13,544	100.539	14,075.46	3.2	1.1	193	1.37
US TREASURY N/B 2.625% 07/15/2021 912828Y20	46,000	99.63	45,831	101.582	46,727.72	10.8	3.7	1,208	2.58
US TREASURY N/B 2.875% 10/15/2021 9128285F3	45,000	99.81	44,916	102.414	46,086.30	10.6	3.6	1,294	2.81
US TREASURY N/B 2.875% 11/15/2021 9128285L0	35,000	100.85	35,296	102.633	35,921.55	8.3	2.8	1,006	2.80
US TREASURY N/B 2.5% 01/15/2022 9128285V8	54,000	100.63	54,342	102.664	55,438.56	12.8	4.4	1,350	2.44
US TREASURY N/B 1.75% 07/15/2022 9128287C8	32,000	100.96	32,308	102.598	32,831.36	7.6	2.6	560	1.71

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)	
US TREASURY N/B 08/15/2022 912828YAZ	97,000	99.94	96,945	102.324	99,254.28	22.9	7.8	1,455	1.47	
US TREASURY N/B 07/15/2023 912828ZY9	54,000	99.99	53,994	99.879	53,934.66	12.4	4.2	68	0.13	
US TREASURY N/B 08/15/2023 91282CAF8	27,000	99.81	26,948	99.867	26,964.09	6.2	2.1	34	0.13	
US TREASURY N/B 09/15/2023 91282CAK7	23,000	99.93	22,985	99.852	22,965.96	5.3	1.8	29	0.13	
TOTAL US GOVERNMENTS AND AGENCIES	427,109		427,109		434,199.94	100.0	34.2	7,197	1.66	
MORTGAGE BACKED SECURITIES										
FNMA GTD PASS THRU POOL#AE6134 3.5% 10/01/2025 31419GY81	1,753.09	104.53	1,833	105.81	1,854.95	1.0	0.1	61	3.31	
FEDL HOME LOAN MTG CORP#G14828 3.5% 05/01/2026 3128MDSR5	3,903.32	104.26	4,070	105.79	4,129.32	2.1	0.3	137	3.31	
FNMA GTD PASS THRU POOL#AL3909 4% 05/01/2027 3138ELKX0	11,042.51	105.73	11,676	106.12	11,718.31	6.0	0.9	442	3.77	
FNMA GTD PASS THRU POOL#AP7539 3% 09/01/2027 3138MBLV6	6,264.01	102.47	6,419	104.85	6,567.81	3.4	0.5	188	2.86	
FEDL HOME LOAN MTG CORP#J24746 3% 07/01/2028 31307DHX7	6,042.1	102.30	6,181	106.09	6,410.06	3.3	0.5	181	2.83	
FNMA FN AL5803 10/01/2028 3138ENNV7	30,101.19	102.16	30,750	104.20	31,365.44	16.1	2.5	753	2.40	

Detail of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
FNMA FN BP5709 2.5% 05/01/2050 3140KDKX7	23,421.49	103.69	24,285	104.89	24,566.80	12.6	1.9	586	2.38
FHLMC FR QB0130 2.5% 06/01/2050 3133A6EB3	38,114.64	103.77	39,550	104.89	39,978.45	20.5	3.1	953	2.38
FNMA FN MA4210 2.5% 12/01/2050 31418DVC3	65,000	104.55	67,955	104.89	68,178.50	35.0	5.4	1,625	2.38
TOTAL MORTGAGE BACKED SECURITIES	185,642.35		192,719		194,769.64	100.0	15.3	4,926	2.53

TOTAL US GOV'T & MTG BACKED SEC

\$ 628,969.58

NEUBERGER BERMAN FOUNDATION

EIN: 31-1736689

FOR THE FISCAL YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
ACTIVISION BLIZZARD INC 00507V109	161	49.10	7,906	79.48	12,796.28	2.5	2.5	66	0.52
ADOBE SYSTEMS INC 00724F101	30	267.96	8,039	478.47	14,354.10	2.9	2.8	0	0.00
ADVANCED MICRO DEVICES INC 007903107	233	34.59	8,059	92.66	21,589.78	4.3	4.2	0	0.00
ALPHABET INC CLASS C CAPITAL STOCK 02079K107	9	1,185.16	10,666	1760.74	15,846.66	3.2	3.1	0	0.00
ANALOG DEVICES INC 032654105	107	108.44	11,603	139.08	14,881.56	3.0	2.9	265	1.78
ARROWHEAD PHARMACEUTICALS INC COM 04280A100	226	43.57	9,847	62.53	14,131.78	2.8	2.7	0	0.00
AXONICS MODULATION TECHNOLOG 05465P101	214	42.56	9,109	43.89	9,392.46	1.9	1.8	0	0.00
BEYOND MEAT INC 08862E109	60	58.57	3,514	139.90	8,394.00	1.7	1.6	0	0.00
CSX CORP 126408103	167	70.97	11,853	90.05	15,038.35	3.0	2.9	174	1.15
CHEWY INC - CLASS A 16679L109	156	33.58	5,239	77.58	12,102.48	2.4	2.3	0	0.00

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
COUPA SOFTWARE INC 22266L106	52	137.72	7,161	328.91	17,103.32	3.4	3.3	0	0.00
DEXCOM INC 252131107	37	171.86	6,359	319.68	11,828.16	2.4	2.3	0	0.00
DOCUSIGN INC 256163106	107	55.04	5,889	227.88	24,383.16	4.9	4.7	0	0.00
DRAFTKINGS INC - CL A 26142R104	252	54.31	13,687	52.36	13,194.72	2.6	2.6	0	0.00
EDWARDS LIFESCIENCES CORP 28176E108	193	63.53	12,261	83.89	16,190.77	3.2	3.1	0	0.00
ETSY INC COM 29786A106	115	55.65	6,400	160.70	18,480.50	3.7	3.6	0	0.00
EVERBRIDGE INC 29978A104	90	101.21	9,109	126.94	11,424.60	2.3	2.2	0	0.00
FACEBOOK INC CL A 30303M102	50	162.04	8,102	276.97	13,848.50	2.8	2.7	0	0.00
FIVE9 INC COM 338307101	118	105.86	12,492	155.20	18,313.60	3.6	3.5	0	0.00
GUARDANT HEALTH INC 40131M109	110	71.97	7,917	121.12	13,323.20	2.7	2.6	0	0.00
IDEXX LABORATORIES CORP 45168D104	38	221.27	8,408	460.98	17,517.24	3.5	3.4	0	0.00
INTUIT INC 461202103	42	252.51	10,606	352.02	14,784.84	2.9	2.9	99	0.67
KEYSIGHT TECHNOLOGIES INC COM 49338L103	136	96.23	13,088	120.04	16,325.44	3.2	3.2	0	0.00
MASIMO CORPORATION 574795100	49	141.99	6,957	254.49	12,470.01	2.5	2.4	0	0.00
MONSTER BEVERAGE CORPORATION NEW 61174X109	153	57.19	8,751	84.78	12,971.34	2.6	2.5	0	0.00

STATEMENT B: MARKETABLE SECURITIES

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
NETFLIX.COM INC 64110L106	22	356.45	7,842	490.70	10,795.40	2.1	2.1	0	0.00
NVIDIA CORP 67066G104	47	195.26	9,177	536.06	25,194.82	5.0	4.9	30	0.12
SILK ROAD MEDICAL INC 82710M100	185	36.60	6,771	57.30	10,600.50	2.1	2.1	0	0.00
TRADEWEB MARKETS INC 892672106	228	35.82	8,167	59.65	13,600.20	2.7	2.6	73	0.54
UNIVERSAL DISPLAY CORP 91347P105	85	153.51	13,048	229.04	19,468.40	3.9	3.8	51	0.26
ZEBRA TECHNOLOGIES CORP-CL A 989207105	43	243.31	10,462	378.42	16,272.06	3.2	3.2	0	0.00
ZENDESK INC COM 98936J101	142	80.76	11,468	133.50	18,957.00	3.8	3.7	0	0.00
ASML HOLDING N V N Y REGISTRY SHS NEW 2012 N07059210	39	201.54	7,860	437.73	17,071.47	3.4	3.3	95	0.55

STATEMENT B: MARKETABLE SECURITIES

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR FISCAL YEAR ENDED NOVEMBER 30, 2020

ABBVIE INC 00287Y109	107	83.62	8,947	104.58	11,190.06	0.9	0.9	556	4.97
ACADEMY SPORTS & OUTDOORS IN 00402L107	294	13.58	3,991	16.45	4,836.30	0.4	0.4	0	0.00
ACTIVISION BLIZZARD INC 00507V109	79	56.93	4,497	79.48	6,278.92	0.5	0.5	32	0.52
ADAPTIVE BIOTECHNOLOGIES 00650F109	80	44.04	3,523	48.22	3,857.60	0.3	0.3	0	0.00
ADVANCE AUTO PARTS INC 00751Y106	31	132.80	4,117	147.70	4,578.70	0.4	0.4	31	0.68
AIR PRODUCTS & CHEMICALS INC 009158106	12	206.42	2,477	280.14	3,361.68	0.3	0.3	64	1.91
ALEXION PHARMACEUTICALS INC 015351109	35	105.61	3,696	122.11	4,273.85	0.3	0.3	0	0.00
ALLIANT ENERGY CORP 018802108	22	55.53	1,222	52.60	1,157.20	0.1	0.1	33	2.89
ALPHABET INC CLASS C CAPITAL STOCK 02079K107	11	1,238.93	13,628	1760.74	19,368.14	1.6	1.5	0	0.00
ALPHABET INC CLASS A COMMON STOCK 02079K305	19	1,393.08	26,468	1754.40	33,333.60	2.7	2.6	0	0.00

NEUBERGER BERMAN FOUNDATION
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DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
ALTYCE USA INC- A 02156K103	390	24.86	9,694	33.92	13,228.80	1.1	1.1	0	0.00
ALTRIA GROUP INC 02209S103	119	42.72	5,084	39.83	4,739.77	0.4	0.4	409	8.64
AMAZON.COM INC 023135106	19	1,982.04	37,659	3168.04	60,192.76	4.9	4.8	0	0.00
AMERICAN ELECTRIC POWER CO INC 025537101	21	83.27	1,749	84.89	1,782.69	0.1	0.1	62	3.49
AMERICAN HOMES 4 RENT CL A 02665T306	44	23.46	1,032	28.72	1,263.68	0.1	0.1	9	0.70
AMERICOLD REALTY TRUST 03064D108	67	33.50	2,244	34.13	2,286.71	0.2	0.2	56	2.46
AMETEK INC NEW 031100100	35	86.64	3,032	118.53	4,148.55	0.3	0.3	25	0.61
AMPHENOL CORP NEW-CL A 032095101	58	96.18	5,578	130.81	7,586.98	0.6	0.6	67	0.89
ANALOG DEVICES INC 032654105	88	107.69	9,476	139.08	12,239.04	1.0	1.0	218	1.78
ANTHEM INC COM 036752103	14	261.28	3,658	311.52	4,361.28	0.4	0.3	53	1.22
APPLE INC 037833100	608	56.17	34,151	119.05	72,382.40	5.9	5.8	499	0.69
ASHLAND GLOBAL HOLDINGS INC 044186104	13	61.23	796	75.17	977.21	0.1	0.1	14	1.46
ASSURANT INC 04621X108	50	105.01	5,251	129.12	6,456.00	0.5	0.5	132	2.04
AUTODESK INC 052769106	9	172.32	1,551	280.23	2,522.07	0.2	0.2	0	0.00
BJ'S WHOLESALE CLUB HOLDINGS 05550J101	88	28.95	2,547	40.99	3,607.12	0.3	0.3	0	0.00
BARRICK GOLD CORP 067901108	18	18.57	334	23.14	416.52	0.0	0.0	5	1.21

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BELLRING BRANDS INC-CLASS A 079823100	256	18.86	4,827	20.40	5,222.40	0.4	0.4	0	0.00
BIOMARIN PHARMACEUTICAL INC 09061G101	37	83.70	3,097	78.70	2,911.90	0.2	0.2	0	0.00
BIOGEN IDEC INC 09062X103	7	313.50	2,195	240.17	1,681.19	0.1	0.1	0	0.00
BLACK KNIGHT INC 09215C105	42	57.05	2,396	91.62	3,848.04	0.3	0.3	0	0.00
BLACKROCK INC CL A 09247X101	6	473.64	2,842	698.35	4,190.10	0.3	0.3	87	2.08
BOEING CO 097023105	23	316.86	7,288	210.71	4,846.33	0.4	0.4	0	0.00
BOOKING HOLDINGS INC 09857L108	2	1,716.32	3,433	2028.45	4,056.90	0.3	0.3	0	0.00
BOSTON SCIENTIFIC CORP 101137107	204	36.03	7,351	33.15	6,762.60	0.6	0.5	0	0.00
BRISTOL MYERS SQUIBB CO 110122108	122	54.09	6,599	62.40	7,612.80	0.6	0.6	220	2.88
CDW CORPORATION COM 12514G108	13	96.28	1,252	130.49	1,696.37	0.1	0.1	21	1.23
CIGNA CORP 125523100	20	164.61	3,292	209.14	4,182.80	0.3	0.3	1	0.02
CSX CORP 126408103	74	64.71	4,788	90.05	6,663.70	0.5	0.5	77	1.15
CABOT OIL & GAS CORP 127097103	46	22.21	1,022	17.52	805.92	0.1	0.1	18	2.28
CACTUS INC-CLASS A 127203107	3	35.91	108	23.20	69.60	0.0	0.0	1	1.55
CARLYLE GROUP/THE 14316J108	125	24.82	3,103	28.41	3,551.25	0.3	0.3	125	3.52
CATERPILLAR INC 149123101	34	131.76	4,480	173.59	5,902.06	0.5	0.5	140	2.37

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CENTERPOINT ENERGY INC 15189T107	101	18.06	1,824	23.19	2,342.19	0.2	0.2	61	2.59
CHAMPIONX CORP 15872M104	3	41.83	125	11.88	35.64	0.0	0.0	0	0.00
CHENIERE ENERGY INC COM NEW 16411R208	29	54.46	1,579	56.69	1,644.01	0.1	0.1	0	0.00
CHEVRON CORPORATION 166764100	78	110.35	8,608	87.18	6,800.04	0.6	0.5	402	5.92
CISCO SYSTEMS INC 17275R102	170	48.96	8,324	43.02	7,313.40	0.6	0.6	245	3.35
CITIZENS FINANCIAL GROUP INC COM 174610105	361	31.12	11,234	32.66	11,790.26	1.0	0.9	563	4.78
COGNIZANT TECHNOLOGY SOLUTIONS CORP-CL A 192446102	80	64.61	5,169	78.13	6,250.40	0.5	0.5	70	1.13
COLFAX CORPORATION 194014106	77	31.47	2,423	36.08	2,778.16	0.2	0.2	0	0.00
COMCAST CORP NEW CL A 20030N101	187	39.07	7,306	50.24	9,394.88	0.8	0.7	172	1.83
CONCHO RESOURCES INC 20605P101	9	101.53	914	57.48	517.32	0.0	0.0	7	1.39
CONOCOPHILLIPS 20825C104	43	63.75	2,741	39.56	1,701.08	0.1	0.1	74	4.35
CONSTELLATION BRANDS INC CL A 21036P108	36	176.53	6,355	205.84	7,410.24	0.6	0.6	108	1.46
COOPER COMPANIES INC OLD (THE) 216648402	11	308.74	3,396	335.22	3,687.42	0.3	0.3	1	0.02
CROWDSTRIKE HOLDINGS INC - A 22788C105	12	80.26	963	153.28	1,839.36	0.2	0.1	0	0.00
CROWN HOLDINGS INC 228368106	10	55.33	553	94.25	942.50	0.1	0.1	0	0.00

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DTE ENERGY CO 233331107	15	110.60	1,659	125.81	1,887.15	0.2	0.2	65	3.45
DEERE & CO 244199105	18	159.15	2,865	261.62	4,709.16	0.4	0.4	55	1.16
DELTA AIR LINES INC DEL COM NEW 247361702	32	34.04	1,089	40.25	1,288.00	0.1	0.1	0	0.00
DEVON ENERGY CORPORATION NEW 25179M103	41	21.65	888	13.99	573.59	0.0	0.0	18	3.15
DISH NETWORK CORP CL A 25470M109	60	39.15	2,349	35.87	2,152.20	0.2	0.2	0	0.00
DOMINION ENERGY INC 25746U109	36	75.79	2,728	78.49	2,825.64	0.2	0.2	91	3.21
DOVER CORP 260003108	25	102.22	2,555	122.03	3,050.75	0.2	0.2	50	1.62
DUKE ENERGY CORPORATION HOLDING COMPANY NEW 26441C204	33	94.47	3,118	92.66	3,057.78	0.2	0.2	127	4.17
EOG RESOURCES INC 26875P101	29	86.43	2,507	46.88	1,359.52	0.1	0.1	44	3.20
EQT CORPORATION 26884L109	22	14.05	309	14.88	327.36	0.0	0.0	0	0.00
ECOLAB INC 278865100	10	182.20	1,822	222.15	2,221.50	0.2	0.2	19	0.85
EHEALTH INC 28238P109	20	118.77	2,375	75.99	1,519.80	0.1	0.1	0	0.00
ELECTRONIC ARTS 285512109	43	103.39	4,446	127.75	5,493.25	0.4	0.4	29	0.53
EMERSON ELECTRIC CO 291011104	33	62.67	2,068	76.82	2,535.06	0.2	0.2	67	2.63
ENTEGRIS INC 29362U104	26	42.49	1,105	92.62	2,408.12	0.2	0.2	8	0.35

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ENERGY CORP NEW 29364G103	12	100.28	1,203	108.85	1,306.20	0.1	0.1	46	3.49
EQUIFAX INC 294429105	15	141.22	2,118	166.90	2,503.50	0.2	0.2	23	0.93
EQUITABLE HOLDINGS INC 29452E101	181	20.00	3,620	25.38	4,593.78	0.4	0.4	123	2.68
EQUITY LIFESTYLE PROPERTIES INC 29472R108	77	57.54	4,431	58.59	4,511.43	0.4	0.4	105	2.34
EXELON CORP 30161N101	45	42.36	1,906	41.07	1,848.15	0.2	0.1	69	3.73
EXXON MOBIL CORP 30231G102	155	66.00	10,231	38.13	5,910.15	0.5	0.5	539	9.13
FMC CORP-NEW 302491303	21	85.28	1,791	116.01	2,436.21	0.2	0.2	37	1.52
FARFETCH LTD-CLASS A 30744W107	81	25.63	2,076	54.65	4,426.65	0.4	0.4	0	0.00
FEDEX CORP 31428X106	14	160.26	2,244	286.58	4,012.12	0.3	0.3	36	0.91
FIDELITY NATIONAL INFORMATION SERVICES INC 31620M106	86	121.55	10,453	148.41	12,763.26	1.0	1.0	120	0.94
FISERV INC 337738108	75	98.92	7,419	115.18	8,638.50	0.7	0.7	0	0.00
GALLAGHER ARTHUR J & CO 363576109	14	87.44	1,224	115.41	1,615.74	0.1	0.1	25	1.56
GENERAL MOTORS COMPANY 37045V100	106	31.59	3,348	43.84	4,647.04	0.4	0.4	0	0.00
HCA HEALTHCARE INC 40412C101	19	122.16	2,321	150.11	2,852.09	0.2	0.2	0	0.00
HARTFORD FINANCL SERV GRP INC 416515104	63	46.35	2,920	44.20	2,784.60	0.2	0.2	82	2.94

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HILL ROM HOLDINGS INC COM 431475102	36	103.33	3,720	94.86	3,414.96	0.3	0.3	32	0.93
HOMIE DEPOT INC 437076102	53	194.00	10,282	277.41	14,702.73	1.2	1.2	318	2.16
HONEYWELL INTL INC 438516106	42	152.80	6,418	203.92	8,564.64	0.7	0.7	156	1.82
HUMANA INC-WRTS 444859102	8	292.08	2,337	400.52	3,204.16	0.3	0.3	20	0.62
INTERCONTINENTALEXCHANGE GROUP INC 45866F104	98	82.72	8,107	105.51	10,339.98	0.8	0.8	118	1.14
INTERNATIONAL PAPER CO 460146103	21	45.68	959	49.48	1,039.08	0.1	0.1	43	4.14
INTUIT INC 461202103	25	258.53	6,463	352.02	8,800.50	0.7	0.7	59	0.67
INVITATION HOMES INC 46187W107	40	24.42	977	28.58	1,143.20	0.1	0.1	24	2.10
J P MORGAN CHASE & CO 46625H100	174	104.54	18,189	117.88	20,511.12	1.7	1.6	626	3.05
JACOBS ENGINEERING GROUP INC 469814107	18	81.64	1,470	107.84	1,941.12	0.2	0.2	14	0.70
JOHNSON & JOHNSON 478160104	127	137.17	17,421	144.68	18,374.36	1.5	1.5	513	2.79
KRATOS DEFENSE & SEC SOLUTIONS 50077B207	184	19.61	3,608	21.17	3,895.28	0.3	0.3	0	0.00
LKQ CORPORATION 501889208	151	26.77	4,042	35.22	5,318.22	0.4	0.4	0	0.00
LAM RESEARCH CORP 512807108	13	266.68	3,467	452.66	5,884.58	0.5	0.5	68	1.15
LAS VEGAS SANDS CORP 517634107	126	47.55	5,992	55.71	7,019.46	0.6	0.6	0	0.00

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LEAR CORPORATION NEW 521865204	8	113.56	908	142.95	1,143.60	0.1	0.1	8	0.70
LENNAR CORP CL A 526057104	41	58.13	2,383	75.86	3,110.26	0.3	0.2	41	1.32
ELI LILLY & CO 532457108	52	135.75	7,059	145.65	7,573.80	0.6	0.6	154	2.03
MARRIOTT INTERNATIONAL INC NEW CL A 571903202	34	94.16	3,201	126.87	4,313.58	0.4	0.3	0	0.00
MARTIN MARIETTA MATERIALS INC 573284106	3	187.27	562	265.63	796.89	0.1	0.1	7	0.86
MCDONALDS CORP 580135101	36	182.39	6,566	217.44	7,827.84	0.6	0.6	186	2.37
MICROSOFT CORP 594918104	312	148.76	46,414	214.07	66,789.84	5.4	5.3	699	1.05
MOHAWK INDUSTRIES INC 608190104	5	127.02	635	125.83	629.15	0.1	0.1	0	0.00
MONDELEZ INTERNATIONAL INC COM 609207105	175	50.40	8,821	57.45	10,053.75	0.8	0.8	221	2.19
MONSTER BEVERAGE CORPORATION NEW 61174X109	64	60.68	3,884	84.78	5,425.92	0.4	0.4	0	0.00
MORGAN STANLEY 617446448	210	45.40	9,535	61.83	12,984.30	1.1	1.0	294	2.26
MOTOROLA SOLUTIONS INC 620076307	21	141.22	2,966	171.53	3,602.13	0.3	0.3	60	1.66
NETFLIX COM INC 64110L106	15	347.88	5,218	490.70	7,360.50	0.6	0.6	0	0.00
NEWMONT MINING HOLDING CO NEW 651639106	37	40.51	1,499	58.82	2,176.34	0.2	0.2	59	2.72
NEXTERA ENERGY INC 65339F101	88	54.26	4,775	73.59	6,475.92	0.5	0.5	123	1.90

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NEXTERA ENERGY PARTNERS LP COM UNIT LTD PARTNERSHIP INT 65341B106	10	49.43	494	63.47	634.70	0.1	0.1	23	3.57
NIKE INC-CL B 654106103	65	104.05	6,764	134.70	8,755.50	0.7	0.7	72	0.82
NORTHROP GRUMMAN CORP 666807102	13	337.34	4,385	302.26	3,929.38	0.3	0.3	75	1.92
NOVARTIS AG-SPONSORED ADR 66987V109	13	89.30	1,161	90.83	1,180.79	0.1	0.1	32	2.73
NUCOR CORP 670346105	13	55.84	726	53.70	698.10	0.1	0.1	21	3.00
ONEOK INC (NEW) 682680103	30	27.78	833	35.87	1,076.10	0.1	0.1	112	10.43
ORACLE CORP 68389X105	127	57.35	7,284	57.72	7,330.44	0.6	0.6	122	1.66
OTIS WORLDWIDE CORP 68902V107	30	55.56	1,667	66.94	2,008.20	0.2	0.2	24	1.20
PG&E CORP 69331C108	174	12.34	2,147	12.70	2,209.80	0.2	0.2	0	0.00
PNC FINANCIAL SVCS GROUP INC 693475105	91	121.91	11,094	138.07	12,564.37	1.0	1.0	419	3.33
PPG INDUSTRIES INC 693506107	13	101.19	1,316	146.77	1,908.01	0.2	0.2	28	1.47
PACKAGING CORP AMER 695156109	4	98.47	394	130.00	520.00	0.0	0.0	13	2.43
PELTON INTERACTIVE INC 70614W100	38	51.65	1,963	116.35	4,421.30	0.4	0.4	0	0.00
PFIZER INC 717081103	343	35.62	12,218	38.31	13,140.33	1.1	1.0	521	3.97
PHILLIPS 66 COM 718546104	32	76.11	2,436	60.58	1,938.56	0.2	0.2	115	5.94

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PIONEER NATURAL RESOURCES CO 723787107	14	110.38	1,545	100.58	1,408.12	0.1	0.1	31	2.19
PROCTER & GAMBLE CO 742718109	112	110.03	12,323	138.87	15,553.44	1.3	1.2	354	2.28
PROGRESSIVE CORP-OHIO- 743315103	94	76.26	7,168	87.11	8,188.34	0.7	0.7	38	0.46
PROLOGIS INC 74340W103	60	89.82	5,389	100.05	6,003.00	0.5	0.5	139	2.32
PROOFPOINT INC 743424103	32	116.49	3,728	103.49	3,311.68	0.3	0.3	0	0.00
PUBLIC SERVICE ENTERPRISE GROUP INC 744573106	28	55.18	1,545	58.28	1,631.84	0.1	0.1	55	3.36
QUALCOMM INC 747525103	58	115.04	6,672	147.17	8,535.86	0.7	0.7	151	1.77
RANGE RESOURCES CORP 75281A109	28	10.05	281	7.30	204.40	0.0	0.0	0	0.00
REGENERON PHARMACEUTICALS INC 75886F107	9	449.83	4,049	516.03	4,644.27	0.4	0.4	0	0.00
S&P GLOBAL INC COM 78409V104	20	256.90	5,138	351.78	7,035.60	0.6	0.6	54	0.76
SAILPOINT TECHNOLOGIES HOLDI 78781P105	124	21.91	2,716	46.56	5,773.44	0.5	0.5	0	0.00
SALESFORCE.COM INC 79466L302	55	163.28	8,981	245.80	13,519.00	1.1	1.1	0	0.00
SCHLUMBERGER LTD 806857108	57	32.33	1,843	20.79	1,185.03	0.1	0.1	29	2.41
SELECTQUOTE INC 816307300	80	22.53	1,802	21.45	1,716.00	0.1	0.1	0	0.00
SEMPRA ENERGY 816851109	18	126.16	2,271	127.48	2,294.64	0.2	0.2	75	3.28

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SERVICENOW INC COM 81762P102	13	264.23	3,435	534.55	6,949.15	0.6	0.6	0	0.00
SHERWIN WILLIAMS CO 824348106	5	490.34	2,452	747.63	3,738.15	0.3	0.3	27	0.72
SIMPLY GOOD FOODS CO/THE 82900L102	122	17.07	2,083	21.74	2,652.28	0.2	0.2	0	0.00
SMARTSHEET INC-CLASS A 83200N103	34	44.03	1,497	58.03	1,973.02	0.2	0.2	0	0.00
SOUTHERN COPPER CORPORATION 84265V105	5	33.73	169	59.37	296.85	0.0	0.0	10	3.37
STANLEY BLACK & DECKER INC 854502101	25	130.26	3,256	184.31	4,607.75	0.4	0.4	70	1.52
STARWOOD PROPERTY TRUST INC 85571B105	174	19.22	3,344	17.94	3,121.56	0.3	0.2	334	10.70
STEEL DYNAMICS INC 858119100	20	31.49	630	36.21	724.20	0.1	0.1	20	2.76
SUNNOVA ENERGY INTERNATIONAL 86745K104	42	35.87	1,507	40.51	1,701.42	0.1	0.1	0	0.00
T MOBILE US INC 872590104	270	75.97	20,512	132.94	35,893.80	2.9	2.9	0	0.00
TARGA RESOURCES CORP 87612G101	100	21.00	2,100	23.50	2,350.00	0.2	0.2	40	1.70
TELADOC INC COM 87918A105	13	149.27	1,940	198.77	2,584.01	0.2	0.2	0	0.00
TEREX CORP NEW 880779103	69	19.92	1,374	31.00	2,139.00	0.2	0.2	0	0.00
TEXAS INSTRUMENTS INC 882508104	90	113.10	10,179	161.25	14,512.50	1.2	1.2	367	2.53
TEXTRON INC 883203101	18	47.38	853	45.10	811.80	0.1	0.1	1	0.18
REALREAL INC/THE 88339P101	232	16.40	3,804	13.85	3,213.20	0.3	0.3	0	0.00

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR FISCAL YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
THERMO FISHER SCIENTIFIC INC 883556102	26	288.81	7,509	464.98	12,089.48	1.0	1.0	23	0.19
TOLL BROTHERS INC 889478103	24	42.43	1,018	47.35	1,136.40	0.1	0.1	11	0.93
TRANSDIGM GROUP INC 893641100	7	492.78	3,449	579.19	4,054.33	0.3	0.3	0	0.00
TRANSUNION COM 89400J107	52	69.12	3,594	91.09	4,736.68	0.4	0.4	16	0.33
UBER TECHNOLOGIES INC 90353T100	81	31.01	2,512	49.66	4,022.46	0.3	0.3	0	0.00
ULTA BEAUTY INC 90384S303	20	232.61	4,652	275.40	5,508.00	0.4	0.4	0	0.00
UNION PACIFIC CORP 907818108	38	153.52	5,834	204.08	7,755.04	0.6	0.6	147	1.90
UNITED PARCEL SVC INC CL B 911312106	29	86.60	2,511	171.07	4,961.03	0.4	0.4	117	2.36
UNITED RENTALS INC 911363109	16	122.95	1,967	226.98	3,631.68	0.3	0.3	0	0.00
UNITEDHEALTH GROUP INC 91324P102	38	255.01	9,690	336.34	12,780.92	1.0	1.0	190	1.49
UNIVERSAL DISPLAY CORP 91347P105	15	148.94	2,234	229.04	3,435.60	0.3	0.3	9	0.26
UTZ BRANDS INC 918090101	147	13.73	2,019	20.18	2,966.46	0.2	0.2	29	0.99
VERISK ANALYTICS INC CL A 92345Y106	6	134.78	809	198.31	1,189.86	0.1	0.1	6	0.54
VERTEX PHARMACEUTICALS INC 92532F100	28	205.07	5,742	227.75	6,377.00	0.5	0.5	0	0.00
VIRGIN GALACTIC HOLDINGS INC 92766K106	162	14.24	2,306	26.61	4,310.82	0.4	0.3	0	0.00
VISA INC CL A 92826C839	108	165.62	17,887	210.35	22,717.80	1.9	1.8	138	0.61

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR FISCAL YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
VISTEON CORP 92839U206	29	73.57	2,133	120.85	3,504.65	0.3	0.3	0	0.00
VISTRA ENERGY CORP 92840M102	55	19.90	1,095	18.68	1,027.40	0.1	0.1	30	2.89
WEC ENERGY GROUP INC 92939U106		0.00	0	94.95	0.00	0.0	0.0	0	0.00
WAL-MART STORES INC 931142103	87	109.22	9,502	152.79	13,292.73	1.1	1.1	188	1.41
WEX INC 96208T104	12	161.37	1,936	173.24	2,078.88	0.2	0.2	0	0.00
WEYERHAEUSER CO 962166104	74	27.18	2,011	29.04	2,148.96	0.2	0.2	75	3.51
WILLIAMS COMPANIES INC 969457100	105	21.26	2,232	20.98	2,202.90	0.2	0.2	168	7.63
XPO LOGISTICS INC 983793100	22	65.56	1,442	106.68	2,346.96	0.2	0.2	0	0.00
ZIMMER HOLDINGS INC 98956P102	22	120.46	2,650	149.12	3,280.64	0.3	0.3	21	0.64
ZOETIS INC CLA 98978V103	41	118.48	4,858	160.38	6,575.58	0.5	0.5	33	0.50
AON PLC G0403H108	38	169.74	6,450	204.89	7,785.82	0.6	0.6	70	0.90
ATHENE HOLDING LTD-CLASS A G0684D107	61	39.98	2,439	44.35	2,705.35	0.2	0.2	0	0.00
EATON CORPORATION PLC G29183103	39	88.42	3,448	121.11	4,723.29	0.4	0.4	114	2.41
IHS MARKIT LTD SHS G47567105	81	63.01	5,104	99.46	8,056.26	0.7	0.6	55	0.68
JOHNSON CONTROLS INC INTERNATIONAL PLC ORDINARY SHARES G51502105	88	34.66	3,050	46.04	4,051.52	0.3	0.3	92	2.26

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
LINDE PLC G5494J103	26	185.20	4,815	256.42	6,666.92	0.5	0.5	100	1.50
MEDTRONIC PLC COM G5960L103	100	103.30	10,330	113.70	11,370.00	0.9	0.9	232	2.04
APTIV PLC G6095L109	30	78.43	2,353	118.70	3,561.00	0.3	0.3	0	0.00
NVENT ELECTRIC PLC G6700G107	90	23.37	2,103	23.00	2,070.00	0.2	0.2	63	3.04
TRANE TECHNOLOGIES PLC G8994E103	20	113.98	2,280	146.24	2,924.80	0.2	0.2	42	1.45
CHUBB LTD COM H1467J104	36	145.00	5,220	147.83	5,321.88	0.4	0.4	112	2.11
TE CONNECTIVITY LTD H84989104	32	82.54	2,641	113.97	3,647.04	0.3	0.3	61	1.68
CHECK POINT SOFTWARE TECHNOLOGIES LTD M22465104	35	102.76	3,597	117.68	4,118.80	0.3	0.3	0	0.00
WIX COM LTD M98068105	9	126.56	1,139	255.43	2,298.87	0.2	0.2	0	0.00
ASML HOLDING N V N Y REGISTRY SHS NEW 2012 N07059210	33	250.36	8,262	437.73	14,445.09	1.2	1.1	80	0.55
BHP BILLITON PLC SPONSORED ADR 05545E209	5	25.55	128	45.46	227.30	1.2	0.0	12	5.28
BROOKFIELD ASSET MANAGEMENT INC CLASS A LTD VTG SHS 112585104	204	34.26	6,988	40.49	8,259.96	42.8	0.7	98	1.19
NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) 641069406	49	109.28	5,355	111.08	5,442.92	28.2	0.4	104	1.90

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR FISCAL YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
RIO TINTO PLC SPONSORED ADR 767204100	7	57.25	401	64.97	454.79	2.4	0.0	27	5.94
ROCHE HOLDING LTD SPONSORED ADR REPSTG ORD 771195104	46	44.20	2,033	40.82	1,877.72	9.7	0.1	33	1.76
WASTE CONNECTIONS INC COM 94106B101	29	90.01	2,610	103.98	3,015.42	15.6	0.2	24	0.79
TOTAL COMMON STOCK - FOREIGN	340		17,515		19,278.11	100.0	1.5	298	1.55

TOTAL MARKETABLE SECURITIES

\$ 1,754,278

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR THE YEAR ENDED NOVEMBER 30, 2019

Detail of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
FIXED INCOME									
NEUBERGER BERMAN CORE BD FD INSTL CL 64128K405	38,925.04	10.48	407,895	11.11	432,457.15	25.1	7.5	13,468	3.11
NEUBERGER BERMAN INCOME FDS NEW EMRGNG MKT DEBT FD INSTL 64128K538	34,208.56	8.95	306,225	8.59	293,851.55	17.0	5.1	12,623	4.30
NEUBERGER BERMAN INCOME FDS NEW STRATEGIC INCOME FD CL R6 64128K561	58,134.21	10.89	632,826	11.33	658,660.61	38.2	11.5	25,637	3.89
NEUBERGER BERMAN EQUITY FDS HIGH INCOME BD FD CL R6 64128K579	13,077.48	9.12	119,295	8.65	113,120.18	6.6	2.0	5,741	5.08
NEUBERGER BERMAN INCOME FDS NEW FLOATING RATE INC FD INSTL 64128K694	23,632.68	10.02	236,870	9.59	226,637.44	13.1	3.9	9,571	4.22
TOTAL					1,724,726.93				

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR THE YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
DOMESTIC CORPORATE BONDS									
MARSH & MCLENNAN COS INC 3.5% 12/29/2020 571748BE1	25,000	99.93	24,982	100.239	25,059.75	4.2	2.0	875	3.49
TOTAL CAPITAL INTL SA CALLABLE @ 100.00 06/12/21 2.218% 07/12/2021-2021 89153VARO	26,000	100.00	26,000	101.038	26,269.88	4.4	2.1	577	2.20
BP CAPITAL MARKETS PLC 3.561% 11/01/2021 05565QBU1	20,000	100.75	20,149	102.909	20,581.80	3.4	1.6	712	3.46
CAPITAL ONE FINANCIAL CO CALLABLE @ 100.00 02/09/22 3.05% 03/09/2022-2022 14040HBL8	25,000	102.20	25,551	103.226	25,806.50	4.3	2.0	763	2.95
DTE ENERGY CO 2.6% 06/15/2022 233331BB2	10,000	99.89	9,989	103.279	10,327.90	1.7	0.8	260	2.52
TOYOTA MOTOR CREDIT CORP 4.5% 07/22/2022 89236THD0	33,000	99.94	32,980	100.385	33,127.05	5.5	2.6	149	0.45
BANK OF NY MELLON CORP 1.95% 08/23/2022 06406RAK3	10,000	99.97	9,997	102.884	10,288.40	1.7	0.8	195	1.90

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR THE YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
APPLE INC 1.7% 09/11/2022 037833DL1	18,000	100.11	18,021	102.606	18,469.08	3.1	1.5	306	1.66
CITIGROUP INC CALLABLE @ 100.00 09/27/22 2.7% 10/27/2022-2022 172967LQ2	23,000	104.71	24,084	104.154	23,955.42	4.0	1.9	621	2.59
BOEING CO 2.2% 10/30/2022 097023BN4	13,000	100.55	13,072	102.528	13,328.64	2.2	1.0	286	2.15
VERIZON COMMUNICATIONS INC SR UNSECURED CALLABLE @ 100.00 08/01/22 2.45% 11/01/2022-2022 92343VBJ2	35,000	104.24	36,483	103.105	36,086.75	6.0	2.8	858	2.38
CATERPILLAR FINL SERVICE 1.95% 11/18/2022 14913Q3C1	20,000	99.95	19,991	103.099	20,619.80	3.4	1.6	390	1.89
AT&T INC CALLABLE @ 100.00 09/01/22 2.625% 12/01/2022-2022 00206RBN1	35,000	101.86	35,652	103.844	36,345.40	6.1	2.9	919	2.53
BANK OF AMERICA CORP SR UNSECURED 3.3% 01/11/2023 06051GEU9	23,000	104.39	24,009	106.044	24,390.12	4.1	1.9	759	3.11
ROYAL BANK OF CANADA 1.95% 01/17/2023 78015K7D0	14,000	99.94	13,991	103.427	14,479.78	2.4	1.1	273	1.89
MORGAN STANLEY 3.125% 01/23/2023 61744YAN8	39,000	103.70	40,442	105.619	41,191.41	6.9	3.2	1,219	2.96
WELLS FARGO & COMPANY CALLABLE @ 100.00 01/24/22 3.069% 01/24/2023-2022 949746SK8	28,000	102.23	28,624	102.879	28,806.12	4.8	2.3	859	2.98
ADOBE INC 1.7% 02/01/2023 00724PAA7	20,000	99.86	19,973	103.125	20,625.00	3.4	1.6	340	1.65

NEUBERGER BERMAN FOUNDATION
 EIN: 31-1736689
 FOR THE YEAR ENDED NOVEMBER 30, 2020

Detail Of Investment Position

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET PRICE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
GOLDMAN SACHS GROUP INC 02/23/2023 38141GW77	13,000	106.36	13,827	105.877	13,764.01	2.3	1.1	416	3.02
CVS HEALTH CORP 03/09/2023 126650CV0	7,000	107.64	7,535	106.99	7,489.30	1.3	0.6	259	3.46
JOHN DEERE CAPITAL CORP 04/06/2023 24422EVE6	20,000	102.36	20,472	102.141	20,428.20	3.4	1.6	240	1.17
EXXON MOBIL CORPORATION 1.571% 04/15/2023 30231GBL5	32,000	100.00	32,000	102.843	32,909.76	5.5	2.6	503	1.53
PAYPAL HOLDINGS INC 06/01/2023 70450YAF0	15,000	99.91	14,986	102.482	15,372.30	2.6	1.2	203	1.32
INTERCONTINENTALEXCHANGE 06/15/2023 45866FAM6	25,000	99.90	24,976	100.634	25,158.50	4.2	2.0	175	0.70
TORONTO-DOMINION BANK 09/11/2023 89114QCJ5	30,000	99.86	29,957	100.117	30,035.10	5.0	2.4	135	0.45
GILEAD SCIENCES INC 09/29/2023 375558BW2	10,000	99.97	9,997	100.319	10,031.90	1.7	0.8	75	0.75
BRISTOL-MYERS SQUIBB CO CALLABLE @ 100.00 11/13/21 .537% 11/13/2023-2021 110122DT2	13,000	100.00	13,000	100.162	13,021.06	2.2	1.0	70	0.54
TOTAL DOMESTIC CORPORATE BONDS					597,968.93				
TOTAL CORPORATE BONDS					\$ 2,322,703.44				

STATEMENT C: CORPORATE FIXED BONDS

NEUBERGER BERMAN FOUNDATION

EIN: 31-1736689

FOR THE FISCAL YEAR ENDED NOVEMBER 30, 2020

DESCRIPTION	SHARES/ PAR	UNIT COST(\$)	COST(\$) PRICE(\$)	MARKET VALUE(\$)	MARKET VALUE(\$)	% CAT	% PORT	EST INC(\$)	CURR YLD(%)
MUTUAL FUNDS - EQUITIES									
NEUBERGER & BERMAN EQUITY FD GUARDIAN FD INSTL CL 641224290	26,224.92	17.58	460,969	24.57	644,346.21	16.0	11.2	2,701	0.42
NEUBERGER BERMAN EQUITY FDS INTL EQUITY FD CL R6 64122Q457	71,056.42	10.55	749,747	14.95	1,062,293.51	26.5	18.5	11,511	1.08
NEUBERGER BERMAN EQUITY FDS REAL ESTATE FUND R6 CLASS 64122Q515	12,647.68	10.74	135,852	13.91	175,929.20	4.4	3.1	3,744	2.13
NEUBERGER BERMAN EQUITY FDS GENESIS FD CL R6 64122Q531	5,905.27	44.19	260,965	68.81	406,341.49	10.1	7.1	1,075	0.26
NEUBERGER BERMAN EQUITY FDS EMERGING MKTS EQUITY FD CL R6 64122Q549	26,345.79	15.51	408,524	22.08	581,714.95	14.5	10.1	10,433	1.79
NEUBERGER BERMAN INTRINSIC VALUE CL INSTITUTIONAL 64122Q705	19,385.26	11.26	218,247	17.79	344,863.81	8.6	6.0	0	0.00
NEUBERGER BERMAN US EQUITY INDEX PUTWRITE STRATEGY FUND CLASS R6 64128R558	34,795.91	10.76	374,275	11.65	405,372.32	10.1	7.1	5,915	1.46
NEUBERGER BERMAN ALTERNATIVE FDS LONG SHORT FD INSTL CL 64128R608	13,531.8	14.78	200,000	16.69	225,845.74	5.6	3.9	0	0.00
NEUBERGER BERMAN RISK BAL COMMODITY STRATEGY FD INSTL CL 64128R889	30,278.33	6.40	193,644	5.58	168,953.08	4.2	2.9	2,907	1.72
TOTAL MUTUAL FUNDS - EQUITIES					4,015,660.31				

The Neuberger Berman Foundation EIN: 31-1736689 For Fiscal Year Ended November 30, 2020		Recipient's Address		State	of Recipient	Purpose of Grant or Contribution	Amount
Recipient's Name	Street	City	State	of Recipient	Purpose of Grant or Contribution	Amount	
Advocates for Children	151 W. 30th St. 5th Flr.	New York	NY	PC	general operating support	\$ 15,000.00	
A House in Austin	533 N Pine Ave.	Chicago	IL	PC	general operating support	\$ 10,000.00	
A House in Austin	533 N Pine Ave.	Chicago	IL	PC	general operating support	\$ 15,000.00	
Ali Forney Center	224 W. 35th St. 1500	New York	NY	PC	general operating support	\$ 15,000.00	
Apex for Youth	2 Rector St. Ste. 1312	New York	NY	PC	general operating support	\$ 15,000.00	
Asia Initiatives	200 E 61 Street #25AB	New York	NY	PC	general operating support	\$ 5,000.00	
Apollo Theater Foundation	253 W 125th St	New York	NY	PC	general operating support	\$ 15,000.00	
Association to Benefit Children	419 East 86th Street	New York	NY	PC	general operating support	\$ 200,000.00	
Association to Benefit Children	419 East 86th Street	New York	NY	PC	general operating support	\$ 20,000.00	
Baruch College Fund	55 Lexington Ave.	New York	NY	PC	Baruch College Success Network	\$ 15,000.00	
Big Shoulders Fund	212 W Van Buren St Suite 900	Chicago	IL	PC	general operating support	\$ 5,000.00	
Blessing Bag Brigade	328 Shore Dr Highlands NJ	Highlands	NJ	PC	general operating support	\$ 5,000.00	
Blythedale Children's Hospital	95 Bradhurst Ave.	Valhalla	NY	PC	general operating support	\$ 15,000.00	
Boys and Girls Clubs of Chicago	550 W. Van Buren, Ste. 350	Chicago	IL	PC	general operating support	\$ 15,000.00	
Boys and Girls Clubs of Chicago	550 W. Van Buren, Ste. 350	Chicago	IL	PC	general operating support	\$ 20,000.00	
Boys and Girls Club of Northern Westchester	351 Main St.	Mount Kisco	NY	PC	general operating support	\$ 15,000.00	
BRICK	59 Lincoln Park, Garden Suite 50	Newark	NJ	PC	general operating support	\$ 15,000.00	
CAMBA	1720 Church Ave., 2nd Flr.	Brooklyn	NY	PC	general operating support	\$ 15,000.00	
Care for AIDS	977 Grant Cove Pl	Atlanta	GA	PC	general operating support	\$ 5,000.00	
Citizens Committee for Children of New York	14 Wall St. Ste. 4E	New York	NY	PC	general operating support	\$ 15,000.00	
Citizens Committee for Children of New York City	77 Water St. Ste. 202	New York	NY	PC	general operating support	\$ 15,000.00	
City Harvest	575 Eighth Avenue, 4th Floor	New York	NY	PC	general operating support	\$ 20,000.00	
Cystic Fibrosis Foundation	4550 Montgomery Avenue, Suite 1101	Bethesda	MD	PC	general operating support	\$ 5,000.00	
Dallas Children's Advocacy Center	5351 Samuell Blvd	Dallas	TX	PC	general operating support	\$ 15,000.00	
Debate Mate	7-14 Great Dover St	London SE1 4YR	UK	Expenditure Responsibility	general operating support	\$ 35,000.00	
Expanded Schools	11 W. 42nd St. 3rd Flr.	New York	NY	PC	general operating support	\$ 15,000.00	
Fiver Children's Foundation	519 8th Avenue.	New York	NY	PC	Investment Camp for Fiver students	\$ 25,000.00	
Food Bank for New York City	39 Broadway, 10th Floor	New York	NY	PC	general operating support	\$ 20,000.00	
Friends of the Children New York	204 West 115th St	New York	NY	PC	general operating support	\$ 15,000.00	
Friends of the Children New York	204 West 115th St	New York	NY	PC	general operating support	\$ 20,000.00	
Good+ Foundation	306 W. 37th St. 8th Flr.	New York	NY	PC	general operating support	\$ 15,000.00	
Good+ Foundation	306 W. 37th St. 8th Flr.	New York	NY	PC	general operating support	\$ 10,000.00	
Greater Chicago Food Depository	4100 W Ann Laurie Pl	Chicago	IL	PC	general operating support	\$ 20,000.00	
Greenhouse Sports	35 Cosway St	London NW1 5NS	UK	Expenditure Responsibility	general operating support	\$ 20,000.00	
Guidance Center of Westchester	256 Washington St.	Mt. Vernon	NY	PC	general operating support	\$ 15,000.00	

The Neuberger Berman Foundation EIN: 31-1736689 For Fiscal Year Ended November 30, 2020		Recipient's Address		State	of Recipient	Purpose of Grant or Contribution	Amount
Recipient's Name	Street	City	State				
HandsOn Hong Kong	Unit A, 9th Flr., Easy Tower, 606-611 Tai Nan West St.	Cheung Sha Wan, Kowloon	Hong Kong	NC-Expenditure Responsibility	general operating support	\$	15,000.00
Henry Street Settlement	265 Henry St.	New York	NY	PC	general operating support	\$	15,000.00
Henry Street Settlement	265 Henry St.	New York	NY	PC	general operating support	\$	10,000.00
HoneyPot Children's Charity	19 Berghem Mews, Blythe Rd, Hammersmith	London W14 OHN	UK	NC-Expenditure Responsibility	general operating support	\$	15,000.00
Hour Children	36-11 12th St.	Long Island City	NY	PC	general operating support	\$	15,000.00
Ifetayo Cultural Arts Academy	495 Flatbush Ave., 2nd Flr.	Brooklyn	NY	PC	general operating support	\$	15,000.00
Ignite	162 W. Hubbard St. Ste. 400	Chicago	IL	PC	general operating support	\$	15,000.00
Ignite	162 W. Hubbard St. Ste. 400	Chicago	IL	PC	general operating support	\$	20,000.00
iMentor	30 Broad Street, 9th Floor	New York	NY	PC	programs	\$	15,000.00
iMentor	30 Broad Street, 9th Floor	New York	NY	PC	programs	\$	5,000.00
Kids Above All	8765 W. HIGGINS STE. 450	Chicago	IL	PC	general operating support	\$	15,000.00
Kids Above All	8765 W. HIGGINS STE. 450	Chicago	IL	PC	general operating support	\$	20,000.00
KIPP New Jersey	60 Park Pl. Ste. 802	Newark	NJ	PC	general operating support	\$	15,000.00
KIPP New Jersey	60 Park Pl. Ste. 802	Newark	NJ	PC	general operating support	\$	20,000.00
LIFT	349 E. 149th St.	Bronx	NY	PC	general operating support	\$	15,000.00
LIFT	349 E. 149th St.	Bronx	NY	PC	general operating support	\$	10,000.00
Magic Box Productions	274 Bedford Rd.	Pleasantville	NY	PC	general operating support	\$	15,000.00
Mayor's Fund to Advance New York City	253 Broadway, 8th Floor	New York	NY	PC	Summer of Service program	\$	40,000.00
Montefiore Medical Center	111 East 210th Street	Bronx	NY	PC	general operating support	\$	15,000.00
Mt. Sinai Hospital	One Gustave L. Levy Place, Box 1049	New York	NY	PC	Adolescent Center	\$	5,000.00
Mt. Tremper Outdoor Ministries	101 Deacon Smith Hill Rd	Patterson	NY	PC	general operating support	\$	5,000.00
Neuberger Museum	735 Anderson Hill Road	Purchase	NY	PC	general operating support	\$	20,000.00
New Heights Youth	50 E 118th St.	New York	NY	PC	general operating support	\$	15,000.00
New York Center for Children	333 East 70th Street	New York	NY	PC	general operating support	\$	15,000.00
New York Center for Children	333 East 70th Street	New York	NY	PC	general operating support	\$	20,000.00
OneGoal Atlanta	1175 Peachtree St. NE, 10th Flr.	Atlanta	GA	PC	programs	\$	15,000.00
Only Make Believe	121 West 27th Street Suite 1002	New York	NY	PC	general operating support	\$	15,000.00
Partnership for After School Education	120 Broadway #230	New York	NY	PC	general operating support	\$	15,000.00
Peridance Ensemble	126 East 13th Street	New York	NY	PC	general operating support	\$	5,000.00
Per Scholas	804 E. 138th St. #2	Bronx	NY	PC	general operating support	\$	15,000.00
PowerPlay NYC	44 Court Street Suite 815	Brooklyn	NY	PC	general operating support	\$	15,000.00
PowerPlay NYC	44 Court Street Suite 815	Brooklyn	NY	PC	general operating support	\$	20,000.00
Power of Two	400 Rockaway Ave.	Brooklyn	NY	PC	general operating support	\$	15,000.00
Project Syncere	9012 S. Stony Island Ave.	Chicago	IL	PC	general operating support	\$	20,000.00
Project Syncere	9012 S. Stony Island Ave.	Chicago	IL	PC	general operating support	\$	15,000.00
Queens Crescent Community Association	45 Ashdown Cres, Belsize Park	London NW5 4QE	UK	Expenditure Responsibility	general operating support	\$	35,000.00
Ranger Sailing Foundation	42 The Blvd	Sea Cliff	NY	PC	general operating support	\$	5,000.00
REACH Institute	224 W. 35th St. Ste. 13904B	New York	NY	PC	general operating support	\$	15,000.00
Room to Grow	7 West 30th St. Flr. 3	New York	NY	PC	general operating support	\$	15,000.00
Safe Families for Children	4300 W. Irving Park Rd.	Chicago	IL	PC	general operating support	\$	10,000.00
San Francisco CASA	2535 Mission St.	San Francisco	CA	PC	general operating support	\$	10,000.00

The Neuberger Berman Foundation
 EIN: 31-1736689
 For Fiscal Year Ended November 30, 2020

Grants and Contributions Paid

Recipient's Name	Recipient's Address			State	of Recipient	Purpose of Grant or Contribution	Amount
	Street	City					
San Francisco CASA	2535 Mission St.	San Francisco	CA	PC	general operating support	\$ 15,000.00	
StreetSquash	40 W. 116th St.	New York	NY	PC	general operating support	\$ 15,000.00	
Teach for America	519 8th Avenue, 15th Floor	New York	NY	PC	America-New York	\$ 15,000.00	
TEAK Fellowship	16 W. 22nd St.	New York	NY	PC	general operating support	\$ 15,000.00	
TEAK Fellowship	16 W. 22nd St.	New York	NY	PC	general operating support	\$ 20,000.00	
Union Settlement	2377 E. 104th St.	New York	NY	PC	general operating support	\$ 15,000.00	
Union Settlement	2377 E. 104th St.	New York	NY	PC	general operating support	\$ 10,000.00	
Vogel Alcove	1738 Gano Street	Dallas	TX	PC	general operating support	\$ 15,000.00	
Year Up	85 Broad St.	New York	NY	PC	general operating support	\$ 15,000.00	
YETS	Galgakade 3, 3133 KN Viaardingen		Netherlands	NC-Expenditure Responsibility	general operating support	\$ 15,000.00	
You Gotta Believe	3114 Mermaid Ave.	Brooklyn	NY	PC	general operating support	\$ 15,000.00	
You Gotta Believe	3114 Mermaid Ave.	Brooklyn	NY	PC	general operating support	\$ 20,000.00	
West Suburban Special Recreation Association	2915 Maple St	Franklin Park	IL	PC	general operating support	\$ 5,000.00	
TOTAL CHARITABLE CONTRIBUTIONS							\$ 1,545,000.00

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020

FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE: QUEENS CRESCENT COMMUNITY ASSOCIATION
45 Ashdown Crescent Gospel Oak
London NW5 4QE

AMOUNT OF GRANT: \$35,000.00

DATE OF GRANT: 11/15/2020

PURPOSE OF GRANT: General Operating Support

DIVERTED A PORTION OF FUNDS FROM THE PURPOSE OF THE GRANT: (YES, NO)

No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE:

Grant agreement signed on November 15, 2020 so verification is not expected until June, 2021 (three months following Grantee's year end).

DATES AND RESULTS OF ANY VERIFICATION OF THE GRANTEE'S REPORTS:

No further independent verification is necessary at this time.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020

FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE: YETS Foundation
c/o Peter Ottens, Director
Galgkade 3, 3133 KN
Vladdringen, Netherlands

AMOUNT OF GRANT: \$20,000.00

DATE OF GRANT: 11/15/2020

PURPOSE OF GRANT: Social Enterprise YETS Move/ General Operating Support

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE:

Grant agreement signed on November 15, 2020 so verification is not expected until March, 2021 (three months following Grantee's year end).

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

No further independent verification is necessary at this time.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020
FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:

THE HONEYPOT CHILDREN'S CHARITY
c/o Phil Gellhorn, Director of Finance
19 Berghem Mews, Blythe Road
London W14 0HN

AMOUNT OF GRANT: \$15,000.00

DATE OF GRANT: 4/25/2020

PURPOSE OF GRANT: COVID-19 Relief

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE:

Grant agreement signed on November 15, 2020 so verification is not expected until June, 2021 (three months following Grantee's year end).

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

No further independent verification was required by November 30, 2020.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020

**ATTACHMENT B: EXPENDITURE RESPONSIBILITY
FORM 990-PF, PART XV, LINE 3a**

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:
HANDS ON HONG KONG
UNIT 9A, EASY TOWER
609-611 TAI NAN WEST STREET
CHEUNG SHA WAN, KOWLOON, HONG KONG

AMOUNT OF GRANT: \$15,000.00

DATE OF GRANT: 11/15/2020

PURPOSE OF GRANT: General operating support for skill workshops for students with disabilities and volunteer programs for children and the elderly.

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE:

Grant agreement signed on November 15, 2020 so verification is not expected until March, 2021 (three months following Grantee's year end).

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

No further independent verification was required by November 30, 2020.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020

FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:
GREENHOUSE SPORTS
35 COSWAY ST
MARYLEBONE, LONDON NW1 5NS, UK

AMOUNT OF GRANT: \$20,000.00

DATE OF GRANT: 11/15/2020

PURPOSE OF GRANT: General operating support for sporting programs for youths to access coaching and mentorship.

TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS DIVERTED A PORTION OF FUNDS FROM THE PURPOSE OF THE GRANT: (YES, NO)
No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE: Grant agreement signed on November 15, 2020 so verification is not expected until June, 2021 (three months following Grantee's year end).

DATES AND RESULTS OF ANY VERIFICATION OF THE GRANTEE'S REPORTS: No further independent verification was required by November 30, 2020.

THE NEUBERGER BERMAN FOUNDATION
EIN: 31-1736689
FOR THE YEAR ENDED NOVEMBER 30, 2020

FORM 990-PF, PART XV, LINE 3a

The following information is provided in accordance with IRC § 4945(h)(3) and Reg. 53.4945-5(d) to demonstrate that the foundation exercised expenditure responsibility in regard to its grants.

NAME AND ADDRESS OF GRANTEE:

DEBATE MATE
c/o Margaret Mc Cabe
32-36 Loman Street
London SE1 0EH

AMOUNT OF GRANT:

\$35,000.00

DATE OF GRANT:

11/15/2020

PURPOSE OF GRANT:

General operating support

**TO THE GRANTOR'S KNOWLEDGE, GRANTEE HAS
DIVERTED A PORTION OF FUNDS FROM THE
PURPOSE OF THE GRANT: (YES, NO)**

No, to the knowledge of the Neuberger Berman Foundation no funds have been diverted to any other activity.

DATES OF REPORTS RECEIVED FROM GRANTEE:

Grant agreement signed on November 15, 2020 so verification is not expected until November 30, 2021 (three months following Grantee's year end).

**DATES AND RESULTS OF ANY VERIFICATION
OF THE GRANTEE'S REPORTS:**

No further independent verification was required by November 30, 2020.