

For calendar year 2019, or tax year beginning 12-01-2019, and ending 11-30-2020

Name of foundation THE GLICKENHAUS FOUNDATION		A Employer identification number 13-6160941	
Number and street (or P.O. box number if mail is not delivered to street address) 8 KENDALL AVENUE		B Telephone number (see instructions) (212) 953-7773	
City or town, state or province, country, and ZIP or foreign postal code SLEEPY HOLLOW, NY 10591		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here..... <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ... <input type="checkbox"/>	
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 10,081,312		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities . . .	542,750	542,750		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	-133,561			
	b Gross sales price for all assets on line 6a				
		556,313			
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	146	-11,709		
	12 Total. Add lines 1 through 11	409,335	531,041		
	13 Compensation of officers, directors, trustees, etc.	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,327	0		1,327
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	25,874	6,874		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	14,897	13,414		466
	24 Total operating and administrative expenses. Add lines 13 through 23	42,098	20,288		1,793
	25 Contributions, gifts, grants paid	200,900			200,900
	26 Total expenses and disbursements. Add lines 24 and 25	242,998	20,288		202,693
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	166,337			
	b Net investment income (if negative, enter -0-)		510,753		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	3,509	3,041	3,041
	2 Savings and temporary cash investments	141,877	462,513	462,513
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	123,855	0	0
	b Investments—corporate stock (attach schedule)	1,960,121	2,090,491	9,615,758
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ <u>12,222</u> Less: accumulated depreciation (attach schedule) ▶ <u>12,222</u>			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	2,229,362	2,556,045	10,081,312	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	864,047	1,024,393	
	23 Total liabilities (add lines 17 through 22)	864,047	1,024,393	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	0	0	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund	0	0	
	28 Retained earnings, accumulated income, endowment, or other funds	0	0	
	29 Total net assets or fund balances (see instructions)	1,365,315	1,531,652	
30 Total liabilities and net assets/fund balances (see instructions) .	2,229,362	2,556,045		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	1,365,315
2 Enter amount from Part I, line 27a	2	166,337
3 Other increases not included in line 2 (itemize) ▶ _____	3	0
4 Add lines 1, 2, and 3	4	1,531,652
5 Decreases not included in line 2 (itemize) ▶ _____	5	0
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	1,531,652

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="display: flex; align-items: center; margin-top: 5px;"> <div style="flex: 1;"> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 1.2em; margin: 0 5px;"></div> <div style="display: flex; justify-content: space-between; font-size: 0.8em;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div> </div> </div>	2	-133,561
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 <div style="display: flex; align-items: center; margin-top: 5px;"> <div style="flex: 1;"> <div style="border-left: 1px solid black; border-right: 1px solid black; height: 1.2em; margin: 0 5px;"></div> </div> </div>	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018			
2017	273,579	5,829,795	0.046928
2016	253,677	6,333,474	0.040053
2015	1,238,341	5,408,979	0.228942
2014	1,713,792	7,247,491	0.236467
2 Total of line 1, column (d)			2 0.552390
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years			3 0.138098
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5			4 7,819,987
5 Multiply line 4 by line 3			5 1,079,925
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 5,108
7 Add lines 5 and 6			7 1,085,033
8 Enter qualifying distributions from Part XII, line 4			8 202,693

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	10,215
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2.	3	10,215
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	10,215
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	12,333
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	0
7	Total credits and payments. Add lines 6a through 6d.	7	12,333
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	80
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,038
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax 2,038 Refunded	11	0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0 (2) On foundation managers. \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	Yes	
b If "Yes," has it filed a tax return on Form 990-T for this year?	Yes	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	Yes	
14	The books are in care of ▶ ANN PAPARO CO GLICKENHAUS Telephone no. ▶ (212) 953-7773			

Located at **▶** 8 KENDALL AVENUE SLEEPY HOLLOW NY ZIP+4 **▶** 10591

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019? <input type="checkbox"/>	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) <input type="checkbox"/>	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.) <input type="checkbox"/>	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>	
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b
	If "Yes" to 6b, file Form 8870.		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NANCY GLICKENHAUS 110 RIVERSIDE DRIVE NEW YORK, NY 10024	PRESIDENT 0.00	0	0	0
JAMES GLICKENHAUS MARBLE HALL RYE, NY 10580	SEC./TREASURER 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		0

Part IX-A Summary of Direct Charitable Activities	
List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	7,586,588
b	Average of monthly cash balances.	1b	352,485
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	7,939,073
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	7,939,073
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	119,086
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,819,987
6	Minimum investment return. Enter 5% of line 5.	6	390,999

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	390,999
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	10,215
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	5,435
c	Add lines 2a and 2b.	2c	15,650
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	375,349
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	375,349
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	375,349

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	202,693
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	202,693
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	202,693

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				375,349
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			0	
b Total for prior years: 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014.	1,365,452			
b From 2015.	987,125			
c From 2016.				
d From 2017.				
e From 2018.				
f Total of lines 3a through e.	2,352,577			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 202,693				
a Applied to 2018, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				202,693
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	172,656			172,656
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	2,179,921			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount—see instructions.		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	1,192,796			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	987,125			
10 Analysis of line 9:				
a Excess from 2015.	987,125			
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶					
b Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)					
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:	
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)	
b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.	
2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:	
Check here <input type="checkbox"/> if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions	
a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed: THE GLICKENHAUS FOUNDATION 8 KENDALL AVENUE SLEEPY HOLLOW, NY 10591 (212) 953-7773	
b The form in which applications should be submitted and information and materials they should include: NONE	
c Any submission deadlines: NONE	
d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors: NONE	

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	200,900
b <i>Approved for future payment</i>				
Total			3b	0

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	542,750	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.			01	146	
8 Gain or (loss) from sales of assets other than inventory			18	-133,561	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e). . .		0		409,335	0
13 Total. Add line 12, columns (b), (d), and (e). (See worksheet in line 13 instructions to verify calculations.)			13		409,335

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

- | | | | |
|--|--|-----|----|
| | | Yes | No |
|--|--|-----|----|

--	--	--

- | | | |
|-------|--|----|
| 1a(1) | | No |
| 1a(2) | | No |

--	--	--

- | | | |
|--------------|--|-----------|
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>*****</p> <p>_____</p> <p>Signature of officer or trustee</p>	<p>2021-09-18</p> <p>_____</p> <p>Date</p>	<p>*****</p> <p>_____</p> <p>Title</p>
--	--	--

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	RANDI A SCHUSTER			
	Firm's name ► BAKER TILLY US LLP			
	Firm's address ► ONE PENN PLAZA SUITE 3000 NEW YORK, NY 10119			
	Firm's EIN ► 39-0859910			Phone no. (212) 697-6900

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d			
List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
51,000 SHARES OF SIRIUS MINERALS PLC CMN	P	2013-07-16	2020-03-30
479,014 SHARES OF SIRIUS MINERALS PLC CMN	P	2013-07-15	2020-03-30
U.S. TREASURY BILLS	P	2019-08-28	2020-02-27
U.S. TREASURY BILLS	P	2020-03-02	2020-05-28
72 SHARES OF PPG INDUSTRIES INC.	P	2017-08-09	2020-01-10
274 SHARES OF PPG INDUSTRIES INC.	P	2017-07-27	2020-01-10
50 SHARES OF ADOBE INC	P	2017-07-27	2020-03-10
87 SHARES OF MICROSOFT CORP	P	2017-07-27	2020-03-10
193 SHARES OF HOME DEPOT INC.	P	2017-07-27	2020-03-12
639 SHARES OF UNILEVER N.V. NY	P	2017-07-27	2020-03-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,474		18,646	-15,172
32,631		196,485	-163,854
123,855		123,855	0
124,668		124,668	0
9,242		7,444	1,798
35,171		29,031	6,140
16,583		7,252	9,331
13,915		6,315	7,600
37,186		28,420	8,766
30,576		37,151	-6,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-15,172
			-163,854
			0
			0
			1,798
			6,140
			9,331
			7,600
			8,766
			-6,575

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
120 SHARES OF PAYPAL HOLDINGS INC.	P	2017-07-27	2020-04-13
161 SHARES OF HALLIBURTON COMPANY	P	2018-05-21	2020-04-14
630 SHARES OF HALLIBURTON COMPANY	P	2017-07-27	2020-04-14
119 SHARES OF EQUITY LIFESTYLE PROPERTIES	P	2018-09-13	2020-06-04
25 SHARES OF SUN COMMUNITIES, INC	P	2018-09-05	2020-06-04
1047 SHARES OF BANK OF AMERICA CORP	P	2017-07-27	2020-06-09
85 SHARES OF MICROSOFT CORPORATION	P	2017-07-27	2020-06-26
45 SHARES OF ADOBE INC	P	2017-07-27	2020-06-30
175 SHARES OF PAYPAL HOLDINGS INC.	P	2017-07-27	2020-08-13
CAPITAL GAIN/LOSS FROM PASS-THROUGHS	P		2020-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,697		7,036	5,661
1,271		8,742	-7,471
4,973		27,052	-22,079
7,455		5,753	1,702
3,521		2,456	1,065
29,391		25,192	4,199
16,766		6,170	10,596
19,387		6,527	12,860
33,551		10,260	23,291
		11,419	-11,419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5,661
			-7,471
			-22,079
			1,702
			1,065
			4,199
			10,596
			12,860
			23,291
			-11,419

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
5 STEPS TO FIVEPOST OFFICE BOX 923 RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
ACLU FOUNDATION 125 BROAD STREET- 18TH FLOOR NEW YORK, NY 10004	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
ALZHEIMERS ASSOCIATION 225 N MICHIGAN AVENUE - FLOOR 17 CHICAGO, IL 60601	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMELIA ISLAND CONCOURS D'ELEGANCE FOUNDATION 3016 MERCURY ROAD SOUTH JACKSONVILLE, FL 32207	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
ASPCA520 8TH AVENUE NEW YORK, NY 10018	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
ASSOCIATION TO BENEFIT CHILDREN 419 EAST 86TH STREET NEW YORK, NY 10028	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BALLET THEATRE FOUNDATION 890 BROADWAY NEW YORK, NY 10003	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
BLUE HILLS CIVIC ASSOCIATION INC 410 HOMESTEAD AVE HARTFORD, CT 06112	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	3,000
CAMBA1720 CHURCH AVE BROOKLYN, NY 11226	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARITAS OF PORT CHESTER PO BOX 682 PORT CHESTER, NY 10573	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	6,000
CENTER FOR BIOLOGICAL DIVERSITY PO BOX 710 TUCSON, AZ 857020710	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
CITY HARVEST 6 EAST 32ND STREET - 5TH FLOOR NEW YORK, NY 10016	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,300
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COALITION FOR THE HOMELESS 129 FULTON STREET NEW YORK, NY 10038	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
COLUMBIA UNIVERSITY SCHOOL OF SOCIAL WORK 1255 AMSTERDAM AVE NEW YORK, NY 10027	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
COMMUNITY FIRST SCHOOL INC 50 LOVE LANE HARTFORD, CT 06112	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	10,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DANA FARBER CANCER INSTITUTE 77 FOURTH AVE NEEDHAM, MA 02494	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
DANIEL'S MUSIC FOUNDATION 1595 LEXINGTON AVE 2ND FLOOR NEW YORK, NY 10029	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	4,000
DISABLED AMERICAN VETERANS CHARITABLE SERVICE TRUST 3725 ALEXANDRIA PIKE COLD SPRING, KY 41076	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DOCTORS WITHOUT BORDERS PO BOX 5030 HAGERSTOWN, MD 217415030	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
DOWNTOWN EMERGENCY SERVICE CENTER 515 THIRD AVENUE SEATTLE, WA 98104	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
EQUAL JUSTICE INITIATIVE 122 COMMERCE STREET MONTGOMERY, AL 36104	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	12,500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ETHICAL CULTURE FIELDSTON SCHOOL 33 CENTRAL PARK WEST NEW YORK, NY 10003	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
EVERYTOWN FOR GUN SAFETY SUPPORT FUND PO BOX 3886 NEW YORK, NY 10017	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
FEDERATED CONSERVATIONS OF WESTCHESTER COUNTY 78 NORTH BROADWAY E HOUSE WHITE PLAINS, NY 10603	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	100
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
FEEDING WESTCHESTER 200 CLEARBROOK ROAD ELMSFORD, NY 10523	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
FIBROMUSCULAR DYSPLASIA SOCIETY OF AMERICA 26777 LORAIN ROAD - SUITE 311 NORTH OLMSTED, OH 44070	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
FIRST RESPONDERS CHILDREN'S FOUNDATION 38 EAST 32ND STREET - SUITE 602 NEW YORK, NY 100165566	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FORDHAM LAW SCHOOL 45 COLUMBUS AVENUE - 8TH FLOOR NEW YORK, NY 10023	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
FOUNTAIN HOUSE 425 WEST 47TH STREET NEW YORK, NY 10036	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
FRIENDS OF COMPUTER FOR EVERY CHILD 400 EAST 90TH STREET - SUITE 19D NEW YORK, NY 10128	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HUMAN DEVELOPMENT SERVICES OF WESTCHESTER 930 MAMARONECK AVE MAMARONECK, NY 10543	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	500
HUMAN RIGHTS FIRST 75 BROAD STREET - 31ST FLOOR NEW YORK, NY 10004	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	3,500
HUMANE SOCIETY INTERNATIONAL 1255 23RD STREET NW - SUITE 450 WASHINGTON, DC 20037	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL FUND FOR ANIMAL WELFARE 290 SUMMER STREET YARMOUTH PORT, MA 02675	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
INTERNATIONAL RESUE COMMITTEE PO BOX 6068 ALBERT LEA, MN 560079847	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
IOBYPO BOX 4668 74253 NEW YORK, NY 101634668	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	600
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JDRF2132 LAGUNA WAY NAPLES, FL 34109	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
JEWISH COMMUNITY CENTER OF SAN FRANCISCO 3200 CALIFORNIA STREET SAN FRANCISCO, CA 94118	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,200
LIGHTHOUSE GUILD 250 WEST 64TH STREET NEW YORK, NY 10023	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LINDA'S FERAL CAT ASSISTANCE 3965 52ND STREET 3P WOODSIDE, NY 11377	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
MOUNT SINAI HEALTH SYSTEM 1 GUSTAVE L LEVY PLACE - BOX 1049 NEW YORK, NY 100296574	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	5,000
MOUNT SINAI SCHOOL OF MEDICINE 1 GUSTAVE L LEVY PLACE - BOX 1049 NEW YORK, NY 10029	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	10,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MUSEUM OF ARTS AND DESIGN 2 COLUMBUS CIRCLE NEW YORK, NY 10019	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
MUTTVILLEPO BOX 410207 SAN FRANCISCO, CA 94141	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
NATIONAL ALLIANCE ON MENTAL ILLNESS 3803 N FAIRFAX DRIVE - SUITE 100 ARLINGTON, VA 22203	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NATIONAL APHASIA ASSOCIATION PO BOX 87 SCARSDALE, NY 10583	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
NATIONALITIES SERVICE CENTER 1216 ARCH STREET - 4TH FLOOR PHILADELPHIA, PA 19107	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
NEW ROCHELLE YMCA 50 WAYMAN STREET NEW ROCHELLE, NY 10805	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NEW YORK STEM CELL FOUNDATION 619 WEST 54TH STREET NEW YORK, NY 10019	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	25,000
NORTHSIDE ACHIEVEMENT ZONE 2123 W BROADWAY AVE 100 MINNEAPOLIS, MN 55411	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
NYCB20 LINCOLN CENTER NEW YORK, NY 10023	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ORT AMERICA 75 MAIDEN LANE - FLOOR 10 NEW YORK, NY 10038	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
PART OF THE SOLUTION 2759 WEBSTER AVENUE BRONX, NY 104583708	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
PARTNERS IN HEALTH 800 BOYLSTON STREET - SUITE 300 BOSTON, MA 02199	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PARTNERSHIP FOR HOMELESS 305 SEVENTH AVE 14TH FLOOR NEW YORK, NY 10001	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
PEBBLE BEACH COMPANY FOUNDATION PO BOX 1767 PEBBLE BEACH, CA 93953	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
PIMA PAWS FOR LIFE 2555 W ZINNIA AVENUE TUSCON, AZ 85705	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PROFESSIONAL CHILDREN'S SCHOOL 132 WEST 60TH STREET NEW YORK, NY 10023	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	500
PROJECT ROZANA USA 25 BROADWAY - 9TH FLOOR NEW YORK, NY 10004	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
RAICES1305 NORTH FLORES STREET SAN ANTONIO, TX 78212	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RIPPOWAM CISQUA SCHOOL PO BOX 488 BEDFORD, NY 10506	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	5,000
RYE ARTS CENTER51 MILTON ROAD RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
RYE FREE READING ROOM 1061 BOSTON POST ROAD RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	500
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RYE HISTORICAL SOCIETY ONE PURCHASE STREET RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	100
RYE POLICE ASSOCIATIONPO BOX 246 RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	100
SAN FRANCISCO SPCA 201 ALABAMA STREET SAN FRANCISCO, CA 941034213	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SIERRA CLUB 2101 WEBSTER STREET - SUITE 1300 OAKLAND, CA 94612	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
SPRYEPO BOX 748 RYE, NY 10580	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
TEXAS CIVIL RIGHTS PROJECT 1405 MONTOPOLIS DRIVE AUSTIN, TX 78741	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THANC FOUNDATION PO BOX 1021 NEW YORK, NY 10276	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
THE ALS ASSOCIATION OF GREATER NY 42 BROADWAY - SUITE 1724 NEW YORK, NY 10004	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,300
THE CHILDREN'S VILLAGE ONE ECHO HILLS DOBBS FERRY, NY 10522	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE GUIDANCE CENTER OF WESTCHESTER 256 WASHINGTON STREET MOUNT VERNON, NY 10553	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
THE METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	15,000
THE MINDS FOUNDATION 231 PINE HILL CIRCLE WALTHAM, MA 02451	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,500
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE MUSEUM OF MODERN ART 11 WEST 53 STREET NEW YORK, NY 10019	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
THE NEW SCHOOL ANNUAL FUND FOR PARSONS 79 FIFTH AVE 17TH FLOOR NEW YORK, NY 10003	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	200
THE PLAY GROUP THEATRE ONE NORTH BROADWAY - SUITE 111 WHITE PLAINS, NY 10601	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE POSSIBILITY PROJECT 104 W 27TH STREET - 12TH FLOOR NEW YORK, NY 10001	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	10,000
THE TREVOR PROJECTPO BOX 69232 WEST HOLLYWOOD, CA 90069	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	100
TRUSTEES OF UNIVERSITY OF PENNSYLVANIA PO BOX 71332 PHILADELPHIA, PA 191769913	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,200
Total ▶ 3a				200,900

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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WHY HUNGER 505 EIGHTH AVE SUITE 2100 NEW YORK, NY 10018	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	100
WILD BIRD FUND565 COLUMBUS AVE NEW YORK, NY 10024	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,600
WILD EARTH GUARDIANS 301 N GUADALUPE STREET - SUITE 201 SANTA FE, NM 87501	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
Total ▶ 3a				200,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WORLD WILDLIFE FUNDPO BOX 97180 WASHINGTON, DC 200907180	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	2,500
WQXRPO BOX 1550 NEW YORK, NY 10116	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	1,000
YMCA OF YONKERS17 RIVERDALE AVE YONKERS, NY 10701	NONE	501(C)(3)	TO ACHIEVE CHARITABLE PURPOSE	500
Total ▶ 3a				200,900

TY 2019 Accounting Fees Schedule**Name:** THE GLICKENHAUS FOUNDATION**EIN:** 13-6160941

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,327	0		1,327

TY 2019 Investments Corporate Stock Schedule

Name: THE GLICKENHAUS FOUNDATION
 EIN: 13-6160941

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
7,975 - NUSTAR GP HOLDINGS (FMV)	0	105,988
35,180- PLAINS ALL AMERICAN (FMV)	0	279,329
385,000- FORTESQUE METAL GROUP LTD	583,694	6,149,919
54,800- ENTERPRISE PROD PARTNERS (FMV)	0	1,063,120
181 - ADOBE INC. CMN	26,251	86,603
294-AMERIPRISE FINANCIAL, INC. CMN	41,896	54,461
233 AMGEN INC/	39,769	51,735
234 ANSYS, INC. CMN	29,815	79,106
863 BANK OF AMERICA CORP. CMN	20,765	24,302
272 BOK FINANCIAL CORP (NEW) CMN	23,215	18,210
2239 CABOT OIL & GAS CORPORATION CMN	40,215	39,227
417 CAPITAL ONE FINANCIAL CORP CMN	34,287	35,712
872 COCA-COLA COMPANY (THE) CMN	38,916	44,995
433 COMMERCE BANCSHARES, INC.	23,231	28,561
1663 CORTEVA, INC. CMN	42,421	62,577
256 CULLEN FROST BANKERS INC. CMN	23,366	21,481
452 DANAHER CORPORATION CMN	36,568	101,533
572 EAST WEST BANCORP INC. CMN	38,002	24,436
1428 ELANCO ANIMAL HEALTH INCORPORATION CMN	31,785	43,683
225 GENERAL DYNAMICS CORP. CMN	43,471	33,604
404 JP MORGAN CHASE & CO CMN	37,924	47,624
680 LENNAR CORPORATION CMN CLASS A	35,339	51,585
15 LENNAR CORPORATION CMN CLASS B	681	911
187 MARTIN MARIETTA MATERIALS, INC. CMN	42,090	49,673
516 MICROPCHIP TECHNOLOGY INCORPORA CMN	41,512	69,345
395 MICROSOFT CORPORATION CMN	28,673	84,558
579 OSHKOSH CORPORATION CMN	40,259	46,610
223 PARKER-HANNIFIN CORP. CMN	37,455	59,599
223 PAYPAL HOLDINGS, INC. CMN	13,661	49,890
441 PHILLIPS 66 CMN	37,137	26,716

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
181 PIONEER NATURAL RESOURCES CO CMN	29,452	18,205
327 PNC FINANCIAL SERVICES GROUP, CMN	41,224	45,149
350 PROCTOR & GAMBLE COMPANY CMN	47,907	48,605
480 QUALCOMM INC. CMN	37,086	70,642
565 RPM INTERNATIONAL INC. CMN	33,988	49,726
1070 TWITTER, INC. CMN	36,535	49,766
515 TYSON FOODS INC. CL-A CMN CLASS A	38,940	33,578
411 WALGREENS BOOTS ALLIANCE INC. CMN	32,222	15,622
536 XYLEM INC. CMN	42,866	51,440
157 SUN COMMUNITIES, INC. CMN	15,498	21,823
349 EQUITY LIFESTYLE PROPERTIES, I CMN	16,838	20,448
680 ALCON INC. CMN	38,339	43,670
413 ALLEGION PUBLIC LIMITED COMPAN CMN	40,985	47,099
228 CHUBB LIMITED CMN	32,545	33,705
1053 JOHNSON CONTROLS INTERNATIONAL CMN	40,021	48,480
450 MEDTRONIC PUBLIC LIMITED COMPA CMN	38,092	51,165
4184 MITSUBISHI UFJ FNL GROUP, INC. SPONSORED ADR CMN	26,344	17,949
431 NOVARTIS AG-ADR SPONSORED ADR CMN	32,192	39,148
798 SONY CORPORATION SPONSORED ADR CMN	37,019	74,445

TY 2019 Other Expenses Schedule**Name:** THE GLICKENHAUS FOUNDATION**EIN:** 13-6160941**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	250	0		250
BANK CHARGES	25	0		25
CSC FEES	125	0		125
DE- ANNUAL REPORT FEE	66	0		66
INVESTMENT FEE	13,414	13,414		0
NON-DEDUCTIBLE EXPENSE FROM PASS-THROUGH	1,017	0		0

TY 2019 Other Income Schedule**Name:** THE GLICKENHAUS FOUNDATION**EIN:** 13-6160941**Other Income Schedule**

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ORDINARY INCOME REPORTED ON 990T	2,349	2,349	2,349
SECTION 1231 GAIN/(LOSS)	-2,115	-2,115	-2,115
ORDINARY INCOME		-11,855	
OTHER INVESTMENT INCOME	-88	-88	-88

TY 2019 Other Liabilities Schedule**Name:** THE GLICKENHAUS FOUNDATION**EIN:** 13-6160941

Description	Beginning of Year - Book Value	End of Year - Book Value
MLP INVESTMENT (NEGATIVE BOOK VALUE)	864,047	1,024,393

TY 2019 Taxes Schedule**Name:** THE GLICKENHAUS FOUNDATION**EIN:** 13-6160941

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	17,000	0		0
FOREIGN TAX PAID	6,874	6,874		0
NEW YORK STATE TAX	2,000	0		0