

For calendar year 2019, or tax year beginning 12-01-2019, and ending 11-30-2020

Name of foundation The John C Griswold Family Foundation		A Employer identification number 13-2978937	
% Foundation Source			
Number and street (or P.O. box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd	Room/suite	B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here..... ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐	
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 16,332,989	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	0			
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	485	485		
	4 Dividends and interest from securities	234,407	234,407		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,507,026			
	b Gross sales price for all assets on line 6a _____				
		5,277,690			
	7 Capital gain net income (from Part IV, line 2)		1,507,026		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances _____				
	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	15,645	15,645		
	12 Total. Add lines 1 through 11	1,757,563	1,757,563		
	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	112,911	112,911		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	14,969			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	3,818			3,818
	22 Printing and publications				
	23 Other expenses (attach schedule)	60,831			60,831
	24 Total operating and administrative expenses. Add lines 13 through 23	192,529	112,911		64,649
	25 Contributions, gifts, grants paid	782,301			782,301
	26 Total expenses and disbursements. Add lines 24 and 25	974,830	112,911		846,950
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	782,733			
	b Net investment income (if negative, enter -0-)		1,644,652		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	665,268	885,782	885,782
	3 Accounts receivable ▶ <u>3,980</u>			
	Less: allowance for doubtful accounts ▶ _____	1,981	3,980	3,980
	4 Pledges receivable ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____			
	Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	1,749,420	2,120,216	2,181,061
	b Investments—corporate stock (attach schedule)	6,741,770	6,747,103	11,508,414
	c Investments—corporate bonds (attach schedule)	1,542,140	1,726,231	1,753,752
	11 Investments—land, buildings, and equipment: basis ▶ _____			
Less: accumulated depreciation (attach schedule) ▶ _____				
12 Investments—mortgage loans				
13 Investments—other (attach schedule)				
14 Land, buildings, and equipment: basis ▶ _____				
Less: accumulated depreciation (attach schedule) ▶ _____				
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,700,579	11,483,312	16,332,989	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds			
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	10,700,579	11,483,312	
	29 Total net assets or fund balances (see instructions)	10,700,579	11,483,312	
	30 Total liabilities and net assets/fund balances (see instructions) .	10,700,579	11,483,312	

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,700,579
2 Enter amount from Part I, line 27a	2	782,733
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	11,483,312
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	11,483,312

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a Publicly-traded Securities			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,277,690		3,770,664	1,507,026
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,507,026
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	1,507,026
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?



Yes



No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	861,395	14,439,996	0.059653
2017	1,110,357	14,429,393	0.076951
2016	947,842	14,016,421	0.067624
2015	953,940	13,531,222	0.070499
2014	904,914	14,680,392	0.061641

2 Total of line 1, column (d)	2	0.336368
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.067274
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	14,827,463
5 Multiply line 4 by line 3	5	997,503
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,447
7 Add lines 5 and 6	7	1,013,950
8 Enter qualifying distributions from Part XII, line 4	8	846,950

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	32,893
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	32,893
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	32,893
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	14,181
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	18,712
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	32,893
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ▶	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid ▶	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b	No
c Did the foundation file Form 1120-POL for this year?	1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2	No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5	No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>	9	No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► _____	13	Yes	
14	The books are in care of ► Foundation Source _____ Telephone no. ► (800) 839-1754			

Located at ► 501 Silverside Road Suite 123 Wilmington DE ZIP+4 ► 198091377

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	☐		
	and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ► _____			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☒ No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to:		Yes	No
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	☒ Yes ☐ No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d).	☒ Yes ☐ No		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	6b	No
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	7b	
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?			
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	☐ Yes ☒ No		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CIBC Private Wealth Management 1177 6TH AVENUE 42ND FL NEW YORK, NY 10036	Investment Mgt	82,416
Foundation Source 55 Walls Drive 3rd floor Fairfield, CT 06824	Administrative	57,609
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	14,087,342
b	Average of monthly cash balances.	1b	965,920
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	15,053,262
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	15,053,262
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	225,799
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	14,827,463
6	Minimum investment return. Enter 5% of line 5.	6	741,373

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	741,373
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	32,893
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	32,893
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	708,480
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	708,480
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	708,480

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	846,950
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	846,950
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	846,950

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				708,480
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 2017, 2016, 2015				
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 179,886				
b From 2015. 284,063				
c From 2016. 264,649				
d From 2017. 410,221				
e From 2018. 158,683				
f Total of lines 3a through e.	1,297,502			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 846,950				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				708,480
e Remaining amount distributed out of corpus	138,470			
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	1,435,972			
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).	179,886			
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.	1,256,086			
10 Analysis of line 9:				
a Excess from 2015. 284,063				
b Excess from 2016. 264,649				
c Excess from 2017. 410,221				
d Excess from 2018. 158,683				
e Excess from 2019. 138,470				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling.

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2019	(b) 2018	(c) 2017	(d) 2016	

b 85% of line 2a					
-----------------------------------	--	--	--	--	--

c Qualifying distributions from Part XII, line 4 for each year listed					
--	--	--	--	--	--

d Amounts included in line 2c not used directly for active conduct of exempt activities					
--	--	--	--	--	--

e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
---	---	--	--	--	--	--

3	Complete 3a, b, or c for the alternative test relied upon:					

[illegible]

(1) Value of all assets					
-----------------------------------	--	--	--	--	--

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
---	--	--	--	--	--

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .							
---	--	--	--	--	--	--	--

c "Support" alternative test—enter:					
-------------------------------------	--	--	--	--	--

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
---	--	--	--	--	--

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
---	--	--	--	--	--

(3) Largest amount of support from an exempt organization					
---	--	--	--	--	--

(4) Gross investment income					
-----------------------------	--	--	--	--	--

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	782,301
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2019)

Part XVII

- | | | | |
|--|--|-----|----|
| | | Yes | No |
|--|--|-----|----|

--	--	--

- | | | |
|-------|--|----|
| 1a(1) | | No |
| 1a(2) | | No |

--	--	--

- | | | |
|--------------|--|-----------|
| 1b(1) | | No |
| 1b(2) | | No |
| 1b(3) | | No |
| 1b(4) | | No |
| 1b(5) | | No |
| 1b(6) | | No |

1c		No
----	--	----

value
ue

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here ***** Signature of officer or trustee	2021-10-05 Date	***** Title
---	-------------------------------------	---------------------------------

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Jeffrey D Haskell				
	Firm's name ► Foundation Source				Firm's EIN ►
	Firm's address ► One Hollow Ln Ste 212 Lake Success, NY 11042				Phone no. (800) 839-1754

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	Title, and average hours per week (b) devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	Expense account, (e) other allowances
Natalie Barraclough 	Sec 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Allyson Griswold Dayak 	Trustee 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jeffrey W Earls 	Trustee, Treas 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John G Earls 	Treas 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
John V Earls 	Trustee, Sec, VP 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Kit Earls 	Trustee 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Michael G Earls 	Trustee, Pres* 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Nathaniel Earls 	Trustee 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mark Griswold 	Trustee, VP 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Whitney Griswold Larson 	Trustee 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
D Ross Griswold Jr 	Trustee, VP*, Pres 1.0	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
21ST ACADEMY INC 224 TULIP TRAIL BEND CEDAR PARK, TX 78613	N/A	PC	General & Unrestricted	4,000
A NEW WAY OF LIFE REENTRY PROJECT 9512 S CENTRAL AVE LOS ANGELES, CA 90002	N/A	PC	General & Unrestricted	1,000
ACTBLUE CHARITIES INC 366 SUMMER ST SOMERVILLE, MA 02144	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AFTER THE HARVEST 406 W 34TH ST STE 816 KANSAS CITY, MO 64111	N/A	PC	General & Unrestricted	6,500
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192 KANSAS CITY, MO 64171	N/A	PC	General & Unrestricted	12,500
ARCHIBALD PROJECT INCPO BOX 4038 HORSESHOE BAY, TX 78657	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	EONS (EYES ON NEST SITES) program	5,000
BUYAMBA INC 3625 E THOUSAND OAKS BLVD STE 265 WESTLAKE VILLAGE, CA 91362	N/A	PC	General & Unrestricted	5,000
BYP100 EDUCATION FUND 6515 S INGLESIDE AVE CHICAGO, IL 60637	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CARDEN CONSERVATORY PARENT SUPPORT GROUP INC 5702 CLARK DR HUNTINGTON BEACH, CA 92649	N/A	PC	General & Unrestricted	15,000
CENTER FOR CARBON REMOVAL 1111 BROADWAY FL 3 OAKLAND, CA 94607	N/A	PC	General & Unrestricted	10,000
CENTER FOR THE DEVELOPMENTALLY DISABLED 9150 E 41ST TERRACE KANSAS CITY, MO 64133	N/A	PC	General & Unrestricted	37,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHORUS AMERICA ASSOCIATION 1200 18TH ST NW STE 1250 WASHINGTON, DC 20036	N/A	PC	General & Unrestricted	18,000
CHRISTIAN HERALD ASSN INC - THE BOWERY MISSION PO BOX 2000 THE MURRAY HILL STA NEW YORK, NY 10156	N/A	PC	General & Unrestricted	500
CINCINNATI MUSICAL FESTIVAL ASSOC 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONSPIRARE INC 1812 CENTRE CREEK DR STE 110 AUSTIN, TX 78754	N/A	PC	General & Unrestricted	2,000
DEVELOPING POTENTIAL INC 251 NW EXECUTIVE WAY STE 200 LEES SUMMIT, MO 64063	N/A	PC	General & Unrestricted	5,000
DIRECT CONNECTIONS TO AFRICA INC 1278 GLENNEYRE ST LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	40,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DRAGONFLY DEVELOPMENT RESOURCES INC 51 FRONT ST APT 327 CUMBERLAND, RI 02864	N/A	PF	Expenditure Responsibility Grant	40,000
EQUUS EFFECT37 DRUM RD SHARON, CT 06069	N/A	PC	Charitable Event	5,000
EQUUS EFFECT37 DRUM RD SHARON, CT 06069	N/A	PC	Capital Campaign for new Pen	13,800
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
EQUUS EFFECT37 DRUM RD SHARON, CT 06069	N/A	PC	Fund Consultant for development of Business Plan	5,000
EVANS SCHOLARS FOUNDATION 1 BRIAR RD GOLF, IL 60029	N/A	PC	General & Unrestricted	1,000
FISHER HOUSE SOUTHERN CALIFORNIA INC PO BOX 110 LONG BEACH, CA 90801	N/A	PC	General & Unrestricted	2,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
FOR THE CHILD INC 4565 CALIFORNIA AVE LONG BEACH, CA 90807	N/A	PC	General & Unrestricted	1,350
FOSTER CARE COUNTS 11150 SANTA MONICA BLVD STE 1500 LOS ANGELES, CA 90025	N/A	PC	General & Unrestricted	5,000
GOOD SHEPHERD HEALING MINISTRIES 288 W SMOKETREE ST APACHE JCT, AZ 85120	N/A	PC	General & Unrestricted	20,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GREATER AUSTIN PERFORMING ARTS CENTER 701 W RIVERSIDE DR AUSTIN, TX 78704	N/A	PC	General & Unrestricted	4,000
HAYS COUNTY FOOD BANK 220 HERNDON ST SAN MARCOS, TX 78666	N/A	PC	General & Unrestricted	1,000
HEALTHY HOMES BLOCK BY BLOCK 2118 ST MICHAEL ST W ANX STE 2 CINCINNATI, OH 45204	N/A	PC	General & Unrestricted	2,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
HOPE COALITION100 S MARKET ST HOLDEN, MO 64040	N/A	PC	community sports activities Program	12,500
INSPIRE INC25 FLEMING LN FAIRFIELD, CT 06824	N/A	PC	General & Unrestricted	6,200
INTERNATIONAL RESCUE COMMITTEE INC PO BOX 3988 SALT LAKE CITY, UT 84110	N/A	PC	General & Unrestricted	2,163
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
INTERNATIONAL RESCUE COMMITTEE INC PO BOX 3988 SALT LAKE CITY, UT 84110	N/A	PC	the East African Refugee Goat Project	1,000
INVICTUS FUND NV 1000 SILVERADO TRL N SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	5,000
JDRF INTERNATIONAL 200 VESEY ST 28TH FL NEW YORK, NY 10281	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOBONE1085 S YUMA AVE INDEPENDENCE, MO 64056	N/A	PC	General & Unrestricted	12,500
KANSAS CITY ACTORS THEATRE INC PO BOX 414260 KANSAS CITY, MO 64141	N/A	PC	General & Unrestricted	12,500
LOVELAND FOUNDATION INC 320 7TH AVE 263 BROOKLYN, NY 11215	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LUNCHTIME PRODUCTIONS INC 1241 ADAMS ST STE 1114 ST HELENA, CA 94574	N/A	PC	General & Unrestricted	3,000
MAKE-A-WISH FOUNDATION OF ORANGE COUNTY 3230 EL CAMINO REAL STE 100 IRVINE, CA 92602	N/A	PC	General & Unrestricted	10,000
MANY MOTHERSPO BOX 23222 SANTA FE, NM 87502	N/A	PC	General & Unrestricted	5,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MERCY MULTIPLIED AMERICA INC 15328 OLD HICKORY BLVD NASHVILLE, TN 37211	N/A	PC	General & Unrestricted	2,325
METRO DETROIT SHARE GROUP 10227 CONTINENTAL DR TAYLOR, MI 48180	N/A	PC	General & Unrestricted	5,000
MICROGRID SYSTEMS LABORATORY PO BOX 789 MEDANALES, NM 87548	N/A	PC	General & Unrestricted	16,800
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Griswold Physical Education Center Renovation & Expansion	13,000
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	John C. Griswold Physical Education Center	10,000
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Maintenance for John C Griswold Physical Education Center	25,000
Total ► 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MILLIKIN UNIVERSITY1184 W MAIN ST DECATUR, IL 62522	N/A	PC	The lobby of the Griswold Physical Education Center	50,000
MOTHERS MILK BANK AT AUSTIN 5925 DILLARD CIR AUSTIN, TX 78752	N/A	PC	General & Unrestricted	1,000
NATIONAL ABILITY CENTER PO BOX 682799 PARK CITY, UT 84068	N/A	PC	General & Unrestricted	2,163
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
NIMBUS ARTS649 MAIN ST SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	20,000
NORTHERN NEW MEXICO RADIO FOUNDATION PO BOX 28670 SANTA FE, NM 87592	N/A	PC	General & Unrestricted	10,000
OC HABITATS 15333 CULVER DR STE 340-763 IRVINE, CA 92604	N/A	PC	General & Unrestricted	17,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
PANCREATIC CANCER ACTION NETWORK INC 1500 ROSECRANS AVE STE 200 MANHATTAN BCH, CA 90266	N/A	PC	General & Unrestricted	1,000
PROJECT ANGEL HEART 4950 WASHINGTON ST DENVER, CO 80216	N/A	PC	General & Unrestricted	2,000
PROSPECT HOUSE INC 682 HAWTHORNE AVE CINCINNATI, OH 45205	N/A	PC	General & Unrestricted	20,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RECLAIMED HOPE INITIATIVE 1195 REDFIELDS RD CHARLOTTESVLE, VA 22903	N/A	PC	General & Unrestricted	5,000
RESTART INC918 E 9TH ST KANSAS CITY, MO 64106	N/A	PC	General & Unrestricted	12,500
RIVER FUND NEW YORK INC 8911 LEFFERTS BLVD RICHMOND HILL, NY 11418	N/A	PC	General & Unrestricted	500
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
SALT LAKE COMMUNITY ACTION PROGRAM 1307 S 900 W SALT LAKE CTY, UT 84104	N/A	PC	General & Unrestricted	1,500
SANTA FE FARMERS MARKET INSTITUTE 1607 PASEO DE PERALTA STE A SANTA FE, NM 87501	N/A	PC	General & Unrestricted	5,000
SECOND CHANCE PET ADOPTIONS PO BOX 11410 WESTMINSTER, CA 92685	N/A	PC	General & Unrestricted	4,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SECOND HARVEST FOOD BANK OF ORANGE COUNTY INC 8014 MARINE WAY IRVINE, CA 92618	N/A	PC	General & Unrestricted	5,000
SIMPLY SMILES INC1771 POST RD E WESTPORT, CT 06880	N/A	PC	General & Unrestricted	1,000
SOUTHPORT CONGREGATIONAL CHURCH - UNITED CHURCH OF PO BOX 366 SOUTHPORT, CT 06890	N/A	PC	General & Unrestricted	27,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	70,000
THE HEART OF AMERICA SHAKESPEARE FESTIVAL 3732 MAIN ST KANSAS CITY, MO 64111	N/A	PC	Marilyn Strauss Legacy Fund	25,000
UFFIZI ORDER624 W CARRILLO ST SANTA BARBARA, CA 93101	N/A	PC	General & Unrestricted	1,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNDERDOG ALLIANCEPO BOX 1833 SAN DIEGO, CA 92067	N/A	PC	General & Unrestricted	7,000
UNIVERSITY OF SAN DIEGO 5998 ALCALA PARK SAN DIEGO, CA 92110	N/A	PC	Daniel Burkett Memorial Scholarship fund	5,000
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	Henry Baraclough Memorial Scholarship fund	10,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	Ripple Scholarship fund	10,000
UNIVERSITY OF UTAH 201 PRESIDENTS CIR RM 203 SALT LAKE CTY, UT 84112	N/A	PC	Ripple Scholarship Fund	1,000
VOCAL ARTS ENSEMBLE OF CINCINNATI 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	37,000
Total ▶ 3a				782,301

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WWP INC - WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD STE 300 JACKSONVILLE, FL 32256	N/A	PC	General & Unrestricted	10,000
YOUNG WOMENS CHRISTIAN ASSOCIATION OF UTAH 322 E 300 S SALT LAKE CITY, UT 84111	N/A	PC	General & Unrestricted	1,500
YOUTHWORKS1000 CORDOVA PL 415 SANTA FE, NM 87505	N/A	PC	General & Unrestricted	6,500
Total ▶ 3a				782,301

TY 2019 Compensation Explanation**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Person Name	Explanation
John G Earls	*removed from foundation in 2020
Michael G Earls	*removed from position in 2020
Mark Griswold	*resigned from foundation in 2020
D Ross Griswold Jr	*removed from position in 2020

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2019 Depreciation Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

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TY 2019 Expenditure Responsibility Statement

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST Cumberland, RI 02864	2019-03-07	40,000	TO SUPPORT THE BOON PROJECT	40,000	NO	11/9/20		NONE NECESSARY
DRAGONFLY DEVELOPMENT RESOURCES INC	51 FRONT ST CUMBERLAND, RI 02864	2020-02-28	40,000	TO SUPPORT THE BOON PROJECT	0	NO	expected in 2021		none necessary
IL CUORE DI GIAMPY	VIE SEMPIONE 21 INT 12 ARESE, MILANO 20020 IT	2018-02-28	5,000	TO SUPPORT THE INFANT CARE TRAINING PROJECT	1,935	NO	7/10/19, 2/8/21		none necessary

TY 2019 Investments Corporate Bonds Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXPRESS CREDIT MTN BO	169,424	170,206
APPLE INC - 3.250% - 02/23/202	75,212	84,071
BANK AMER CORP - 3.559% - 04/2	112,173	112,073
BLACKROCK INC FR - 3.375% - 06	159,686	156,884
CITIBANK CCIT SER 2014-A1 CL A	99,626	100,377
CITIGROUP INC - 1.875% - 03/31	50,168	51,548
CITIGROUP INC BND - 3.300% - 0	52,042	55,514
DEERE JOHN CAP CORP - 2.800% -	95,850	105,255
EXXON MOBIL CORP - 3.294% - 03	112,425	112,685
GOLDMAN SACHS GROUP INC - 3.85	106,456	113,985
JPMORGAN CHASE &CO NOTE - 2.95	165,973	166,453
MORGAN STANLEY - 3.625% - 01/2	28,518	28,568
MORGAN STANLEY BD - 5.500% - 0	86,186	77,500
ORACLE CORP - 3.400% - 07/08/2	131,613	136,619
PFIZER INC NT - 3.400% - 05/15	52,866	55,046
U.S TREAS - 1.500% - 09/30/202	151,811	151,722
WELLS FARGO - 3.000% - 01/22/2	76,202	75,246

TY 2019 Investments Corporate Stock Schedule

Name: The John C Griswold Family Foundation
EIN: 13-2978937

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABS	98,835	122,830
ADOBE SYSTEMS, INC	41,100	122,010
AIA GROUP LTD ADR	26,030	33,200
ALCON INC	19,178	21,514
ALEXION PHARMACEUTICALS, INC	32,839	32,848
ALIBABA GROUP HOLDING LTD	23,310	38,187
ALPHABET INC CL A	44,141	100,001
ALPHABET INC CL C	76,306	228,895
ALPS CLEAN ENERGY ETF	70,717	154,440
AMADEUS IT HLDS SA	37,756	33,339
AMAZON COM	104,515	453,029
AMERICAN ELECTRIC POWER INC	84,893	79,287
AMERICAN TOWER REIT INC	38,360	63,349
AMERICAN WATER WORKS COMPANY I	64,175	77,150
AMERIPRISE FINANCIAL INC	17,566	44,828
AMETEK INC	4,121	28,210
AMPHENOL CORPORATION	20,090	51,670
ANALOG DEVICES INC	55,039	91,376
ANSYS INC	5,105	56,456
APPLE INC	17,368	233,099
APTIV PLC	20,594	34,898
ASHLAND GLOBAL HOLDINGS	11,894	14,207
ASML HOLDING NV NY REG SHS	16,155	37,207
ASSA ABLOY UNSP/ADR	18,314	21,235
ASTRAZENECA	98,549	94,445
AUTODESK, INC	32,415	79,585
AUTOMATIC DATA PROCESSING INC	29,279	70,769
AUTOZONE INC	4,518	59,158
AVATAR HOLDINGS INC.	25,878	35,982
BAE SYSTEMS PLC	20,503	17,658

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BANCO SANTANDER CEN	34,737	25,073
BARCLAYS ETN PLUS SELECT MLP	286,135	175,844
BERRY PLASTICS GROUP INC	8,551	26,659
BHP BILLITON LIMITED	25,683	28,620
BLACKROCK INC	58,360	102,657
BROOKFIELD ASSET MANAGEMENT CL	10,659	14,617
BROWN FORMAN CORP CL B	3,243	18,390
CADENCE DESIGN SYSTEMS INC	38,098	50,939
CANADIAN NATL RAILWAY CO	15,362	18,627
CARTER'S INC	21,741	20,557
CDW CORP	25,420	28,055
CENTENE CORP	37,733	40,073
CHARLES RIV LABORATORIES INTL	24,370	33,067
CHARLES SCHWAB CORP	118,833	135,852
CHEVRON CORP	64,669	46,554
CHUBB LIMITED	20,133	21,583
CHURCH & DWIGHT	5,503	31,948
CIGNA CORPORATION	51,159	72,572
CINTAS CRP	18,594	25,582
CISCO SYSTEMS INC	84,069	126,737
CITRIX SYSTEMS INC	5,981	15,862
COMCAST CORP	37,107	69,633
CONTL AG SPONS ADR	27,027	26,639
COOPER COMPANIES INC	17,173	23,130
COSTAR GROUP, INC	12,191	31,870
CROWN HOLDINGS INC	16,780	40,339
DAIKIN INDS LTD ADR	23,432	39,274
DANAHER CORP	38,151	131,409
DBS GROUP HLDGS SPON ADR	20,048	19,737
DEUTSCHE BOERSE ADR	11,580	14,019

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DIAGEO PLC ADS	22,611	24,017
DOLLAR GENERAL CORP	39,400	94,427
DOLLAR TREE INC	4,899	44,898
DOMINOS PIZZA INC	19,816	29,443
DYNATRACE	23,021	23,230
ECOLAB INC	78,744	103,300
EDWARDS LIFESCIENCES	17,351	49,243
ENCOMPASS HEALTH CORPORATION	15,408	46,495
ENPHASE ENERGY ORD SHS	11,814	14,886
ENTEGRIS INC MINNESOTA	18,478	27,508
EPAM SYSTEMS INC	9,918	91,864
EURONET WORLDWIDE INC	20,169	25,006
EVERBRIDGE INC	31,919	27,673
EXACT SCIENCES CORPORATION	19,353	45,519
F M C CP	13,622	37,471
FANUC LIMITED UNSPONSORED	19,510	23,663
FIDELITY NATIONAL INFORMATION	70,904	139,505
FISERV INC	44,087	121,400
FIVE BELOW INC	12,462	19,394
FLEETCOR TECHNOLOGIES INC	18,358	31,030
FOMENTO ECONOMICO MEXICANO	17,702	13,348
GENPACT LIMITED	39,411	47,276
GLOBAL PAYMENTS INC	39,188	83,346
GRIFOLS SA REP 1/2 CL B	17,529	15,528
HCA INC	14,206	30,622
HD SUPPLY HLDGS INC	16,002	31,460
HDFC BANK LTD ADR	24,817	37,536
HEXAGON	9,345	15,429
HOME DEPOT INC	44,266	126,222
HONEYWELL INTL	99,717	152,532

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HUBBELL INCORPORATED	15,604	22,784
HUMANA INC	3,625	34,845
IAA INC	18,496	29,001
ICON PLC - AMERICAN DEPOSITARY	25,429	32,935
IDEX CP	20,497	30,325
IHS MARKIT LTD	17,587	45,652
INTERCONTINENTAL EXCHANGE, INC	92,130	134,420
INTERCONTINENTAL HOTELS GROUP	19,596	18,511
ISHARES MSCI PACIFIC EX-JAPAN	269,669	366,399
JAZZ PHARMACEUTICALS INC	22,151	19,981
JOHNSON & JOHNSON	104,146	139,616
JP MORGAN CHASE	46,404	130,375
JULIUS BAER GROUP LTD	22,176	23,898
KANSAS CITY SOUTHERN	9,572	26,995
KEYSIGHT TECHNOLOGIES INC	32,546	44,415
KLA TENCOR CORP	13,387	20,914
L'AIR LIQUIDE ADR OTC	19,900	27,660
L3HARRIS TECHNOLOGIES	24,159	22,271
LAM RESEARCH CORP	26,300	61,109
LAS VEGAS SANDS CORP	18,805	17,994
LIBERTY BROADBAND CORPORATION	13,664	17,466
LINDE PLC COM	72,247	113,338
LIVENT CORPORATION	10,725	13,547
LLOYDS BANKING GROUP PLC	33,496	26,460
LONDON STK EXCHANGE GROUP	11,606	20,274
LVMH MOET HENN UNSP	23,588	37,742
MARRIOTT INTERNATIONAL INC. CL	27,211	29,941
MARVELL TECHNOLOGY GROUP LTD	21,810	38,374
MASCO CORP	18,564	26,137
MEDTRONIC PLC	67,678	96,418

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MERCADOLIBRE, INC	3,205	15,533
MICROCHIP TECHNOLOGY INC	11,689	63,701
MICROSOFT CORP	58,752	394,530
MONDELEZ INTERNATIONAL INC	105,261	115,360
MONSTER BEVERAGE CORP	1,605	33,912
MSCI INC	8,940	43,808
NEW ORIENTAL ED & TECHNOLOGY G	4,475	13,188
NEXTERA ENERGY, INC	61,258	103,615
NIDEC CORPORATION ADR	26,300	45,593
NOVARTIS AG ADR	22,152	26,886
O'REILLY AUTOMOTIVE INC	18,454	32,741
ORACLE CORP	22,585	42,366
OTIS WORLDWIDE CORP	51,896	66,940
PENTAIR INC. COM	9,364	12,540
PEPSICO INC	65,111	96,346
PIONEER NAT RES CO	59,775	40,333
PNC FINANCIAL GROUP INC	71,880	78,976
PRA HEALTH SCIENCES INC COM	22,467	30,743
PTC INC	22,324	52,847
QUALCOMM INC	65,468	157,619
RAYTHEON TECHNOLOGIES CORP	96,346	100,336
RESMED INC	37,543	45,693
REXNORD CORP	10,047	17,555
RITCHIE BROS AUCTIONEERS	13,099	25,708
ROPER INDUSTRIES	88,277	146,034
ROSS STORES, INC	8,659	29,568
ROYAL DUTCH SHEL PLC SPONS ADR	28,223	16,193
SALESFORCE.COM	53,165	97,583
SBA COMMUNICATIONS CORP	12,633	60,595
SEVEN & I HOLDINGS C	18,059	13,669

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SIEMENS AG	21,351	23,236
SKYWORKS SOLUTIONS, INC	4,735	27,952
SMARTSHEET INC	33,107	36,733
SMITH & NEPHEW PLC ADR	15,151	16,173
SONY CORP ADR	16,360	24,162
SPLUNK INC	24,761	25,727
SPROUTS FARMERS MARKET INC	17,981	17,296
STRYKER CORPORATION	33,263	89,859
SUNCOR ENERGY INC	22,320	12,261
SYMRISE AG UNSPONSORED	19,209	26,569
SYNOPSYS, INC	36,122	42,543
SYSMEX CORP UNSP ASDR	24,402	29,053
T-MOBILE US INC	79,620	149,558
TAIWAN SEMICONDUCTOR MFG CO LT	18,273	40,166
TELADOC INC	19,264	30,213
TELEFLEX INC	22,763	37,127
TEMENOS GROUP AG	16,324	13,870
TENCENT HOLDINGS LIMITED	25,150	44,165
TEXAS INSTRUMENTS INC	58,347	74,820
THE BLACKSTONE GROUP INC CL A	91,804	110,048
THERMO FISHER SCIENTIFIC INC	33,624	119,500
TJX COMPANIES INC	72,245	105,681
TOKIO MARINE HOLDINGS INC	13,963	13,971
TRACTOR SUPPLY CO COM	16,282	37,033
TRANSUNION COM USD0.01	16,998	36,983
TWILIO INC	25,836	30,409
UBISOFT ENTERTAIN UNSP ADR EAC	17,030	24,073
UNION PACIFIC	54,815	102,856
UNITEDHEALTH GROUP INC	57,162	182,632
US BANCORP	87,174	88,581

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
USD CYRUSONE INC	15,278	14,192
V F CORP	32,214	63,467
VESTAS WIND SYS UNSP/ADR	10,180	25,327
VISA INC	75,806	203,407
WALT DISNEY HOLDINGS CO	64,475	66,012
WASTE MANAGEMENT INC	88,522	98,759
XILINX INC	17,400	35,078
YUM CHINA HOLDINGS INC	18,282	29,825
ZOETIS INC	71,638	108,738

TY 2019 Investments Government Obligations Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**US Government Securities - End
of Year Book Value:**

2,120,216

**US Government Securities - End
of Year Fair Market Value:**

2,181,061

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2019 Other Expenses Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937**Other Expenses Schedule**

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	57,609			57,609
Indemnification Insurance	2,472			2,472
State or Local Filing Fees	750			750

TY 2019 Other Income Schedule

Name: The John C Griswold Family Foundation

EIN: 13-2978937

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
foreign tax refund	15,645	15,645	

TY 2019 Other Professional Fees Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	112,911	112,911		

TY 2019 Taxes Schedule**Name:** The John C Griswold Family Foundation**EIN:** 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2020	13,700			
990-PF Extension for 2019	1,269			