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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. 2010

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning Nov 1, 2019, and ending Oct 31, 2020

Name of foundation: Joseph Weintraub Family Foundation Inc

A Employer identification number: 59-0975815

Number and street (or P O box number if mail is not delivered to street address): 801 Brickell Avenue | Room/suite: 2470

B Telephone number (see instructions): (305)377-6942

City or town, state or province, country, and ZIP or foreign postal code: Miami FL 33131

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 6,273,895.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments	3,323.	3,323.		
	4	Dividends and interest from securities	213,660.	213,660.		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10				
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		0.		
	8	Net short-term capital gain			39,344.	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	216,983.	216,983.	39,344.	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	30,583.	30,583.		
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits	918.	918.		
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule) L-16c Stmt	7,469.	7,469.		
	17	Interest				
	18	Taxes (attach schedule) (see instructions) See Stmt	4,682.	4,682.		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) See Stmt	550.	550.		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	44,202.	44,202.		
	25	Contributions, gifts, grants paid	342,900.			342,900.
	26	**Total expenses and disbursements.** Add lines 24 and 25	387,102.	44,202.		342,900.
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-170,119.			
	b	**Net investment income** (if negative, enter -0-)		172,781.		
	c	**Adjusted net income** (if negative, enter -0-)			39,344.	

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

		Line	Description	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1		Cash—non-interest-bearing	38,950.	100,666.	100,666.
	2		Savings and temporary cash investments	780,312.	911,388.	911,388.
	3		Accounts receivable ▶ 2,486.			
			Less: allowance for doubtful accounts ▶	2,257.	2,486.	2,486.
	4		Pledges receivable ▶			
			Less: allowance for doubtful accounts ▶			
	5		Grants receivable			
	6		Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7		Other notes and loans receivable (attach schedule) ▶			
			Less: allowance for doubtful accounts ▶			
	8		Inventories for sale or use			
	9		Prepaid expenses and deferred charges			
	10a		Investments—U.S. and state government obligations (attach schedule) L-10a Stmt	799,065.	168,189.	194,894.
	b		Investments—corporate stock (attach schedule) L-10b Stmt	4,168,633.	4,325,155.	4,776,584.
	c		Investments—corporate bonds (attach schedule) L-10c Stmt	251,979.	292,109.	287,877.
	11		Investments—land, buildings, and equipment: basis ▶			
			Less: accumulated depreciation (attach schedule) ▶			
	12		Investments—mortgage loans			
	13		Investments—other (attach schedule)			
	14		Land, buildings, and equipment: basis ▶			
			Less: accumulated depreciation (attach schedule) ▶			
	15		Other assets (describe ▶)			
	16		**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	6,041,196.	5,799,993.	6,273,895.
Liabilities	17		Accounts payable and accrued expenses			
	18		Grants payable			
	19		Deferred revenue			
	20		Loans from officers, directors, trustees, and other disqualified persons			
	21		Mortgages and other notes payable (attach schedule)			
	22		Other liabilities (describe ▶)			
	23		**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances			**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.**			
	24		Net assets without donor restrictions			
	25		Net assets with donor restrictions			
			Foundations that do not follow FASB ASC 958, check here ▶ ☒ and complete lines 26 through 30.			
	26		Capital stock, trust principal, or current funds			
	27		Paid-in or capital surplus, or land, bldg., and equipment fund			
	28		Retained earnings, accumulated income, endowment, or other funds	6,041,196.	5,799,993.	
	29		**Total net assets or fund balances** (see instructions)	6,041,196.	5,799,993.	
	30		**Total liabilities and net assets/fund balances** (see instructions)	6,041,196.	5,799,993.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

	Description	Line	Amount
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	6,041,196.
2	Enter amount from Part I, line 27a	2	-170,119.
3	Other increases not included in line 2 (itemize) ▶ See Statement	3	-71,084.
4	Add lines 1, 2, and 3	4	5,799,993.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	5,799,993.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	1000 BAC Y Preferred Stock	P	04/25/2018	01/27/2020
b	BIPC Cash in Lieu	P	03/08/2020	04/14/2020
c	500 D Common Stock	P	02/07/2018	07/08/2020
d	500 D Common Stock	P	03/16/2020	07/08/2020
e	See Statement			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a	25,000.		26,050.	-1,050.
b	3.		4.	-1.
c	37,299.		37,000.	299.
d	37,299.		35,000.	2,299.
e	837,541.		910,167.	-72,626.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				-1,050.
b				-1.
c				299.
d				2,299.
e				-72,626.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } — 2 — -71,079.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 . . . — 3 — 39,344.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2018	357,795.	6,889,869.	0.051931
2017	363,858.	7,244,581.	0.050225
2016	355,500.	7,386,498.	0.048128
2015	372,382.	7,255,776.	0.051322
2014	383,249.	7,497,750.	0.051115

2	**Total** of line 1, column (d) . . .	2	0.252721
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0.050544
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . .	4	6,401,327.
5	Multiply line 4 by line 3 . . .	5	323,549.
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	6	1,728.
7	Add lines 5 and 6 . . .	7	325,277.
8	Enter qualifying distributions from Part XII, line 4 . . .	8	342,900.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

3

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	1,728.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	0.
3	Add lines 1 and 2	3	1,728.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,728.
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 3,076.		
b	Exempt foreign organizations—tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 0.		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	3,076.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,348.
11	Enter the amount of line 10 to be. **Credited to 2020 estimated tax** ▶ 1,348. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Sandra S. Spooner Telephone no. ▶ (305)377-6938

Located at ▶ 801 Brickell Avenue #2470, Miami, FL ZIP+4 ▶ 33131

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MICHAEL WEINTRAUB 801 Brickell Av., Ste. 2470 Miami FL 33131	President & Trustee 5.00	0.	0.	0.
SANDRA S. SPOONER 801 Brickell Av., Ste. 2470 Miami FL 33131	VP/Treas/Trustee 5.00	17,500.	525.	0.
CHRISTINA K. TAM 801 Brickell Av., Ste. 2470 Miami FL 33131	Secretary 5.00	13,083.	393.	0.
MILES GAUNTT 801 Brickell Av., Ste. 2470 Miami FL 33131	Trustee 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,547,504.
b	Average of monthly cash balances	1b	951,305.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,498,809.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,498,809.
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	97,482.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,401,327.
6	**Minimum investment return.** Enter 5% of line 5	6	320,066.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	320,066.
2a	Tax on investment income for 2019 from Part VI, line 5	2a	1,728.		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,728.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	318,338.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	318,338.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	318,338.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	342,900.
b	Program-related investments—total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	342,900.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	1,728.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	341,172.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					318,338.
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only				340,470.	
b Total for prior years: 20 ___, 20 ___, 20 ___					
3 Excess distributions carryover, if any, to 2019:					
a From 2014	0.				
b From 2015	0.				
c From 2016	0.				
d From 2017	0.				
e From 2018	0.				
f **Total** of lines 3a through e		0.			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 342,900.					
a Applied to 2018, but not more than line 2a				340,470.	
b Applied to undistributed income of prior years (Election required—see instructions)					
c Treated as distributions out of corpus (Election required—see instructions)					
d Applied to 2019 distributable amount					2,430.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		0.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount—see instructions			0.		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				0.	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					315,908.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		0.			
10 Analysis of line 9.					
a Excess from 2015	0.				
b Excess from 2016	0.				
c Excess from 2017	0.				
d Excess from 2018	0.				
e Excess from 2019	0.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

See Supplementary Information Statement

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN RED CROSS OF GREATER MIAMI 335 SW 27 Ave Miami FL 33135	N.A.	Public	Unrestricted gift	10,000.
CARE RESOURCE 3801 Biscayne Blvd. Ste 220 Miami FL 33137	N.A.	Public	Unrestricted gift	2,500.
FARM SHARE 14125 SW 320 St Homestead FL 33033	N.A.	Public	Unrestricted gift	10,000.
UNITED WAY OF DADE COUNTY 3250 SW Third Ave. Miami FL 33129	N.A.	Public	Unrestricted gift	35,000.
WPBT-CHANNEL 2 P.O.Box 610002 Miami, FL 33261	N.A.	Public	Unrestricted gift	2,500.
SOUTHERN APPALACHIAN HIGHLAND CONSEREVANCY 372 Merrimon Avenue Asheville NC 28801	N.A.	Public	Unrestricted gift	2,000.
KRISTI HOUSE INC 1265 NW 12 Ave Miami FL 33136	N.A.	Public	Unrestricted gift	2,000.
FLORIDA HEART RESEARCH INSTITUTE 4770 Biscayne Blvd Miami FL 33137	N.A.	Public	Unrestricted gift	25,000.
PLYMOUTH CONGREGATIONAL CHURCH 3400 Devon Road Miami FL 33133	N.A.	Public	Unrestricted gift	5,000.
See Statement				248,900.
Total ▶ **3a**				342,900.
b *Approved for future payment*				
NONE				
Total ▶ **3b**				0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	523000	3,323.			
4 Dividends and interest from securities	523000	213,660.			
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		216,983.			

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 216,983.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
3 & 4	ALL INCOME GENERATED PERTAINS TO HOLDING FUNDS AND SECURING INVESTMENTS
-	WHILE DETERMINING APPROPRIATE CHARITABLE ORGANIZATIONS AND AMOUNTS OF
-	CONTRIBUTIONS TO BE MADE DURING THE YEAR.

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Michael A Weintraub 6-23-21 PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶ Non-Paid Preparer			Firm's EIN ▶	
Firm's address ▶			Phone no	

Form 990-PF: Return of Private Foundation

Part XV, Line 2: Supplementary Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc. Continuation Statement

Name and Address Information	Form Information	Submission Information	Restrictions
MICHAEL WEINTRAUB 801 BRICKELL AVENUE, SUITE 2470 MIAMI, FL 33131 305-377-6942	NAME AND ADDRESS OF ORGANIZATION AND DESCRIPTION OF SERVICES PROVIDED	NONE	NONE

Form 990-PF: Return of Private Foundation

Part XV, Line 3a: Grants and Contributions Paid During the Year

Continuation Statement

Recipient name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHABAD IN THE GROVE 3713 Main Highway Miami, FL 33133	N.A.	Public	Unrestricted gift	33,400.
KERRINGTON'S HEART Post Office Box 911074 Lexington, KY 40591	N.A.	Public	Unrestricted gift	1,000.
BETHESDA HOSPITAL FOUNDATION Post Office Box 243628 Boynton Beach, FL 33424	N.A.	Public	Unrestricted gift	15,000.
MIAMI LIGHTHOUSE FOR THE BLIND 601 SW 8 Avenue Miami, FL 33130	N.A.	Public	Unrestricted gift	82,000.
GREATER MIAMI JEWISH FEDERATION 4200 Biscayne Blvd Miami, FL 33137	N.A.	Public	Unrestricted Gift	13,000.
HOOVER INSTITUTION 434 Galvez Mall Stanford, CA 94305	N.A.	Public	Unrestricted gift	2,500.
MAYO FOUNDATION 4500 San Pablo Road Jacksonville, FL 32224	N.A.	Public	Unrestricted gift	50,000.
MAYO FOUNDATION 200 First Street SW Rochester, MN 55905	N.A.	Public	Restricted gift	50,000.
WDNA RADIO 2921 Coral Way Miami, FL 33145	N.A.	Public	Unrestricted gift	2,000.
				248,900.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired	(d) Date sold
500 GE Common Stock	P	05/15/07	11/06/19
1000 GM Common Stock	P	01/14/16	09/02/20
1000 KTB Common Stock	P	08/01/19	10/07/20
500 KTB Common Stock	P	03/27/20	10/07/20
2000 NTRS Preferred Stock	P	04/21/15	01/01/20
2000 PNC Q Preferred Stock	P	03/14/17	09/01/20
500 TSM Common Stock	P	02/27/20	07/27/20
100000 Merrill Lynch 8.4% Corporate Bond	P	03/13/12	11/01/19
UTG LT Capital Gain Distribution	P	10/10/17	12/31/19
WELL LT Capital Gain Distribution	P	10/11/16	12/31/19
WELL Unrecap 1250 Gain Distribution	P	10/11/16	12/31/19
AIG 1000 Common Stock	P	11/06/12	01/07/20
AIMC 1250 Common Stock	P	03/04/19	01/21/20
APG 2500 Common Stock	P	04/28/20	06/18/20
BAM 125 Common Stock	P	11/11/13	02/18/20
CBZ 1000 Common Stock	P	01/23/12	03/12/20
CHK 12.5 Common Stock	P	10/13/16	06/08/20
CSU 3500 Common Stock	P	05/11/17	03/03/20
EAF 2250 Common Stock	P	10/02/18	06/29/20
EXPE 250 Common Stock	P	06/29/20	10/09/20
HHC 450 Common Stock	P	06/02/20	09/15/20
HLT 300 Common Stock	P	04/08/20	04/30/20
HZN 2600 Common Stock	P	01/17/18	05/05/20
LCII 250 Common Stock	P	04/22/20	06/08/20
LEN 150 Common Stock	P	07/12/18	05/26/20
NWL 650 Common Stock	P	01/26/18	11/22/19
OLP Cash in Lieu	P	08/08/11	08/03/20
PBH 900 Common Stock	P	09/01/10	02/13/20
PMBC 3500 Common Stock	P	03/07/12	09/15/20
TWI 2000 Common Stock	P	11/10/15	11/11/19
GNMA #401060 - 8%	P	07/20/94	01/01/20
GNMA #550985 - 7%	P	03/08/02	01/01/20
GNMA #553488 - 6.5%	P	06/19/02	01/01/20
GNMA #552613 - 6.5%	P	07/16/02	01/01/20
GNMA #557485 - 7%	P	06/27/01	01/01/20
GNMA #565047 - 6%	P	11/07/01	01/01/20
GNMA #604969 - 6%	P	09/22/04	01/01/20
GNMA II #3133 - 6.5%	P	09/21/01	01/01/20
GNMA #635306 - 6%	P	05/23/06	01/01/20
GNMA #652587 - 6%	P	05/01/06	01/01/20
GNMA #617626 - 6%	P	06/07/07	01/01/20
GNMA #656292 - 6%	P	07/19/07	01/01/20
GNMA #660314 - 5.5%	P	02/19/08	01/01/20
GNMA #678401 - 5.5%	P	03/06/08	01/01/20
GNMA #689729 - 5.5%	P	05/28/08	01/01/20
GNMA #782365 - 6%	P	06/12/08	01/01/20
GNMA #688037 - 6%	P	07/12/08	01/01/20
GNMA #782144 - 6%	P	10/27/08	01/01/20
GNMA #704411 - 4.5%	P	01/16/09	01/01/20

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

GNMA #724181 - 5%	P	06/08/09	01/01/20
Northern AZ Univ 6.07 Mat 08/01/23	P	03/20/20	08/03/20

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,500.		18,720.	-13,220.
30,999.		29,807.	1,192.
27,129.		29,500.	-2,371.
13,565.		10,000.	3,565.
50,000.		50,164.	-164.
50,000.		45,964.	4,036.
41,000.		26,750.	14,250.
100,000.		114,100.	-14,100.
3,381.		0.	3,381.
503.		0.	503.
284.		0.	284.
50,967.		31,597.	19,370.
45,566.		40,105.	5,461.
34,732.		25,350.	9,382.
8,428.		3,298.	5,130.
23,141.		6,820.	16,321.
716.		28,526.	-27,810.
8,731.		52,597.	-43,866.
18,132.		42,011.	-23,879.
24,162.		19,628.	4,534.
28,149.		40,590.	-12,441.
23,060.		20,800.	2,260.
4,268.		32,553.	-28,285.
29,628.		18,531.	11,097.
9,296.		8,063.	1,233.
12,382.		18,541.	-6,159.
19.		0.	19.
39,008.		6,934.	32,074.
12,599.		19,027.	-6,428.
5,793.		32,608.	-26,815.
69.		69.	0.
284.		290.	-6.
142.		139.	3.
198.		202.	-4.
49.		50.	-1.
2,839.		2,892.	-53.
6,928.		6,925.	3.
474.		482.	-8.
783.		783.	0.
1,891.		1,891.	0.
222.		221.	1.
792.		786.	6.
255.		254.	1.
5,363.		5,351.	12.
866.		864.	2.
1,251.		1,251.	0.
131.		130.	1.
4,024.		4,019.	5.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

5,677.		5,766.	-89.
4,165.		4,156.	9.
100,000.		101,062.	-1,062.
837,541.	0.	910,167.	-72,626.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-13,220.
			1,192.
			-2,371.
			3,565.
			-164.
			4,036.
			14,250.
			-14,100.
			3,381.
			503.
			284.
			19,370.
			5,461.
			9,382.
			5,130.
			16,321.
			-27,810.
			-43,866.
			-23,879.
			4,534.
			-12,441.
			2,260.
			-28,285.
			11,097.
			1,233.
			-6,159.
			19.
			32,074.
			-6,428.
			-26,815.
			0.
			-6.
			3.
			-4.
			-1.
			-53.
			3.
			-8.
			0.
			0.
			1.
			6.
			1.
			12.

Form 990-PF: Return of Private Foundation

Part IV: Capital Gains and Losses for Tax on Investment Income

Continuation Statement

			2.
			0.
			1.
			5.
			-89.
			9.
			-1,062.
0.	0.	0.	-72,626.

Additional information from your Form 990-PF: Return of Private Foundation

Form 990-PF: Return of Private Foundation

Taxes **Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
Foreign Taxes	614.	614.		
Payroll Taxes	2,340.	2,340.		
Federal Income Tax	1,728.	1,728.		
Total	4,682.	4,682.		

Form 990-PF: Return of Private Foundation

Other Expenses **Continuation Statement**

Description	Revenue and Expense per Book	Net Investment Income	Adjusted Net Income	Disbursement for charitable purpose
Miscellaneous Expense	550.	550.		
Total	550.	550.		

Form 990-PF: Return of Private Foundation

Other Increases **Continuation Statement**

Description	Amount
Capital Losses FYE 10-31-20	-110,428.
Capital Gains FYE 10-31-20	39,344.
Total	-71,084.

Form 990-PF
Part I, Lines 16a - 16c

Legal and Professional Fees

2019

Name	Employer Identification No
Joseph Weintraub Family Foundation Inc	59-0975815

Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total to Form 990-PF, Part I, Line 16a					

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total to Form 990-PF, Part I, Line 16b					

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Northern Trust Bank	Custody Account Services	266.			
GNMA Servicer	MBS Servicing	1,094.			
First Manhattan Co	Investment Management	6,109.			
Total to Form 990-PF, Part I, Line 16c		7,469.			

Form 990-PF
Part II

Investments

2019

Name	Employer Identification No
Joseph Weintraub Family Foundation Inc	59-0975815

Line 10a - Investments - US and State Government Obligations:	End of Year State and Local Obligations Book Value	State and Local Obligations FMV	End of Year US Government Obligations Book Value	US Government Obligations FMV
GNMA POOL #401060			283.	285.
GNMA POOL #550985			987.	1,132.
GNMA POOL #557485			700.	705.
See L-10a Stmt			166,219.	192,772.
Tot to Fm 990-PF, Pt II, Ln 10a			168,189.	194,894.

Line 10b - Investments - Corporate Stock:	End of Year Book Value	Fair Market Value
1500 AT&T INC	58,064.	40,530.
2000 AT&T 5% Pfd	50,000.	52,180.
500 ABBOTT LABORATORIES	11,368.	52,555.
See L-10b Stmt	4,205,723.	4,631,319.
Totals to Form 990-PF, Part II, Line 10b	4,325,155.	4,776,584.

Line 10c - Investments - Corporate Bonds:	End of Year Book Value	Fair Market Value
ELGIN OH SCHOOL DIST 5.499% 08/31/27	51,439.	51,439.
New Home Co Sr Note 7.25% 04/01/22	28,615.	30,432.
NY CITY NY 6.282% 12/15/20	41,116.	40,278.
See L- 10c Stmt	170,939.	165,728.
Totals to Form 990-PF, Part II, Line 10c	292,109.	287,877.

Line 12 - Investments - Mortgage loans:	End of Year Book Value	Fair Market Value
Totals to Form 990-PF, Part II, Line 12		

Line 13 - Investments - Other:	End of Year Book Value	Fair Market Value
Totals to Form 990-PF, Part II, Line 13		

Additional information from your 2019 Federal Exempt Tax Return

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10a Stmt

Continuation Statement

Line 10a Description	Line 10a Bk St & Loc	Line 10a FMV St & Loc	Line 10a Book US	Line 10a FMV US
GNMA POOL #565047			5,918.	6,593.
GNMA POOL #553488			2,533.	2,829.
GNMA POOL #552613			2,198.	2,499.
GNMA POOL #604969			18,191.	21,467.
GNMA-II POOL #3133			2,188.	2,524.
GNMA POOL #635306			4,524.	5,285.
GNMA POOL #652587			8,759.	10,036.
GNMA POOL #617626			4,434.	5,186.
GNMA POOL #656292			20,800.	24,468.
GNMA Pool #606314			6,695.	7,396.
GNMA Pool #678401			12,531.	14,296.
GNMA Pool #688037			2,946.	3,402.
GNMA Pool #689729			25,542.	30,044.
GNMA Pool #704411			13,696.	15,009.
GNMA Pool #724181			13,998.	16,036.
GNMA Pool #782144			16,254.	19,647.
GNMA Pool #782365			5,012.	6,055.
Total			166,219.	192,772.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt

Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
1400 ABBVIE INC	55,685.	119,140.
2000 Altria Group Inc	64,345.	72,160.
500 AMERICAN EXPRESS	46,250.	45,620.
1500 Archer Daniels Midland	64,314.	69,360.
2500 ARES CAPITAL CORP	37,257.	34,575.
1000 Bank of America 5.875% Pfd	25,300.	26,890.
95 BANK OF AMERICA 7.25% PFD	103,351.	139,355.
2000 BANK OF AMERICA 5% Pfd	51,600.	52,100.
2000 Bed Bath & Beyond	25,660.	39,600.
2000 Blackrock Corp High Yield Fund Inc	34,712.	31,800.
1000 BROOKFIELD INFRASTRUCTURE PARTNERS	30,444.	42,900.
111 BROOKFIELD CORP	3,553.	6,046.
500 BRUNSWICK CORP	30,900.	31,855.
1000 Consolidated Edison Inc	45,590.	78,490.
500 CHEVRON CORP	47,507.	34,750.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt **Continuation Statement**

Line 10b Description	Line 10b Book	Line 10b FMV
2500 CISCO SYSTEMS INC	67,374.	89,750.
500 Citigroup Inc	37,500.	20,710.
1500 Citigroup 6.875% Pfd	41,680.	41,610.
250 WALT DISNEY CO	32,375.	30,312.
500 Duke Energy Corp	42,250.	46,055.
699 EI DuPont DeNemours 4.5% Pfd	65,725.	75,981.
1000 Emerson Electric Co	49,216.	64,790.
2500 Enterprise Products Partnership LP	60,727.	41,425.
1000 Exxon Mobile Corp	86,464.	32,620.
3000 FORD MOTOR CO	33,157.	23,190.
3500 GENERAL ELECTRIC CO	98,177.	25,970.
1000 General Mills	41,500.	59,120.
500 Illinois Tool Works Inc	21,846.	97,940.
1000 Intel Corp	35,507.	44,280.
1000 International Paper	39,407.	43,750.
400 iSHARES IBOXX HIGH YLD ETF	35,310.	33,552.
2000 iSHARES US PREFERRED STOCK	77,394.	72,440.
2000 JOHNSON & JOHNSON	116,666.	274,220.
2000 JP MORGAN CHASE & CO 4.75% Pfd	51,500.	53,080.
2000 KINDER MORGAN INC	67,780.	23,800.
500 McDONALDS CORP	37,171.	106,500.
1000 MACY'S INC	30,197.	6,210.
3000 MERCK & CO INC	107,488.	225,630.
1200 Nextera Energy Inc	44,025.	87,852.
400 NORTHERN TRUST CORP	30,000.	31,308.
2000 NORTHERN TRUST CORP 4.7% PFD	49,575.	52,960.
1651 ONE LIBERTY PROPERTIES INC	35,836.	25,861.
3000 OPKO HEALTH INC	37,824.	10,560.
3500 PIMCO CORP INC FUND	52,622.	53,655.
3000 PFIZER INC	74,988.	106,440.
1000 PHILIP MORRIS INTERNATIONAL INC	69,603.	71,020.
400 PNC FINANCIAL SERVICES GROUP	40,000.	44,752.
3000 PNC FINANCIAL SERVICE GROUP Series P Pfd	84,450.	80,130.
2350 Reaves Utility Income Fund	67,589.	73,907.
500 Royal Dutch Shell	32,345.	12,775.
100 SEMPRA ENERGY	12,400.	12,536.
200 Simon Property Group REIT	31,307.	12,562.
1141 TEVA PharmaceuticalIndustries Ltd	55,163.	9,950.
1000 Transocean Ltd	47,507.	671.
1300 Triton Inernational Ltd	44,459.	47,944.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt **Continuation Statement**

Line 10b Description	Line 10b Book	Line 10b FMV
250 United Health Group Inc	41,757.	76,285.
2000 US Bancorp 5.15% Pfd	49,507.	50,660.
250 Valero Energy Corp	26,500.	9,653.
700 Vanguard REIT ETF	46,310.	53,613.
2000 Verizon Communications	81,493.	113,980.
70 Wells Fargo & Co 7.5% Series L PFD	72,723.	94,413.
2500 Wells Fargo & Co 5.2% Series N PFD	61,448.	63,175.
1000 Welltower Inc	72,166.	53,770.
2500 Williams Companies	60,679.	47,975.
5 AXP DEC 2020 CALL OPTION @110	-1,547.	-220.
2 DIS DEC 2020 CALL OPTION @130	-1,279.	-670.
9500 AGROFRESH SOLUTIONS INC	48,486.	19,570.
650 ANHEUSER BUSCH INBEV SA NV	38,817.	33,742.
1000 AXIS CAPITAL HOLDINGS LTD	41,393.	42,690.
2625 BROOKFIELD ASSET MANAGEMENT INC	20,592.	78,172.
150 BERKSHIRE HATHAWAY CL B	29,050.	30,285.
2500 CBIZ Inc	15,437.	56,675.
4500 CARROLS RESTAURANT GROUP INC	14,313.	26,325.
1000 Citigroup Inc	50,970.	41,420.
3000 Centennial Resource Development Inc	27,326.	1,859.
700 COMCAST CORP	25,444.	29,568.
1000 COMMERCEWEST BANK NA	15,745.	16,950.
14000 DUNDEE BANCORP, INC	53,602.	14,911.
2500 Gates Industrial Corp	37,148.	27,750.
1550 GMAC 8.125% Pfd	40,245.	39,897.
150 HOWARD HUGHES CORP	8,072.	9,329.
2000 KKR & Co Inc	45,369.	68,300.
850 LENNAR CORP	45,396.	59,696.
75 LIBERTY BROADBAND CORP SERIES A	10,487.	10,541.
150 LIBERTY BROADBAND CORP SERIES C	17,428.	21,257.
1250 MERIDIAN BANK PAOLI PA	18,421.	21,975.
6750 NEW HOME CO INC	55,490.	34,290.
1293 NEWELL BRANDS INC	17,475.	22,834.
1317 ONE LIBERTY PROPERTIES INC	18,080.	20,321.
1000 ONEX CORP	15,046.	43,204.
1500 Orion Engineered Carbons	41,855.	22,005.
4100 SAFEGUARD SCIENTIFIC INC	41,454.	23,862.
5000 SEACOR MARINE HOLDINGS INC	33,728.	8,600.
1200 SILVERGATE CAP CORP	18,190.	26,832.
3000 TRIMAS CORP	27,178.	72,990.

Form 990-PF Part II Line 10, 12 and 13 Investments

L-10b Stmt

Continuation Statement

Line 10b Description	Line 10b Book	Line 10b FMV
1250 Veritex Holdings Inc	33,617.	24,663.
900 VIACOM CBS INC CL B	37,540.	25,713.
Total	4,205,723.	4,631,319.

Form 990-PF Part II Line 10, 12 and 13 Investments

L- 10c Stmt

Continuation Statement

Ln 10c Description	Line 10c Book	Line 10c FMV
NY CITY NY 6.282% 12/15/20	61,675.	60,389.
Sheldon TX 5.632% Mat 02/15/27	109,264.	105,339.
Total	170,939.	165,728.