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Form 990-PF

Department of the Treasury

Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 11-01-2019, and ending 10-31-2020

Name of foundation

HARRY & THELMA SURRENA MEMORIAL

Number and street (or P.O. box number if mail is not delivered to street address)

P O BOX 603

City or town, state or province, country, and ZIP or foreign postal code

SHERIDAN, WY 82801

G Check all that apply:

☐ Initial return

☐ Initial return of a former public charity

☐ Final return

☐ Amended return

☐ Address change

☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust

☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)

\$ 5,824,928

J Accounting method:

☒ Cash

☐ Accrual

☐ Other (specify)

(Part I, column (d) must be on cash basis.)

A Employer identification number

23-7435554

B Telephone number (see instructions)

(307) 672-6494

C If exemption application is pending, check here

☐

D 1. Foreign organizations, check here.....

☐

2. Foreign organizations meeting the 85% test, check here and attach computation ...

☐

E If private foundation status was terminated under section 507(b)(1)(A), check here

☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

☐

Part I

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B			
	3 Interest on savings and temporary cash investments	3,916	3,916	
	4 Dividends and interest from securities	149,532	149,532	
	5a Gross rents			
	b Net rental income or (loss)			
	6a Net gain or (loss) from sale of assets not on line 10	-29,984		
	b Gross sales price for all assets on line 6a	2,405,933		
	7 Capital gain net income (from Part IV, line 2)			
	8 Net short-term capital gain			
	9 Income modifications			
	10a Gross sales less returns and allowances			
b Less: Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	123,464	153,448		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	38,982	19,491	19,491
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	b Accounting fees (attach schedule)	2,310	1,733	577
	c Other professional fees (attach schedule)	34,747	34,747	
	17 Interest			
	18 Taxes (attach schedule) (see instructions)	13,082	1,491	1,491
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
	22 Printing and publications			
	23 Other expenses (attach schedule)			
	24 Total operating and administrative expenses. Add lines 13 through 23	89,121	57,462	21,559
	25 Contributions, gifts, grants paid	224,500		224,500
26 Total expenses and disbursements. Add lines 24 and 25	313,621	57,462	246,059	
	27 Subtract line 26 from line 12:			
	a Excess of revenue over expenses and disbursements	-190,157		
	b Net investment income (if negative, enter -0-)		95,986	
c Adjusted net income (if negative, enter -0-)				

For Paperwork Reduction Act Notice, see instructions.

Cat. No. 11289X

Form 990-PF (2019)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	207,546	117,031	117,036
	3 Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	4 Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)	346,368	59,474	62,554
	b Investments—corporate stock (attach schedule)	3,509,033	3,571,644	4,162,588
	c Investments—corporate bonds (attach schedule)	1,341,289	1,465,930	1,459,768
	11 Investments—land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____			
15 Other assets (describe ▶ _____)	22,982	22,982	22,982	
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	5,427,218	5,237,061	5,824,928	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ _____)	8,481	8,481	
	23 Total liabilities (add lines 17 through 22)	8,481	8,481	
Net Assets or Fund Balances	Foundations that follow FASB ASC 958, check here ▶ <input type="checkbox"/> and complete lines 24, 25, 29 and 30.			
	24 Net assets without donor restrictions			
	25 Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ <input checked="" type="checkbox"/> and complete lines 26 through 30.			
	26 Capital stock, trust principal, or current funds	2,443,594	2,443,594	
	27 Paid-in or capital surplus, or land, bldg., and equipment fund			
	28 Retained earnings, accumulated income, endowment, or other funds	2,975,143	2,784,986	
	29 Total net assets or fund balances (see instructions)	5,418,737	5,228,580	
30 Total liabilities and net assets/fund balances (see instructions) .	5,427,218	5,237,061		

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	5,418,737
2 Enter amount from Part I, line 27a	2	-190,157
3 Other increases not included in line 2 (itemize) ▶ _____	3	
4 Add lines 1, 2, and 3	4	5,228,580
5 Decreases not included in line 2 (itemize) ▶ _____	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29 .	6	5,228,580

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Additional Data Table			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) <div style="float: right; border-left: 1px solid black; padding-left: 5px;"> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 </div>	2	-29,984
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	-57,958

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	262,532	5,683,452	0.046192
2017	285,532	5,920,934	0.048224
2016	285,507	5,836,340	0.048919
2015	295,282	5,544,325	0.053258
2014	308,616	5,532,056	0.055787

2 Total of line 1, column (d)	2	0.252380
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.050476
4 Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	5,704,867
5 Multiply line 4 by line 3	5	287,959
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	960
7 Add lines 5 and 6	7	288,919
8 Enter qualifying distributions from Part XII, line 4	8	246,059

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,920
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	1,920
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,920
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	1,619
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	1,619
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached.	8	4
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	305
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be: Credited to 2020 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition). <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		No
c Did the foundation file Form 1120-POL for this year?		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ _____ (2) On foundation managers. <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		No
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> WY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. <i>If "Yes," complete Part XIV</i>		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		No

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	Yes	
14	The books are in care of ► <u>MOHATT JOHNSON & GODWIN LLP</u> Telephone no. ► <u>(307) 672-6494</u>			

Located at ► PO BOX 603 SHERIDAN WY ZIP+4 ► 82801

15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —check here	<input type="checkbox"/>		
	and enter the amount of tax-exempt interest received or accrued during the year	► 15		
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a	During the year did the foundation (either directly or indirectly):		Yes	No
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ► 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2019 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

		Yes	No
5a	During the year did the foundation pay or incur any amount to:		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions.	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions		5b
	Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	6b
	If "Yes" to 6b, file Form 8870.		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?		7b
8	Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation. See instructions**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN PRADERE PO BOX 603 SHERIDAN, WY 82801	TRUSTEE 6.00	19,491	0	0
ROBERT WYATT PO BOX 603 SHERIDAN, WY 82081	TRUSTEE 6.00	19,491	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000. ►

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities.	1a	5,429,895
b	Average of monthly cash balances.	1b	338,866
c	Fair market value of all other assets (see instructions).	1c	22,982
d	Total (add lines 1a, b, and c).	1d	5,791,743
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	5,791,743
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	86,876
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,704,867
6	Minimum investment return. Enter 5% of line 5.	6	285,243

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	285,243
2a	Tax on investment income for 2019 from Part VI, line 5.	2a	1,920
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b	
c	Add lines 2a and 2b.	2c	1,920
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	283,323
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	283,323
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	283,323

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	246,059
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	246,059
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	246,059

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				283,323
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2019:				
a From 2014.				12,621
b From 2015.				20,740
c From 2016.				1,533
d From 2017.				2,333
e From 2018.				
f Total of lines 3a through e.	37,227			
4 Qualifying distributions for 2019 from Part XII, line 4: ► \$ 246,059				
a Applied to 2018, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2019 distributable amount.				246,059
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2019. (If an amount appears in column (d), the same amount must be shown in column (a).)	37,227			37,227
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b. Taxable amount—see instructions.				
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions.				
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020.				37
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).				
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2020. Subtract lines 7 and 8 from line 6a.				
10 Analysis of line 9:				
a Excess from 2015.				
b Excess from 2016.				
c Excess from 2017.				
d Excess from 2018.				
e Excess from 2019.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
SURRENA MEMORIAL FUND
PO BOX 603
SHERIDAN, WY 82801
(307) 752-6855

b The form in which applications should be submitted and information and materials they should include:
WRITTEN APPLICATION

c Any submission deadlines:
NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
CHARITABLE, RELIGIOUS, SCIENTIFIC, & EDUCATIONAL PURPOSES IN THE U.S.

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	224,500
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated.

[illegible]

Part XVII

	Yes	No
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1a(1)		No
1a(2)		No

--	--	--

1b(1)	No
--------------	-----------

1b(2)		No
--------------	--	-----------

1b(3)		No
--------------	--	-----------

1b(4)		No
--------------	--	-----------

1b(5)		No
--------------	--	-----------

1b(6)		No
--------------	--	-----------

1c		No
----	--	----

value
ue


[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations

described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here 	*****	2021-04-23	*****	<div style="border: 1px solid black; padding: 5px;"> May the IRS discuss this return with the preparer shown below (see instr.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No </div>
	_____ Signature of officer or trustee	_____ Date	_____ Title	

Paid Preparer Use Only	MICHAEL B JOHNSON		2021-04-23		
	Firm's name ► MOHATT JOHNSON & GODWIN LLP				Firm's EIN ► 83-0232295
	Firm's address ► PO BOX 603 SHERIDAN, WY 828010603				Phone no. (307) 672-6494

May the IRS discuss this return with the preparer shown below

(see instr.) ☒ **Yes** ☐ **No**

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50,000.-BLACKROCK INC 5% 10 DEC 2019	P	2010-02-12	2019-12-10
37.-INDUSTRIAL SELECT SECTOR SPDR FU	P	2019-09-24	2020-01-24
109.-EXXON MOBIL CORP	P	2015-12-10	2020-05-13
206.-CONSUMER STAPLES SELECT SECTOR	P	2018-05-16	2020-07-07
1,537.988-DFA US SMALL CAP PORTFOLIO	P	2019-01-28	2020-04-01
25.-CHEVRON CORP	P	2003-06-16	2020-05-18
5,607.206-DFA US SMALL CAP PORTFOLIO	P	2019-04-08	2020-04-01
276.-EXXON MOBIL CORP	P	2018-04-04	2020-05-13
432.-FINANCIAL SELECT SECTOR SPDR FU	P	2018-10-31	2020-01-07
5,359.781-DFA COMMODITY STRATEGY POR	P	2017-02-02	2019-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
50,000		50,000	
3,107		2,887	220
4,580		8,302	-3,722
12,240		10,229	2,011
33,790		50,000	-16,210
2,327		940	1,387
123,190		193,000	-69,810
11,598		20,466	-8,868
13,219		11,411	1,808
28,996		32,587	-3,591

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			220
			-3,722
			2,011
			-16,210
			1,387
			-69,810
			-8,868
			1,808
			-3,591

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50.-CHEVRON CORP	P	2010-09-13	2020-05-18
5,576.534-DFA COMMODITY STRATEGY POR	P	2019-02-15	2019-11-20
59.-GILEAD SCIENCES INC	P	2012-05-04	2020-04-03
370.-INDUSTRIAL SELECT SECTOR SPDR F	P	2018-02-02	2019-11-08
4,145.936-DFA COMMODITY STRATEGY POR	P	2017-11-03	2019-11-20
75.-CHEVRON CORP	P	2010-09-13	2020-05-18
7,423.582-TEMPLETON GLOBAL BOND FUND	P	2019-04-08	2019-12-16
91.-GILEAD SCIENCES INC	P	2014-03-28	2020-04-03
56.-INDUSTRIAL SELECT SECTOR SPDR FU	P	2018-02-02	2020-01-24
16,000.-DFA COMMODITY STRATEGY PORTF	P	2018-05-24	2019-11-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,654		3,953	701
30,169		30,782	-613
4,543		1,497	3,046
30,212		29,290	922
22,430		25,000	-2,570
6,984		5,930	1,054
77,948		85,000	-7,052
7,006		6,285	721
4,702		4,433	269
86,560		100,000	-13,440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			701
			-613
			3,046
			922
			-2,570
			1,054
			-7,052
			721
			269
			-13,440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
187.-EMERSON ELECTRIC CO	P	2004-02-25	2019-11-08
68.03-VANGUARD INTERMEDIATE-TERM INV	P	2019-04-08	2020-02-28
151.-GILEAD SCIENCES INC	P	2014-03-28	2020-04-03
134.-TECHNOLOGY SELECT SECTOR SPDR F	P	2018-08-10	2020-03-18
1,753.104-TEMPLETON GLOBAL BOND FUND	P	2013-04-11	2019-12-16
55.-PEPSICO INC	P	1997-03-21	2020-02-07
15,476.733-VANGUARD INTERMEDIATE-TER	P	2019-04-08	2020-03-09
35.-GILEAD SCIENCES INC	P	2014-03-28	2020-04-03
69.-TECHNOLOGY SELECT SECTOR SPDR FU	P	2018-08-10	2020-09-04
1,954.651-TEMPLETON GLOBAL BOND FUND	P	2014-03-03	2019-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,762		5,854	7,908
704		656	48
11,613		10,428	1,185
9,469		9,814	-345
18,408		23,459	-5,051
7,978		1,691	6,287
160,803		149,350	11,453
2,675		2,417	258
7,906		5,053	2,853
20,524		24,397	-3,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			7,908
			48
			1,185
			-345
			-5,051
			6,287
			11,453
			258
			2,853
			-3,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50.-PEPSICO INC	P	1997-03-21	2020-02-07
8,062.046-VANGUARD INTERMEDIATE-TERM	P	2019-09-05	2020-06-04
116.-GILEAD SCIENCES INC	P	2016-11-02	2020-04-03
238.-COMMUNICATION SERVICES SELECT S	P	2018-08-10	2020-07-07
1,892.506-TEMPLETON GLOBAL BOND FUND	P	2014-05-13	2019-12-16
623.664-TEMPLETON GLOBAL BOND FUND/U	P	2011-04-06	2019-12-16
28,218.683-VANGUARD INTERMEDIATE-TER	P	2019-10-10	2020-06-04
10.-MCKESSON CORP	P	2016-04-18	2020-02-21
105.-SKYWORKS SOLUTIONS INC	P	2013-08-23	2020-01-24
3,750.938-TEMPLETON GLOBAL BOND FUND	P	2014-09-12	2019-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,255		1,537	5,718
83,765		81,588	2,177
8,867		8,435	432
13,514		11,742	1,772
19,871		24,416	-4,545
6,548		8,467	-1,919
293,192		284,444	8,748
1,702		1,744	-42
13,125		2,641	10,484
39,385		48,843	-9,458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			5,718
			2,177
			432
			1,772
			-4,545
			-1,919
			8,748
			-42
			10,484
			-9,458

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
481.541-TEMPLETON GLOBAL BOND FUND/U	P	2011-11-23	2019-12-16
5,004.905-VANGUARD INTERMEDIATE-TERM	P	2020-01-07	2020-06-04
131.-MCKESSON CORP	P	2016-11-02	2020-02-21
128.-STARBUCKS CORP	P	2017-06-29	2020-09-17
7,663.795-VANGUARD INTERMEDIATE-TERM	P	2017-02-02	2020-02-28
33.-BOEING CO	P	2019-07-10	2020-03-20
17,938.562-VANGUARD INTERMEDIATE-TER	P	2019-09-05	2020-03-13
68.-MICROSOFT CORP	P	2013-08-15	2019-11-01
72.-STARBUCKS CORP	P	2017-06-29	2020-09-17
2,593.36-VANGUARD INTERMEDIATE-TERM	P	2017-03-16	2020-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,056		5,851	-795
52,001		50,449	1,552
22,300		17,795	4,505
11,015		7,500	3,515
79,320		74,032	5,288
3,231		11,636	-8,405
212,393		206,832	5,561
9,722		2,160	7,562
6,204		4,219	1,985
26,841		25,000	1,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-795
			1,552
			4,505
			3,515
			5,288
			-8,405
			5,561
			7,562
			1,985
			1,841

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
50.-BOEING CO	P	2020-01-24	2020-03-20
276.846-VANGUARD 500 INDEX FUND	P	2019-04-05	2020-01-07
21.-MICROSOFT CORP	P	2013-08-15	2020-09-04
86.-STARBUCKS CORP	P	2017-06-29	2020-09-17
12,952.647-VANGUARD INTERMEDIATE-TER	P	2019-04-08	2020-06-04
168.-MATERIALS SELECT SECTOR SPDR FU	P	2019-02-08	2020-01-07
174.-AMERICAN EXPRESS CO	P	2016-03-11	2020-03-19
77.-MICROCHIP TECHNOLOGY INC	P	2018-10-31	2020-01-10
294.881-INVESCO DEVELOPING MARKETS F	P	2012-12-03	2020-01-07
7,829.691-VANGUARD INTERMEDIATE-TERM	P	2017-11-03	2020-03-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,896		16,013	-11,117
82,730		73,923	8,807
4,353		667	3,686
7,370		5,039	2,331
134,578		124,993	9,585
9,988		8,811	1,177
12,748		10,364	2,384
8,457		5,046	3,411
13,550		10,018	3,532
92,704		87,379	5,325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-11,117
			8,807
			3,686
			2,331
			9,585
			1,177
			2,384
			3,411
			3,532
			5,325

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
94.-CONSUMER DISCRETIONARY SELECT SE	P	2019-05-14	2020-04-22
35.-APPLE INC	P	2016-04-28	2020-07-07
142.-MICROCHIP TECHNOLOGY INC	P	2018-10-31	2020-01-13
2,403.846-DFA EMERGING MARKETS SMALL	P	2015-04-01	2020-04-27
134.089-VANGUARD 500 INDEX FUND	P	2019-04-05	2020-09-04
64.-CONSUMER DISCRETIONARY SELECT SE	P	2020-01-07	2020-04-22
78.-WALT DISNEY CO	P	2012-05-09	2020-01-10
50,000.-MORGAN STANLEY 2.8% 16 JUN 2	P	2016-05-26	2020-06-16
3,206.688-DFA EMERGING MARKETS SMALL	P	2018-05-24	2020-04-27
LITIGATION PROCEEDS	P	2018-01-02	2020-03-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
10,366		10,759	-393
13,211		3,356	9,855
15,753		9,305	6,448
38,029		50,000	-11,971
42,538		35,804	6,734
7,058		8,075	-1,017
11,299		3,523	7,776
50,000		50,908	-908
50,730		75,165	-24,435
316			316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-393
			9,855
			6,448
			-11,971
			6,734
			-1,017
			7,776
			-908
			-24,435
			316

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

List and describe the kind(s) of property sold (e.g., real estate, (a) 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
98.-INDUSTRIAL SELECT SECTOR SPDR FU	P	2019-07-10	2020-01-24
142.-EXELON CORP	P	2015-03-31	2019-11-12
49.-HEALTH CARE SELECT SECTOR SPDR F	P	2018-08-10	2020-01-07
1,219.206-DFA US SMALL CAP PORTFOLIO	P	2018-05-24	2020-04-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,229		7,523	706
6,289		4,745	1,544
4,961		4,400	561
26,786		45,659	-18,873

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			706
			1,544
			561
			-18,873

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BREAD OF LIFEPO BOX 909 BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	7,500
BUFFALO SENIOR CENTERPO BOX 941 BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	7,500
CHILDREN'S CENTER 863 HIGHLAND AVE SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	25,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CHILDREN'S CENTER - BUFFALO 151 KLONDIKE BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	45,000
CLEARMONT COMMUNITY LIBRARY PO BOX 26 CLEARMONT, WY 82835	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
GENESIS FOUNDATION 444 CRESCENT DR SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	20,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JOHNSON COUNTY LIBRARY FOUNDATION171 N ADAMS BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
HABITAT FOR HUMANITY OF THE EASTERN BIGHORNS PO BOX 6196 SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	6,000
JOHNSON COUNTY YMCA 101 SOUTH KLONDIKE BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	17,500
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
LUNCH TOGETHER SOUP KITCHEN 102 S CONNER ST SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	7,500
METHODIST CHURCH OF BUFFALO WYOMING 132 N ADAMS AVE BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	2,500
METHODIST CHURCH OF SHERIDAN WYOMING215 W WORKS SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SENIOR CITIZENS COUNCIL 211 SMITH STREET SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	7,500
SHERIDAN COLLEGE FOUNDATION3059 COFFEEN AVE SHERIDAN, WY 82801	NONE	EXEMPT ORG.	SCHOLARSHIPS	10,000
SHERIDAN COUNTY LIBRARY FOUNDATION INC335 W ALGER SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHERIDAN COUNTY YMCA 417 NORTH JEFFERSON SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	17,500
STORY BRANCH LIBRARYPO BOX 188 STORY, WY 82842	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
STORY COMMUNITY CHURCH PO BOX 415 STORY, WY 82842	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE FOOD GROUP 51 COFFEEN AVE-SUITE 101 SHERIDAN, WY 82801	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
TONGUE RIVER BRANCH LIBRARYPO BOX 909 RANCHESTER, WY 82839	NONE	EXEMPT ORG.	GENERAL OPERATIONS	5,000
VOLUNTEERS OF AMERICA OF WYOMING AND MONTANA 1876 S SHERIDAN AVE SHERIDAN, WY 82801	NONE	EXEMPT ORG.	HOMELESS SHELTER	5,000
Total ▶ 3a				224,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
COMPASS-CENTER FOR FAMILIES PO BOX 6022 SHERIDAN, WY 82801	NONE	EXEMPT ORG.	CASA PROGRAM	5,000
MOUNTAIN PLAINS HERITAGE PARK PO BOX 1034 BUFFALO, WY 82834	NONE	EXEMPT ORG.	GENERAL OPERATIONS	1,000
Total ▶ 3a				224,500

TY 2019 Accounting Fees Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,310	1,733		577

TY 2019 Investments Corporate Bonds Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554**Investments Corporate Bonds Schedule**

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BLACKROCK INC		
EATON VANCE FLOATING RATE FUND	233,654	221,470
MORGAN STANLEY 2.8%		
TEMPLETON GLOBAL BOND FUND		
VANGUARD HIGH YIELD CORPORATE FUND	376,948	374,113
VANGUARD INTERMEDIATE-TERM CORPORATE	565,023	574,669
VANGUARD INTERMEDIATE-TERM INVEST		
WELLS FARGO & CO 2.5%	50,711	50,573
ISHARES PREFERRED & INCOME SECURITIE	239,594	238,943

TY 2019 Investments Corporate Stock Schedule

Name: HARRY & THELMA SURRENA MEMORIAL
EIN: 23-7435554

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	11,437	15,293
ALEXION PHARMACEUTICALS INC	23,933	26,943
ALPHABET INC CAP STK CL A	22,198	37,171
AMAZON.COM INC	33,113	60,723
AMERICAN EUROPACIFIC GROWTH FUND	143,564	156,442
AMERICAN EXPRESS	10,364	15,951
APPLE COMPUTER INC	11,411	51,817
BERKSHIRE HATHAWAY INC - CL B	9,539	23,622
BOEING CO		
BRISTOL MYERS SQUIBB	14,487	23,089
CHEVRON CORP		
CISCO SYSTEMS	11,513	23,371
COMCAST CORP	24,002	22,598
COMMUNICATION SERVICES SEL SPDR	31,489	38,184
CONSUMER DISCRETIONARY SEL SPDR	7,116	7,006
CONSUMER STAPLES SEL SECTOR SPDR	22,411	22,351
DFA COMMODITY STRATEGY PORTFOLIO		
DFA EMERGING MARKETS SMALL CAP	145,835	134,883
DFA GLOBAL REAL ESTATE SECURITIES	431,616	386,901
DFA US SMALL CAP PORTFOLIO		
EMERSON ELECTRIC CO	5,885	12,181
ENERGY SELECT SECTOR SPDR	42,462	26,853
EXELON CORPORATION	5,814	6,941
EXXON MOBIL CORP		
FACEBOOK INC	11,807	20,523
FEDEX CORP	13,504	24,650
FIDELITY INTERNATIONAL INDEX FUND	289,280	272,100
FINANCIAL SELECT SECTOR SPDR	36,119	36,840
GILEAD SCIENCES INC.		
GOLDMAN SACHS GROUP INC	28,311	23,630

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GOLDMAN SACHS INTERNATIONAL SMALL CA	161,920	160,762
HEALTH CARE SELEC SECTOR SPDR	100,533	111,419
HONEYWELL INTERNATIONAL INC	13,168	15,010
INDUSTRIAL SELECT SECTOR SPDR	19,426	26,706
INTERCONTINENTAL EXCHANGE INC	12,284	12,461
ISHARES CORE S&P 500 ETF	245,070	285,029
J.P. MORGAN CHASE & CO	8,340	24,537
KLS CORP	11,192	17,155
LOWE'S COS INC	25,618	54,275
MATERIALS SELECT SECTOR SPDR	23,469	28,115
MCDONALD'S CORP	18,248	20,874
MCKESSON CORPORATION		
MICROCHIP TECHNOLOGY INC		
MICROSOFT CORPORATION	15,878	58,311
MONDELEZ INTERNATIONAL INC	22,561	27,463
NIKE INC	19,046	43,469
INV OPPENHEIMER DEVELOPING MKTS		
PALO ALTO NETWORKS INC	13,081	12,166
PEPSICO	3,628	15,728
REAL ESTATE SELECT SECTOR SPDR	31,783	29,437
SKYWORKS SOLUTIONS INC	3,974	22,324
STARBUCKS CORP		
TECHNOLOGY SELECT SECTOR SPDR	94,786	143,120
UBER TECHNOLOGIES INC	27,528	26,227
UNION PACIFIC	14,065	31,363
UNITEDHEALTH GROUP INC	4,960	26,852
UTILITIES SELECT SECTOR SPDR FUND	7,930	7,174
VANGUARD 500 INDEX FUND	333,775	390,364
VANUGARD EMERGING MARKETS STOCK INDE	327,126	344,585
WAL-MART STORES INC	19,804	36,630

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WALT DISNEY	16,663	22,189
XILINX INC	15,863	18,753
ISHARES CORE S&P MID-CAP ETF	115,250	123,345
ISHARES COR S&P SMALL-CAP ETF	171,684	235,866
INVESCO DEVELOPING MARKETS FUND	285,781	320,816

TY 2019 Investments Government Obligations Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554**US Government Securities - End
of Year Book Value:**

59,474

**US Government Securities - End
of Year Fair Market Value:**

62,554

**State & Local Government
Securities - End of Year Book
Value:****State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2019 Other Assets Schedule

Name: HARRY & THELMA SURRENA MEMORIAL

EIN: 23-7435554

Other Assets Schedule

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MINERAL RIGHTS	22,982	22,982	22,982

TY 2019 Other Liabilities Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554

Description	Beginning of Year - Book Value	End of Year - Book Value
FEDERAL TAXES PAYABLE	8,481	8,481

TY 2019 Other Professional Fees Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	34,747	34,747		

TY 2019 Reasonable Cause Explanation

Name: HARRY & THELMA SURRENA MEMORIAL

EIN: 23-7435554

Explanation: THE HARRY & THELMA SURRENA MEMORIAL IS REQUESTING PENALTY ABATEMENT OF THE FAILURE TO FILE PENALTIES BECAUSE THE TAXPAYER MEETS THE REASONABLE CAUSE, AS STATED IN IRC 6652(C) (4), REASONABLE CAUSE EXCEPTION, AND REG. 301.6652-1(F), FAILURE TO FILE CERTAIN INFORMATION RETURNS; SHOWING OF REASONABLE CAUSE. THE TAXPAYER HAS USED MOHATT, JOHNSON & GODWIN, LLP (MJG) FOR YEARS TO PREPARE THE TAX RETURN. CONSISTENT WITH PRIOR YEARS THE TAXPAYER BELIEVED THAT MJG HAD PROPERLY FILED THE 2019 EXTENSION AND WOULD PROPERLY FILE THE 2019 FORM 990PF. WITH THE IMPACT OF COVID-19 ON THE STAFFING AND PROCESSING OF TAX RELATED ITEMS THE EXTENSION FOR THE HARRY & THELMA SURRENA MEMORIAL WAS INADVERTENTLY NOT FILED. THE TAX RETURN WILL BE COMPLETED AND E-FILED ON APRIL 23, 2021, WHICH IS BEFORE THE EXTENDED DUE DATE OF SEPTEMBER 15, 2021. THE DISRUPTION FROM COVID-19 THAT CAUSED THE COMPLIANCE ISSUE IS AN ISOLATED EVENT. THE TAXPAYER HAS CONSISTENTLY PREPARED COMPLETE AND ACCURATE TAX RETURNS, FILED RETURNS ON TIME AND PAID TAXES ON TIME. THE FOLLOWING TAX LAW OR AUTHORITY SUPPORTS RELIEF FROM THE FAILURE TO FILE PENALTIES. IRC 6652, FAILURE TO FILE CERTAIN INFORMATION RETURNS, REGISTRATION STATEMENTS, ETC. IRC 6652(C)(4) STATES THAT NO PENALTY SHALL BE IMPOSED UNDER IRC 6652 (C) WITH RESPECT TO ANY FAILURE IF IT IS SHOWN THAT SUCH FAILURE IS DUE TO REASONABLE CAUSE. REG. 301.6652-1(F), FAILURE TO FILE CERTAIN INFORMATION RETURNS; SHOWING OF REASONABLE CAUSE REG. 301.6652-1(F) PROVIDES FURTHER GUIDANCE AND EXPLAINS THAT THE FAILURE TO FILE PENALTY SHALL NOT APPLY IF IT IS ESTABLISHED THAT SUCH FAILURE WAS DUE TO REASONABLE CAUSE. AN AFFIRMATIVE SHOWING OF REASONABLE CAUSE MUST BE MADE IN THE FORM OF A WRITTEN STATEMENT THAT CONTAINS A DECLARATION THAT IS MADE UNDER THE PENALTIES OF PERJURY, AND SETS FORTH ALL OF THE FACTS ALLEGED AS A REASONABLE CAUSE. THE TAXPAYER'S SITUATION DEMONSTRATES THAT THE NONCOMPLIANCE WAS NOT DUE TO WILLFUL NEGLECT AND WAS DUE TO REASONABLE CAUSE. THE TAXPAY

Explanation: ER RELIED ON MJG TO FILE THE PROPER FORMS TIMELY. THE NON-FILING OF THE EXTENSION WAS AN INADVERTENT OVERSIGHT BY MJG DUE TO THE IMPACTS OF COVID-19. THE 2019 FORM 990PF WAS FILED ON APRIL 23, 2020, WHICH IS BEFORE THE EXTENDED DUE DATE OF SEPTEMBER 15, 2021. BECAUSE THE INCIDENT THAT CAUSED THE COMPLIANCE ISSUE WAS AN ISOLATED EVENT, AND BECAUSE THE TAXPAYER AND MJG MADE IMMEDIATE EFFORTS TO COMPLY WITH ALL APPLICABLE FILING DEADLINES, THE TAXPAYER HAS PROVEN THAT ORDINARY BUSINESS CARE AND PRUDENCE WAS TAKEN. ON THE BASIS OF THIS REQUEST AND THE FACTS, LAW AND AUTHORITY CONTAINED HEREIN, PLEASE PERMIT PENALTY RELIEF FROM THE FAILURE TO FILE PENALTY.

TY 2019 Taxes Schedule**Name:** HARRY & THELMA SURRENA MEMORIAL**EIN:** 23-7435554

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	10,100			
PAYROLL TAXES	2,982	1,491		1,491
PENALTY				