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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public. 2010

▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

OMB No 1545-0047

2019

Open to Public Inspection

For calendar year 2019 or tax year beginning November 1, 2019, and ending October 31, 2020

Name of foundation: The Barden Foundation Inc.

A Employer identification number: 06-6054855

Number and street (or P O box number if mail is not delivered to street address): 1146 Barnum Ave | Room/suite:

B Telephone number (see instructions): 203 336 7532

City or town, state or province, country, and ZIP or foreign postal code: Bridgeport, CT 06610

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation 04
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 6,312,714

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d), must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	145,436	145,436		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain			1,406	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	145,436	331,633	1,406	
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	247			247
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings	181			181
22	Printing and publications				
23	Other expenses (attach schedule)	17,129	13,898		3,231
24	**Total operating and administrative expenses.** Add lines 13 through 23				
25	Contributions, gifts, grants paid	340,550			340,500
26	**Total expenses and disbursements.** Add lines 24 and 25	358,057	13,898		344,159
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	(212,621)			
b	**Net investment income** (if negative, enter -0-)		317,735		
c	**Adjusted net income** (if negative, enter -0-)			1406	

Revenue / Operating and Administrative Expenses

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	129290	130,726	130,726
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less. allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	2070323	2,261,855	3,886,972
	c	Investments—corporate bonds (attach schedule)	2,352,088	2,139,697	2295,016
	11	Investments—land, buildings, and equipment. basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶			
		Less. accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	4551,701	4,532,278	6,312,714
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29, and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☐ and complete lines 26 through 30.			
	26	Capital stock, trust principal, or current funds			
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds	4,551,701	4532,278	
	29	**Total net assets or fund balances** (see instructions)	4,551,701	4,532,278	
	30	**Total liabilities and net assets/fund balances** (see instructions)	4,551 701	4,532,278	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	4551,701
2	Enter amount from Part I, line 27a	2	(212,621)
3	Other increases not included in line 2 (itemize) ▶ *	3	193,198
4	Add lines 1, 2, and 3	4	
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	4532,278

Capital Gains - Long-term	186,197
" Short-term	1,406
Federal Tax Refunds	5,595
*	193,198

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr.)
1a				
b	See Schedule Attached			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a				
b				
c				187,610
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				187,610
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	186,197
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8	3	1,406

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2018	337523	5966444	.05657
2017	326,068	6,075,452	.05367
2016	319,720	5,878,885	.05438
2015	319,733	5,684,779	.05624
2014	354,225	5,807,866	.06099

2	**Total** of line 1, column (d)	2	.28185
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.05637
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	6161000
5	Multiply line 4 by line 3	5	347296
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3177
7	Add lines 5 and 6	7	344,119
8	Enter qualifying distributions from Part XII, line 4	8	344,159

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	3177
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-)	2	
3	Add lines 1 and 2	3	3177
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3177
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3177
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ Ø **(2)** On foundation managers. ▶ $ Ø			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ Ø			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by *General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? Delaware, Connecticut	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ Delaware, Connecticut			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G?* If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the tax year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule See instructions	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement See instructions	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶			
14	The books are in care of ▶ The Barden Foundation Inc Telephone no. ▶ 203 336 7532 Located at ▶ 1146 Barnum Ave, Bridgeport, CT ZIP+4 ▶ 06610-0136			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year, did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2019? ☐ Yes ☒ No If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5 year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2019.)	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year, did the foundation pay or incur any amount to.			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance? See instructions	5b		X
	Organizations relying on a current notice regarding disaster assistance, check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment(s) during the year? ☐ Yes ☒ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	See Schedule Attached			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
	None			

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
	None	None

Total number of others receiving over $50,000 for professional services . . . ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 Contributions to other Charitable Foundations	238,000
2 Scholarships	102,500
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	Ø
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,140,629
b	Average of monthly cash balances	1b	114,193
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,254,822
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,254,822
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	93,822
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,161,000
6	**Minimum investment return.** Enter 5% of line 5	6	308,050

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	308,050
2a	Tax on investment income for 2019 from Part VI, line 5 — 2a: 3177		
b	Income tax for 2019 (This does not include the tax from Part VI) — 2b		
c	Add lines 2a and 2b	2c	3,177
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	304,873
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	304873
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	304,873

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	344,159
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	4	344,159
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	3177
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	340,982

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1	Distributable amount for 2019 from Part XI, line 7				304,873
2	Undistributed income, if any, as of the end of 2019:				
a	Enter amount for 2018 only				
b	Total for prior years: 20 ___, 20 ___, 20 ___				
3	Excess distributions carryover, if any, to 2019:				
a	From 2014 . . . 62,844				
b	From 2015 . . . 35,494				
c	From 2016 . . . 28,749				
d	From 2017 . . . 27,799				
e	From 2018 . . . 42,950				
f	**Total** of lines 3a through e	197,836			
4	Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 344,159				
a	Applied to 2018, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2019 distributable amount				304 873
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2019 (If an amount appears in column (d), the same amount must be shown in column (a).)	39,286			39,286
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions)				
8	Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	⟨62844⟩			
9	**Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	174,278			
10	Analysis of line 9:				
a	Excess from 2015 . . . 35,494				
b	Excess from 2016 . . . 28,749				
c	Excess from 2017 . . . 27,799				
d	Excess from 2018 . . . 42,950				
e	Excess from 2019 . . . 39,286				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4, for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6, for each year listed					
c "Support" alternative test—enter.					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc , to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions.

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

Scholarships: The Scholarship Committee, The Barden Foundation, Inc. 200 Park Ave., Danbury, CT 06810
Other requests: Robin Bergman, The Barden Foundation, Inc., 1146 BarNum Ave., Bridgeport, CT 06610

b The form in which applications should be submitted and information and materials they should include:

Scholarships: See Attached
Other requests: A letter outlining the organizations goals, requirements, financial statements

c Any submission deadlines

March 31 for Scholarships, January 1 for other requests.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Some Scholarships are restricted to sons and daughters of The Barden Corporation, Winsted Preision Ball Company, Inc. Lacey Mfg Co LLC of Life Sciences - MW Industries.

Part XV **Supplementary Information** *(continued)*

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Schedules Attached			Contributions	238,000
			Scholarships	102,500
Total ▶ **3a**				340,500
b *Approved for future payment*				
See Schedule Attached				350,000
Total ▶ **3b**				350,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	145,436	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				145,436	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 145,436

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	Not Applicable

Part XVII **Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		Not Applicable	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Thomas Loughman | 3/5/2021 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below? See instructions ☐Yes ☐No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

	2020 Actual
1. Health and Welfare Agencies	
A. United Way.	
United Way of Western Connecticut 301 Main St #2 Danbury CT 06813	$ 25,000
United Way of Northwest Connecticut, Inc P O Box 1001 Torrington, CT 06790	15,000
United Way of Coastal Fairfield County 75 Washington Ave Bridgeport, CT 06604	15,000
Subtotal	**55,000**
B National Health Agencies.	
American Cancer Society - Danbury & Bridgeport 372 Danbury Road Wilton, CT 06897	10,000
American Red Cross - CT 2 Terrace Place Danbury, CT 06810	10,000
Subtotal	**20,000**
C. Hospitals and Nursing Home	
Danbury Hospital Development Fund, Inc - WHCN 24 Hospital Avenue Danbury, CT 06810	15,000
New Milford Hospital Foundation, Inc. - WCHN 24 Hospital Avenue Danbury, CT 06810	2,000

	2020 Actual
Norma Phrem Breast Cancer Center 267 Grant Street Bridgeport, CT 06610	2,000
Bridgeport Hospital Foundation, Inc 267 Grant Street Bridgeport, CT 06610	24,000
Subtotal	**43,000**
D Youth Agencies	
Cardinal Shehan Center 1494 Main Street Bridgeport, CT 06604	2,000
399th Composite Squadron Civil Air Patrol 21 Miry Brook Road Danbury CT 06810	3,000
Connecticut Yankee Council BSA 60 Wellington Rd, P O Box 32 Milford, CT 06460-0032	3,000
Girl Scout Council of Connecticut 529 Danbury Road Wilton, CT 06897-2200	3,000
Junior Achievement of Western CT , Inc 211 State Street, Suite 400 Bridgeport, CT 06604	1,000
McGiveney Community Center P. O. Box 5220 Bridgeport, CT 06610	2,000
Winchester Youth Service Bureau 480 Main Street Winsted, CT 06098	1,000
Colebrook Recreation Department P O Box 5	2,000

	2020 Actual
Colebrook, CT 06021	
Subtotal	**17,000**
E. Local Health and Welfare Agencies	
Foothill Visiting Nurse Service 32 Union Street Winsted, CT 06098	2,000
Americares Free Clinics Danbury & Bridgeport 88 Hamilton Avenue Stamford, CT 06902	5,000
Visiting Nurse Services of CT., Inc 765 Fairfield Avenue Bridgeport, CT 06604	2,000
Ability Beyond 4 Berkshire Blvd Bethel CT 06801	5,000
Regional Hospice 30 Milestone Rd Danbury, CT 06810	3,000
Subtotal	**17,000**
Total Health, Youth and Welfare Agencies	**152,000**
2 Education	
Western Connecticut State University Foundation 181 White Street Danbury, CT 06810	16,000
Housatonic Community College Foundation - Manufacturing Alliance 960 Lafayette Blvd Bridgeport, CT 06604	5,000
Naugatuck Communiity College Foundation 750 Chase Parkway Waterbury, 06708	5,000

	2020 Actual
Total Education	**26,000**
3. Special.	
Regional Y M C A of Western Connecticut 2 Huckleberry Hill Road Brookfield, CT 06804	3,000
Winsted Area Child Care Center, Inc. 185 Prospect Street Winsted, CT 06098	10,000
Northwest Connecticut YMCA 481 Main Street Winsted, CT 06098	2,000
Prime Time House 810 Main Street Torrington, CT 06790	1,000
Connecticut Special Olympics, Inc 2666 State Street #1 Hamden, CT 06517	2,000
Ct Zoological Society 1875 Noble Ave, Bridgeport, CT 06610	1,000
Town of Winchester Recreation Department 338 Main Street Winsted, CT 06098	2,000
Bridgeport Rescue Mission 1088 Fairfield Ave. Bridgeport, CT 06605	8,000
Ann's Place 80 Saw Mill Road Danbury, CT 06810	2,000
Bridgeport YMCA	2,000

	2020 Actual
850 Park Ave Bridgeport, CT 06604	
ALS Conn, Chapter 4 Oxford Road Milford, CT 06460	10,000
Connecticut Food Bank 229 Mountain Grove Street Bridgeport CT06605	12,000
Subtotal	**55,000**

4. Miscellaneous

Beardlsy Library 40 Munro Place Winsted CT 06098	5,000
Subtotal	-
Total Contributions	**$ 238,000**

The Barden Foundation, Inc.
Donations
Budget 2021

	2021 Budget
1 Health and Welfare Agencies	
A United Way.	
United Way of Western Connecticut	25,000
United Way of Northwest Connecticut, Inc	15,000
United Way of Coastal Fairfield County	15,000
Subtotal	**55,000**
B National Health Agencies	
American Cancer Society - Danbury & Bridgeport	10,000
American Red Cross - CT	10,000
Subtotal	**20,000**
C Hospitals and Nursing Home.	
Danbury Hospital Development Fund, Inc. - WCHN	15,000
New Milford Hospital Foundation, Inc - WCHN	2,000
Norma Phrem Breast Cancer Center	2,000
Bridgeport Hospital Foundation, Inc	24,000
Subtotal	**43,000**
D Youth Agencies	
Cardinal Shehan Center	2,000
399th Composite Squadron Civil Air Patrol	3,000
Connecticut Yankee Council BSA	3,000
Girl Scout Council of Connecticut	3,000
Junior Achievement of Western CT , Inc	1,000
McGiveney Community Center	2,000
Winchester Youth Service Bureau	1,000
Colebrook Recreation Department	2,000
Subtotal	**17,000**

The Barden Foundation, Inc. 06-6054855
Donations
Budget 2021

E Health, Youth and Welfare Agencies	
Foothill Visiting Nurse Service	2,000
Americares Free Clinics Danbury & Bridgeport	5,000
Visiting Nurse Services of CT., Inc.	2,000
Ability Beyond	5,000
Regional Hospice	3,000
Subtotal	**17,000**
Total Health, Youth and Welfare Agencies	**152,000**
2. Education	
Western Connecticut State University	16,000
Housatonic Community College - Manufacturing Alliance	5,000
Naugatuck Community College	5,000
Scholarships	102,500
Total Education	**128,500**
3. Special.	
Regional Y M C A of Western Connecticut	3,000
Winsted Area Child Care Center, Inc	10,000
Northwest Connecticut YMCA	2,000
Prime Time House	1,000
Connecticut Special Olympics, Inc	2,000
Ct Zoological Society	1,000
Town of Winchester Recreation Department	2,000
Bridgeport Rescue	3,000
Ann's Place	2,000
Bridgeport YMCA	2,000
ALS Conn, Chapter	10,000
CT Food bank	12,000
Subtotal	**50,000**
4 Miscellaneous	5,000
5. Special one time contribution	14,500
Total Contributions & Scholarships	**350,000**

STATEMENT REGARDING OFFICERS, COMPENSATION, ETC.

2020 Form 990PF - Part VIII - Item 1

	Title & Avg. Hrs. Per Wk. Devoted to Position	Contri. To Empl. Benefit Plans	Exp. Acct. Other All.	Comp.
Robin Bergman 64 Deer Run Rd Watertown, CT 06795	Treas./Secr./Trustee PT	0	0	0
Thomas F. Loughman 34 Hawley Road Ext. Danbury, CT 06811	President PT	0	0	0
Dennis Zancan 18 Southern Blvd Danbury, CT 06810	Trustee PT	0	0	0
Jeannine Frink 11 Ruth Cross Road Winsted, CT 06098	Trustee PT	0	0	0
Juan C Cintron 27 Arbutus Lane Trumbull, CT 06611	Trustee PT	0	0	0

THE BARDEN FOUNDATION, INC.

IDENTIFICATION #06-6054855

SUPPLEMENTARY INFORMATION

SCHOLARSHIP PROGRAM

2020 FORM 990PF - PART XV - LINE 2B

Eligibility requirements for anyone applying for a Barden Foundation Scholarship are described in the enclosed brochure, "The Barden Foundation, Inc. Scholarship Award Program."

Also enclosed are the application forms:

1 Application for Scholarship to be completed by the candidate.

2. High School Certification to be completed by the candidate's high school principal

#06-6054855

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2020
MISC EXPENSES

	AMOUNT
Fees For Investment Accounts	13,898
Investment Expense	13,898
CSC Netwok Representation	669
Insurance	2,526
Banking	36
TOTAL MISCELLANEOUS EXPENSES	3,231

THE BARDEN FOUNDATION, INC
FOR THE YEAR ENDED OCTOBER 31, 2020
TAXES

Delaware Franchise Tax	247

The Barden Foundtion Inc
For The Year Ended October 31, 2020

#06-6054855

Scholarships	Amount
12/10/2019 WCSU -Marc Ostner	2,500 00
12/10/2019 Lesley Univ - Cindy Pena	2,500.00
12/10/2019 Clemson University - Mariza Lobo	2,500.00
12/10/2019 St John's University - Michael Marques	2,500.00
12/10/2019 Muhlenberg College - Lily Stange	2,500 00
12/10/2019 WCSU - Sean Charles	2,500 00
12/10/2019 SCSU - Michael Lopez	2,500.00
12/10/2019 Uconn - Amy Dave	2,500 00
12/26/2019 SUNY Coles - Alana McCarthy	2,500 00
12/26/2019 Cornell University - Arian Dost	2,500 00
12/26/2019 University of Bridgeport - James Gillard	2,500 00
12/26/2019 University of Albany - Emma Langsford	2,500.00
12/26/2019 Lee University - Grace Henderson	2,500 00
12/26/2019 University of CT - Silva Catarina	2,500.00
12/26/2019 Quinnipiac Univ - Deanna Petronis	2,500.00
12/26/2019 WCSU - Parades Yamili	2,500.00
12/26/2019 Pace University - Ashley Schlemmer	2,500 00
3/9/2020 National University of Ireland Galway- Ashley Schlemmur	2,500.00
6/5/2020 Paier College - Astrid Rodriguez	2,500 00
7/20/2020 WCSU - Jean Charles	2,500.00
7/20/2020 SCSU - Michael Lopez	2,500 00
7/20/2020 UCONN - Ame Dave	2,500 00
7/20/2020 Suny Cobleskill - Alana McCarthy	2,500 00
7/20/2020 Cornell U - Ronald Nemec	2,500 00
7/20/2020 National University of Ireland Galway- Arain Dost	2,500 00
7/20/2020 UB - James Gilliard	2,500 00
7/20/2020 University of Albany - Emma Langsford	2,500.00
7/20/2020 Lee University - Grace Henderson	2,500 00
7/20/2020 UCONN - Catarina Silva	2,500.00
7/20/2020 Quinnipiac University - Deanna Petronis	2,500.00
7/20/2020 WSCU - Yamele Paredes	2,500.00
7/20/2020 Pace University - Ashley Schlemmer	2,500.00
7/20/2020 UMASS Dartmouth - Courtney Contreras	2,500.00
7/20/2020 Eastern CT - Lindsay Booth	2,500.00
7/20/2020 Yale University - Margaret Schnyer	2,500 00
7/20/2020 Johnson & Wales U, - Vanessa Sin	2,500.00
7/20/2020 UCONN - Tina Bresmon	2,500.00
7/20/2020 CCSU - Waleed Mohamed	2,500.00
7/20/2020 UCONN - Allen Choun	2,500.00
9/8/2020 WCSU Foundation - Norden Scholarships Anthony Crisalli	2,500 00
9/8/2020 WCSU Foundation - Norden Scholarships Isabela Ricardo	2,500 00
	102,500 00