Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 10-01-2019 , and ending 09-30-2020

Name of foundation
HARRIETT B WEST FOUNDATION

A Employer identification number
47-2284013

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
901 EAST CARY STREET FL 19

B Telephone number (see instructions)
(804) 697-5100

City or town, state or province, country, and ZIP or foreign postal code
RICHMOND, VA 23219

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 12,327,654

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) ____
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments	2,253	2,253	2,253	
	4	Dividends and interest from securities	196,014	194,109	196,014	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	154,029			
	b	Gross sales price for all assets on line 6a 2,331,821				
	7	Capital gain net income (from Part IV, line 2)		154,029		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	352,296	350,391	198,267	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	55,106	41,330		13,776
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	13,776	13,776		
	b	Accounting fees (attach schedule)	13,000	13,000		
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2,216			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	86,688	86,688		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	170,786	154,794		13,776
	25	Contributions, gifts, grants paid	570,000			570,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	740,786	154,794		583,776
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-388,490			
	b	**Net investment income** (if negative, enter -0-)		195,597		
	c	**Adjusted net income** (if negative, enter -0-)			198,267	

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash—non-interest-bearing	16,893	25,509	25,509
	2	Savings and temporary cash investments	192,411		
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)	1,877,143	1,953,183	2,052,228
	b	Investments—corporate stock (attach schedule)	6,700,104	6,504,733	8,727,384
	c	Investments—corporate bonds (attach schedule)	1,508,392	1,423,028	1,522,533
	11	Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	10,294,943	9,906,453	12,327,654
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances		**Foundations that follow FASB ASC 958, check here ▶** ☐ **and complete lines 24, 25, 29 and 30.**			
	24	Net assets without donor restrictions			
	25	Net assets with donor restrictions			
		Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
	26	Capital stock, trust principal, or current funds	10,294,943	9,906,453	
	27	Paid-in or capital surplus, or land, bldg., and equipment fund			
	28	Retained earnings, accumulated income, endowment, or other funds			
	29	**Total net assets or fund balances** (see instructions)	10,294,943	9,906,453	
	30	**Total liabilities and net assets/fund balances** (see instructions)	10,294,943	9,906,453	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	10,294,943
2	Enter amount from Part I, line 27a	2	-388,490
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	9,906,453
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	9,906,453

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1 a	CAPITAL GAIN DISTRIBUTIONS	P	2016-01-01	2020-06-30
b	PUBLICLY TRADED SECURITIES	P	2016-01-01	2020-06-30
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	46,369			46,369
b	2,285,452		2,177,792	107,660
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				46,369
b				107,660
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	154,029
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	578,580	11,340,704	0.05102
2017	583,113	11,781,843	0.04949
2016	541,904	11,256,156	0.04814
2015	540,121	11,047,217	0.04889
2014	510,121	11,362,659	0.04490

2	**Total** of line 1, column (d)	2	0.242441
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.048488
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	11,761,417
5	Multiply line 4 by line 3	5	570,288
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,956
7	Add lines 5 and 6	7	572,244
8	Enter qualifying distributions from Part XII, line 4 , ...	8	583,776

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	1,956
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	1,956
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,956
6	Credits/Payments:		
a	2019 estimated tax payments and 2018 overpayment credited to 2019 — 6a: 4,000		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	4,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	2,044
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 2,044 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A **Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	**11**		**No**
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	**12**		**No**
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	
	Website address ▶N/A			

14 The books are in care of ▶FRED J BERNHARDT Telephone no. ▶(804) 697-5100

Located at ▶901 EAST CARY STREET FL 19 RICHMOND VA ZIP+4 ▶23219

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	**No**
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		**No**
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required**

			Yes	**No**
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	**1b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.	**1c**		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	**2b**		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.	**3b**		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	**4b**		**No**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
FRED J BERNHARDT 901 E CARY STREET RICHMOND, VA 23219	Trustee 4.00	55,106		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. **3**	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities.		1a	11,647,702
b	Average of monthly cash balances.		1b	292,823
c	Fair market value of all other assets (see instructions).		1c	0
d	**Total** (add lines 1a, b, and c).		1d	11,940,525
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e 0		
2	Acquisition indebtedness applicable to line 1 assets.		2	
3	Subtract line 2 from line 1d.		3	11,940,525
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).		4	179,108
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	11,761,417
6	**Minimum investment return.** Enter 5% of line 5.		6	588,071

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.		1	588,071
2a	Tax on investment income for 2019 from Part VI, line 5.	2a 1,956		
b	Income tax for 2019. (This does not include the tax from Part VI.).	2b		
c	Add lines 2a and 2b.		2c	1,956
3	Distributable amount before adjustments. Subtract line 2c from line 1.		3	586,115
4	Recoveries of amounts treated as qualifying distributions.		4	
5	Add lines 3 and 4.		5	586,115
6	Deduction from distributable amount (see instructions).		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.		7	586,115

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26.	1a	583,776
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	583,776
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions.	5	1,956
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	581,820

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

		(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7					586,115
2 Undistributed income, if any, as of the end of 2019:					
a Enter amount for 2018 only.					
b Total for prior years: 20___ , 20___ , 20___					
3 Excess distributions carryover, if any, to 2019:					
a From 2014.	9,789				
b From 2015.					
c From 2016.					
d From 2017.	3,169				
e From 2018.	18,829				
f **Total** of lines 3a through e.		31,787			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 583,776					
a Applied to 2018, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).		0			
d Applied to 2019 distributable amount.					583,776
e Remaining amount distributed out of corpus					
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*		2,339			2,339
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		29,448			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b. Taxable amount —see instructions					
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions					
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)		7,450			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a		21,998			
10 Analysis of line 9:					
a Excess from 2015.					
b Excess from 2016.					
c Excess from 2017.	3,169				
d Excess from 2018.	18,829				
e Excess from 2019.					

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

FRED J BERNHARDT
901 EAST CARY STREET FL 19
RICHMOND, VA 23219
(804) 697-5100

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST, ENCLOSE LETTER OR BROCHURE, OUTLINING ACTIVITIES.

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				570,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	2,253	
4 Dividends and interest from securities. . . .			14	196,014	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	154,029	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				352,296	

13 Total. Add line 12, columns (b), (d), and (e). **13** 352,296

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee	Date	Title
******	2020-12-23	******

May the IRS discuss this return with the preparer shown below (see instr.) ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
Lee P Martin Jr				P01201112

Firm's name ▶ LP Martin & Company PC — Firm's EIN ▶54-1506924

Firm's address ▶ 4132 Innslake Drive
Glen Allen, VA 23060 — Phone no. (804) 346-2626

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ANNA JULIA COOPER EPISCOPAL SCHOOL 2124 N 29TH STREET RICHMOND, VA 23223	NONE	PC	GENERAL FUND	30,000
ART 180 INC114 W MARSHALL STREET RICHMOND, VA 23220	NONE	PC	GENERAL FUND	10,000
BON SECOURS HEALTH CARE FDN 7229 FOREST AVE SUITE 200 RICHMOND, VA 23226	NONE	PC	HOSPICE CARE FUND	25,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BOYS GIRLS CLUB OF METRO RICHMOND 5511 STAPLES MILL RD STE 301 RICHMOND, VA 23228	NONE	PC	GENERAL FUND	20,000
CARITASPO BOX 25790 RICHMOND, VA 23260	NONE	PC	GENERAL FUND	10,000
ELK HILL INCPO BOX 99 GOOCHLAND, VA 23063	NONE	PC	GENERAL FUND	25,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
EMMANUEL EPISCOPAL CHURCH 1214 WILMER AVENUE RICHMOND, VA 23229	NONE	PC	GENERAL FUND	10,000
RICHMOND HABITAT FOR HUMANITY 2281 DABNEY ROAD RICHMOND, VA 23230	NONE	PC	GENERAL FUND	20,000
JACKSON-FEILD BEHAVIORAL HLTH SVCS 2800 PATTERSON AVENUE STE 302 RICHMOND, VA 23221	NONE	PC	GENERAL FUND	25,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEWIS GINTER BOTANICAL GARDENS 1800 LAKESIDE AVENUE RICHMOND, VA 23228	NONE	PC	GENERAL FUND	25,000
VCU MASSEY CANCER CENTER PO BOX 980214 RICHMOND, VA 23298	NONE	PC	GENERAL FUND	50,000
THE COMMUNITY FOUNDATION 7501 BOULDERS VIEW DRIVE STE 110 RICHMOND, VA 23225	NONE	PC	COMMUNITY GRANTS	160,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VIRGINIA HISTORICAL SOCIETY 428 NORTH BOULEVARD RICHMOND, VA 23220	NONE	PC	GENERAL FUND	20,000
WESTMINSTER CANTERBURY FOUNDATION 1600 WESTBROOK AVENUE RICHMOND, VA 23227	NONE	PC	FELLOWSHIP FUND	20,000
BETTER HOUSING COALITION 23 W BROAD ST 100 RICHMOND, VA 23241	NONE	PC	GENERAL FUND	20,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CAMP CHANCO ON THE JAMES 394 FLOODS DRIVE SPRING GROVE, VA 23881	NONE	PC	GENERAL FUND AND COVID 19 SUPPLEMENTAL GIFT	25,000
CHALLENGE DISCOVERY PROJECTS 1503 SANTA ROSA RD STE 211 RICHMOND, VA 23229	NONE	PC	GENERAL FUND	15,000
GOOD NEIGHBOR VILLAGE 8825 RUFFIN ROAD RICHMOND, VA 23231	NONE	PC	GENERAL FUND	25,000
Total . . . . ▶ **3a**				570,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ST ANDREWS SCHOOL 227 SOUTH CHERRY ST RICHMOND, VA 23220	NONE	PC	GENERAL FUND	25,000
SCIENCE MUSEUM OF VIRGINIA 2500 W BROAD STREET RICHMOND, VA 23220	NONE	PC	GENERAL FUND	10,000
Total . . . . ▶ **3a**				570,000

TY 2019 Accounting Fees Schedule

Name: HARRIETT B WEST FOUNDATION

EIN: 47-2284013

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	13,000	13,000	0	0

TY 2019 Legal Fees Schedule

Name: HARRIETT B WEST FOUNDATION

EIN: 47-2284013

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	13,776	13,776	0	0

TY 2019 Other Expenses Schedule

Name: HARRIETT B WEST FOUNDATION

EIN: 47-2284013

Software ID: 19009920

Software Version: 2019v5.0

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES AND SUPPLIES	124	124		
INVESTMENT MGMT FEES	86,564	86,564		

TY 2019 Taxes Schedule

Name: HARRIETT B WEST FOUNDATION

EIN: 47-2284013

Software ID: 19009920

Software Version: 2019v5.0

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXCISE TAXES	2,216			

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10a

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT BONDS		
Federal Home Loan Bank 2.625% 12/10/21 25,000 Units	25,103.34	25,737.75
Federal Home Loan Bank 3.25%, 11/16/2028 75,000 Units	80,914.90	90,024.00
Federal Home Loan Mortgage Co 2.375% 1/13/22 75,000 Units	76,084.76	77,165.25
Federal National Mortgage Assn. 1.375% 2/26/21 60,000 Units	60,019.12	60,300.00
Federal National Mortgage Assn. 2.625% 9/6/24 85,000 Units	87,599.91	92,868.45
Federal National Mortgage Assn. 1.875% 9/24/26 100,000 Units	90,923.60	108,384.00
Federal National Mortgage Assn. 2.375% 1/19/23 75,000 Units	73,038.30	78,678.75
Federal National Mortgage Assn. 3.48%, 8/1/23 100,000 Units	104,828.13	106,381.00
Federal Farm Credit Bank 2.52% 6/15/23 50,000 Units	50,136.71	53,102.50
Federal Farm Credit Bank 3.5% 12/20/23 25,000 Units	26,536.35	27,608.75
FHLMC Ser K715 CMO 2.856% 1/25/21 60655.120 Units	62,891.78	60,881.36
FNMA Mortgage Pool 4.295% 6/1/21 66558.830 Units	74,389.86	67,685.01
FHLMC Gold Pool 4.0% 4/1/32 34218.27 Units	36,811.36	37,334.87
US Treasury Notes, 2.375% 8/15/24 100,000 Units	101,640.65	108,379.00
US Treasury Notes, 1.75% 5/15/22 100,000 Units	98,121.34	102,625.00

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10a

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
US Treasury Notes, 2.75% 11/15/23 100,000 Units	103,067.45	108,039.00
US Treasury Bonds, 6.625% 2/15/27 40,000 Units	51,418.41	55,740.80
US Treasury Bonds, 5.25% 11/15/28 20,000 Units	24,833.03	27,524.20
US Treasury Notes, 2.5% 8/15/23 50,000 Units	51,622.99	53,363.50
US Treasury Notes, 2.125% 5/15/25 100,000 Units	100,081.77	108,594.00
US Treasury Notes, 3.125% 5/15/21 100,000 Units	100,345.86	101,875.00
US Treasury Notes, 2.750% 5/31/23 100,000 Units	99,746.09	106,926.00
US Treasury Notes, 2.00%, 11/15/26 100,000 Units	92,707.03	109,785.00
US Treasury Notes, 1.625%, 5/15/26 75,000 Units	80,320.31	80,370.00
Grant County, WA, Pub Util, 2.237%, 1/1/27 50,000 Units	50,000.00	53,245.00
Lincoln, NE Elec Sys Rev, 0.705%, 9/1/25 75,000 Units	75,000.00	74,945.25
Miami-Dade Cnty, FL 0.900%, 7/1/25 75,000 Units	75,000.00	74,664.75
	1,953,183.05	**2,052,228.19**

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10b

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCKS		
Calvert Equity I 19,925.683 Units	1,051,579.14	1,467,925.07
Eaton Vance Atl Capital SMID-1 2,039.356 Units	50,000.00	70,745.26
Ishares Core S&P 500 ETF 4,743 Units	1,323,162.79	1,593,932.58
Ishares Core S&P Midcap 2,997 Units	479,815.38	555,374.07
Ishares Russell 1000 Growth ETF 1,176 Units	179,178.00	255,062.64
Ishares Russell 2000 ETF 2,241 Units	259,227.68	335,679.39
JOCHM Intl Select Fund 23,207.708 Units	463,457.93	638,908.20
Invesco Oppenheimer Dev Markets Fd 14,202.292 Units	592,000.00	640,097.30
Van Eck Emerging Mkts Fd 13,586.957 Units	200,000.00	259,918.49
Vanguard Int'l Growth Fd 7,375.139 Units	710,100.00	990,481.17
Adobe Inc. 61 Units	18,371.28	29,916.23
Allstate Corp 215 Units	19,517.61	20,240.10
Alphabet Inc. Cl C (Google) 34 Units	19,129.94	49,966.40
Alphabet Inc. Cl A 20 Units	18,678.85	29,312.00
Amazon.com Inc 37 Units	35,273.39	116,503.01
Amgen, Inc. 137 Units	23,555.29	34,819.92

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10b

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
Apple, Inc. 1,072 Units	36,634.59	124,148.32
Applied Materials, Inc. 349 Units	17,806.42	20,748.05
Assurant Inc. 179 Units	17,249.22	21,714.49
Bank of America Corporation 1,415 Units	19,503.63	34,087.35
Berkshire Hathaway, Inc. Cl B 166 Units	34,183.33	35,348.04
Boston Scientific Corp 675 Units	18,876.17	25,791.75
Capital One Financial Corp 279 Units	22,095.07	20,048.94
Cardinal Health Inc. 289 Units	14,072.11	13,568.55
Carlisle Company 150 Units	21,701.54	18,355.50
Chevron Corporation 303 Units	32,409.51	21,816.00
Cisco Systems, Inc. 563 Units	15,942.71	22,176.57
Citizens Financial Group 665 Units	22,036.59	16,811.20
Comcast Corp 562 Units	22,441.45	25,998.12
Costco Wholesale Corporation 139 Units	19,841.47	49,345.00
Crown Castle Intl Corp REIT 148 Units	15,466.83	24,642.00
Digital Realty Trust REIT 136 Units	15,838.63	19,959.36
Disney Walt Company New 265 Units	24,553.05	32,881.20

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10b

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
Corteva Inc. 481 Units	13,581.42	13,857.61
DuPont de Nemours Inc. 98 Units	7,636.79	5,437.04
Dow Inc. 290 Units	14,391.97	13,644.50
Ecolab, Inc. 92 Units	9,612.93	18,385.28
Emerson Electric 190 Units	14,199.12	12,458.30
Facebook Inc. 95 Units	18,015.63	24,880.50
Fidelity Natl Info Systerms 173 Units	19,622.38	25,467.33
Home Depot Inc. 205 Units	30,624.95	56,930.55
Honeywell Intl. 125 Units	16,479.52	20,576.25
Incyte Corporation 115 Units	9,188.19	10,320.10
Ingersoll Rand, PLC 175 Units	3,760.56	6,230.00
Intuitive Surgical 38 Units	16,363.75	26,962.52
Johnson & Johnson 176 Units	24,416.61	26,202.88
Knight-Swift Trans Hldg 438 Units	19,897.47	17,826.60
Mastercard Inc. 89 Units	24,683.26	30,097.13
Merck & Company, Inc. 406 Units	24,522.78	33,677.70
Microsoft Corporation 736 Units	37,282.71	154,802.88

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10b

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
Nextera Energy Inc. 158 Units	23,820.05	43,854.48
Nike Inc. Cl B 330 Units	22,179.07	41,428.20
Nomad Foods Ltd 987 Units	17,697.04	25,148.76
Novartis AG ADR 167 Units	15,010.93	14,522.32
Nvidia Corp. 96 Units	20,552.03	51,957.12
PNC Financial Services Group 236 Units	29,844.65	25,938.76
Procter & Gamble 208 Units	20,410.53	28,909.92
Quanta Services 351 Units	14,077.49	18,553.86
Raytheon Company 272 Units	21,147.80	15,650.88
Salesforce.Com Inc. 133 Units	14,723.60	33,425.56
Thermo Fisher Scientific Inc. 105 Units	20,176.17	46,359.60
Tractor Supply Co. 178 Units	15,790.28	25,514.52
Trane Technologies 196 Units	12,719.97	23,765.00
Union Pac Corp 219 Units	22,997.08	43,114.53
United Health Group 148 Units	29,071.55	46,141.96
Verizon Communications 436 Units	21,167.74	25,937.64
VISA Inc. Cl A 210 Units	13,800.48	41,993.70

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10b

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
Walmart Inc. 304 Units	31,250.76	42,532.64
Xilinx Inc. 178 Units	20,316.10	18,554.72
	6,504,732.96	**8,727,383.61**

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10c

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS		
American Honda, 3.5% 2/15/28 75,000 Units	80,643.55	85,092.75
Apple, Inc. 2.2%, 9/11/2029 75,000 Units	74,706.00	80,655.75
Bank of Montreal, 2.55%, 11/6/2022 75,000 Units	72,255.00	78,357.75
Bank of New York Mellon Mtn 3.4% 1/29/28 75,000 Units	79,632.83	86,727.75
Berkshire Hathaway, 2.75%, 03/15/23 70,000 Units	68,505.50	73,737.30
Blackrock Inc., 2.4%, 4/30/30 75,000 Units	81,989.25	81,805.50
CME Group Inc. 3% 3/15/25 60,000 Units	60,507.15	65,720.40
Coca Cola Co., 2.125%, 9/06/29 75,000 Units	78,600.18	80,268.75
Exxon Mobil Corp. 2.397% 3/6/22 75,000 Units	75,219.19	76,991.25
Florida Power & Light 3.125% 12/01/25 75,000 Units	74,877.75	83,542.50
Intel Corporation 3.1% 7/29/22 60,000 Units	60,111.35	63,067.80
JP Morgan Chase & Co 3.625% 5/13/24 75,000 Units	76,585.14	82,786.50
Merck & Co Inc 2.8% 5/18/23 75,000 Units	76,019.39	79,967.25
Oracle Corp. 2.8% 7/8/21 50,000 Units	50,130.71	50,965.00
Pfizer, Inc. 2.75%, 06/03/26 70,000 Units	65,953.30	77,420.70

HARRIETT B. WEST FOUNDATION

PART II BALANCE SHEETS, LINE 10c

ASSETS - SEPTEMBER 30, 2020

	BOOK VALUE	FAIR MARKET VALUE
PNC Financial Svcs Global 2.6%, 7/23/2026 75,000 Units	76,440.95	81,894.00
Toyota Motor Cred Mtn. 2.75% 5/17/21 50,000 Units	50,307.69	50,783.00
US Bancorp, 3.9%, 04/26/28 70,000 Units	70,701.06	83,328.00
WalMart Inc., 2.85%, 7/8/2024 100,000 Units	99,878.00	108,653.00
Westpac Banking Corp 2.0% 8/19/21 50,000 Units	49,964.50	50,768.50
	1,423,028.49	**1,522,533.45**