Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 10-01-2019 , and ending 09-30-2020

Name of foundation
THE NANCY E BARTON FOUNDATION

A Employer identification number
45-6656212

Number and street (or P.O. box number if mail is not delivered to street address) | Room/suite
114 W 47TH ST NY8-114-07-07 AFT

B Telephone number (see instructions)
(888) 866-3275

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 100361510

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16)* ▶$ 15,486,060

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities . . .	258,600	258,600		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	291,613			
	b	Gross sales price for all assets on line 6a 1,451,092				
	7	Capital gain net income (from Part IV, line 2) . . .		291,613		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	1,339	58,909		
	12	**Total.** Add lines 1 through 11	551,552	609,122		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	20,000			20,000
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	2,500	0	0	2,500
	c	Other professional fees (attach schedule)	123,299	52,109		71,190
	17	Interest				0
	18	Taxes (attach schedule) (see instructions) . . .	18,692	7,406		0
	19	Depreciation (attach schedule) and depletion . . .	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)	308	1,893		308
	24	**Total operating and administrative expenses.** Add lines 13 through 23	164,799	61,408	0	93,998
	25	Contributions, gifts, grants paid	622,000			622,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	786,799	61,408	0	715,998
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	-235,247			
	b	**Net investment income** (if negative, enter -0-)		547,714		
	c	**Adjusted net income** (if negative, enter -0-) . . .			0	

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets 1	Cash—non-interest-bearing	3,859	2,926	2,926
2	Savings and temporary cash investments	183,485	776,822	776,822
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	5,096,223	5,039,586	10,886,110
c	Investments—corporate bonds (attach schedule)	669,963	466,931	483,360
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	3,680,461	3,114,696	3,336,842
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	9,633,991	9,400,961	15,486,060
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities**(add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow FASB ASC 958, check here ▶** ☐ **and complete lines 24, 25, 29 and 30.**			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ **and complete lines 26 through 30.**			
26	Capital stock, trust principal, or current funds	9,633,991	9,400,961	
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	9,633,991	9,400,961	
30	**Total liabilities and net assets/fund balances** (see instructions)	9,633,991	9,400,961	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	9,633,991
2	Enter amount from Part I, line 27a	2	-235,247
3	Other increases not included in line 2 (itemize) ▶	3	2,217
4	Add lines 1, 2, and 3	4	9,400,961
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	9,400,961

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	**2**	291,613
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	**3**	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	646,195	13,562,016	0.047647
2017	597,334	13,264,775	0.045032
2016	554,576	11,932,041	0.046478
2015	495,726	11,152,728	0.044449
2014	407,122	9,767,125	0.041683

2	**Total** of line 1, column (d)	**2**	0.225289
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years . . .	**3**	0.045058
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5 . . .	**4**	14,501,237
5	Multiply line 4 by line 3	**5**	653,397
6	Enter 1% of net investment income (1% of Part I, line 27b) . . .	**6**	5,477
7	Add lines 5 and 6	**7**	658,874
8	Enter qualifying distributions from Part XII, line 4 , ...	**8**	715,998

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	5,477
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	5,477
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	5,477
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	7,000		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	7,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,523
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 1,523	**Refunded** ▶		11	0

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition).	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶BANK OF AMERICA NA Telephone no. ▶(888) 866-3275

Located at ▶114 WEST 47TH STREET NY8-114-07-07 NEW YORK NY ZIP+4 ▶100361510

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here . . . ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?.	☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?.	☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.).	☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		1b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶	☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?.		1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019?. If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____	☐ Yes ☑ No			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)		2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?.	☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period?*(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*.		3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?		4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALLEN BARTON 570 LILIAN WAY LOS ANGELES, CA 90004	TRUSTEE 1	20,000		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over $50,000 ▶				0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
BANK OF AMERICA NA 114 WEST 47TH STREET NY8-114-07 NEW YORK, NY 10036	AGENT FOR TRUSTEE	86,849
Total number of others receiving over $50,000 for professional services. ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	13,961,264
b	Average of monthly cash balances			1b	760,804
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	14,722,068
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	14,722,068
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	220,831
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	14,501,237
6	**Minimum investment return.** Enter 5% of line 5			6	725,062

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	725,062
2a	Tax on investment income for 2019 from Part VI, line 5	2a	5,477		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	5,477
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	719,585
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	719,585
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	719,585

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	715,998
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	715,998
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	5,477
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	710,521

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				719,585
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			607,010	
b Total for prior years: 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 0				
b From 2015. 0				
c From 2016. 0				
d From 2017. 0				
e From 2018. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 715,998				
a Applied to 2018, but not more than line 2a			607,010	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				108,988
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				610,597
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2015. . . . 0				
b Excess from 2016. . . . 0				
c Excess from 2017. . . . 0				
d Excess from 2018. . . . 0				
e Excess from 2019. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	(b) 2018	(c) 2017	(d) 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ALLEN BARTON
570 LILLIAN WAY
LOS ANGELES, CA 90004
(310) 729-9576

b The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				622,000
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	258,600	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	291,613	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a FEDERAL TAX REFUND			1	1,339	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				551,552	

13 Total. Add line 12, columns (b), (d), and (e). **13** 551,552

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2021-02-01	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☐	PTIN
KAREN J KISER		2021-02-01		P00146417
Firm's name ▶ BANK OF AMERICA				Firm's EIN ▶94-1687665
Firm's address ▶ P O BOX 1802 PROVIDENCE, RI 029011802				Phone no. (888) 866-3275

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
420. APPLE INC		2012-05-08	2020-07-22
80. APPLE INC		2012-07-25	2020-07-22
1000. APPLE INC		2012-10-11	2020-09-14
800. APPLE INC		2012-07-25	2020-09-14
500. CARRIER GLOBAL CORP REG		2012-11-01	2020-07-16
700. CARRIER GLOBAL CORP REG		2013-01-02	2020-07-16
200. CARRIER GLOBAL CORP REG		2012-06-06	2020-07-16
400. CARRIER GLOBAL CORP REG		2012-05-07	2020-07-16
400. CARRIER GLOBAL CORP REG		2012-05-21	2020-07-16
200. CARRIER GLOBAL CORP REG		2012-07-25	2020-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
163,141		33,764	129,377
31,075		6,551	24,524
114,982		22,556	92,426
91,986		16,378	75,608
13,392		6,882	6,510
18,748		10,011	8,737
5,357		2,499	2,858
10,713		5,435	5,278
10,713		4,990	5,723
5,357		2,483	2,874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			129,377
			24,524
			92,426
			75,608
			6,510
			8,737
			2,858
			5,278
			5,723
			2,874

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
200. CARRIER GLOBAL CORP REG		2012-05-29	2020-07-16
400. CARRIER GLOBAL CORP REG		2012-10-31	2020-07-16
150. DEERE & CO		2013-01-02	2020-03-18
400. DEERE & CO		2012-05-08	2020-03-18
200. DEERE & CO		2012-05-21	2020-03-18
1500. GENESEE & WYO INC		2013-05-22	2019-12-31
50000. HEWLETT-PACKARD CO		2013-05-13	2020-07-22
496. JAMES BALANCED: GOLDEN RAINBOW FUND		2015-12-04	2020-03-18
34. JAMES BALANCED: GOLDEN RAINBOW FUND		2014-12-05	2020-03-18
896. JAMES BALANCED: GOLDEN RAINBOW FUND		2014-12-05	2020-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,357		2,576	2,781
10,713		5,377	5,336
16,547		13,160	3,387
44,126		31,771	12,355
22,063		14,819	7,244
168,000		136,182	31,818
51,697		50,089	1,608
8,983		11,854	-2,871
616		831	-215
16,227		21,907	-5,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			2,781
			5,336
			3,387
			12,355
			7,244
			31,818
			1,608
			-2,871
			-215
			-5,680

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1985. JAMES BALANCED: GOLDEN RAINBOW FUND		2017-12-06	2020-03-18
112. JAMES BALANCED: GOLDEN RAINBOW FUND		2016-12-07	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2016-12-07	2020-03-18
208. JAMES BALANCED: GOLDEN RAINBOW FUND		2018-12-10	2020-03-18
2924. JAMES BALANCED: GOLDEN RAINBOW FUND		2018-12-10	2020-03-18
.63 JAMES BALANCED: GOLDEN RAINBOW FUND		2018-12-10	2020-03-18
186.62 JAMES BALANCED: GOLDEN RAINBOW FUND		2019-12-10	2020-03-18
18789.98 JAMES BALANCED: GOLDEN RAINBOW FUND		2014-11-25	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2015-09-30	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2016-09-30	2020-03-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
35,948		47,045	-11,097
2,028		2,705	-677
18		24	-6
3,767		4,098	-331
52,954		57,603	-4,649
11		14	-3
3,380		3,887	-507
340,287		476,326	-136,039
18		25	-7
18		24	-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-11,097
			-677
			-6
			-331
			-4,649
			-3
			-507
			-136,039
			-7
			-6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2016-12-30	2020-03-18
86.05 JAMES BALANCED: GOLDEN RAINBOW FUND		2019-09-30	2020-03-18
78.03 JAMES BALANCED: GOLDEN RAINBOW FUND		2019-12-30	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2014-12-31	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2015-03-31	2020-03-18
1. JAMES BALANCED: GOLDEN RAINBOW FUND		2016-03-31	2020-03-18
.5 WT08 27OCCIDENTAL PETROL		2012-05-07	2020-08-05
.5 WT08 27OCCIDENTAL PETROL		2012-05-07	2020-08-05
62.5 WT08 27OCCIDENTAL PETROL		2012-11-01	2020-09-18
62.5 WT08 27OCCIDENTAL PETROL		2013-01-02	2020-09-18

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18		24	-6
1,558		1,771	-213
1,413		1,643	-230
18		25	-7
18		25	-7
18		24	-6
2		15	-13
2		15	-13
181		1,684	-1,503
181		1,637	-1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-6
			-213
			-230
			-7
			-7
			-6
			-13
			-13
			-1,503
			-1,456

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
62.5 WT08 27OCCIDENTAL PETROL		2012-12-04	2020-09-18
49.5 WT08 27OCCIDENTAL PETROL		2012-05-07	2020-09-18
87.5 WT08 27OCCIDENTAL PETROL		2013-04-11	2020-09-18
50. WT08 27OCCIDENTAL PETROL		2012-07-25	2020-09-18
25. WT08 27OCCIDENTAL PETROL		2012-05-29	2020-09-18
62.5 WT08 27OCCIDENTAL PETROL		2012-10-31	2020-09-18
150000. THERMO FISHER SCIENTIFIC		2013-04-02	2019-10-15
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
181		1,556	-1,375
144		1,465	-1,321
254		2,478	-2,224
145		1,400	-1,255
73		700	-627
181		1,678	-1,497
155,055		151,473	3,582
			43,428

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-1,375
			-1,321
			-2,224
			-1,255
			-627
			-1,497
			3,582

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRUSTEES OF BOSTON UNIVERSITY 595 COMMONWEALTH AVENUE BOSTON, MA 02215	N/A	PC	UNRESTRICTED GENERAL	50,000
TUFTS UNIVERSITYBALLOU HALL MEDFORD, MA 02155	N/A	PC	UNRESTRICTED GENERAL	50,000
CAS MYRNA VAZQUEZ INC AKA CASA MYRNA38 WAREHAM STREET BOSTON, MA 02188	N/A	PC	UNRESTRICED GENERAL SUPPORT	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FRANKLIN PERFORMING ARTS CO PO BOX 48 FRANKLIN, MA 02036	N/A	PC	UNRESTRICTED GENERAL	3,500
METROWEST LEGAL SERVICES INC 63 FOUNTAIN STREET FRAMINGHAM, MA 01702	N/A	PC	UNRESTRICTED GENERAL	3,500
THE CHILDRENS ROOM 1210 MASSACHUSETTS AVE ARLINGTON, MA 02476	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YWCA GREENWICH 259 EAST PUTNAM AVE GREENWICH, CT 06830	N/A	PC	UNRESTRICTED GENERAL	13,500
CHILD GUIDANCE CTR OF SOUTHER CT AKA CGC196 GREYROCK PLACE STAMFORD, CT 06901	N/A	PC	UNRESTRICTED GENERAL	50,000
SAVE THE CHILDREN 501 KINGS HIGHWAY EAST SUITE 400 FAIRFIELD, CT 06825	N/A	PC	UNRESTRICTED GENERAL	50,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN CIVIL LIBERTIES UNION FDN OF CT330 MAIN STREET HARTFORD, CT 06106	N/A	PC	UNRESTRICTED GENERAL	15,000
AMERICARES88 HAMILTON AVE STAMFORD, CT 06902	N/A	PC	UNRESTRICTED GENERAL	50,000
JEWISH FAMILY SERVICES OF GREENWICH INCONE HOLLY HILL LANE GREENWICH, CT 06830	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GREENWICH HISTORICAL SOCIETY 39 STRICKLAND RD COSCOB, CT 06807	N/A	PC	UNRESTRICTED GENERAL	3,500
METROPOLITAN MUSEUM OF ART 1000 FIFTH AVE NEW YORK, NY 10028	N/A	PC	UNRESTRICTED GENERAL	3,500
MEMORIAL SLOAN KETTERING CANCER CENTERPO BOX 27106 NEW YORK, NY 10087	N/A	PC	UNRESTRICTED GENERAL	10,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
COVENANT HOUSE461 EIGHTH AVENUE NEW YORK, NY 10001	N/A	PC	UNRESTRICTED GENERAL	3,500
VS THEATRE COMPANY 3734 SANTA CARLOTTA ST LA CRESCENTA, CA 91214	N/A	PC	UNRESTRICTED GENERAL	7,500
AUTISM SPEAKS1 E 33RD ST NEW YORK, NY 10016	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FOUNDATION FOR LIVING BEAUTY 234 N EL MOLINO AVE PASADENA, CA 91101	N/A	PC	UNRESTRICTED GENERAL	3,500
JABBERWOCKY THEATRE COMPANY AKA ROGUE MACHINE 12405 VENICE BLVD LOS ANGELES, CA 90066	N/A	PC	UNRESTRICTED GENERAL	7,500
JEANNE GEIGER CRISIS CENTER INC 2 HARRIS STREET NEWBURYPORT, MA 01950	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LAUREL HOUSE INC 1616 WASHINGTON BLVD STAMFORD, CT 06902	N/A	PC	UNRESTRICTED GENERAL	3,500
HOMES WITH HOPE INC 49 RICHMONDVILLE AVE WESTPORT, CT 06880	N/A	PC	UNRESTRICTED GENERAL	3,500
RAW ARTS WORK INC 37 CENTRAL SQUARE LYNN, MA 01910	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HORIZONS FOR HOMELESS CHILDREN 1705 COLUMBUS AVENUE ROXBURY, MA 02119	N/A	PC	UNRESTRICTED GENERAL	3,500
THE HYDE FOUNDATION 616 HIGH STREET BATH, ME 04530	N/A	PC	UNRESTRICTED GENERAL	3,500
BUILDONPO BOX 16741 STAMFORD, CT 06905	N/A	PC	UNRESTRICTED GENERAL	40,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LEGAL MOMENTUM 16 EAST 34TH STREET NEW YORK, NY 10016	N/A	PC	UNRESTRICTED GENERAL	3,500
TWLOHA INCPO BOX 2203 MELBOURNE, FL 32902	N/A	PC	UNRESTRICTED GENERAL	3,500
GUERILLA OPERA INCPO BOX 230486 BOSTON, MA 02123	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES YOUTH ORCHESTRA 5160 VAN NUYS BLVD SHERMAN OAKS, CA 91403	N/A	PC	UNRESTRICTED GENERAL	7,500
CLASSICS ALIVE FOUNDATION 22550 FLAMINGO ST WOODLANDS, CA 91364	N/A	PC	UNRESTRICTED GENERAL	3,500
826 LA1714 W SUNSET BLVD LOS ANGELES, CA 90026	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S RANCH FOUNDATION 4007 VERDANT STREET LOS ANGELES, CA 90039	N/A	PC	UNRESTRICTED GENERAL	7,500
CAUSE FOR CELEBRATION 5757 UPLANDER WAY CULVER CITY, CA 90230	N/A	PC	UNRESTRICTED GENERAL	3,500
ZEIDERS AMERICAN DREAM THEATER 1532 DUKE OF WINDSOR RD VIRGINIA BEACH, VA 23454	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ENSEMBLE SHAKESPEARE THEATER 89 S FAIR OAKS AVE PASADENA, CA 91105	N/A	PC	UNRESTRICTED GENERAL	7,500
DETROIT CREATIVITY PROJECT AKA DCPPO BOX 1236 VENICE, CA 90294	N/A	PC	UNRESTRICTED GENERAL	3,500
MIA RECOVERY2373 TELLER ROAD NEWBURY PARK, CA 91320	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN RED CROSS431 18TH ST NW WASHINGTON, DC 20006	N/A	PC	UNRESTRICTED GENERAL	3,500
INTERNATIONAL JUSTICE MISSION PO BOX 58147 WASHINGTON, DC 20037	N/A	PC	UNRESTRICTED GENERAL	3,500
YOUNG STORY TELLERS FOUNDATION 923 E THIRD STREET307 LOS ANGELES, CA 90013	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NEPAL YOUTH FOUNDATION 3030 BRIDGEWAY SUITE 325 SAUSALITO, CA 94965	N/A	PC	UNRESTRICTED GENERAL	5,000
JOYFUL HEART FOUNDATION 32 WEST 22ND STREET NEW YORK, NY 10010	N/A	PC	UNRESTRICTED GENERAL	3,500
HEARTGIFT FOUNDATION 8015 SHOAL CREEK BLVD AUSTIN, TX 78757	N/A	PC	UNRESTRICTED GENERAL	15,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHESHIRE MOON INC 8939 S SEPUIVEDA BLV LOS ANGELES, CA 90045	N/A	PC	UNRESTRICTED GENERAL	7,500
FIRST DESCENTS3001 BRIGHTON BLVD DENVER, CO 80216	N/A	PC	UNRESTRICTED GENERAL	3,500
GIRLS IN FOCUS2039 TRUDIE DRIVE RANCHO PALOS VERDES, CA 90275	N/A	PC	UNRESTRICTED GENERAL	5,000
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
RYAMAN ARTS1933 S BROADWAY LOS ANGELES, CA 90007	N/A	PC	UNRESTRICTED GENERAL	3,500
UNIVERSITY OF SOUTHERN CA FBO KUSC RADIO UNIVERSITY PARK CAMPUS LOS ANGELES, CA 90089	N/A	PC	UNRESTRICTED GENERAL	7,500
FOUNTAIN THEATRE 5060 FOUNDATION AVE LOS ANGELES, CA 90029	N/A	PC	UNRESTRICTED GENERAL	7,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LOS ANGELES COUNTY DEVELOPMENTAL SERVICE FOUNDATION 3303 WILSHIRE BLVD LOS ANGELES, CA 90010	N/A	PC	UNRESTRICTED GENERAL	3,500
LOS ANGELES YOUTH NETWORK AKA LAYN1853 TAFT AVENUE LOS ANGELES, CA 90028	N/A	PC	UNRESTRICTED GENERAL	7,000
1736 FAMILY CRISIS CENTER 2116 ARLINGTON AVE LOS ANGELES, CA 90018	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SKYLIGHT THEATRE COMPANY 1816 1/2 N VERMONT AVE LOS ANGELES, CA 90027	N/A	PC	UNRESTRICTED GENERAL	7,500
INNER-CITY ARTS720 KOHLER STREET LOS ANGELES, CA 90021	N/A	PC	UNRESTRICTED GENERAL	3,500
THE RAPE FOUNDATION 1223 WILLSHIRE BLVD SANTA MONICA, CA 90403	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THE OTHER SIDE OF THE HILL PRODUCTIONS INC 5108 LANKERSHIM BLVD N HOLLYWOOD, CA 91601	N/A	PC	URESTRICTED GENERAL SUPPORT	7,500
A PLACE CALLED HOME AKA APCH 2830 SOUTH CENTRAL AVENUE LOS ANGELES, CA 90011	N/A	PC	UNRESTRICTED GENERAL	3,500
VENICE ARTS13445 BEACH AVENUE VENICE, CA 90292	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CREATE NOW INC1611 S HOPE STREET LOS ANGELES, CA 90015	N/A	PC	UNRESTRICTED GENERAL	3,500
24TH STREET THEATRE COMPANY 1117 W 24TH STREET LOS ANGELES, CA 90007	N/A	PC	UNRESTRICTED GENERAL	3,500
THE GABRIELLA FOUNDATION 639 S COMMONWEALTH AVE LOS ANGELES, CA 90005	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
HARMONY PROJECT 4322 WILLSHIRE BLVD LOS ANGELES, CA 90010	N/A	PC	UNRESTRICTED GENERAL	40,000
LOS ANGELES MUSIC AND ART SCHOOL 3630 EAST THIRD STREET LOS ANGELES, CA 90063	N/A	PC	UNRESTRICTED GENERAL	3,500
Total . . . . ▶ **3a**				622,000

TY 2019 Accounting Fees Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES	2,500			2,500

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TY 2019 Investments Corporate Bonds Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
852891AC4 STANCORP FINANCIAL G	100,327	105,143
883556AZ5 THERMO FISHER SCIENT		
428236BM4 HEWLETT-PACKARD CO		
756109AN4 REALTY INCOME CORP	100,063	104,894
651639AN6 NEWMONT MINING CORP	100,675	103,454
38141EG29 GOLDMAN SACHS GROUP	65,242	66,341
032095AB7 AMPHENOL CORP	100,624	103,528

TY 2019 Investments Corporate Stock Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
98978V103 ZOETIS INC	154,750	826,850
66987V109 NOVARTIS AG SPNSRD A	109,508	173,920
89417E109 TRAVELERS COS INC/TH	123,510	162,285
244199105 DEERE & CO	66,258	166,223
742718109 PROCTER & GAMBLE CO/	267,051	555,960
03524A108 ANHEUSER-BUSCH INBEV	79,065	53,880
404280406 HSBC HOLDINGS PLC	161,597	56,782
64110L106 NETFLIX COM INC	59,617	350,021
674599105 OCCIDENTAL PETROLEUM	275,553	37,037
931142103 WAL MART STORES INC	100,794	230,852
949746101 WELLS FARGO & CO NEW	162,924	94,040
191216100 COCA-COLA CO/THE	143,369	148,110
34959J108 FORTIVE CORP	48,736	133,368
46625H100 J P MORGAN CHASE & C	218,389	481,350
580135101 MC DONALDS CORPORATI	73,095	175,592
58933Y105 MERCK AND CO INC SHS	125,905	248,850
02079K305 ALPHABET INC SHS	309,314	659,520
023135106 AMAZON COM INC	122,953	551,028
55261F104 M&T BANK CORP	131,920	138,135
654106103 NIKE INC CL B	48,095	87,878
037833100 APPLE INC	329,313	1,598,178
254687106 WALT DISNEY CO/THE	286,565	506,246
371559105 GENESEE & WYO INC		
641069406 NESTLE S A SPONSORED	125,700	238,510
855244109 STARBUCKS CORP	218,880	446,784
883556102 THERMO FISHER SCIENT	151,533	581,923
988498101 YUM! BRANDS INC	94,669	182,600
98850P109 YUM CHINA HOLDINGS I	37,491	105,900
485170302 KANSAS CITY SOUTHERN	142,696	235,079
235851102 DANAHER CORP	156,645	753,655

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
29250N105 ENBRIDGE INC	196,362	201,130
38141G104 GOLDMAN SACHS GROUP	133,560	200,970
913017109 UNITED TECHNOLOGIES		
H01301128 ALCON SA ACT NOM	15,144	22,780
68902V107 OTIS WORLDWIDE CORP	60,351	93,630
75513E101 RAYTHEON TECHNOLOGIE	134,283	172,620
594918104 MICROSOFT CORP COM	122,630	147,231
N3167Y103 FERRARI NV	51,361	67,193

TY 2019 Investments - Other Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
746792407 PUTNAM INCOME FUND	AT COST	650,000	692,653
78462F103 SPDR S&P 500 ETF TRU	AT COST	249,938	421,961
464287176 ISHARES TIPS BOND ET	AT COST	199,496	218,213
470259821 JAMES BALANCED GOLDE			
464287655 ISHARES RUSSELL 2000	AT COST	250,473	310,814
921943858 VANGUARD FTSE DEVELO	AT COST	351,407	356,853
30254T759 FPA CRESCENT FUND	AT COST	925,136	846,139
74676P698 PUTNAM SHORT DURATIO	AT COST	488,246	490,209

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TY 2019 Other Expenses Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Other Expenses Schedule

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE FILING FEE	106	0		106
OTHER EXPENSE (NON-DEDUCTIBLE	202	0		202
PARTNERSHIP EXPENSES		1,893		0

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TY 2019 Other Income Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Other Income Schedule

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL TAX REFUND	1,339	0	
PARTNERSHIP INCOME		58,909	

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TY 2019 Other Increases Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Description	Amount
TAX COST ADJ	2,213
ROUINDING	4

TY 2019 Other Professional Fees Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	86,849	52,109		34,740
FAS FEES	36,450			36,450

TY 2019 Taxes Schedule

Name: THE NANCY E BARTON FOUNDATION

EIN: 45-6656212

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	7,329	7,329		0
EXCISE TAX - PRIOR YEAR	4,286	0		0
EXCISE TAX ESTIMATES	7,000	0		0
FOREIGN TAXES ON QUALIFIED FOR	7	7		0
FOREIGN TAXES ON NONQUALIFIED	70	70		0