Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to *www.irs.gov/Form990PF* for instructions and the latest information.

OMB No. 1545-0052

2019

Open to Public Inspection

For calendar year 2019, or tax year beginning 10-01-2019 , and ending 09-30-2020

Name of foundation CRAGIN JOY SPIVA CHARITABLE TR	**A Employer identification number** 43-6365083
Number and street (or P.O. box number if mail is not delivered to street address) PO BOX 0634 — Room/suite	**B** Telephone number (see instructions) (314) 418-2643
City or town, state or province, country, and ZIP or foreign postal code MILWAUKEE, WI 532010634	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here............ ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ... ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here .... ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), *line 16*) ▶$ 683,906

J Accounting method: ☐ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here .... ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	14,547	14,547		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	39,897			
	b	Gross sales price for all assets on line 6a 475,573				
	7	Capital gain net income (from Part IV, line 2)		39,897		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	54,444	54,444		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.	6,775	6,775		
	14	Other employee salaries and wages		0	0	0
	15	Pension plans, employee benefits		0	0	
	16a	Legal fees (attach schedule)				0
	b	Accounting fees (attach schedule)	750	0	0	750
	c	Other professional fees (attach schedule)				0
	17	Interest				0
	18	Taxes (attach schedule) (see instructions)	1,536	155		0
	19	Depreciation (attach schedule) and depletion	0	0		
	20	Occupancy				
	21	Travel, conferences, and meetings		0	0	
	22	Printing and publications		0	0	
	23	Other expenses (attach schedule)				
	24	**Total operating and administrative expenses.** Add lines 13 through 23	9,061	6,930	0	750
	25	Contributions, gifts, grants paid	30,750			30,750
	26	**Total expenses and disbursements.** Add lines 24 and 25	39,811	6,930	0	31,500
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	14,633			
	b	**Net investment income** (if negative, enter -0-)		47,514		
	c	**Adjusted net income** (if negative, enter -0-)			0	

Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	18,347	5,487	5,487
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶		0	0
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶ 0			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U.S. and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	386,403	446,504	485,586
c	Investments—corporate bonds (attach schedule)	223,537	157,513	159,130
11	Investments—land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)		33,350	33,703
14	Land, buildings, and equipment: basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	628,287	642,854	683,906
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
	Foundations that follow FASB ASC 958, check here ▶ ☐ and complete lines 24, 25, 29 and 30.			
24	Net assets without donor restrictions			
25	Net assets with donor restrictions			
	Foundations that do not follow FASB ASC 958, check here ▶ ☑ and complete lines 26 through 30.			
26	Capital stock, trust principal, or current funds	628,287	642,854	
27	Paid-in or capital surplus, or land, bldg., and equipment fund			
28	Retained earnings, accumulated income, endowment, or other funds			
29	**Total net assets or fund balances** (see instructions)	628,287	642,854	
30	**Total liabilities and net assets/fund balances** (see instructions)	628,287	642,854	

Assets: lines 1–16; Liabilities: lines 17–23; Net Assets or Fund Balances: lines 24–30.

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return)	1	628,287
2	Enter amount from Part I, line 27a	2	14,633
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	642,920
5	Decreases not included in line 2 (itemize) ▶	5	66
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 29	6	642,854

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	39,897
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2018	28,000	624,390	0.044844
2017	29,500	661,025	0.044628
2016	31,032	602,377	0.051516
2015	55,947	578,887	0.096646
2014	1,305	619,464	0.002107

2	**Total** of line 1, column (d)	2	0.239741
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	0.047948
4	Enter the net value of noncharitable-use assets for 2019 from Part X, line 5	4	638,150
5	Multiply line 4 by line 3	5	30,598
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	475
7	Add lines 5 and 6	7	31,073
8	Enter qualifying distributions from Part XII, line 4 , ...	8	31,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary–see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	475
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0
3	Add lines 1 and 2			3	475
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	475
6	Credits/Payments:				
a	2019 estimated tax payments and 2018 overpayment credited to 2019	6a	992		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	992
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	0
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	517
11	Enter the amount of line 10 to be: **Credited to 2020 estimated tax** ▶ 476	**Refunded** ▶		11	41

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes? (see Instructions for definition)	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2019 or the taxable year beginning in 2019? See the instructions for Part XIV. If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions.	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶N/A

14 The books are in care of ▶US BANK NA Telephone no. ▶(314) 418-2643

Located at ▶PO BOX 0634 MILWAUKEE WI ZIP+4 ▶532010634

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2019, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No

			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions	1b		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2019?	1c		No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2019, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2019? ☐ Yes ☑ No

If "Yes," list the years ▶ 20____, 20____, 20____, 20____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20____, 20____, 20____, 20____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2019 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2019.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2019?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?. ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions. ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?. ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions	5b		
	Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?. ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945–5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?. ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		No
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes", did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		
8	Is the foundation subject to the section 4960 tax on payment(s) of more than $1,000,000 in remuneration or excess parachute payment during the year? ☐ Yes ☑ No			

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation. See instructions

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
US BANK NA PO BOX 387 ST LOUIS, MO 63166	TRUSTEE 1	6,775		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000. ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	636,497
b	Average of monthly cash balances			1b	11,371
c	Fair market value of all other assets (see instructions)			1c	0
d	**Total** (add lines 1a, b, and c)			1d	647,868
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	647,868
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	9,718
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	638,150
6	**Minimum investment return.** Enter 5% of line 5			6	31,908

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	31,908
2a	Tax on investment income for 2019 from Part VI, line 5	2a	475		
b	Income tax for 2019. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	475
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	31,433
4	Recoveries of amounts treated as qualifying distributions			4	0
5	Add lines 3 and 4			5	31,433
6	Deduction from distributable amount (see instructions)			6	0
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	31,433

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	31,500
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	5	475
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	31,025

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2018	(c) 2018	(d) 2019
1 Distributable amount for 2019 from Part XI, line 7				31,433
2 Undistributed income, if any, as of the end of 2019:				
a Enter amount for 2018 only.			1,294	
b Total for prior years: 20___, 20___, 20___		0		
3 Excess distributions carryover, if any, to 2019:				
a From 2014. 0				
b From 2015. 0				
c From 2016. 0				
d From 2017. 0				
e From 2018. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2019 from Part XII, line 4: ▶ $ 31,500				
a Applied to 2018, but not more than line 2a			1,294	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2019 distributable amount.				30,206
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2019. *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b. Taxable amount —see instructions		0		
e Undistributed income for 2018. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2019. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2020				1,227
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0			
8 Excess distributions carryover from 2014 not applied on line 5 or line 7 (see instructions)	0			
9 **Excess distributions carryover to 2020.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9:				
a Excess from 2015. . . . 0				
b Excess from 2016. . . . 0				
c Excess from 2017. . . . 0				
d Excess from 2018. . . . 0				
e Excess from 2019. . . . 0				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2019, enter the date of the ruling. . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2019	**(b)** 2018	**(c)** 2017	**(d)** 2016	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: **a** "Assets" alternative test—enter: **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

a The name, address, and telephone number or email address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				30,750
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities. . . .			14	14,547	
5 Net rental income or (loss) from real estate:					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	39,897	
9 Net income or (loss) from special events:					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e). .				54,444	

13 **Total.** Add line 12, columns (b), (d), and (e). 13 54,444

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501 (c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash.	**1a(1)**		**No**
(2) Other assets.	**1a(2)**		**No**
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization.	**1b(1)**		**No**
(2) Purchases of assets from a noncharitable exempt organization.	**1b(2)**		**No**
(3) Rental of facilities, equipment, or other assets.	**1b(3)**		**No**
(4) Reimbursement arrangements.	**1b(4)**		**No**
(5) Loans or loan guarantees.	**1b(5)**		**No**
(6) Performance of services or membership or fundraising solicitations.	**1b(6)**		**No**
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1c**		**No**

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line No.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

******	2020-12-18	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.) ☑ **Yes** ☐ **No**

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ▶ ☑	PTIN
JOSEPH J CASTRIANO		2020-12-18		P01251603

Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | Firm's EIN ▶13-4008324

Firm's address ▶ 600 GRANT STREET
PITTSBURGH, PA 15219 | Phone no. (412) 355-6000

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
90.143 AMERICAN BEACON STEPHENS MID CAP GR		2019-05-30	2019-10-16
11. CITIGROUP INC			2019-10-16
6. FIDELITY NATL INFORMATION SVCS INC		2018-10-02	2019-10-16
59. ISHARES MSCI EAFE VALUE INDEX ETF		2018-10-02	2019-10-16
39. ISHARES CORE MSCI EAFE ETF		2019-05-30	2019-10-16
58. ISHARES CORE MSCI EMERGING MKTS ETF		2019-05-30	2019-10-16
10. MICROSOFT CORP COM		2016-05-03	2019-10-16
4. RINGCENTRAL INC		2018-12-11	2019-10-16
6. RINGCENTRAL INC		2018-10-02	2019-10-16
30. SCHWAB US REIT ETF		2019-05-30	2019-10-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,351		2,266	85
769		680	89
787		645	142
2,844		3,055	-211
2,421		2,335	86
2,916		2,844	72
1,400		501	899
660		339	321
991		528	463
1,410		1,320	90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			85
			89
			142
			-211
			86
			72
			899
			321
			463
			90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
261.783 DOUBLELINE TOTAL RET BD I		2019-05-30	2019-10-21
16. ISHARES MSCI EAFE VALUE INDEX ETF		2018-10-02	2019-10-21
37. ISHARES CORE MSCI EAFE ETF		2019-05-30	2019-10-21
229.631 PGIM TOTAL RETURN BOND CL R6		2019-05-30	2019-10-21
25. ISHARES CORE TOTAL US BOND MARKETETF			2019-10-25
38. CELGENE CORPORATION COM		2018-10-02	2019-11-20
7. CELGENE CORPORATION COM		2019-05-30	2019-11-20
138.3671 STONE RIDGE REINSUR RISK PRE		2018-06-29	2019-11-22
7. APPLE COMPUTER INC COM		2018-12-11	2019-12-06
5. APPLE COMPUTER INC COM		2015-09-25	2019-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,806		2,783	23
780		828	-48
2,313		2,215	98
3,431		3,337	94
2,817		2,779	38
4,105		3,467	638
756		662	94
1,111		1,259	-148
1,894		1,175	719
1,353		577	776

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			23
			-48
			98
			94
			38
			638
			94
			-148
			719
			776

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. ARISTA NETWORKS INC			2019-12-06
4. BIOGEN, INC		2018-10-02	2019-12-06
45. BRISTOL MEYER SQUIBB		2019-11-20	2019-12-06
14. CENTENE CORP COM		2019-06-18	2019-12-06
25. CENTENE CORP COM		2018-10-21	2019-12-06
14. CITIGROUP INC		2018-12-11	2019-12-06
27. COGNEX CORP		2018-12-11	2019-12-06
109. PARSLEY ENERGY INC-CLASS A		2018-10-02	2019-12-06
10. S P GLOBAL INC		2017-11-20	2019-12-06
15. SQUARE INC A		2018-10-02	2019-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,647		4,637	-990
1,215		1,386	-171
2,724		2,516	208
857		835	22
1,531		1,893	-362
1,065		786	279
1,378		1,097	281
1,747		3,271	-1,524
2,749		1,624	1,125
1,016		1,488	-472

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-990
			-171
			208
			22
			-362
			279
			281
			-1,524
			1,125
			-472

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
5. TYLER TECHNOLOGIES INC		2018-12-11	2019-12-06
2. TYLER TECHNOLOGIES INC		2018-10-02	2019-12-06
18. LYONDELLBASELL INDUSTRIES CL A		2019-05-30	2019-12-06
6. MOHAWK INDS INC COM		2019-12-06	2019-12-19
4. MOHAWK INDS INC COM		2018-09-06	2019-12-19
22. TC ENERGY CORP		2018-10-02	2019-12-19
2. UNITEDHEALTH GRP INC COM		2016-06-09	2019-12-19
12. UNITEDHEALTH GRP INC COM		2016-07-08	2019-12-19
6. UNITEDHEALTH GRP INC COM		2019-05-30	2019-12-19
28. WESTERN DIGITAL CORP COM		2017-09-28	2019-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,434		919	515
574		476	98
1,672		1,400	272
817		842	-25
545		675	-130
1,156		919	237
590		280	310
3,540		1,695	1,845
1,770		1,461	309
1,649		2,412	-763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			515
			98
			272
			-25
			-130
			237
			310
			1,845
			309
			-763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
513.532 DOUBLELINE TOTAL RET BD I		2019-05-30	2020-01-24
34. HORMEL FOODS CORPORATIONCOM		2017-05-05	2020-01-24
1174.774 PGIM TOTAL RETURN BOND CL R6		2019-05-30	2020-01-24
1. SPLUNK INC		2018-10-02	2020-01-24
16. SPLUNK INC		2018-10-16	2020-01-24
7. SPLUNK INC		2019-06-25	2020-01-24
369. ISHARES CORE MSCI EMERGING MKTS ETF		2019-05-30	2020-02-07
173.858 JPMORGAN TR I US SMALL CO FD INSTL CL		2019-05-30	2020-02-07
304.64 PGIM TOTAL RETURN BOND CL R6		2019-05-30	2020-02-07
9. RINGCENTRAL INC		2018-12-11	2020-02-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,520		5,459	61
1,593		1,178	415
17,175		17,069	106
158		115	43
2,520		1,663	857
1,103		829	274
19,151		18,091	1,060
3,036		2,700	336
4,484		4,426	58
1,866		763	1,103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			61
			415
			106
			43
			857
			274
			1,060
			336
			58
			1,103

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
4. TYLER TECHNOLOGIES INC		2019-05-30	2020-02-07
1. TYLER TECHNOLOGIES INC		2018-10-02	2020-02-07
1. ALLERGAN INC		1911-11-11	2020-02-12
387.6725 STONE RIDGE REINSUR RISK PRE			2020-02-28
14. AMERICAN CAMPUS COMMUNITIES		2019-05-30	2020-03-10
47. AMERICAN CAMPUS COMMUNITIES			2020-03-10
11. AMERICAN TOWER CORP			2020-03-10
34. CENTENE CORP COM		2019-06-18	2020-03-10
3493.533 DOUBLELINE TOTAL RET BD I			2020-03-10
18. FIVE BELOW		2019-05-30	2020-03-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,325		852	473
331		238	93
248			248
3,047		3,528	-481
550		642	-92
1,847		2,363	-516
2,543		1,178	1,365
1,970		2,027	-57
38,289		37,149	1,140
1,667		2,331	-664

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			473
			93
			248
			-481
			-92
			-516
			1,365
			-57
			1,140
			-664

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
157. ISHARES CORE TOTAL US BOND MARKETETF		2019-05-30	2020-03-10
232. ISHARES CORE MSCI EAFE ETF		2019-05-30	2020-03-10
6. MOHAWK INDS INC COM		2020-01-24	2020-03-10
1. S P GLOBAL INC		2019-12-19	2020-03-10
3. S P GLOBAL INC		2017-11-20	2020-03-10
6. UNITEDHEALTH GRP INC COM		2019-05-30	2020-03-10
1. AMAZON COM INC COM		2017-01-26	2020-04-20
713.803 AMERICAN BEACON STEPHENS MID CAP GR		2019-05-30	2020-04-20
260. CABOT OIL GAS CORP CL A			2020-04-20
41. CABOT OIL GAS CORP CL A		2018-10-02	2020-04-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
18,355		17,249	1,106
12,491		13,889	-1,398
681		850	-169
249		272	-23
746		487	259
1,641		1,461	180
2,404		839	1,565
17,809		17,945	-136
5,562		4,025	1,537
877		943	-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			1,106
			-1,398
			-169
			-23
			259
			180
			1,565
			-136
			1,537
			-66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
9. FIVE BELOW		2019-05-30	2020-04-20
113. ISHARES MSCI EAFE VALUE INDEX ETF		2018-10-02	2020-04-20
7. WAL MART STORES INC COM		2018-10-02	2020-04-20
57. DELTA AIR LINES INC			2020-05-28
61. DELTA AIR LINES INC			2020-05-28
31. ELECTRONIC ARTS			2020-05-28
10. ISHARES RUSSELL MIDCAP VALUE ETF		2019-05-30	2020-05-28
1707.575 JPMORGAN TR I US SMALL CO FD INSTL CL			2020-05-28
11. PAYPAL HOLDINGS INC		2018-10-16	2020-05-28
22. SPLUNK INC			2020-05-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
755		1,166	-411
4,054		5,851	-1,797
916		667	249
1,449		2,963	-1,514
1,551		2,351	-800
3,716		2,878	838
768		846	-78
25,716		26,477	-761
1,639		868	771
4,054		2,543	1,511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-411
			-1,797
			249
			-1,514
			-800
			838
			-78
			-761
			771
			1,511

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo., day, yr.)	**(d)** Date sold (mo., day, yr.)
7. WAL MART STORES INC COM		2018-10-02	2020-05-28
41.4651 STONE RIDGE REINSUR RISK PRE		2018-06-29	2020-05-29
11. ALBEMARLE CORP		2018-10-02	2020-07-16
2. AMAZON COM INC COM		2017-01-26	2020-07-16
10. FACEBOOK INC A		2018-10-02	2020-07-16
83. INVESCO QQQ TRUST ETF		2020-03-10	2020-07-16
204. ISHARES 20 YEAR TREASURY BOND ETF			2020-07-16
82. ISHARES CORE MSCI EMERGING MKTS ETF		2019-05-30	2020-07-16
11. MATCH GROUP INC NEW		2020-02-07	2020-07-16
1. SERVICENOW INC		2018-10-02	2020-07-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
872		667	205
326		377	-51
946		1,116	-170
6,031		1,679	4,352
2,403		1,602	801
21,477		16,336	5,141
34,127		34,232	-105
4,148		4,020	128
1,045		830	215
412		195	217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			**(l)** Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col. (i) over col. (j), if any	
			205
			-51
			-170
			4,352
			801
			5,141
			-105
			128
			215
			217

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
2. SERVICENOW INC		2018-12-11	2020-07-16
1. UNITEDHEALTH GRP INC COM		2019-05-30	2020-07-16
1. UNITEDHEALTH GRP INC COM		2020-01-24	2020-07-16
1. APPLE COMPUTER INC COM		2015-09-25	2020-07-17
2. APPLE COMPUTER INC COM		2019-05-30	2020-07-17
7. MICROSOFT CORP COM		2016-05-03	2020-07-17
21. SCHWAB CHARLES CORP COM NEW		2018-10-02	2020-07-17
.9883 MATCH GROUP INC NEW		2020-04-20	2020-08-04
23. ALBEMARLE CORP			2020-08-20
10. ALBEMARLE CORP			2020-08-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
824		364	460
307		244	63
307		293	14
385		115	270
771		355	416
1,419		350	1,069
705		1,043	-338
99		77	22
2,078		1,655	423
904		721	183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			460
			63
			14
			270
			416
			1,069
			-338
			22
			423
			183

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
19. ALBEMARLE CORP			2020-08-20
4. ALPHABET INC CL A		2017-01-26	2020-08-20
104. ISHARES CORE TOTAL US BOND MARKETETF		2019-05-30	2020-08-20
48.585 STONE RIDGE REINSUR RISK PRE		2018-06-29	2020-08-21
69. INVESCO QQQ TRUST ETF		2020-03-10	2020-09-14
429. ISHARES CORE TOTAL US BOND MARKETETF		2019-05-30	2020-09-14
1392.717 PGIM TOTAL RETURN BOND CL R6			2020-09-14
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,717		1,893	-176
6,232		3,421	2,811
12,329		11,426	903
1,962		2,211	-249
18,894		13,581	5,313
50,777		47,133	3,644
20,849		20,255	594
			1,497

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) **or** Losses (from col.(h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
			-176
			2,811
			903
			-249
			5,313
			3,644
			594

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CENTAUR RISING 12889 S PARKER AVENUE PINE, CO 80470	NONE	PC	GENERAL OPERATING	250
MOUNTAIN RESOURCE CENTER PO BOX 425 CONIFER, CO 80433	NONE	PC	GENERAL OPERATING	1,000
OSAGE PRAIRIE YMCA500 W HIGHLAND NEVADA, MO 64772	NONE	PC	GENERAL OPERATING	1,000
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TRINITY LUTHERAN CHURCH OF NEVADA1630 NORTH ASH STREET NEVADA, MO 64772	NONE	PC	GENERAL OPERATING	500
VERNON COUNTY CANCER RELIEF FUND PO BOX 24 NEVADA, MO 64772	NONE	PC	GENERAL OPERATING	3,000
INTERMOUNTAIN HUMANE SOCIETY PO BOX 1250 CONIFER, CO 80433	NONE	PC	GENERAL OPERATING	500
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
THOMAS JEFFERSON INDEPENDENT DAY SCH 3401 NEWMAN ROAD JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	500
ST PAULS UNITED METHODIST CHURCH 2423 W 26TH STREET JOPLIN, MO 64804	NONE	PC	GENERAL OPERATING	1,000
JOPLIN TRAILS COALITIONPO BOX 2102 JOPLIN, MO 64803	NONE	PC	GENERAL OPERATING	500
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CONIFER AREA COUNCIL TRAILS PROJECT PO BOX 787 CONIFER, CO 80433	NONE	PC	GENERAL OPERATING	500
CHILDRENS HAVEN 711 S PICHER AVENUE JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	1,000
WILDCAT GLADES FRIENDS GROUP PO BOX 3063 JOPLIN, MO 64803	NONE	PC	GENERAL OPERATING	1,000
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
GEORGE A SPIVA CENTER FOR THE ARTS 222 W 3RD STREET JOPLIN JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	5,000
MISSOURI SOUTHERN FOUNDATION 3950 NEWMAN RD JOPLIN, MO 648011512	NONE	PC	GENERAL OPERATING	6,000
LAFAYETTE HOUSEPO BOX 1765 JOPLIN, MO 64802	NONE	PC	GENERAL OPERATING	2,500
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CROSSLINES CHURCHES OF JOPLIN 131 S HIGH JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	500
PRO MUSICA211 S MAIN ST STE 318 JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	1,000
COMMUNITY HEALTH CLINIC OF JOPLIN 701 S JOPLIN AVENUE JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	1,000
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
CHILDREN'S CENTER OF SW MISSOURI 921 EAST 34TH STREET SUITE A JOPLIN, MO 64804	NONE	PC	GENERAL OPERATING	1,000
ST PHILIPS EPISCOPAL CHURCH 706 BYERS AVE JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	1,000
CEREBRAL PALSY OF TRI COUNTY 1401 W AUSTIN WEBB CITY, MO 64870	NONE	PC	GENERAL OPERATING	250
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
JOPLIN LITTLE THEATER INC PO BOX 374 JOPLIN, MO 648020374	NONE	PC	GENERAL OPERATING	500
SOUTHWEST MISSOURI HUMANE SOCIETY 3161 W NORTON ROAD SPRINGFIELD, MO 65803	NONE	PC	GENERAL OPERATING	250
JOPLIN HUMANE SOCIETY 140 E EMPEROR LANE JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	500
Total . . . . ▶ **3a**				30,750

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
WATERED GARDENS RESCUE MISSION 531 KENTUCKY AVENUE 2218 JOPLIN, MO 64801	NONE	PC	GENERAL OPERATING	500
Total . . . . ▶ **3a**				30,750

TY 2019 Accounting Fees Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	750			750

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TY 2019 Investments Corporate Bonds Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Investments Corporate Bonds Schedule

Name of Bond	End of Year Book Value	End of Year Fair Market Value
277923728 EATON VANCE GLOBAL M		
670678622 NUVEEN CORE PLUS BON		
861729101 STONE RIDGE REINSUR		
13161T401 CALVERT SHORT DURATI		
670678648 NUVEEN SHORT TERM BO		
74440B884 PGIM TOTAL RETURN BO	33,138	33,116
808524870 SCHWAB U.S. TIPS ETF		
258620103 DOUBLELINE TOTAL RET		
63872T620 LOOMIS SAYLES STRATE		
31420B300 FEDERATED INST HI YL		
464287226 ISHARES CORE TOTAL U	70,543	72,371
72369L107 PIONEER ILS INTERVAL	21,000	21,812
024932154 AMERICAN CENTURY HIG	32,832	31,831

TY 2019 Investments Corporate Stock Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
539830109 LOCKHEED MARTIN CORP		
025076845 AMERICAN CENT SMALL		
247361702 DELTA AIR LINES INC		
74316J458 CONGRESS MID CAP GRO		
00203H446 AQR LONG SHORT EQUIT		
06828M876 BARON EMERGING MARKE		
19765P596 COLUMBIA SMALL CAP G		
594918104 MICROSOFT CORP	9,254	17,878
57636Q104 MASTERCARD INC	2,378	3,382
438516106 HONEYWELL INTERNATIO		
02079K305 ALPHABET INC CL A	8,245	8,794
921910501 VANGUARD INTL GRWTH		
78409V104 S P GLOBAL INC	1,428	1,803
921937694 VANGUARD MIDCAP VALU		
524660107 LEGGETT PLATT INC		
440452100 HORMEL FOODS CORP		
779556109 ROWE T PRICE MID-CAP		
060505104 BANK OF AMERICA CORP	8,461	8,432
037833100 APPLE INC	7,056	11,234
378690606 GLENMEDE SC EQUITY P		
670678507 NUVEEN REAL ESTATE S		
149498107 CAUSEWAY EMERGING MA		
91324P102 UNITED HEALTH GROUP	3,274	3,429
92826C839 VISA INC		
922908553 VANGUARD REIT ETF		
74925K581 ROBECO BOSTON PARTNE		
03027X100 AMERICAN TOWER CORP	6,102	7,494
412295107 HARDING LOEVNER INTL		
N59465109 MYLAN NV	8,819	6,021
430070409 HIGHLAND LONG SHORT		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
024835100 AMERICAN CAMPUS COMM		
56585A102 MARATHON PETROLEUM C	7,626	4,636
00287Y109 ABBVIE INC		
00206R102 ATT INC		
893641100 TRANSDIGM GROUP INC	4,380	4,751
369604103 GENERAL ELECTRIC CO		
693506107 P P G INDS INC		
126650100 CVS HEALTH CORPORATI		
693475105 P N C FINANCIAL SERV		
437076102 HOME DEPOT INC		
375558103 GILEAD SCIENCES INC	4,390	4,107
755111507 RAYTHEON COMPANY		
713448108 PEPSICO INC		
023135106 AMAZON.COM INC	11,681	15,744
922038203 VANGUARD GLOBAL EQUI		
46625H100 J P MORGAN CHASE CO	8,524	8,375
958102105 WESTERN DIGITAL CORP		
278865100 ECOLAB INC	5,494	5,795
559222401 MAGNA INTL INC CL		
922908645 VANGUARD MID-CAP IND		
14949P208 CAUSEWAY INTERNATL V		
922908686 VANGUARD SMALL CAP I		
20030N101 COMCAST CORP CL A		
29250N105 ENBRIDGE INC		
458140100 INTEL CORP		
H1467J104 CHUBB LTD	3,899	3,948
136385101 CANADIAN NATURAL RES		
G1151C101 ACCENTURE PLC CL A		
76680R206 RINGCENTRAL INC	3,410	4,668
09062X103 BIOGEN, INC		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
808513105 SCHWAB CHARLES CORP	6,865	6,123
464287473 ISHARES RUSSELL MIDC	25,109	24,090
464288877 ISHARES MSCI EAFE VA	16,884	13,558
28176E108 EDWARDS LIFESCIENCES	1,973	2,474
31620M106 FIDELITY NATL INFORM		
285512109 ELECTRONIC ARTS INC	1,913	2,347
151020104 CELGENE CORPORATION		
524686524 LEGG MASON CLEARBRID	22,649	27,216
464287499 ISHARES RUSSELL MIDC	51,814	54,683
N53745100 LYONDELLBASELL INDUS		
595112103 MICRON TECHNOLOGY IN	5,537	4,931
192422103 COGNEX CORP		
012653101 ALBEMARLE CORP	3,306	3,125
701877102 PARSLEY ENERGY INC-C		
902252105 TYLER TECHNOLOGIES I	2,260	2,440
15135B101 CENTENE CORP COM	7,924	7,525
46434G103 ISHARES CORE MSCI EM	46,340	47,731
097023105 BOEING CO	2,983	1,818
127097103 CABOT OIL GAS CORP C	2,525	2,344
848637104 SPLUNK INC	1,752	2,069
87807B107 TC ENERGY CORP		
4812A1696 JPMORGAN TR I US SMA		
33829M101 FIVE BELOW		
89400J107 TRANSUNION	3,790	4,543
172967424 CITIGROUP INC		
931142103 WAL MART STORES INC	5,498	6,996
608190104 MOHAWK INDS INC CO		
22160K105 COSTCO WHSL CORP	3,637	4,970
70450Y103 PAYPAL HOLDINGS INC	6,350	10,443
852234103 SQUARE INC A		

Investments Corporation Stock Schedule

Name of Stock	End of Year Book Value	End of Year Fair Market Value
040413106 ARISTA NETWORKS INC		
808524847 SCHWAB US REIT ETF	23,691	19,595
81762P102 SERVICENOW INC	547	1,455
30303M102 FACEBOOK INC A	7,019	9,690
G6095L109 APTIV PLC	1,772	2,200
46432F842 ISHARES CORE MSCI EA	33,007	33,094
024524217 AMERICAN BEACON STEP	24,379	27,812
57667L107 MATCH GROUP INC NEW	6,360	8,299
776696106 ROPER INDS INC	2,204	2,371
88339J105 TRADE DESK INC THE C	2,445	5,188
G5960L103 MEDTRONIC PLC	3,851	4,053
87612E106 TARGET CORP	4,737	6,139
461202103 INTUIT INC	1,922	2,283
87918A105 TELADOC INC	3,971	4,385
217204106 COPART INC COM	3,984	4,732
79466L302 SALESFORCE COM INC	2,989	4,272
110122157 BRISTOL MYERS SQUIBB	96	101

TY 2019 Investments - Other Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Investments Other Schedule 2

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
N53745100 LYONDELLBASELL INDUS			
559222401 MAGNA INTL INC CL			
H1467J104 CHUBB LTD			
29250N105 ENBRIDGE INC			
136385101 CANADIAN NATURAL RES			
G1151C101 ACCENTURE PLC CL A			
464287655 ISHARES RUSSELL 2000	AT COST	33,350	33,703

TY 2019 Other Decreases Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Description	Amount
COST BASIS ADJ	66

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TY 2019 Taxes Schedule

Name: CRAGIN JOY SPIVA CHARITABLE TR

EIN: 43-6365083

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	106	106		0
FEDERAL TAX PAYMENT - PRIOR YE	389	0		0
FEDERAL ESTIMATES - PRINCIPAL	992	0		0
FOREIGN TAXES ON NONQUALIFIED	49	49		0